

## REC Schedules: Working Drafts July 2020

### Context

Under the Retail Code Consolidation and the Switching Significant Code Reviews, Ofgem is working with stakeholders on the creation of a new Retail Energy Code (REC). We are publishing the latest working drafts of the REC main body and schedules here for transparency.

We paused stakeholder engagement and consultations on the Retail Energy Code in March 2020 to allow stakeholders to prioritise the response to the COVID-19 pandemic. The documents published here may therefore contain material not previously discussed with stakeholders. They should be regarded purely as working drafts.

We expect to resume stakeholder discussions on these drafts in the coming weeks and to consult on them formally in the autumn of this year. We do not currently expect engagement or comments on these documents, but are open to receive views or questions.

### Overview of documents

Clean versions of Retail Code Consolidation (RCC) schedules have been published. Where these schedules have previously been baselined and included in the June 2019 consultation, redlined versions are available on request.

**Data Access** – this is a new version amended to reflect the removal of the Gas Enquiry Service from the scope of the RCC Significant Code Review (SCR). This service will now be transferred into the Retail Energy Code (REC) at Go Live of the Central Switching Service as part of the Switching SCR. We expect the switching version of the schedule to be similar in content, although we note that discussions are ongoing in relation to the governance of data across the Uniform Network Code (UNC) and REC.

**Green Deal** – this is a new schedule which has been developed to include provisions from both the Green Deal Arrangements Agreement (GDAA) and the Master Registration Agreement

(MRA), relating to the governance of the green deal arrangements (and the link to the previous arrangements under the Green Deal Framework Regulations); the process for becoming a Green Deal User; operational processes for managing Green Deal Plans; and provisions relating to quarterly charging. The following changes have been made to the existing provisions which we will formally consult on in the autumn:

- Removal of quarterly payment regime to suppliers, with costs used to reduce REC Company (RECCo) charges
- Removal of the Secretary of State, Gas Suppliers, Green Deal Cashback Scheme Operator and Energy Efficiency Experts as potential Green Deal Users
- Streamlining of the appeals and performance measures to account for REC Performance Assurance Board role

**Market Exit**– this is a new schedule which has been developed to cover the process for parties withdrawing from the REC. We expect to produce a further version of this schedule to cover the enduring arrangements following CSS Go Live, which will include details of the Supplier of Last Resort process.

**Prepayment** – this is an updated schedule based on the version previously published in June 2019. Changes have been made to consolidate electricity and gas arrangements further and to clarify the reporting and transaction processing provisions.

**Qualification and Maintenance** – this schedule incorporates provisions previously published as part of the Entry Assessment and Qualification Schedule, together with additional information covering onboarding provisions relating to REC Services including the Green Deal Service, Enquiry Services and CSS. The access agreement for Non-Party REC Service Users is included as an Appendix to this schedule. It should be noted that provisions relating to the Information Security and Data Protection Assessment have been included in square brackets. These reflect the approach proposed in the Technical Specification Approach documentation that was published in November 2019, which is still under consideration.

**Secure Data Exchange Service** – this is a new schedule to reflect SPAA and MRA provisions due to be implemented in 2020 covering the Secure Data Exchange Portal and Crossed Meter Resolution Portal.

**Smart Meter Installation** – this is a new schedule to reflect existing Smart Meter Installation Code of Practice (SMICoP) provisions, adapted to align to REC governance procedures, including the role of the REC PAB in assessing compliance with the requirements.

**Transfer of Consumer Data** – this is a new schedule to reflect existing SPAA and MRA provisions relating to the transfer of Priority Services Register (PSR) data and consumer contact data. We intend to use the Autumn 2020 consultation to seek views on the application of this schedule to non-domestic suppliers.

**Transition** – this shows the existing REC v1.0 Transition Schedule, with additional redlined text to support the transition of provisions from existing codes and codes of practice. This ensures that, where required, enduring provisions are retained and previous information / obligations are not lost.

**SPAA and MRA Transition** – these are new schedules (although initial drafts were provided alongside the RCC Launch Statement in November 2019) which include existing SPAA and MRA provisions to be retained until CSS Go Live. The schedules have been developed by lifting and shifting existing SPAA and MRA provisions. Changes have been made to remove redundant references to SPAA and MRA governance; introduce new REC terminology where this is deemed to be helpful; and to update cross references which have changed as a result of the consolidation of provisions.

## Next steps

We published the REC schedules that support Retail Code Consolidation on 2 July 2020.

A further tranche of schedules will follow in the week commencing 6 July, covering the REC schedules that support the new switching arrangements.

We are also planning the publication in the week commencing 6 July of a further tranche of documents, covering proposals for REC v1.1. This set of documents will comprise of a consultation document, the REC Main Body, REC Change Management, REC Performance Assurance, and REC Theft schedules. As with the working drafts, this consultation will be published for transparency but does not require action by stakeholders at this stage. We expect to formally consult on REC v1.1 in autumn 2020.