


<h1>Proposal for a Capacity Market Rules Change</h1>		 <p>Making a positive difference for energy consumers</p> <p><b>Reference number</b> <i>(to be completed by Ofgem):</i></p>
<b>Name of Organisation(s) / individual(s):</b> EMR Delivery Body, National Grid		<b>Date Submitted:</b> 11 November 2016
<b>Type of Change:</b> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> Amendment</li> <li><input type="checkbox"/> Addition</li> <li><input type="checkbox"/> Revoke</li> <li><input type="checkbox"/> Substitution</li> </ul>		<b>If applicable, whether you are aware of an alternative proposal already submitted which this proposal relates to:</b> <p>Click here to enter text.</p>
<b>Proposal summary</b> <i>(short summary, suitable for published description on our website)</i> <p>This proposal seeks to amend the Rules by way of a revision to the Form of Exhibit.</p>		
<b>What the proposal relates to and if applicable, what current provision of Rules the proposal relates to</b> <i>(please state provision number):</i> <p>Exhibits A, B,C,D, DA, DB, DC, F, G : Including the Prequalification Window year as one of the pieces of information to be completed by the Applicant within these Exhibits.</p>		
<b>Description of the issue that the change proposal seeks to address:</b> <p>The 2016 prequalification process has seen significant numbers of Applications fail to prequalify through the incorrect completion of Exhibits, including providing Exhibits that are dated for previous years. These Applications have subsequently needed to move through the Tier 1 disputes process to gain prequalification. The EMR Delivery Body provided guidance this year to confirm that Exhibits need to be signed contemporaneously with the Application, however this guidance was not heeded in all cases. This proposal to include the year in which the application is being made as part of the Exhibit will provide further clarity that these Exhibits are to be created and signed anew for each Application.</p>		
<b>If applicable, please state the proposed revised drafting</b> <i>(please highlight the change):</i> <p>For the listed Exhibits insert the following in advance of the name of the Certificate/Declaration: "[APPLICATION YEAR]". For example, Exhibit B would become "[APPLICATION YEAR] Price-Maker Certificate".</p>		
<b>Analysis and evidence on the impact on industry and/or consumers including any risks to note when making the revision - including, any potential implications for industry codes:</b> <p>This change will increase clarification for all stakeholders, and will remove any ambiguity for the Applicant regarding what is required. Based on EMR Delivery Body analysis from the 2016</p>		

Prequalification process, this small change will result in an increase in the proportion of positive Prequalification Decisions and a corresponding reduction in Tier 1 disputes. Prequalification will be improved for those Applicants that do not then need to raise a dispute for an issue associated with incorrect dating of an Exhibit. The EMR Delivery Body will be able to focus its Tier 1 disputes resource towards those Applications that have more complex failure reasons. This change proposal looks to facilitate the efficient operation and administration of the Capacity Market.

**Details of Proposer** *(please include name, telephone number, email and organisation):*

Matthew Magill  
EMR Capacity Market Manager, EMR Delivery Body, National Grid  
matthew.magill@nationalgrid.com 01926 655877