

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Gas and Electricity Markets Authority

ELECTRICITY ACT 1989
Standard conditions of
electricity supply licence

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Note also that some embedded para numbering links have been corrupted. This document should therefore not be relied upon by licensees and is interceded to show the proposed changes only. To be updated prior to Statutory Consultation.

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SECTION A: STANDARD CONDITIONS FOR ALL SUPPLIERS

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Standard conditions 0 to 6:

General arrangements

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Condition 0. Treating Domestic Customers Fairly

Customer Objective

- 0.1 The objective of this condition is for the licensee and any Representative to ensure that each Domestic Customer, including each Domestic Customer in a Vulnerable Situation, is treated Fairly (“the Customer Objective”).

Achieving the Standards of Conduct

- 0.2 The licensee must, and must ensure that its Representatives, achieve the Standards of Conduct in a manner consistent with the Customer Objective.
- 0.3 The Standards of Conduct are that the licensee and any Representative:
- a) behave and carry out any actions in a Fair, honest, transparent, appropriate and professional manner;
 - b) provide information (whether in Writing or orally) to each Domestic Customer which:
 - i. is complete, accurate and not misleading (in terms of the information provided or omitted);
 - ii. is communicated (and, if provided in Writing, drafted) in plain and intelligible language with more important information being given appropriate prominence;
 - iii. relates to products or services which are appropriate to the Domestic Customer to whom it is directed;
 - iv. in terms of its content and in terms of how it is presented, does not create a material imbalance in the rights, obligations or interests of the licensee and the Domestic Customer in favour of the licensee; and
 - v. is sufficient to enable the Domestic Customer to make informed choices about their supply of electricity by the licensee;
 - c) in relation to customer service arrangements:
 - i. make it easy for a Domestic Customer to contact the licensee;
 - ii. act promptly and courteously to put things right when the licensee or any Representative makes a mistake; and
 - iii. otherwise ensure that customer service arrangements and processes are complete, thorough, fit for purpose and transparent;
 - d) in relation to Domestic Customers in Vulnerable Situations:

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- i. seek to identify each Domestic Customer in a Vulnerable Situation, in a manner which is effective and appropriate, having regard to the interests of the Domestic Customer; and
- ii. when applying the Standards of Conduct in paragraphs (a) to (c) above, do so in a manner which takes into account any Vulnerable Situation of each Domestic Customer identified in accordance with (d)(i) above or otherwise.

Scope of condition

- 0.4 Standard condition 0 only applies to the licensee if:
- a) the Secretary of State has provided, by a scheme made under Schedule 7 to the Utilities Act 2000, that Section B of the standard conditions will have effect; or
 - b) the Authority has issued a Domestic Supply Direction to the licensee under paragraph 3.3 of standard condition 3.
- 0.5 Subject to paragraph 0.6, standard condition 0 applies to all activities of the licensee and any Representative which involve, or otherwise relate to, dealings with a Domestic Customer.
- 0.6 Apart from any matters relating to Deemed Contracts, standard condition 0 does not apply in respect of the amount or amounts of any Charges for the Supply of Electricity or any other type of charge or fee, applied or waived.
- 0.7 Standard Condition 0 applies to the exercise of a licensee's discretion to apply or waive any fee or charge.

Guidance

- 0.8 The licensee must have regard to any guidance on standard condition 0 (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority has issued, may issue and may from time to time revise (following further consultation).

Definitions

- 0.9 For the purposes of this condition:

Customer Objective	Is to be interpreted in accordance with paragraph 0.1.
Fair and cognate expressions	The licensee or any Representative would not be regarded as treating a Domestic Customer Fairly if their actions or omissions give rise to a likelihood of detriment to the Domestic Customer, unless the detriment would be reasonable in all the relevant circumstances.
Standards of Conduct	Means one or more of sub-paragraphs 0.3(a) to (d).

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Vulnerable Situation	<p>Means the personal circumstances and characteristics of each Domestic Customer create a situation where he or she is:</p> <p>(a) significantly less able than a typical Domestic Customer to protect or represent his or her interests; and/or</p> <p>(b) significantly more likely than a typical Domestic Customer to suffer detriment or that detriment is likely to be more substantial.</p>
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Condition 0A. Treating Microbusiness Consumers Fairly

Customer Objective

0A.1 The objective of this condition is for the licensee to ensure that each Micro Business Consumer is treated Fairly (“the Customer Objective”).

Achieving the Standards of Conduct

0A.2 The licensee must ensure it achieves the Standards of Conduct in a manner consistent with the Customer Objective.

0A.3 The Standards of Conduct are that the licensee:

- a) behaves and carries out any actions in a Fair, honest, transparent, appropriate and professional manner;
- b) provides information (whether in Writing or orally) to each Micro Business Consumer which:
 - i. is complete, accurate and not misleading (in terms of the information provided or omitted);
 - ii. is communicated (and, if provided in Writing, drafted) in plain and intelligible language with more important information being given appropriate prominence;
 - iii. relates to products or services which are appropriate to the Micro Business Consumer to whom it is directed; and
 - iv. in terms of its content and in terms of how it is presented, does not create a material imbalance in the rights, obligations or interests of the licensee and the Micro Business Consumer in favour of the licensee;
- c) in relation to customer service arrangements:
 - i. makes it easy for a Micro Business Consumer to contact the licensee;
 - ii. acts promptly to put things right when the licensee makes a mistake; and
 - iii. otherwise ensures that customer service arrangements and processes are fit for purpose and transparent.

Scope of Condition

0A.4 Standard condition 0A applies to all Designated Activities in respect of a Micro Business Consumer.

0A.5 Apart from any matters relating to Deemed Contracts, standard condition 0A does not apply in respect of the amount or amounts of any Charges for the Supply of Electricity or any other type of charge or fee, applied or waived.

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0A.6 Standard Condition 0A applies to the exercise of a licensee's discretion to apply or waive any fee or charge.

0A.7 In the event of a conflict between this condition and paragraph 14.2 of standard condition 14, this condition will prevail.

Guidance

0A.8 The licensee must have regard to any guidance on standard condition 0A (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority has issued, may issue and may from time to time revise (following further consultation).

Definitions

0A.9 For the purposes of this condition:

"Billing"	all matters relating to the provision of a Bill or statement of account to a Customer, including the content and calculations relating to such a Bill or statement of account and the collection and use of information relating to the consumption of electricity.
"Contractual Information"	includes the drafting and content of a Non-domestic Supply Contract or Deemed Contract and the provision of information relating to the Non-domestic Supply Contract or Deemed Contract that applies to a Micro Business Consumer which is being supplied by the licensee.
"Customer Objective"	Is to be interpreted in accordance with paragraph 0A.1.
"Customer Transfers"	includes, but is not limited to, any matters that relate to a Customer's ability to change supplier and/or affect the timeframe for changing supplier (including related terms and conditions of a Non-domestic Supply Contract or Deemed Contract that applies to a Micro Business Consumer).
"Designated Activities"	mean each of the following: a) the accuracy of a Bill or statement of Account; b) the timeframe for a Micro Business Consumer receiving a Bill or statement of account and the timeframe for the payment of a Bill;

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	<ul style="list-style-type: none"> c) any written or oral communications regarding Billing or Contractual Information; d) Customer Transfers; e) any matters relating to Deemed Contracts; and f) any matters which fall within the scope of standard conditions 7A, 14, 14A and 21B (in so far as they relate to a Micro Business Consumer).
“Fair” and cognate expressions	The licensee would not be regarded as treating a Micro Business Consumer Fairly if their actions or omissions give rise to a likelihood of detriment to the Micro Business Consumer, unless the detriment would be reasonable in all the relevant circumstances.
“Micro Business Consumer”	has the meaning given in standard condition 7A.
“Standards of Conduct”	Means one or more of sub paragraphs 0A.3 (a) to (c)

Condition 1. Definitions for standard conditions

- 1.1 This condition sets out most of the defined words and expressions used in the standard conditions of this licence (all of which begin with capital letters) and gives their definitions next to them.
- 1.2 But some defined words and expressions used in standard condition 15 (Assistance for areas with high distribution costs scheme: payments to System Operator) and standard condition 21 (Fuel mix disclosure arrangements) and their definitions are included in those conditions.

Definitions in alphabetical order

- 1.3 In this licence, unless the context otherwise requires:

About Your Tariff Label	has the meaning given in standard condition 31F.10;
Account Management Arrangement	means: <ul style="list-style-type: none"> (a) where the Domestic Customer is subject to Offline Account Management, Offline Account Management; or (b) where the Domestic Customer is subject to Online Account Management, Online Account Management;
Act	means the Electricity Act 1989;
Affiliate	means, in relation to an Electricity Supplier, any holding company or subsidiary or subsidiary undertaking of a holding company of the licensee, in each case within the meaning of the Companies Act 2006;
Affiliate Electricity Licensee	means any Subsidiary, Holding Company, or Subsidiary of a Holding Company of the licensee which holds an electricity supply licence granted or treated as granted pursuant to section 6(1)(d) of the Electricity Act 1989;

Affiliate Licensee	means any Subsidiary, Holding Company, or Subsidiary of a Holding Company of the licensee which holds a gas supply licence granted or treated as granted pursuant to section 7A(1) of the Gas Act 1986 and/or an electricity supply licence granted or treated as granted pursuant to section 6(1)(d) of the Electricity Act 1989;
Alternative Cheapest Tariff	<p>means, in comparison with the Estimated Annual Costs for each specific Domestic Customer's Tariff, the cheapest Tariff available from the licensee (or, where there are any Affiliate Licensees, the licensee and any Affiliate Licensees) for that Domestic Customer based on:</p> <ul style="list-style-type: none"> (a) their Estimated Annual Costs applied in respect of the cheapest Tariff, rather than the Tariff the Domestic Customer is currently subject to; (b) where the Domestic Customer is not subject to a Prepayment Meter, the cheapest Tariff compatible with the Electricity Meter installed at the Domestic Customer's premises; (c) where the Domestic Customer is subject to a Prepayment Meter, the cheapest Tariff compatible with any Electricity Meter which could be installed at the Domestic Customer's premises; and (d) consideration of both Time of Use Tariffs for which appropriate consumption data is available and Non-Time of Use Tariffs; (e) where the Domestic Customer is subject to a White Label Tariff: <ul style="list-style-type: none"> (i) a White Label Tariff of the same White Label Tariff Provider; or (ii) a Tariff of the licensee which is not a White Label Tariff; and (f) where the Domestic Customer is not subject to a White Label Tariff; <ul style="list-style-type: none"> (i) a Tariff which is not a White Label Tariff; or (ii) a White Label Tariff of any White Label Tariff Provider of the licensee;

Annual Consumption Details means:

- (a) where the Customer has held their Domestic Supply Contract or Micro Business Consumer Contract (as applicable) for at least 12 months and the licensee has obtained actual meter readings (including meter readings provided by the Customer and accepted by the licensee in accordance with standard condition 21B) which can reasonably be considered to cover the whole of that 12 month period, the quantity of electricity which was treated as consumed at the Customer's Domestic Premises or Non-Domestic Premises (as applicable) during the previous 12 months on the basis of those meter readings; or
- (b) where the Customer has held their Domestic Supply Contract or Micro Business Consumer Contract (as applicable) for:
 - (i) less than 12 months; or
 - (ii) at least 12 months and the licensee has not obtained actual meter readings (including meter readings provided by the Customer and accepted by the licensee in accordance with standard condition 21B) which can reasonably be considered to cover the whole of that 12 month period,

the licensee's best estimate of the quantity of electricity that the Customer may be expected to consume during a 12 month period having regard to any relevant information that is available to the licensee or which the licensee could otherwise have reasonably ascertained (including any actual meter readings that have been obtained and which cover part of the previous 12 months);

Applicable Customer means, in relation to an application made by the licensee to the Authority in accordance with standard condition 10 (Restriction or revocation of licence), a Customer if:

- (a) immediately before the restriction or revocation takes effect, his premises are being supplied with electricity by the licensee; and
- (b) in the case of a restriction, his premises will be excluded by it from this licence;

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Application Regulations	means regulations made under section 6A of the Act that set out the form and manner in which applications for an Electricity Supply Licence or an extension or restriction of such a licence are to be made;
Authorised	in relation to any business or activity, means authorised by licence granted or treated as granted under section 6 of the Act or, in appropriate cases, by exemption granted under section 5 of the Act;
Authorised Electricity Operator	means any person (other than the licensee) who is Authorised to generate, participate in the transmission of, distribute or supply electricity, participate in the operation of an Interconnector or provide a smart meter communications service and includes any person who has made an application to be so Authorised which has not been refused and any person transferring electricity to or from or across an Interconnector or who has made an application for use of an Interconnector which has not been refused;
Authority	means the Gas and Electricity Markets Authority established under section 1 of the Utilities Act 2000;
Balancing and Settlement Code	means the Balancing and Settlement Code provided for in standard condition C3 (Balancing and Settlement Code (BSC)) of the Transmission Licence;
Bill	means an invoice or a demand for payment or any other instrument of the same or similar character and purpose;
Category A Metering Arrangement	means using an Electricity Meter on the basis of contractual arrangements whereby the Domestic Customer is required to pay for the Charges for the Supply of Electricity on the basis of a single Unit Rate;
Category B Metering Arrangement	means using an Electricity Meter on the basis of any contractual arrangement (other than a Category C Metering Arrangement, Category D Metering Arrangement, or Category E Metering Arrangement) whereby the Domestic Customer is required to pay for the Charges for the Supply of Electricity on the basis of Time of Use Rates;
Category C Metering Arrangement	means using an Electricity Meter on the basis of contractual arrangements (other than a Category D Metering Arrangement or Category E Metering Arrangement) whereby the Domestic Customer is required to pay for the Charges for the Supply of Electricity on the basis of two separate Unit Rates in circumstances where, during each period of 24 hours, one Unit Rate applies to up to two separate specified periods of time during

	that period of 24 hours and the other Unit Rate applies to the remaining separate periods of time during that period of 24 hours;
Category D Metering Arrangement	<p>means using an Electricity Meter on the basis of contractual arrangements (other than a Category C Metering Arrangement or Category E Metering Arrangement) whereby the Domestic Customer is required to pay for the Charges for the Supply of Electricity on the basis of two or three separate Unit Rates in circumstances where, during each period of 24 hours:</p> <ul style="list-style-type: none"> (a) one Unit Rate applies to a specified period of time during that period of 24 hours ("Period A"); (b) one Unit Rate applies to a specified period of time which is different to Period A during that period of 24 hours ("Period B"); (c) one Unit Rate applies to the remaining periods of time during that period of 24 hours which are separate to Period A and Period B;
Category E Metering Arrangement	<p>means using an Electricity Meter on the basis of any contractual arrangement (other than a Category C Metering Arrangement or Category D Metering Arrangement) whereby the Domestic Customer is required to pay for the Charges for the Supply of Electricity on the basis of Time of Use Rates in circumstances where, during each period of 24 hours:</p> <ul style="list-style-type: none"> (a) one or more Unit Rates apply to different periods of time which are determined by the licensee and/or a Licensed Distributor and activated via the use of radio signals during that period of 24 hours; and (b) no more than one Unit Rate applies at any given period of time during that period of 24 hours;
Central Charge Database	has the meaning given in paragraph 1 of standard condition 35;
CH Technical Specification	<p>means the Communications Hub Technical Specification, being the document (or part of a document) which:</p> <ul style="list-style-type: none"> (a) identifies itself as such; and (b) applies in respect of a Communications Hub installed or provided for the purposes of the supply of electricity.

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Charges	means Charges for the Supply of Electricity and Green Deal Charges;
Charges for the Supply of Electricity	means, as between the licensee and a Customer, charges made by the licensee in respect of the supply of electricity to that Customer's premises, including (but not limited to) any Unit Rate (or, where applicable, Unit Rates), a Standing Charge (or, where applicable, Standing Charges) and any charges made for the provision of an Electricity Meter;
Citizens Advice	means the National Association of Citizens Advice Bureaux;
Citizens Advice Scotland	means the Scottish Association of Citizens Advice Bureaux;
Citizens Advice consumer service	an online, telephone or face to face service provided by Citizens Advice or Citizens Advice Scotland that provides advice in response to enquiries from individual consumers acting alone or in groups;
Closed Fixed Term Tariff	means a Tariff in respect of a Fixed Term Supply Contract which is no longer capable of being entered into by any Domestic Customer or Domestic Customers;
Communications Hub	<p>means:</p> <p>(a) a device identified as a communications hub by the DCC, taken together with</p> <p>(b) any aerial which is required for the effective operation of that communications hub and is (or will be, when they are both installed) either a part of that device or situated adjacent to it,</p> <p>in each case as provided by the DCC in accordance with its obligations under Part E of Condition 17 of the DCC Licence (Terms for the Provision of the Communications Hub Service).</p>
Compatible	in respect of a Version of a Technical Specification, means compatible, in accordance with the meaning given to that expression in Section F2.12 of the Smart Energy Code, with a Version of any other Technical Specification as identified in the matrix created and published by the SEC Panel pursuant to Section F2.11 of the Smart Energy Code.

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Compensation Payment	<p>means any payment made by the licensee (including any voluntary payment) to a specific Domestic Customer in accordance with any customer service, complaint handling or redress arrangements which:</p> <ul style="list-style-type: none"> (a) in the case of a payment which is required by the Authority, any legislation, licence conditions, formal redress arrangement, or by the courts, is the payment which the licensee is required to provide; and (b) in all other cases, is a payment which is made solely on the basis of a specific issue which: <ul style="list-style-type: none"> (i) relates to customer service, complaint handling or redress; and (ii) specifically affects the Domestic Customer to whom the payment is made;
Connection and Use of System Code	means the Connection and Use of System Code provided for in standard condition C10 (Connection and Use of System Code (CUSC)) of the Transmission Licence;
Consequential Change	means a modification required to an Industry Code to which the licensee is a party, solely to give full and timely effect to a modification made to that or any other Industry Code;
Contingent Discount	means a Discount which is not a Non-Contingent Discount and includes a Termination Fee;
Contract	includes, as between the licensee and a Customer, a contract deemed to have been made because of paragraph 23 of Schedule 7 to the Utilities Act 2000 but does not include a Deemed Contract and related expressions must be read accordingly;
Credit Limiting	means the practice by which the licensee limits the amount by which the total Charges for the Supply of Electricity accrued by a Domestic Customer under a Domestic Supply Contract may exceed the payments made by that Domestic Customer to the licensee under or in accordance with the relevant Domestic Supply Contract and related expressions must be read accordingly;
Current Transformer Electricity Meter	Means an Electricity Meter which uses a current transformer as part of the mechanism for measuring the electric current;
Customer	means any person supplied or requiring to be supplied with electricity at any premises in Great Britain but does not include any Authorised Electricity Operator in its capacity as such;

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DCC	means the holder of the DCC Licence.
DCC Licence	means the licence for the provision of a smart meter communication service granted pursuant to section 6(1)(f) or 6(1A) of the Electricity Act 1989.
Dead Tariff	means a Tariff in respect of an Evergreen Supply Contract which is not a Live Evergreen Tariff;
Deemed Contract	means, as between the licensee and a Customer, a contract deemed to have been made because of paragraph 3 of Schedule 6 to the Act but does not include a contract deemed to have been made because of paragraph 23 of Schedule 7 to the Utilities Act 2000;
Designated Premises	means Non-Domestic Premises at which a metering point falls within profile class 1, 2, 3 or 4 as defined in the Balancing and Settlement Code on 30 November 2012;
Directive	means Directive 2009/72/EC of the European Parliament and of the Council of 13 July 2009 concerning common rules for the internal market in electricity and repealing Directive 2003/54/EC(a);
Disadvantageous Unilateral Variation	is to be interpreted in accordance with paragraph 23.3 of standard condition 23;
Disconnect	in relation to the supply of electricity only, means to stop that supply to a Domestic Premises and related expressions must be read accordingly;
Discount	means (excluding a Compensation Payment, an Outstanding Charges Discount, a Payment Difficulty Discount, FIT Payments and a Warm Home Discount) any form of payment, saving, rebate, benefit or reward (whether financial or otherwise) which is in any way linked or otherwise relates to a Domestic Supply Contract or Deemed Contract (and, for the avoidance of doubt, includes goods and services provided to a Domestic Customer free of charge or at a reduced charge);
Distribution Code	means, in relation to any Licensed Distributor, the Distribution Code required to be prepared by it and approved by the Authority in accordance with standard condition 9 (Distribution Code) of the Distribution Licence
Distribution Connection and Use of System Agreement	means the Distribution Connection and Use of System Agreement designated by the Authority in accordance with standard condition 9B (Distribution Connection and Use of System Agreement) of the Distribution Licence;

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Distribution Licence	means a distribution licence granted or treated as granted under section 6(1)(c) of the Act;
Distribution Services Area	has the meaning given in and is to be interpreted in accordance with standard condition 2 (Application of Section C (Distribution Services Obligations)) of the Distribution Licence;
Distribution System	has the meaning given in standard condition 1 (Definitions and Interpretation) of the Distribution Licence;
Domestic Customer	means a Customer supplied or requiring to be supplied with electricity at Domestic Premises but excludes such Customer insofar as he is supplied or requires to be supplied at premises other than Domestic Premises;
Domestic Premises	has the meaning given in and is to be interpreted in accordance with standard condition 6 (Classification of premises);
Domestic Supply Contract	means a Contract for the supply of electricity to Domestic Premises;
Domestic Supply Direction	means a direction issued by the Authority under paragraph 3 of standard condition 3 (Application of Section B of standard conditions) to give effect to Section B of the standard conditions;
Domestic Statement of Renewal Terms	means a Notice provided prior to the end of a Fixed Term Supply Contract, in accordance with paragraph 31I.1(c) of standard condition 31I;
Dual Fuel Discount	means a Discount which the licensee may apply to a Tariff on the basis that the Same Domestic Customer is supplied with both electricity and gas from the licensee or the licensee and an Affiliate Licensee at the same Domestic Premises;
Electricity Meter	means a meter which conforms to the requirements of paragraph 2 of Schedule 7 to the Act and is of an appropriate type for measuring the quantity of electricity supplied;
Electricity Supplier	means any person Authorised to supply electricity;
Electricity Supply Licence	means an electricity supply licence granted or treated as granted under section 6(1)(d) of the Act;
Electronic Communication	means a message comprising text or an image of text that: (a) is sent over a Public Electronic Communications Network;

	<ul style="list-style-type: none"> (b) can be stored in that network or in the recipient's terminal equipment until it is collected by the recipient; and (c) is in a particular form and is used for a particular purpose and the recipient of it has expressed a willingness, to the sender, to receive it in that form and for that purpose; <p>means a Discount which is a monetary amount of a precise financial value and which is applied directly to a Unit Rate (or, if applicable, Unit Rates) or a Standing Charge (or, if applicable, Standing Charges);</p>
Energy Discount	means a Discount which is a monetary amount of a precise financial value and which is applied directly to a Unit Rate (or, if applicable, Unit Rates) or a Standing Charge (or, if applicable, Standing Charges);
Energy Performance Certificate	<p>has the meaning given to "energy performance certificate" in regulation 2(1) of (as the context requires):</p> <ul style="list-style-type: none"> (a) the Energy Performance of Buildings (Certificates and Inspections) (England and Wales) Regulations 2007; or (b) the Energy Performance of Buildings (Scotland) Regulations 2008;
Energy Ombudsman	means the person appointed by the Secretary of State, and approved by the Authority, to administer the redress scheme within the meaning of section 48(1) of the Consumers, Estate Agents and Redress Act 2007;
EPC UPRN	means an Energy Performance Certificate Unique Property Reference Number;
EPC Reference Number	<p>means the unique reference number under which data is registered pursuant to (as the context requires):</p> <ul style="list-style-type: none"> (a) regulation 31(3)(a) of the Energy Performance of Buildings (Certificates and Inspections) (England and Wales) Regulations 2007; or (b) regulation 10(5)(a) of the Energy Performance of Buildings (Scotland) Regulations 2008;

Estimated Annual Costs

means a personalised and transparent calculation of the annual costs in pounds sterling (inclusive of value added tax) a consumer will pay on a given Domestic Supply Contract or Deemed Contract, which:

- (a) includes any Non-Contingent Discounts (and for these purposes the definition of “Non-Contingent Discount” in condition 1 is to be treated as including an Online Account Management Discount and Dual Fuel Discount), and excludes any Contingent Discounts including any situation where the only conditions a Domestic Customer has to satisfy in order to receive a one-off Discount are the fact that they have entered into a Domestic Supply Contract and/or supply commences under that contract;
- (b) includes any charges for Tied Bundles, where these can be expressed in p/day or p/kWh, and excludes any charges for Optional Bundles;
- (c) is based on the Domestic Customer’s Annual Consumption Details;
- (d) is based on all reasonably available data and reasonable assumptions where appropriate; and
- (e) is applied consistently when providing the Domestic Customer with a comparison of the relative cost of two or more Domestic Supply Contracts or Deemed Contracts;

Estimated Annual Savings

means:

- (a) in the case of a Relevant Cheapest Tariff, the difference between the Estimated Annual Costs which apply to the Relevant Cheapest Tariff and the Domestic Customer’s Estimated Annual Costs; and
- in the case of an Alternative Cheapest Tariff, the difference between the Estimated Annual Costs which apply to the Alternative Cheapest Tariff and the Domestic Customer’s Estimated Annual Costs;

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Evergreen Supply Contract	means a Domestic Supply Contract (or, where applicable, part of a Domestic Supply Contract) which is for a period of an indefinite length and which does not contain a fixed term period that applies to any of the terms and conditions of that Domestic Supply Contract;
Exact Payment Method	means the exact name used by the licensee to describe the payment method that applies to a particular Tariff (which must include a description of the characteristics of the payment method and, where applicable, the frequency of the payment method);
Exact Tariff Name	means the full and exact name used by the licensee to describe and promote a particular Tariff;
Financial Ombudsman Service	means the ombudsman scheme referred to in section 225 of the Financial Services and Markets Act 2000;
Financial Year	means a period of 12 months beginning on 1 April each year and ending on 31 March of the next calendar year;
FIT Payments	is to be interpreted in accordance with standard condition 33;
Fixed Term Supply Contract	means a Domestic Supply Contract (or, where applicable, part of a Domestic Supply Contract) with a fixed term period that applies to any of the terms and conditions of that Domestic Supply Contract;
Form	includes the means by which information is communicated and the way in which information is presented or structured;
Fuel Security Code	means the Fuel Security Code designated by the Secretary of State;
Gas Supply Licence	means a gas supply licence granted or treated as granted under section 7A(1) of the Gas Act 1986;
Generation Licence	means a generation licence granted or treated as granted under section 6(1)(a) of the Act;
Green Deal Arrangements Agreement or GDAA	means the agreement referred to and providing for such matters as are set out in standard condition 38 (Green Deal Arrangements Agreement), in the form approved by the Secretary of State from time to time;
Green Deal Bill Payer	means a “bill payer” within the meaning of the Green Deal Regulations;

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Green Deal Charge	means a payment required to be made under a Green Deal Plan by a Green Deal Bill Payer, as referred to in section 1(6) of the Energy Act 2011;
Green Deal Electricity Savings	means, the annual financial savings (or increased costs) on consumption from electricity, as identified by the Green Deal Provider and notified to the licensee for the relevant Green Deal Plan;
Green Deal Gas Savings	means the annual financial savings (or increased costs) on consumption from gas, as identified by the Green Deal Provider and notified to the licensee for the relevant Green Deal Plan;
Green Deal Licensee	means a licensee that is a Mandatory Green Deal Licensee or a Voluntary Green Deal Licensee;
Green Deal Other Fuel Savings	means the annual financial savings (or increased costs) on consumption from fuel sources (other than electricity and gas), as identified by the Green Deal Provider and notified to the licensee for the relevant Green Deal Plan;
Green Deal Plan	has the meaning given to “green deal plan” in section 1(3) of the Energy Act 2011;
Green Deal Premises	has the meaning given in paragraph 7 of standard condition 6;
Green Deal Provider	a person who is authorised to act as a green deal provider under the Green Deal Regulations;
Green Deal Ombudsman	has the meaning given in the Green Deal Regulations;
Green Deal Regulations	means the Green Deal Framework (Disclosure, Acknowledgment, Redress etc.) Regulations 2012;
Green Deal Relevant Date	means: <ul style="list-style-type: none"> (a) 1 October 2012; or (b) 31 December each year;
Grid Code	means the Grid Code provided for in standard condition C14 (Grid Code) of the Transmission Licence;
HAN	means, in relation to a Smart Metering System, the home area network operating within one or more harmonised frequency bands, which: <ul style="list-style-type: none"> (a) is created by virtue of the HAN Interfaces of that Smart Metering System; and

	(b) constitutes a means by which information can be exchanged between that Smart Metering System and any other device or system.
HAN Connected Auxiliary Load Control Switch (or HCALCS)	<p>means a device installed at any Domestic Premises or Designated Premises which on the date it is installed:</p> <p>(a) comprises a device which:</p> <p>(i) is capable of connecting through the HAN to a device forming part of a Smart Metering System; and</p> <p>(ii) where such a connection is in place, has the functionality of controlling the supply of electricity to one or more loads in the premises by responding to commands received across the HAN to enable or disable the flow of electricity to any such load; and</p> <p>(b) may also comprise one or more associated or ancillary devices, installed or provided for the purposes of the supply of electricity, identified in a Version (and where there is more than one such device, in the same Version) of the HCALCS Technical Specification which is within its Maintenance Validity Period on the date of installation.</p>
HAN Date	means, in respect of each Domestic Premises, the date on which the HAN first extends into a part of the premises that is located within the main dwelling area of the premises.
HAN Interface	<p>means in respect of a Smart Metering System a HAN Interface:</p> <p>(a) having the meaning given to that term in the Metering Equipment Section of the Version of the SME Technical Specification in accordance with which the Smart Metering System is maintained pursuant to the requirements of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance); and</p> <p>(b) which is operating at a frequency, and using the communications standards applicable to that frequency, as specified in that Version of the SME Technical Specification.</p>

means the part(s) of the SME Technical Specification which:

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HCALCS Technical Specification	<p>(a) identifies itself as such; and</p> <p>(b) applies in respect of a HCALCS,</p> <p>and any reference to a "Version" in the context of a HCALCS Technical Specification shall be read as a reference to the Version of the SME Technical Specification in which the HCALCS Technical Specification is included.</p>
Holding Company	means a holding company within the meaning of sections 1159 and 1160 of the Companies Act 2006;
IHD Technical Specification	<p>means the part(s) of the SME Technical Specification which:</p> <p>(a) identifies itself as such; and</p> <p>(b) applies in respect of an In-Home Display,</p> <p>and any reference to a "Version" in the context of an IHD Technical Specification shall be read as a reference to the Version of the SME Technical Specification in which the IHD Technical Specification is included.</p>
Industry Codes	<p>means any and all of the following:</p> <p>(a) the Balancing and Settlement Code;</p> <p>(b) the Connection and Use of System Code;</p> <p>(c) the Distribution Code;</p> <p>(d) the Distribution Connection and Use of System Agreement;</p> <p>(e) the Grid Code;</p> <p>(f) (Not used) the Master Registration Agreement; and</p> <p>(g) the Retail Energy Code</p>
Information	means information (other than information subject to legal privilege) in any form or medium and of any description specified by the Authority and includes any documents, accounts, estimates, returns, records or reports and data of any kind, whether or not prepared specifically at the request of the Authority;
In-Home Display (or IHD)	means a device provided at premises which, on the date on which it is provided:

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	<p>(a) is a device of a type identified in a Version of the IHD Technical Specification which is within its Installation Validity Period on that date; and</p> <p>(b) as a minimum, has the functional capability specified by and complies with the other requirements of that Version of the IHD Technical Specification.</p>
Installation Date	<p>means, in relation to a Smart Metering System:</p> <p>(a) where the system is installed after the Smart Metering Designated Date, the date on which it is installed; or</p> <p>where the system is installed on or before the Smart Metering Designated Date, the Smart Metering Designated Date.</p>
Interconnector	has the meaning given to “electricity interconnector” in section 4(3E) of the Act;
Interconnector Licence	means an interconnector licence granted or treated as granted under section 6(1)(e) of the Act;
Installation Validity Period	has the meaning given to it in the Smart Energy Code.
Last Resort Supply Direction	means a direction given by the Authority to the licensee that specifies or describes the premises to be supplied with electricity in accordance with standard condition 8 (Obligations under Last Resort Supply Direction);
Last Resort Supply Payment	means a sum of money payable to the licensee to compensate for any additional costs it incurs in complying with a Last Resort Supply Direction;
Licensed Distributor	means any holder of a Distribution Licence;
Licensed Distributor’s Enquiry Service	<p>means, in relation to the Licensed Distributor whose system is connected to the Customer’s premises, the service established and operated by that distributor under standard condition 6 (Safety and Security of Supplies Enquiry Service) of the Distribution Licence, which may be used by any person to receive reports and offer information, guidance or advice about any matter or incident that:</p> <p>(a) causes danger or requires urgent attention, or is likely to cause danger or require urgent attention, in relation to the supply or distribution of electricity; or</p>

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	affects or is likely to affect the maintenance of the security, availability and quality of service of the Distribution System through which premises are supplied with electricity;
Live Evergreen Tariff	means one Tariff in respect of an Evergreen Supply Contract which continues to be capable of being entered into by all Domestic Customers in the Region in which the Tariff is available;
Live Evergreen Tariffs	means one or more Tariffs in respect of an Evergreen Supply Contract which continues to be capable of being entered into by all Domestic Customers in the Region in which the Tariffs are available;
Live Fixed Term Tariff	means a Tariff in respect of a Fixed Term Supply Contract which continues to be capable of being entered into by all Domestic Customers in the Region in which the Tariff is available;
Load Limiting	means the practice by which the licensee limits the number of kilowatts or kilowatt hours supplied to a Domestic Premises in accordance with a Domestic Supply Contract and related expressions must be read accordingly;
Mandatory Green Deal Licensee	means a licensee that as at a Green Deal Relevant Date: <ul style="list-style-type: none"> (a) supplied electricity to at least 250,000 Customers; or (b) together with its Affiliates jointly supplied electricity to at least 250,000 Customers;
Maintenance Validity Period	has the meaning given to it in the Smart Energy Code.
Master Registration Agreement	means the agreement of that name referred to and providing for such matters as are set out in standard condition 37 (Metering Point Administration Service and the Master Registration Agreement) of the Distribution Licence;
Metering Equipment	means an Electricity Meter and any associated equipment which materially affects the operation of that meter;
Metering Equipment Section	means the part(s) of the SME Technical Specification identified in that document as applying to 'Electricity Smart Metering Equipment' (or in respect of any Version of the SME Technical Specification with a Principal Version number of 1, identified as applying to an 'Electricity Smart Metering System').

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New Supplier	<u>means, in relation to a relevant premises, the Electricity Supplier that became the Relevant Electricity Supplier for the relevant premises by virtue of the most recent Supplier Transfer.</u>
Non-Contingent Discount	means, excluding a Dual Fuel Discount and an Online Account Management Discount, an Energy Discount which is not dependent on any of the following: <ul style="list-style-type: none"> (a) the occurrence of an event or period of time; (b) the actions or omissions of a Domestic Customer after they have entered into a Domestic Supply Contract;
Non-Domestic Customer	means a Customer who is not a Domestic Customer;
Non-Domestic Outstanding Charges	means the amount of any Charges which are due to the licensee from a Non-Domestic Customer under a Non-Domestic Supply Contract or a Deemed Contract, and which remain unpaid.
Non-Domestic Premises	has the meaning given in and is to be interpreted in accordance with standard condition 6 (Classification of premises);
Non-Domestic Supply Contract	means a Contract for the supply of electricity to Non-Domestic Premises, as varied from time to time;
Non-Energy Product	means any goods and/or services that could not reasonably be considered as being directly related to the supply of gas and or electricity to premises;
Non-Green Deal Licensee	means a licensee that is not a Green Deal Licensee;
Non-Green Deal Premises	has the meaning given in paragraph 8 of standard condition 6;
Non-Half-Hourly Meter	means an Electricity Meter other than one which is configured to record the quantity of electricity (calculated in kWh) supplied to premises during each half-hour period of supply;
Non-Time of Use Tariff	means a Tariff which is not a Time of Use Tariff;
Notice	means notice given directly to a person in Writing;

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Offline Account Management	means any account management arrangement which is not Online Account Management;
Old Supplier	<u>means, in relation to a relevant premises, the Electricity Supplier that was, immediately prior to the most recent Supplier Transfer, the Relevant Electricity Supplier for the relevant premises</u>
Online Account Management	means any arrangement whereby a Customer does not receive a paper version of a Bill or statement of account and would need to access the internet and use a computer or communication device;
Online Account Management Discount	means a Discount which the licensee may apply to a Tariff on the basis that the Domestic Customer is subject to Online Account Management;
Open Standard Format	<p>means a format or software whose design principles (or “standard”):</p> <p>(a) are fully published and publicly available free of charge;</p> <p>(b) may be implemented, modified and adapted free of charge (including without the need for payment in respect of any rights essential to implementation of the standard, or necessary for interfacing with other implementations which have adopted that same standard); and</p> <p>otherwise adheres to the definition of “open standard” provided within the Cabinet Office’s guidance entitled “Open Standards principles” as updated on 27 September 2013.¹</p>
Optical Label	means a two-dimensional optical machine-readable image or code containing data embedded within such image or code in an Open Standard Format, which can be scanned, accessed and automatically processed with a Relevant Device.
Optional Bundle	means an Opt-in Bundle or an Opt-out Bundle;
Opt-in Bundle	means one or more Non-Energy Products (which may include a choice from a selection of Non-Energy Products) that does not initially form part of a Tariff, but which the Domestic Customer can choose to receive and which would then form part of or in any way be linked to a Tariff;

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Opt-out Bundle	means one or more Non-Energy Products (which may include a choice from a selection of Non-Energy Products) that is offered as part of, or in any way linked to, a Tariff, but which the Domestic Customer can choose not to receive;
Other Outstanding Charges	means the amount of any charges for goods and/or services (other than Charges) which are due to the licensee from a Domestic Customer, have been demanded of that Domestic Customer by the licensee in Writing at least 28 days previously and remain unpaid;
Outstanding Charges	means the amount of any Charges which are due to the licensee from a Domestic Customer, have been demanded of that Domestic Customer by the licensee in Writing at least 28 days previously and remain unpaid;
Outstanding Charges Discount	means any form of reduction, saving, or write-off provided by the licensee in respect of a specific Domestic Customer (including a Domestic Customer whose identity is unknown) on the basis that the Domestic Customer has Outstanding Charges and/or Other Outstanding Charges;
PPMID Technical Specification	<p>means the part(s) of the SME Technical Specification which:</p> <ul style="list-style-type: none"> (a) identifies itself as such; and (b) applies in respect of a PPMID, <p>and any reference to a "Version" in the context of a PPMID Technical Specification shall be read as a reference to the Version of the SME Technical Specification in which the PPMID Technical Specification is included.</p>
Payment Difficulty Discount	means any form of reduction, saving, or write-off provided by the licensee in respect of a specific Domestic Customer on the basis that paragraph 27.5 of standard condition 27 applies in respect of that Domestic Customer;
Pensionable Age	means, in relation to any person, pensionable age within the meaning given by section 48(2B) of the Gas Act 1986;
Prepayment Meter	includes any Electricity Meter operating in a mode which requires a Customer to pay Charges in advance, and references to the installation or removal of a Prepayment Meter includes the switching of any Electricity Meter to or from such a mode;

Prepayment Meter Interface Device (or PPMID)	<p>means a device installed at any Domestic Premises or Designated Premises which on the date it is installed:</p> <ul style="list-style-type: none"> (a) comprises a device which: <ul style="list-style-type: none"> (i) is capable of connecting through the HAN to a device forming part of a Smart Metering System; and (ii) where such a connection is in place, replicates the functionality provided by or on an Electricity Meter forming part of that Smart Metering System for the purposes of facilitating the use, by the Customer, of the Electricity Meter as a Prepayment Meter; and (b) may also comprise one or more associated or ancillary devices, installed or provided for the purposes of the supply of electricity, identified in a Version (and where there is more than one such device, in the same Version) of the PPMID Technical Specification which is within its Maintenance Validity Period on the date of installation.
PPMID Technical Specification	<p>means the part(s) of the SME Technical Specification which:</p> <ul style="list-style-type: none"> (c) identifies itself as such; and (d) applies in respect of a PPMID, <p>and any reference to a "Version" in the context of a PPMID Technical Specification shall be read as a reference to the Version of the SME Technical Specification in which the PPMID Technical Specification is included.</p>
Principal Terms	<p>means, in respect of any form of Contract or Deemed Contract, the terms that relate to:</p> <ul style="list-style-type: none"> (a) Charges; (aa) where the licensee is relying on sub-paragraph 22C.11(a) of standard condition 22C or sub-paragraph 23.8(a) of standard condition 23, the method by which Charges for the Supply of Electricity fluctuate automatically; (ab) where the licensee is relying on sub-paragraph 22C.11(b) of standard condition 22C or sub-paragraph 23.8(b) of standard condition 23, the precise variations to the Charges for the Supply of Electricity;

- (ac) Where the licensee is relying on paragraph 22C.11B of standard condition 22C and paragraph 23.8A of standard condition 23:
 - (i) moving a Domestic Customer from one payment method to another and the precise circumstances when that will occur; and
 - (ii) the precise variations to the Charges for the Supply of Electricity and other terms and conditions which would occur as a result of the Domestic Customer being moved from one payment method to another;
 - (ad) Where the licensee is relying on paragraph 22C.11B of standard condition 22C but not paragraph 23.8A of standard condition 23, moving a Domestic Customer from one payment method to another and the precise circumstances when that will occur;
 - (b) any requirement to pay Charges through a Prepayment Meter;
 - (ba) in relation to a Domestic Supply Contract, any Credit Management which applies, including the Credit Limit;
 - (bb) in relation to a Domestic Supply Contract, any Load Limiting which applies, including the Load Limit;
 - (c) any requirement for a Security Deposit;
 - (d) the duration of the Contract or Deemed Contract (including, but not limited to, the duration of any fixed term periods and any arrangements for renewing or extending the duration of the Contract or any fixed term periods);
 - (e) the rights to end the Contract (including any obligation to pay a Termination Fee) or the circumstances in which a Deemed Contract will end,
- and any other term that may reasonably be considered to significantly affect the evaluation by the Customer of the Contract under which electricity may be supplied to his premises;

Principal Version

has the meaning given to it in the Smart Energy Code.

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Priority Services Register	means the register of certain of its Domestic Customers established and maintained by the licensee in accordance with standard condition 26 (Services for specific Domestic Customer groups);
Promotional Materials	means documents, other than newspapers and magazines, that are handed out or sent directly to consumers and are intended to promote the sale of electricity;
Proposed Supplier Transfer	in relation to any premises at which an Electricity Supplier is supplying electricity, means the proposed transfer of responsibility for that supply from that Electricity Supplier to any other Electricity Supplier;
Protocol	means the arrangements in force under the Retail Energy Code Master Registration Agreement by which Charges owed to an Electricity Supplier by a Domestic Customer to whom electricity is supplied through a Prepayment Meter may be assigned to any other Electricity Supplier;
Public Electronic Communications Network	has the meaning given in section 151 of the Communications Act 2003;
Region	means any location within Great Britain;
Regulation	means Regulation 2009/714/EC of the European Parliament and of the Council of 13 July 2009 on conditions for access to the network for cross-border exchanges in electricity and repealing Regulation 2003/1228/EC(b);
Related Metering Points	has the meaning given in clause 1.1 of the Master Registration Agreement;
Relevant Cheapest Evergreen Tariff	means, in comparison with the Estimated Annual Costs for each specific Domestic Customer's Tariff, the cheapest Tariff for an Evergreen Supply Contract available from the licensee (or, where there are any Affiliate Licensees, the licensee and any Affiliate Licensees) for that Domestic Customer based on: <ul style="list-style-type: none"> (a) their Estimated Annual Costs applied in respect of the cheapest Tariff for an Evergreen Supply Contract, rather than the Tariff the Domestic Customer is currently subject to; (b) their current payment method; (c) their current Relevant Meter Type;

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- (d) where the Domestic Customer is subject to a Prepayment Meter, the cheapest Tariff for an Evergreen Supply Contract compatible with the Prepayment Meter installed at the Domestic Customer's premises;
- (e) their Account Management Arrangement;
- (f) where the Domestic Customer is subject to a White Label Tariff, a White Label Tariff of the same White Label Tariff Provider; and
- (g) where the Domestic Customer is not subject to a White Label Tariff, a Tariff which is not a White Label Tariff;

Relevant Cheapest Tariff

means, in comparison with the Estimated Annual Costs for each specific Domestic Customer's Tariff, the cheapest Tariff available from the licensee (or, where there are any Affiliate Licensees, the licensee and any Affiliate Licensee) for that Domestic Customer based on:

- (a) their Estimated Annual Costs applied in respect of the cheapest Tariff, rather than the Tariff the Domestic Customer is currently subject to;
- (b) where the Domestic Customer is not subject to a Prepayment Meter, the cheapest Tariff compatible with the Electricity Meter installed at the Domestic Customer's premises;
- (c) where the Domestic Customer is subject to a Prepayment Meter, the cheapest Tariff compatible with the Prepayment Meter installed at the Domestic Customer's premises;
- (d) their Account Management Arrangement;
- (e) where the Domestic Customer is subject to an Evergreen Supply Contract, an Evergreen Supply Contract;
- (f) where the Domestic Customer is subject to a Fixed Term Supply Contract, a Fixed Term Supply Contract;
- (g) consideration of both Time of Use Tariffs for which appropriate consumption data is available and Non-Time of Use Tariffs;

(h) where the Domestic Customer is subject to a White Label Tariff, a White Label Tariff of the same White Label Tariff Provider; and

(i) where the Domestic Customer is not subject to a White Label Tariff, a Tariff which is not a White Label Tariff;

Relevant Contract Change Notice

means a Notice provided prior to the event of a Disadvantageous Unilateral Variation or an increase in the Charge(s) for the Supply of Electricity to a Domestic Premises, in accordance with paragraphs 31I.1(a) and 31I.1(b) of standard condition 31I;

Relevant Data Items for Electricity

means the following information items:

- a) the Domestic Customer's postcode;
- b) the brand name the licensee uses to refer to the relevant supplier, followed by the registered company name of the licensee in round brackets;
- c) the Domestic Customer's Exact Tariff Name;
- d) the Exact Payment Method which applies to the Domestic Customer;
- e) the Meter Point Administration Number (MPAN) of the Domestic Customer's Electricity Meter;
- f) the Domestic Customer's Annual Consumption Details in kilowatt hours; in the case of electricity Tariffs with Time of Use Rates, the Annual Consumption Details should be shown as split between quantities of electricity consumed at each applicable rate by means of a forward slash ("/"); and;

the start and end date of the annual period relating to the Domestic Customer's Annual Consumption Details given at (f) above in the form "DDMMYYYY-DDMMYYYY"

Relevant Data Items for Gas

has the meaning given to that term in Standard Condition 1 of Gas Supply Licences.

Relevant Device

means a portable electronic device with an inbuilt digital camera and that is capable of:

- (a) accessing and operating the Internet;

	<p>(b) scanning, accessing and loading data items from an optical machine-readable image or code; and</p> <p>automatically processing data items embedded within such an image or code with the use of a web-based or other software program.</p>
Relevant Distributor	in relation to any premises, means, except in standard condition 15 (Assistance for areas with high distribution costs scheme: payments to System Operator), the Licensed Distributor to whose Distribution System those premises are connected and in whose licence Section B has effect;
Relevant Electricity Supplier	in relation to any premises, means the Electricity Supplier that is supplying electricity to the premises;
Relevant Fixed Term Default Tariff	<p>means a Fixed Term Supply Contract available from the licensee (or, where there are any Affiliate Licensees, the licensee and any Affiliate Licensees), that:</p> <p>(a) has terms and conditions that are similar in nature to the existing Fixed Term Supply Contract for that Domestic Customer, including in respect of:</p> <p>(i) their current payment method;</p> <p>(ii) their current Relevant Meter Type;</p> <p>(iii) their current Account Management Arrangement; and</p> <p>(iv) their characteristics and preferences;</p> <p>(v) where the Domestic Customer is subject to a White Label Tariff, a White Label Tariff of the same White Label Tariff Provider; and</p> <p>(vi) where the Domestic Customer is not subject to a White Label Tariff, a Tariff which is not a White Label Tariff;</p> <p>(b) must not provide for a Domestic Customer to pay a Termination Fee; and</p> <p>(c) is cheaper than or as cheap as the licensee's Relevant Cheapest Evergreen Tariff for the particular Domestic</p>

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	Customer, based on a comparison of their respective Estimated Annual Cost;
Relevant Meter Type	means using an Electricity Meter on the basis of only one of the following contractual arrangements: <ul style="list-style-type: none"> (a) a Category A Metering Arrangement; (b) a Category B Metering Arrangement; (c) a Category C Metering Arrangement; (d) a Category D Metering Arrangement; or (e) a Category E Metering Arrangement;
Relevant Ombudsman	means any of the Green Deal Ombudsman, the Energy Ombudsman or the Financial Ombudsman Service;
Representative	in relation to the licensee, means any person directly or indirectly authorised to represent the licensee in its dealings with Customers;
Retail Energy Code	means the Retail Energy Code designated by the Authority in accordance with standard condition 11B (Retail Energy Code) of the licensee's Electricity Supply Licence
Same Domestic Customer	means a Domestic Customer which, following all reasonable steps being taking by the licensee, can reasonably be identified as being the same person which is supplied with both electricity and gas from the licensee or the licensee and an Affiliate Licensee at the same Domestic Premises;
SEC Panel	has the meaning given to it in the DCC Licence.
Section B	means the section of the standard conditions of that name which is given effect in this licence in accordance with standard condition 3 (Application of Section B of standard conditions) and, if it has effect, allows the licensee to supply electricity to Domestic Premises;
Security Deposit	means a deposit of money as security for the payment of Charges;
Smart Energy Code	means the document of that name, as designated by the Secretary of State under Condition 22 of the DCC Licence.

	(a)
Smart Metering Designated Date	means the date on which the SME Technical Specification is designated by the Secretary of State;
Smart Metering System	means, in respect of any Domestic Premises or Designated Premises, a system installed at such premises for the purposes of the supply of electricity to those premises which on the Installation Date: <ul style="list-style-type: none"> (a) consists of an Electricity Meter and any associated or ancillary devices identified in the Metering Equipment Section of a Version of the SME Technical Specification which is: <ul style="list-style-type: none"> (i) within its Installation Validity Period; and (ii) the same Version in respect of all such devices; (b) as a minimum, has the functional capability specified by and complies with the other requirements of that Version of the SME Technical Specification; and (c) where the premises is a Domestic Premises, except where that Version of the SME Technical Specification has a Principal Version number of 1, includes a Relevant Communications Hub, <p>and where such a system installed at a Domestic Premises or Designated Premises is a Smart Metering System on the Installation Date it shall continue to be a Smart Metering System until such date as it is removed from the premises in its entirety.</p>
SME Technical Specification	means the document set out in Schedule 9 of the Smart Energy Code.
SMETS1 Smart Metering System	means a Smart Metering System that meets the requirements of a Version of the SME Technical Specification with a Principal Version number of 1.
SMETS2+ Smart Metering System	means a Smart Metering System that meets the requirements of a Version of the SME Technical Specification with a Principal Version number that is greater than 1.
SM WAN	has the meaning given to it in the Smart Energy Code.
Specified Frequency Band	means the 2400 - 2483.5 MHz harmonised frequency band.

Specified Sequence	<p>means the following fields in the following order, in each case separated by a 'comma' delimiter:</p> <ol style="list-style-type: none"> i. Relevant Data Item for Electricity (a) (Domestic Customer's postcode); ii. Relevant Data Item for Electricity (b) (brand name and registered company name of the licensee) followed by Relevant Data Item for Gas (b) (brand name and registered company name of the licensee) separated by a forward slash ("/"); iii. Relevant Data Item for Electricity (c) (Domestic Customer's Exact Tariff Name for electricity); iv. Relevant Data Item for Gas (c) (exact tariff name for gas); v. Relevant Data Item for Electricity (d) (Exact Payment Method for electricity supply); vi. Relevant Data Item for Gas (d) (exact payment method for gas supply); vii. Relevant Data Item for Electricity (e) (MPAN); viii. Relevant Data Item for Gas (e) (meter point reference number); ix. Relevant Data Item for Electricity (f) (Annual Consumption Details for electricity supply); x. Relevant Data Item for Gas (f) (annual consumption details for gas supply); and xi. Relevant Data Item for Electricity (g) (start and end date for Annual Consumption Details), followed by Relevant Data Item for Gas (g) (start and end date for Annual Consumption Details) separated by a forward slash ("/").
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Where the Domestic Customer is supplied with electricity only, or where the licensee uses a separate Optical Label for the Relevant Data Items for Gas, any data item referred to at (ii), (iv), (vi), (viii), (x) and (xi) above, which are Relevant Data Items for Gas shall be included as blank fields (in the case of (ii) and (xi) they should be included as blank components of fields).

Standing Charge	<p>means a monetary amount that is chargeable to a Customer on a daily basis and which is chargeable in addition to charges arising on the basis of a Unit Rate;</p>
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Statutory Disconnection Power	means paragraphs 5(3)(Restoration of connection without consent) and 6(3) (Damage to electrical consent etc) of Schedule 6 and paragraph 11(3) (Interference with meters) of Schedule 7 to the Electricity Act 1989
Sub-Version	has the meaning given to it in the Smart Energy Code.
Supplier	means any person authorised to supply gas and/or electricity by virtue of a Gas Supply Licence and/or an Electricity Supply Licence;
Supply Number	means a number relevant to the registration of a Customer that is prescribed by the <u>Retail Energy CodeMaster Registration Agreement</u> ;
Supply Services Area	means the area specified as such by the Authority under standard condition 3 (Application of Section D (Supply Services Obligations)) of the licensee's Electricity Supply Licence in the form in which that licence was in force at 31 July 2007;
Supply <u>Effective From-Start</u> Date	has the meaning given in <u>clause 1.1 of the Retail Energy CodeMaster Registration Agreement</u> ;
Tariff	means the Charges for the Supply of Electricity combined with all other terms and conditions that apply, or are in any way linked, to a particular type of Domestic Supply Contract or particular type of Deemed Contract;
Tariff Information Label	has the meaning given in standard condition 31BF.11;
Tariff Name	means, excluding a prefix or suffix used to refer to a brand name, any name used by the licensee (or, where there are any Affiliate Electricity Licensees, the licensee and any Affiliate Electricity Licensees) to describe, advertise or promote a Tariff;
Technical Specification	means: <ul style="list-style-type: none"> (a) in respect of a Smart Metering System, the Metering Equipment Section of the SME Technical Specification; (b) in respect of a Communications Hub, the CH Technical Specification; (c) in respect of an In-Home Display, the IHD Technical Specification;

Commented [A8]: Ref 3
RCC SCR

Commented [A9]: Ref 4
RCC SCR

Commented [A10]: Ref: 4
Switching SCR (note completely remove term)

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	<p>(d) in respect of a HAN Connected Auxiliary Load Control Switch, the HCALCS Technical Specification; and</p> <p>(e) in respect of a Prepayment Meter Interface Device, the PPMID Technical Specification.</p>
Termination Fee	means any sum of money or other compensation (whether financial or not) which might be demanded of a Customer solely because his Contract or Deemed Contract has ended and/or any sum of money or other reward (whether financial or not) which would have been provided to a Customer if he continued to be supplied under a particular Domestic Supply Contract for a particular period of time and might not be provided to that Customer solely because that Domestic Supply Contract has ended before that period of time has elapsed;
Tied Bundle	means a Non-Energy Product that is offered as part of, or which is in any way linked to, a Tariff and which the Domestic Customer has to receive;
Time of Use Rates	means any contractual arrangement whereby the Charges for the Supply of Electricity include two or more Unit Rates in circumstances where no more than one Unit Rate applies to any given period of time
Time of Use Tariff	means a Tariff whereby the Charges for the Supply of Electricity include two or more Unit Rates in circumstances where no more than one Unit Rate applies to any given period of time;
Transmission Licence	means a transmission licence granted or treated as granted under section 6(1)(b) of the Act;
Undertaking	has the meaning given in section 259 of the Companies Act 1985;
Unit Rate	means the monetary amount that is chargeable in respect of each unit of electricity consumed;
Valid	in relation to a Technical Specification as defined in standard condition 53 (Technical Specifications) has the meaning given in paragraph 53.4 of that condition
Version	has the meaning given to it in the Smart Energy Code
Voluntary Green Deal Licensee	means a licensee who is not a Mandatory Green Deal Licensee but who is a party to the GDAA;

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Warm Home Discount	means a monetary amount provided by the licensee pursuant to regulations made under section 11 of the Energy Act 2010;
Website	means a website controlled and used by the licensee to communicate with a Customer for reasons relating to the supply of electricity;
White Label Tariff	<p>means a Tariff:</p> <p>(a) offered by virtue of an Electricity Supply Licence of the licensee or an Affiliate Licensee;</p> <p>(b) which uses the brand name of a person that does not hold an Electricity Supply Licence (excluding any Subsidiary, Holding Company, or Subsidiary of a Holding Company of the licensee which does not hold a Electricity Supply Licence); and</p> <p>(c) in respect of which the licensee does not, using its own brand name, engage in activities that are directed at or incidental to identifying and communicating with Domestic Customers for the purpose of promoting the tariff to them. For the avoidance of doubt, this paragraph (c) does not in any way relieve the licensee of any obligations to provide information to a Domestic Customer arising under any relevant provisions of legislation, law or other licence conditions.</p>
White Label Tariff Provider	means the person that owns the brand name used for a White Label Tariff.
Winter	means the months of October, November, December, January, February and March;
Working Day	means any day other than a Saturday, a Sunday, Christmas Day, Good Friday or a day that is a bank holiday within the meaning of the Banking and Financial Dealings Act 1971;
Writing	includes writing sent or received by Electronic Communication and “ Written ” shall be construed accordingly.

Condition 2. Interpretation of standard conditions

General rules of interpretation

- 2.1 Unless the context otherwise requires, any word or expression defined in the Act, the Utilities Act 2000 or the Energy Act 2004 has the same meaning when used in the standard conditions of this licence.
- 2.2 Unless the context otherwise requires, any reference in the standard conditions of this licence to an industry code, an agreement or a statement is a reference to that code, agreement or statement as modified, supplemented, transferred, novated or replaced from time to time and any reference to a statute or subordinate legislation is a reference to that statute or subordinate legislation as amended or re-enacted from time to time.
- 2.3 The heading or title of any section, standard condition, schedule, paragraph or sub-paragraph in the standard conditions of this licence is for convenience only and does not affect the interpretation of the text to which it relates.
- 2.4 Unless the context otherwise requires:
- (a) any reference in the standard conditions of this licence to a section, standard condition, schedule, paragraph or sub-paragraph is a reference to it in the standard conditions of this licence;
 - (b) any reference in a standard condition of this licence to a paragraph or sub-paragraph is a reference to it in that standard condition; and
 - (c) any reference in the standard conditions of this licence to any natural or legal person includes that person's successors.
- 2.5 Any reference in the conditions of this licence to any of the following:
- (a) a provision of the conditions of this licence;
 - (b) a provision of the conditions of the Distribution Licence;
 - (c) a provision of the conditions of the Generation Licence;
 - (d) a provision of the conditions of the Transmission Licence; or
 - (e) a provision of the conditions of the Interconnector Licence,
- is to be read, if the conditions of this licence or of any of the other licences are subsequently modified, as a reference (so far as the context permits) to the corresponding provision of the relevant conditions.
- 2.5A Unless the context or a standard condition otherwise requires,
- (a) words and expressions referencing the masculine gender include the feminine;
 - (b) words and expressions referencing the feminine gender include the masculine;

- (c) words and expressions in the singular include the plural and words and expressions in the plural include the singular.

Performance of obligations

- 2.6 Where any obligation in this licence is required to be performed by a specified date or time or within a specified period and the licensee has failed to do so, the obligation will continue to be binding and enforceable after the specified date or time or after the end of the specified period, without prejudice to all rights and remedies available against the licensee in relation to its failure.

Specific application of powers

- 2.7 Unless a contrary intention appears, any power of the Authority under any provision of this licence:
- (a) to give a direction, consent, derogation, approval or designation is a power:
 - (i) to give it to such extent, for such period of time and subject to such conditions as the Authority thinks reasonable in all the circumstances of the case; and
 - (ii) to revoke or amend it (after consulting with the licensee or, for the purpose of a Domestic Supply Direction given under standard condition 3 (Application of Section B of standard conditions), with the consent of the licensee) or give it again under that power; and
 - (b) to make a determination or a decision is a power:
 - (i) to make it subject to such conditions as the Authority thinks reasonable in all the circumstances of the case; and
 - (ii) to make it again under that power.
- 2.8 Any direction, consent, derogation, determination, approval, designation, decision or other instrument given or made by the Authority under this licence will be in Writing.

Date to be specified

- 2.9 In each case in which the Authority may specify a date under the standard conditions of this licence, it may specify:
- (a) that date; or
 - (b) the means by which that date is to be determined.

Continuing effect

- 2.10 Anything done under or because of a standard condition of this licence, which is in effect immediately before that standard condition is modified, has continuing effect for so long as it is permitted or required by or under the modified standard condition.
- 2.11 Without prejudice to the generality of paragraph 2.10, every direction, consent, determination, designation, approval, decision or other instrument given or made by the Authority or by a licensing scheme made under Schedule 7 to the Utilities Act 2000 in relation to a standard condition of this licence, which is in effect immediately before that standard condition is modified, has continuing effect for so long as it is permitted or required by or under the modified standard condition.

Specific Application of Powers – Secretary of State

- 2.12 Unless a contrary intention appears, any power of the Secretary of State under standard condition 1, 12, 39, 40, 45, 46, 49, 53 or 55 of this licence to give a direction, and any power of the Secretary of State under standard condition 47 or 55 of this licence to give an approval, is a power:
- (a) to give it to such extent, for such period of time and subject to such conditions as the Secretary of State thinks reasonable in all the circumstances of the case; and
 - (b) to revoke or amend it (after consulting with the licensee) or give it again under that power.
- 2.13 Any direction given by the Secretary of State under standard condition 1, 12, 39, 40, 45, 46, 49, 53 or 55 of this licence, and any approval given by the Secretary of State under standard condition 47 or 55 of this licence, will be in Writing.
- 2.14 In each case in which the Secretary of State may specify a date under standard condition 12, 39, 40, 45, 46, 49 or 55 of this licence, he may specify:
- (a) that date; or
 - (b) the means by which that date is to be determined.
- 2.15 Without prejudice to the generality of paragraph 2.10, every direction given by the Secretary of State in relation to standard condition 1, 12, 39, 40, 45, 46, 49, 53 or 55 of this licence, and every approval given by the Secretary of State under standard condition 47 or 55 of this licence, which is in effect immediately before that standard condition is modified, has continuing effect for so long as it is permitted or required by or under the modified standard condition.

Condition 3. Application of Section B of standard conditions

- 3.1 Section B of the standard conditions will have effect in this licence if:
- (a) the Secretary of State has provided, by a scheme made under Schedule 7 to the Utilities Act 2000, that it will have effect; or
 - (b) the Authority issues a Domestic Supply Direction under paragraph 3.3.
- 3.2 If Section B of the standard conditions does not have effect in this licence, the licensee:
- (a) must not supply electricity to any Domestic Premises; and
 - (b) will not be required to comply with any of the requirements of that section of this licence.
- 3.3 After the Authority receives an application from the licensee in accordance with the Application Regulations, it may issue a Domestic Supply Direction to the licensee.
- 3.4 If the licensee applies to the Authority in Writing:
- (a) for a variation of the terms under which Section B of the standard conditions has effect in this licence; or
 - (b) for Section B of the standard conditions to stop having effect in this licence,
- the Authority may approve that variation or cessation and specify the date on and from which it will have effect.

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Condition 4. Not used

Condition 5. Provision of Information to Authority and data retention

- 5.1 After receiving a request from the Authority for Information that it may reasonably require or that it considers may be necessary to enable it to perform any functions given or transferred to it by or under any legislation, including any functions conferred on the Authority by or under the Regulation, the licensee must give that Information to the Authority when and in the form requested.
- 5.2 The licensee is not required to comply with paragraph 5.1 if the licensee could not be compelled to produce or give the Information in evidence in civil proceedings before a court.
- 5.3 After receiving a request from the Authority for reasoned comments on the accuracy and text of any Information relating to the licensee's activities under or pursuant to this licence which the Authority proposes to publish under section 48 of the Act, the licensee must give such comments to the Authority when and in the form requested.
- 5.4 The Authority's power to request Information under this condition is additional to its power to call for information under or pursuant to any other condition of this licence.

Data retention

- 5.5 The licensee shall keep, for at least five years, the Relevant Data relating to any transactions in electricity supply contracts and electricity derivatives with wholesale customers, transmission system operators or any person who sells electricity to the licensee, which have been entered into by the licensee on or after the day after the day on which the Electricity and Gas (Internal Markets) Regulations 2011 are made.
- 5.6 With respect to transactions in electricity derivatives, the obligation to keep the Relevant Data shall only apply once the European Commission has adopted guidelines pursuant to paragraph 4 of Article 40 of the Directive.

After receiving a request from the Authority for the Relevant Data, the licensee must give the Relevant Data to the Authority when and in the form requested.
- 5.8 Paragraphs 5.5 and 5.7 do not require the licensee to keep Relevant Data in respect of any feed-in tariff arrangements entered into by the licensee in accordance with Condition 33 or 34.

Definitions for condition

- 5.9 For the purposes of this condition:

“Relevant Data” means details on the characteristics of all transactions in electricity supply contracts and electricity derivatives with wholesale customers, transmission system operators, or any person who sells electricity to the licensee, including but not limited to the duration, delivery and settlement rules, the

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quantity, the dates and times of execution and the transaction prices and means of identifying the wholesale customer concerned, as well as specified details of all unsettled electricity supply contracts and electricity derivatives.

Condition 6. Classification of premises

Domestic Premises

- 6.1 Unless the context otherwise requires, a Domestic Premises is a premises at which a supply of electricity is taken wholly or mainly for a domestic purpose except where that premises is a Non-Domestic Premises.

Non-Domestic Premises

- 6.2 Unless the context otherwise requires, a Non-Domestic Premises is a premises, that is not a Domestic Premises, at which a supply of electricity is taken and includes:
- (a) a premises where:
 - (i) the person who has entered into a Contract with the licensee for the supply of electricity to the premises is a person who has entered or will enter into an agreement with any other person for the provision of a residential or any other accommodation service at the premises; and
 - (ii) the terms of the agreement referred to in sub-paragraph 6.2(a)(i) are commercial in nature and include a charge for the supply of electricity to the premises (whether such charge is express or implied); and
 - (b) any other premises that is to be treated as a Non-Domestic Premises under paragraph 6.4 or 6.6.

Changes in use of electricity

- 6.3 This paragraph applies if:
- (a) after the licensee enters into a Non-Domestic Supply Contract with a Customer; or
 - (b) after a Deemed Contract for a Non-Domestic Premises begins, the Customer begins using the electricity supplied to his premises under that contract or deemed contract wholly or mainly for a domestic purpose.
- 6.4 If paragraph 6.3 applies, the Customer's premises will be treated as a Non-Domestic Premises for the purposes of this licence until the Non-Domestic Supply Contract or the Deemed Contract, as appropriate, ends.

Multi-Site Contracts

- 6.5 Unless the context otherwise requires, a Multi-Site Contract is a Contract for the supply of electricity to:
- (a) one or more Non-Domestic Premises; and

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- (b) one or more Domestic Premises which are occupied for a purpose ancillary to that for which any of the Non-Domestic Premises is occupied,

where all of those premises are owned or occupied by:

- (i) the same person or body of persons whether corporate or unincorporate; or
- (ii) an Undertaking (for this condition only, the “principal undertaking”) and a Holding Company, Subsidiary or Subsidiary of the Holding Company of that principal undertaking or any other Undertaking in which the principal undertaking has a Participating Interest.

- 6.6 A Domestic Premises supplied by the licensee under a Multi-Site Contract will be treated as a Non-Domestic Premises for the purposes of this licence until that contract ends.

Green Deal Premises

- 6.7 A Green Deal Premises is a premises at which Green Deal Charges are owed to a Green Deal Provider.
- 6.8 A Non-Green Deal Premises is a premises that is not a Green Deal Premises.

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Standard conditions 7 to 10:

Continuity of supply

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Condition 7. Terms of Contracts and Deemed Contracts

Termination of Contracts and Deemed Contracts

- 7.1 Each Contract and Deemed Contract for the supply of electricity to a premises must provide for itself to end when a Last Resort Supply Direction given to any other Electricity Supplier has effect in relation to that premises.
- 7.2 If a Domestic Supply Contract provides for both the supply of electricity to a premises and the provision of any other good or service, the reference in paragraph 7.1 to ending that contract is a reference to ending it for the supply of electricity to the premises only.
- 7.2A The reference in paragraph 7.2 to any other service shall not be construed to include the collection of Green Deal Charges pursuant to paragraph 2 of standard condition 36.

Terms of Deemed Contracts must not be unduly onerous

- 7.3 The licensee must take all reasonable steps to ensure that the terms of each of its Deemed Contracts are not unduly onerous.
- 7.4 One way in which the terms of a Deemed Contract will be unduly onerous for any class of Domestic Customers or for any class of Non-Domestic Customers is if the revenue derived from supplying electricity to the premises of the relevant class of customers on those terms:
 - (b) significantly exceeds the licensee's costs of supplying electricity to such premises; and
 - (b) exceeds such costs of supplying electricity by significantly more than the licensee's revenue exceeds its costs of supplying electricity to the premises of the generality of its Domestic Customers or, as the case may be, to the premises of the generality of its Non-Domestic Customers (in each case excluding from the calculation premises supplied in accordance with standard condition 8 (Obligations under Last Resort Supply Direction)).

Continuity and termination of Deemed Contracts

- 7.5 In addition to the requirement of paragraph 7.1, a Deemed Contract must:
 - (a) provide that, where a Customer intends his premises to be supplied with electricity under a Contract with the licensee or any other Electricity Supplier, the Deemed Contract will continue to have effect until the

licensee or the other Electricity Supplier, as appropriate, begins to supply electricity to the premises under a Contract; and

- (b) if the Customer is a Domestic Customer, include a term to give effect (under the Deemed Contract) to the requirements of paragraph 1 of standard condition 24 (Termination of Domestic Supply Contracts) (which relates to the termination arrangements that apply when there is a change in the ownership or occupation of Domestic Premises).

7.6 If the licensee supplies electricity to a Customer's premises under a Deemed Contract, the licensee must not charge the Customer a Termination Fee.

7.6A A Deemed Contract must not:

- (a) provide for any fixed term period;
- (b) provide for any Termination Fee; or
- (c) subject to sub-paragraph 5(b), require a Customer to give any form of notice before they are able to change supplier.

7.6B In relation to any Customer which is subject to a Deemed Contract, the licensee must not, and must ensure that its staff and any Representative does not, inform that Customer that they are:

- (a) required to pay a Termination Fee;
- (b) subject to a fixed term period; or
- (c) subject to sub-paragraph 5(b), are required to give any form of notice before they are able to change supplier.

Information for Customers about Deemed Contracts

7.7 If the licensee supplies electricity to a Customer's premises under a Deemed Contract, it must take all reasonable steps to provide that Customer with:

- (a) the Principal Terms of the Deemed Contract; and
- (b) Notice that Contracts, with terms that may be different from the terms of Deemed Contracts, may be available and of how information about such Contracts may be obtained.

7.8 If a person requests a copy of a Deemed Contract that the licensee has available, the licensee must provide it to that person within a reasonable period of time after receiving the request.

Calculation of consumption under Deemed Contracts

- 7.9 In determining the number of kilowatt hours of electricity that are to be treated as supplied to or taken at premises under a Deemed Contract, the licensee must act reasonably and take into account available electricity consumption data for the premises and any other relevant factor.

Effect of Last Resort Supply Direction on Deemed Contracts

- 7.10 If a Customer is being supplied with electricity under a Deemed Contract because a Last Resort Supply Direction has been issued in relation to his premises, paragraph 7.3 and sub-paragraph 7.7(b) do not apply until that direction stops having effect.

Terms of Deemed Contracts

- 7.11 The licensee must ensure that the terms and conditions of each Deemed Contract comply with the provisions of standard condition 7.
- 7.12 The licensee must ensure that each Deemed Contract contains terms and conditions which reflect the effect of the provisions of standard condition 7.
- 7.13 The licensee must not enforce or take advantage of any term of a Deemed Contract if:
- (a) the inclusion of that term is incompatible with standard condition 7; or
 - (b) the enforcement or the taking advantage of that term would be so incompatible.

Guidance

- 7.14 The licensee must have regard to any guidance on standard condition 7 (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Condition 7A. Supply to Micro Business Consumers

Identification and treatment of Micro Business Consumers

7A.1 If the licensee intends to:

- (a) enter into a Non-Domestic Supply Contract with a Customer; or
- (b) extend the duration of a Non-Domestic Supply Contract (including the duration of any fixed term period which may form part of a Contract of an indefinite length)

the licensee must either take all reasonable steps to identify whether that Non-Domestic Customer is a Micro Business Consumer, or deem that Non-Domestic Customer to be a Micro Business Consumer.

7A.2 Where any Contract or Contract extension as described in paragraph 7A.1 is entered into with a Non-Domestic Customer that has been identified as, or deemed to be, a Micro Business Consumer, that Contract shall be a “Micro Business Consumer Contract” for the purposes of this Condition.

7A.3 The licensee must not include a term in a Micro Business Consumer Contract which enables it to terminate the Contract or apply different terms and conditions to that Contract during a fixed term period on the grounds that the Customer no longer satisfies the definition of Micro Business Consumer.

Notification of Micro Business Consumer Contract terms and other information

7A.4 Before the licensee enters into a Micro Business Consumer Contract, it must take all reasonable steps to bring the following information to the attention of the Micro Business Consumer and ensure that the information is communicated in plain and intelligible language:

- (a) a statement to the effect that the licensee is seeking to enter into a legally binding Contract with the Micro Business Consumer; and
- (b) the Principal Terms of the proposed Contract.

7A.5 The licensee must ensure that all the express terms and conditions of a Micro Business Consumer Contract are:

- (a) set out in Writing; and
- (b) drafted in plain and intelligible language.

7A.6 Where the licensee enters into, or extends the duration of, a Micro Business Consumer Contract for a fixed term period, it must prepare a statement (hereafter referred to as a “Statement of Renewal Terms”) which:

- (a) is set out in Writing;
- (b) is drafted in plain and intelligible language;
- (c) displays the following information in a prominent manner:
 - (i) the date the fixed term period is due to end, or if that date is not ascertainable the duration of the fixed term period;
 - (ii) the Relevant Date, or if not known at the time of providing the Statement of Renewal Terms, a description of how the Relevant Date will be calculated by reference to the end of the fixed term period;
 - (iii) (if applicable) a statement to the effect that the Micro Business Consumer may send a notification in Writing to the licensee at any time before the Relevant Date in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed-term period which currently applies and, where paragraph 7A.13 applies, in order to prevent the licensee from extending the duration of the Micro Business Consumer Contract for a further fixed term period;
 - (iv) a postal and Electronic Communication address to which the Customer may send a notification in Writing for that purpose; and
 - (v) a statement explaining the consequences of the Micro Business Consumer not renewing the Micro Business Consumer Contract or agreeing a new Contract before the Relevant Date.

7A.7 Where the licensee enters into or extends the duration (including the duration of any fixed term period) of a Micro Business Consumer Contract, it must take all reasonable steps to provide the Micro Business Consumer with the following information within 10 days, or do so as soon as reasonably practicable thereafter:

- (a) a copy of all the express terms and conditions of the Micro Business Consumer Contract; and
- (b) if the Micro Business Consumer Contract contains a fixed term period, the Statement of Renewal Terms.

7A.8 On or about 30 days before the Relevant Date, unless the licensee has already agreed a new Micro Business Consumer Contract with the Micro Business Consumer, the licensee must provide the Micro Business Consumer with:

- (a) the Statement of Renewal Terms;
- (b) if paragraph 7A.13 applies and subject to paragraph 7A.8(d):

- (i) a copy of the relevant Principal Terms which might apply to the Micro Business Consumer after the current fixed-term period of the Micro Business Consumer Contract ends, including in the event that the Customer does nothing and the licensee extends the duration of the Contract in accordance with paragraph 7A.13A; and
 - (ii) a copy of the Principal Terms which would apply after the current fixed-term period of the Micro Business Consumer Contract ends, in the event that the Customer sends (or has already sent) a notice in Writing before the Relevant Date to prevent renewal of the Micro Business Consumer Contract but does not appoint another supplier;
- (c) if paragraph 7A.13 does not apply, a copy of the Principal Terms, which would apply if the Customer does not change supplier or does not expressly agree a new Micro Business Consumer Contract or a further fixed-term period of the existing Micro Business Consumer Contract by the date that the current fixed-term period is due to end.
- (d) if paragraph 7A.13 applies but the licensee has already prevented the Micro Business Consumer from extending the duration of the Micro Business Consumer Contract for a further fixed-term period, the requirements in paragraph 7A.8(b) shall be replaced with a requirement to provide the Micro Business Consumer with a copy of the Principal Terms which would apply after the current fixed-term period of the Micro Business Consumer Contract ends if the Customer continues to be supplied by the licensee.
- (e) a statement displaying the Charges for the Supply of Electricity which apply to the Customer as at the date on which such statement is provided; and
- (f) the Customer's Annual Consumption Details.

7A.9 Where pursuant to paragraphs 7A.4 or 7A.8 the licensee is required to provide a Micro Business Consumer with any relevant Principal Terms:

- (a) it must ensure that the Principal Terms are:
 - (i) set out in Writing; and
 - (ii) drafted in plain and intelligible language;
- (b) if the terms of the Micro Business Consumer Contract provide that the Charges for the Supply of Electricity may vary or fluctuate from time to time, it must provide:
 - (i) an explanation that the Charges for the Supply of Electricity are subject to change from time to time; and, as applicable,

- (ii) the precise variations to the Charges for the Supply of Electricity or the method by which the Charges for the Supply of Electricity will fluctuate automatically; or
- (iii) where there is no agreed schedule of variations or an agreed fluctuation method in respect of the Charges for the Supply of Electricity, information about how the Micro Business Consumer may obtain the current Charges for the Supply of Electricity from the licensee

7A.10 Where pursuant to paragraph 7A.8(b) the licensee provides a Micro Business Consumer with any offers of terms that relate to Charges for the Supply of Electricity, it must ensure that at least one offer is made in Writing which may be accepted at any time before the Relevant Date.

Information on Bills etc

7A.10A Where the licensee has entered into a Micro Business Consumer Contract for a fixed term period, the licensee must provide the information specified in paragraph 7A.10B on each Bill and statement of account and display that information in a prominent position and ensure that it is drafted in plain and intelligible language.

7A.10B The specified information is:

- (a) the date the fixed term period of a Micro Business Consumer Contract is due to end;
- (b) where the licensee has entered into a Micro Business Consumer Contract for a fixed term period and it may, in accordance with that Micro Business Consumer Contract, be extended for a further fixed term period:
 - (i) the Relevant Date (or, where applicable, such a later date as may be specified in the Micro Business Consumer Contract);
 - (ii) a statement to the effect that the Micro Business Consumer may send a notification in Writing to the licensee before the Relevant Date (or, where applicable, such a later date as may be specified in the Micro Business Consumer Contract) in order to prevent the licensee from extending the duration of the Micro Business Consumer Contract for a further fixed term period and in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies; and
- (c) where the licensee has entered into a Micro Business Consumer Contract for a fixed term period and it does not have the ability to extend that Micro Business Consumer Contract for a further fixed term period:

- (i) the latest date the Micro Business Customer could give notice in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies; and
- (ii) a statement to the effect that this is the latest date the Micro Business Customer could give notice in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies.

Length of notice periods in Micro Business Consumer Contracts

7A.11 The notice period for termination of a Micro Business Consumer Contract by a Micro Business Consumer must be no longer than 30 days.

7A.12 Paragraph 7A.11 is without prejudice to the licensee's ability to enter into a Micro Business Consumer Contract with a Customer for a fixed term period which is longer than 30 days.

Termination of Micro Business Consumer Contracts which do not include a fixed term period

7A.12 A Without prejudice to any notice period that complies with paragraph 7A.11, in relation to any Micro Business Consumer Contract that does not include a fixed term period, the licensee must ensure that the Micro Business Consumer is entitled to give notice to terminate the Micro Business Consumer Contract at any time.

Termination during Initial Period of Micro Business Consumer Contracts which include a fixed term period

7A.12B.1 Subject to paragraph 7A.12B. 2 in relation to any Micro Business Consumer Contract which includes a fixed term period, the licensee must ensure that during the Initial Period a Micro Business Consumer is entitled to give notice of termination at any time in order to terminate the Micro Business Consumer Contract.

7A.12B.2 Where a Micro Business Consumer gives notice to terminate the Micro Business Consumer Contract during the Initial Period, the licensee must:

- (a) terminate the contract at the end of the Initial Period, if the Micro Business Consumer gives notice to terminate at least 30 days before the end of the Initial Period;

(b) terminate the contract no more than 30 days after the Micro Business Consumer gives notice to terminate, if such notice is given within the last 30 days of the Initial Period.

Termination during Roll-Over Period of Micro Business Consumer Contracts

7A.12BA This paragraph applies to Micro Business Consumer Contracts during the Roll-Over Period.

7A.12BAA The licensee must ensure that a Micro Business Consumer is entitled to give notice of termination at any time in order to terminate the Micro Business Consumer Contract with effect from the end of the Relevant Notice Period.

7A.12BAB Where a Micro Business Consumer gives notice of termination under paragraph 7A.12BAA, the licensee must not:

(a) charge the Micro Business Consumer a Micro Business Termination Fee; or

(b) engage in any course of action which has the effect of increasing the Standing Charge, Unit Rate or any other charge which the Micro Business Consumer must pay pursuant to the Micro Business Consumer Contract.

Acknowledgement of receipt of termination notice

7A.12C If the licensee receives notice of termination in accordance with 7A.12.A or 7A.12B it must take all reasonable steps to notify the Micro Business Consumer in Writing within 5 Working Days of receipt of such notice of termination, or as soon as reasonably practical thereafter, that such notice of termination has been received.

Extending the duration of Micro Business Consumer Contracts

7A.13 This paragraph applies where the relevant Micro Business Consumer Contract is for a fixed-term period and contains a Roll-Over Clause.

7A.13A Where paragraph 7A.13 applies, the licensee may only extend the duration of that Contract for a further fixed term period if:

(a) it has complied with paragraphs 7A.7 and 7A.8;

- (b) the Micro Business Consumer has not sent the licensee a notification in writing before the end of the Initial Period in order to prevent it from extending the duration of the Micro Business Consumer Contract for a further fixed term period and in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies; and
- (c) the duration of the further fixed term period is 12 months or less.

Termination of Out-of-contract Contracts and Evergreen Micro Business Consumer Contracts

7A.13B If the licensee supplies electricity to a Micro Business Consumer's premises under an Out-of-contract Contract or Evergreen Micro Business Consumer Contract, the licensee must not charge the Micro Business Consumer a Micro Business Termination Fee.

Reporting obligation

- 7A.13C.1 The licensee must give the Authority any Information that it reasonably requests about the licensee's compliance with paragraphs 7A.12B.1 to 7A.13B as soon as reasonably practicable after receiving a request from the Authority.
- 7A.13C.2 The licensee must give the Authority any Information that it reasonably request to assess the impact and effectiveness of the obligations contained in paragraphs 7A.12B.1 to 7A.13B as soon as reasonably practicable after receiving a request from the Authority.

Transitional provisions for standard condition 7A covering notice periods, termination fees and rollovers

- 7A.13D.1 Until the Specified Date, this condition 7A.13D applies to any Transitional Micro Business Consumer Contracts.
- 7A.13D.2 For the purposes of this condition 7A.13D, a "Transitional Micro Business Consumer Contract" is a Micro Business Consumer Contract which was entered into on or before 15 December 2016.
- 7A.13D.3 In respect of the Transitional Micro Business Consumer Contract, the licensee is not required to comply with:
- (a) paragraphs 7A.12B.1 and 7A.12B.2 of standard condition 7A,
 - (b) paragraphs 7A.12BA, 7A.12BAA and 7A.12BAB of standard condition 7A,
 - (c) paragraphs 7A.13 and 7A.13A of standard condition 7A,
 - (d) paragraphs 7A.13B, 7A.13C.1 and 7A.13C.2 of standard condition 7A,

and instead, paragraphs 7A.13D.4 to 7A.13D.6 apply.

7A.13D.4 Paragraph 7A.12B.1 of standard condition 7A is replaced with:

Termination of Micro Business Consumer Contracts which include a fixed term period

7A.12B In relation to any Micro Business Consumer Contract which includes a fixed term period, the licensee must ensure that:

- (a) a Micro Business Consumer is entitled to give notice of termination before the Relevant Date (or, where applicable, such a later date as may be specified in the Micro Business Supply Contract) in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies; and
- (b) without prejudice to any notice period which complies with paragraph 7A.11, if, at the end of any fixed term period, a Micro Business Consumer is not subject to a further fixed term period, the Micro Business Consumer is entitled to give notice to terminate the Micro Business Consumer Contract at any time.

7A.13D.5 Paragraph 7A.13 of standard condition 7A is replaced with:

7A.13 This paragraph applies where the relevant Micro Business Consumer Contract is for a fixed-term period and contains a term entitling the licensee to extend the duration of the Micro Business Consumer Contract for a further fixed-term period.

7A.13D.6 Paragraph 7A.13A of standard condition 7A is replaced with:

7A.13A Where paragraph 7A.13 applies, the licensee may only extend the duration of that Contract for a further fixed term period if:

- (a) it has complied with paragraphs 7A.7 and 7A.8;
- (b) the Micro Business Consumer has not sent the licensee a notification in Writing before the Relevant Date in order to prevent it from extending the duration of the Micro Business Consumer Contract for a further fixed term period and in order to terminate the Micro Business Consumer Contract with effect from the end of any fixed term period which currently applies; and
- (c) the duration of the further fixed term period is 12 months or less.

Definitions for condition

7A.14 In this condition:

“Evergreen Micro Business Consumer Contract”

means a Micro Business Consumer Contract which is for a period of an indefinite length and which does not contain a fixed-term period that applies to any of the terms and conditions of that Micro Business Consumer Contract and is not an Out-of-contract Contract.

“Initial Period”

means a period of fixed duration from the start of a contract concerning the supply of electricity.

“Micro Business Termination Fee”

means any sum of money or other compensation (whether financial or not) which might be demanded from a Micro Business Consumer solely because his Micro Business Consumer Contract has ended and/or any sum of money or other reward (whether financial or not) which would have been provided to a Micro Business Consumer if he continued to be supplied under a Micro Business Consumer Contract for a particular period of time and would not be provided to that Micro Business Consumer solely because that contract has ended before that period of time has elapsed.

“Out-of-contract Contract”

means a Non-Domestic Supply Contract which continues to apply to a Micro Business Consumer in circumstances where that Non-Domestic Supply Contract has been terminated or has expired through the passage of time and the same licensee continues to supply electricity to that Micro Business Consumer.

“Relevant Notice Period”

means a notice period of up to 30 days.

“Roll-Over Clause”

means a term providing for a contract to continue (automatically, or at the sole option of the licensee) beyond the expiry of the Initial Period in the event that, during the Initial Period, the Micro Business Consumer has not terminated the Micro Business Consumer Contract or otherwise expressly agreed that the Micro Business Consumer Contract will continue for a period of fixed duration or an indefinite length.

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“Roll-Over Period” means the period of time after the Initial Period for which a Micro Business Consumer Contract will continue pursuant to the Roll-Over Clause.

“Specified Date” means 25 June 2017.

“Micro Business Consumer” means a Non-Domestic Customer:

- (a) which is a “relevant consumer” (in respect of premises other than domestic premises) for the purposes in article 2(1) of The Gas and Electricity Regulated Providers (Redress Scheme) Order 2008 (S.I. 2008/2268); or
- (b) which has an annual consumption of not more than 100,000 kWh.

“Relevant Date” means the date which is 30 days, before the date any fixed term period of a Micro Business Consumer Contract is due to end-

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Condition 7B. (Not Used – refer to standard condition 0A)

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Condition 7C. Restriction on supplying Green Deal Premises

- 7C.1 Before a Non-Green Deal Licensee enters into a Contract with a Customer, it must take (and ensure that any Representative takes) all reasonable steps to:
- (a) ascertain whether the premises of that Customer are Green Deal Premises; and
 - (b) communicate to that Customer in plain and intelligible language that the licensee will not be able to supply the premises of the Customer if the premises are Green Deal Premises.
- 7C.2 A Non-Green Deal Licensee must not supply electricity to any Green Deal Premises.

Condition 7D. Supply to Certain Micro Business Consumers – additional requirements

Obligation to disclose Required Price Information to Relevant Micro Business Customers

- 7D.1 The licensee must disclose the Required Price Information in the Prescribed Format promptly to each Relevant Micro Business Customer on its Website or on one or more Third Party Online Platforms.
- 7D.2 Where a licensee discloses the Required Price Information on its Website, the licensee must ensure that access to the Required Price Information is displayed clearly and prominently.
- 7D.3 Where a licensee discloses the Required Price Information through a Third Party Online Platform, the licensee must ensure that a web-link to the Third Party Online Platform is displayed clearly and prominently on its Website.

Obligation to disclose other price information

- 7D.4 The licensee must disclose, on its Website, the Unit Rates and Standing Charges per fuel of all their Out-of-contract Contracts and all Deemed Contracts which apply to a Micro Business Consumer.
- 7D.5 When making the disclosure in accordance with paragraph 7D.4, the licensee must ensure that access to this information is displayed clearly and prominently on its Website.

Reporting obligation

- 7D.6 The licensee must give the Authority any Information that it reasonably requests about the licensee's compliance with paragraphs 7D.1 to 7D.5 as soon as reasonably practicable after receiving a request from the Authority.
- 7D.7 The licensee must give the Authority any Information that it reasonably requests to assess the impact and effectiveness of the obligations contained in paragraphs 7D.1 to 7D.5 as soon as reasonably practicable after receiving a request from the Authority.

Definitions for condition

7D.8 In this condition:

Additional Information

means the MPAN or MPRN or the aggregate amount of all Charges for the Supply of Electricity in Pounds Sterling (GBP) over a monthly, quarterly, 6 month or 12 month period (such period being at the customer's choice), as applicable.

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Consumption Window	means each separate period within a total period of 24 hours in which electricity consumption was recorded and charged at a distinct Unit Rate.
Micro Business TPI	means an organisation or individual acting as a third party intermediary between a Micro Business Consumer and the licensee.
Out-of-contract Contract	means a Non-Domestic Supply Contract which continues to apply to a Micro Business Consumer in circumstances where that Non-Domestic Supply Contract has been terminated or has expired through the passage of time and the same licensee continues to supply electricity to that Micro Business Consumer.
Prescribed Format	means displaying (a) the total estimated cost for the duration of each contract, calculated from the Primary Information and (b) as applicable, the cost of each of the following components (i) Standing Charge(s), (ii) Unit Rate(s) and (iii) all other associated charges.
Primary Information	means (a) the postcode, followed by address selection, and where the MPAN or MPRN cannot be derived from this, the relevant Additional Information; and (b) the consumption of electricity over a monthly, quarterly, 6 month or 12 month period (such period being at the customer's choice) or, where such consumption information is not available, the relevant Additional Information.
Relevant Electricity Metering Arrangement	means using an Electricity Meter on the basis of a contractual arrangement whereby a Non-Domestic Customer is required to pay Charges for the Supply of Electricity with no more than three Consumption Windows per Electricity Meter.

Relevant Micro Business Customer	means a Non-Domestic Customer that has entered their Primary Information into a licensee's Website or a Third Party Online Platform and which the licensee has determined meets all of the following criteria: (a) the metering point at the Non-Domestic Premises falls under profile classes 1, 2, 3 or 4 as defined in the Balancing and Settlement Code; (b) has an annual consumption of electricity of not more than 50,000 kWh per Electricity Meter; and (c) has a Relevant Electricity Metering Arrangement.
Required Price Information	means each separate combination of Standing Charges, Unit Rates and all other associated charges per Electricity Meter, which is available for a Relevant Micro Business Customer to enter into a contract to purchase the supply of electricity from the licensee, and remains available for an identified period, subject only to the licensee conducting a Successful Credit Check and any terms and conditions that may apply to any existing Micro Business Consumer Contract between the Relevant Micro Business Customer and that licensee.
Successful Credit Check	means the licensee conducting a credit checking process on the Relevant Micro Business Customer and the licensee being satisfied with such credit result.
Third Party Online Platform	means a price comparison website, internet-based price comparison service or other internet-based Micro Business TPI, that provides comparisons between, and/or access to, the Required Price Information in the Prescribed Format, and may facilitate, on behalf of the Micro Business Consumer, a change of supplier, supply contract, or both.

Condition 8. Obligations under Last Resort Supply Direction

Last Resort Supply Direction

- 8.1 The Authority may give a Last Resort Supply Direction to the licensee if it considers that:
- (a) a circumstance has arisen that would entitle it to revoke the Electricity Supply Licence of an Electricity Supplier other than the licensee (for this condition and condition 9 of this licence only, the “other supplier”); and
 - (b) the licensee could comply with the Last Resort Supply Direction without significantly prejudicing its ability:
 - (i) to continue to supply electricity to its Customers’ premises; and
 - (ii) to fulfil its contractual obligations for the supply of electricity.
- 8.2 The Last Resort Supply Direction will:
- (a) have effect on and from the date on which and the time at which the other supplier’s Electricity Supply Licence is revoked;
 - (b) stop having effect on and from a date, specified in the Last Resort Supply Direction; and
 - (c) where the other supplier is a Green Deal Licensee and is supplying Green Deal Premises, ensure that those Green Deal Premises will continue to be supplied by a Green Deal Licensee.

Licensee’s obligations

- 8.3 Except in the circumstances set out in paragraph 8.4, the licensee must comply with a Last Resort Supply Direction.
- 8.4 The licensee:
- (a) is not required to comply with a Last Resort Supply Direction in respect of premises to which it would not be required to supply electricity because of any of the exceptions set out in sub-paragraphs 6(a) and (b) of standard condition 22 (Duty to offer and supply under Domestic Supply Contract); and
 - (b) shall not comply where the Last Resort Supply Direction is in respect of a Green Deal Premises and the licensee is not a Green Deal Licensee.

- 8.5 Within a reasonable period of time after receiving a Last Resort Supply Direction, the licensee must send a Notice to each of the premises specified or described in the Last Resort Supply Direction to inform each Customer:
- (a) that the other supplier stopped supplying electricity to his premises with effect on and from the date on which the Last Resort Supply Direction had effect;
 - (b) that the licensee began to supply electricity to his premises with effect on and from the date on which the Last Resort Supply Direction had effect;
 - (c) that the licensee is supplying electricity to the Customer's premises under a Deemed Contract;
 - (d) that the Customer may enter into a Contract with the licensee or any other Electricity Supplier under which electricity will be supplied to his premises;
 - (e) of the Charges for the Supply of Electricity that the licensee may charge the Customer while supplying him under the Last Resort Supply Direction; and
 - (f) if the Customer is a Green Deal Bill Payer, a statement to the effect that the premises are Green Deal Premises and that the Green Deal Charges will be added to the charges for the Supply of Electricity notified to the Customer under paragraph (e) above.

Charges under Last Resort Supply Direction

- 8.6 The licensee's Charges for the Supply of Electricity to the premises specified or described in the Last Resort Supply Direction must not exceed an amount that may be expected, in total, approximately to equal the licensee's reasonable costs of supply (including, where appropriate, the costs of purchasing electricity at short notice) and a reasonable profit.
- 8.7 If the licensee purchases electricity to comply with a Last Resort Supply Direction, it must take all reasonable steps to do so as economically as possible in all the circumstances of the case.

Condition 9. Claims for Last Resort Supply Payment

Ability to make claim

- 9.1 If the licensee has received the Authority's consent under paragraph 9.5, it may make a claim for a Last Resort Supply Payment, under standard condition 38 (Treatment of Payment Claims for Last Resort Supply) of the Distribution Licence, from each Relevant Distributor.
- 9.2 The licensee must not make a claim for a Last Resort Supply Payment if, and to the extent that, it has waived its ability to do so by Notice given to the Authority before the Authority gave it a Last Resort Supply Direction.

Process for making claim

- 9.3 If the licensee intends to make a claim for a Last Resort Supply Payment, it must:
- (a) give Notice to the Authority of its claim; and
 - (b) give the Authority a calculation of the amount claimed with information to support that calculation,
- no later than a date notified to it by the Authority or, in the event that no such date is notified, five years after the date on which the Last Resort Supply Direction to which the claim relates stops having effect.
- 9.4 The total amount of the Last Resort Supply Payment (for this condition only, "the relevant amount") to be claimed by the licensee must not exceed the amount by which:
- (a) the total costs (including interest on working capital) reasonably incurred by the licensee in supplying electricity to premises under the Last Resort Supply Direction and a reasonable profit,
- plus
- (b) any sums paid or debts assumed by the licensee to compensate any Customer in respect of any Customer Credit Balances,
- are greater than:
- (c) the total amounts recovered by the licensee through Charges for the Supply of Electricity to premises under the Last Resort Supply Direction (after taking all reasonable steps to recover such Charges).
- 9.5 If the Authority considers it appropriate in all the circumstances of the case for the licensee to make the claim notified to it in accordance with paragraph 9.3, the Authority will give its consent to the licensee.

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- 9.6 The Authority may determine that an amount other than the one calculated by the licensee is a more accurate calculation of the relevant amount.
- 9.7 If the Authority makes a determination under paragraph 9.6, the amount specified by it must be treated as the relevant amount for the purpose of paragraph 9.8.

Submissions to Relevant Distributors

- 9.8 A claim by the licensee for a Last Resort Supply Payment from each Relevant Distributor referred to in paragraph 9.1 must specify:
- (a) the respective proportion of the relevant amount to be paid by that Relevant Distributor (being the same as the number of premises located within its Distribution Services Area when expressed as a proportion of the total number of premises located within the Distribution Services Areas of all the Relevant Distributors in question); and
 - (b) whether payment is to be made by quarterly or monthly instalments.
- 9.9 A claim for a Last Resort Supply Payment will lapse if the licensee does not make it within six months after the Authority has given its consent under paragraph 9.5.

Definitions for condition

- 9.10 In this condition:

“Closed Credit Balance”	means any Credit owed, on the date on which a relevant Last Resort Supply Direction took effect, by the other supplier to any Customer for whom the responsibility for the supply of electricity had either transferred from the other supplier to another Electricity Supplier or had otherwise terminated on or before the date on which the relevant Last Resort Supply Direction took effect;
“Customer Credit Balances”	means Closed Credit Balances and Open Credit Balances;
“Credit”	means an amount by which the payments made by a Customer to the other supplier under or in accordance with a Domestic Supply Contract exceeds the sum of: <ul style="list-style-type: none"> a) the total amount of Charges which were due and payable by that Customer to the other supplier under the relevant Domestic Supply Contract on or before the date on which the relevant Last Resort Supply Direction took effect; b) the total amount of Charges relating to electricity supplied to that Customer by the other supplier on or

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	<p>before the date on which the relevant Last Resort Supply Direction took effect that would have fallen due and payable under the relevant Domestic Supply Contract but for that Last Resort Supply Direction; and</p> <p>c) the sum of any amounts equivalent to those described in (a) and (b), above, either that:</p> <ul style="list-style-type: none"> (i) were due and payable; or (ii) would have been due and payable but for a direction issued by the Authority under standard licence condition 8 of a Gas Supply Licence, <p>by that Customer to the other supplier under any contract for the supply of gas to domestic premises, insofar as that sum exceeds the amounts paid by the Customer to the other supplier under a contract for the supply of gas.</p>
“Open Credit Balance”	means any Credit owed by the other supplier to a Customer on the date of the relevant Last Resort Supply Direction.

- 9.11 For the purposes of this condition, the term “licensee” in the definition of “Charges for the Supply of Electricity” may refer to either the licensee or the other supplier, or both (as the context requires).

Condition 10. Restriction or revocation of licence

- 10.1 If the licensee makes an application to the Authority to restrict the premises to which it may supply electricity or to revoke its licence, the licensee must take all reasonable steps to ensure continuity of supply for each Applicable Customer on terms that are the same as or as similar as possible to the terms in place between it and that customer immediately before the restriction or revocation is to have effect.
- 10.2 Not Used.
- 10.3 The licensee is not required to comply with paragraph 10.1 if the Authority gives it a direction that relieves it of its obligation to do so.

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Standard conditions 11 to 19: Industry activities and procedure

Condition 11. Compliance with codes Industry Codes

11.1 The licensee must comply with:

- (a) the Distribution Code; and
- (b) the Grid Code,

unless, after consulting with the licensee and any other person or body likely to be affected, the Authority has given a direction to the licensee relieving it of its obligations (in whole or in part) under either code.

11.2 Subject to paragraph 11.2A the licensee must be a party to and comply with:

- (a) ~~(not used) the Master Registration Agreement;~~
- (b) the Distribution Connection and Use of System Agreement;
- (c) the Connection and Use of System Code; and
- (d) the Balancing and Settlement Code,

from the earlier of the date on which it offers to supply electricity or the date on which it begins to supply electricity to premises in Great Britain.

11.2A In the event of any conflict between the Energy Market Investigation (Electricity Transmission Losses) Order 2016 and the Balancing and Settlement Code with respect to the calculation of the Transmission Loss Factor (as defined in the Balancing and Settlement Code), the licensee's imbalance charges shall be calculated in accordance with the provisions set out in Schedule 1 to the Energy Market Investigation (Electricity Transmission Losses) Order 2016. This paragraph 11.2A will cease to have effect once a modification proposal reflecting the terms set out in Schedules 1 and 2 to The Energy Market Investigation (Electricity Transmission Losses) Order 2016 has been implemented.

Power to issue direction to relieve certain industry code obligations

11.3 The Authority, after consulting with the licensee and any other person or body likely to be affected and after having regard to any guidance issued in accordance with paragraph 11.4, may give a direction to the licensee relieving it of its obligations (in whole or in part) under paragraph 11.2 and 11B.1.

11.4 The Authority may issue, and may from time to time revise, guidance regarding the manner in which it will exercise its powers under paragraph 11.3.

11.5 The guidance issued in accordance with paragraph 11.4 may, in particular, set out:

- (a) the process for requesting the Authority to grant a direction under SLC 11.3;

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- (b) the type of information that is likely to be required by the Authority as part of that process; and
- (c) the criteria the Authority would have regard to in considering whether and to what extent to exercise its power to give a direction under paragraph 11.3;

Consequential changes

- 11.6 If a Consequential Change is required, the licensee must take all reasonable steps to secure, and must not take any unreasonable steps to prevent or delay, the making or implementation of that Consequential Change.
- 11.7 Paragraph 11.3 is without prejudice to:
- (a) any rights of appeal that the licensee may have in relation to decisions made by the Authority under the Industry Codes; and
 - (b) any rights of approval, veto, or direction that the Authority or the Secretary of State may have in relation to changes to the Industry Codes.

Fuel Security Code

- 11.8 The licensee must comply with the Fuel Security Code.
- 11.9 The Fuel Security Code has effect as a standard condition of this licence.

Green Deal Arrangements Agreement

- 11.10 Where the licensee is a Mandatory Green Deal Licensee, it must be a party to the Green Deal Arrangements Agreement, comply with Part 2 (Governance and Change Control) of the Green Deal Arrangements Agreement, and other provisions of the Green Deal Arrangements Agreement where directed by the Authority, and facilitate achievement of the objective of the Green Deal Arrangements Agreement.
- 11.11 Where the licensee is a Voluntary Green Deal Licensee and is party to the Green Deal Arrangements Agreement, it must comply with Part 2 (Governance and Change Control) of the Green Deal Arrangements Agreement, and other provisions of the Green Deal Arrangements Agreement where directed by the Authority, and facilitate achievement of the objective of the Green Deal Arrangements Agreement.
- 11.12 The Authority may issue, and may from time to time revise, guidance regarding the manner in which it will exercise its enforcement powers in relation to paragraphs 11.10 and 11.11.

Duty to cooperate

- 11.13 The licensee will cooperate with the Authority and/or any person(s) appointed by the Authority or appointed pursuant to a direction of the Authority, to undertake any reasonable requests in relation to planning, project assurance and/or coordination/systems integration in order to give full effect to the conclusions of a Significant Code Review.
- 11.14 Cooperation for the purposes of condition 11.13 may include but not be limited to:
- a) the sharing of such information as reasonable, and constructive participation in industry engagement in order to undertake appropriate planning of changes to IT systems or industry standard operational processes system changes pursuant to the conclusions of a Significant Code Review;
 - b) the provision of such data as may be identified and reasonably requested in order to undertake testing and/or the population of any new central systems;
 - c) the preparation and cleansing of such data as may reasonably be requested in order to facilitate live operation of the new central system;
 - d) the provision of test scripts and results of any testing as may be requested by any person appointed to assure the success of any testing;
 - e) all reasonable steps to:
 - i) meet key programme milestones for the completion of any action(s) assigned to the licensee;
 - ii) adhere to any remedial plan put in place to address any issues, delays or slippage that may impact the licensee's ability to meet programme milestones, to the extent that failure to do so may jeopardise the successful and timely implementation of the programme;
 - iii) identify any dependencies that the licensee may have upon agents or other third-parties and secure the necessary support from such parties; and,
 - iv) promptly escalate and/or resolve any disputes that if unresolved may jeopardise the fulfilment of these obligations.

- 11.15 For the purposes of 11.13 only:

Significant Code Review means a review of matters in relation to its principal objective and/or general duties (under section 3A of the Electricity Act or section 4AA of the Gas Act), statutory functions and/or relevant obligations arising under EU law, which the Authority considers are likely to relate to one or more of the documents referred to in this condition, or to which the licensee is required under this licence to be a party, and concerning which the Authority has consulted upon and issued a Notice to the parties stating that the review will constitute a Significant Code Review.

Condition 11A. Security Arrangements

11A.1 Insofar as the licensee shall supply or offer to supply electricity to any premises in England and Wales, the licensee shall comply with the provisions of the Fuel Security Code and such provisions shall have effect as if they were set out in this licence.

11A.2 Insofar as the licensee shall supply or offer to supply electricity to any premises in Scotland:

- (a) if so directed in directions issued by the Authority for the purposes of this condition, the licensee shall, not later than such date as may be specified in such directions, enter into an agreement designated by the Secretary of State for the purposes of this condition relating to compliance with directions issued by the Secretary of State under section 34 and/or section 35 of the Act; and
- (b) the licensee shall comply with and perform its obligations under any agreement which it enters into pursuant to sub-paragraph (a) above.

11A.3 In this condition:

“Fuel Security Code”	means the document of that title designated as such by the Secretary of State as from time to time amended.
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Condition 11B: Retail Energy Code

11B.1 The licensee must be a party to, comply with and maintain the Retail Energy Code (for this condition only, the “REC”), as may be designated by the Authority for the purposes of this condition.

11B.2 If a consequential change is required, the licensee must take all reasonable steps to secure and implement, and must not take any unreasonable steps to prevent or delay, the making or implementation of that consequential change.

11B.3 Paragraph 11B.2 is without prejudice to:

(a) any right of appeal that the licensee may have in relation to a decision made by the Authority under the Industry Codes; and

(b) any right of approval, veto or direction that the Authority or the Secretary of State may have in relation to changes to Industry Codes.

11B.4 The licensee must take all reasonable steps to secure and implement changes to its systems, procedures and processes which are necessary to give full, timely and practical effect to any modification of the REC.

11B.5 The licensee must take all reasonable steps to ensure that the REC remains an agreement which:

(a) is designed to facilitate the achievement of the relevant objectives set out in paragraph 11B.6; and

(b) includes the matters set out in paragraph 11B.7

11B.6 The relevant objectives referred to in sub-paragraph 11B.5 (a) are:

a) to ensure the REC operates and evolves in a manner that facilitates the achievement of its mission statement;

b) to ensure customers interests and data is protected in the operation of the REC; and,

c) to drive continuous improvements and efficiencies in the operation of the REC and the central systems and communication infrastructures it governs.

11B.7 The matters referred to in sub-paragraph 11B.5(b) are:

(a) provision for enabling the REC to be modified from time to time so as to better facilitate the achievement of the relevant objectives set out in paragraph 11B.6;

- (b) provision for enabling parties to the REC, and such other persons as may be specified in the code, to appeal against a decision to implement or reject any proposed modification of it, where that modification does not require the Authority's approval, to the Authority for determination;
- (c) for the REC, and all ancillary documents and products to be published on a free to access website; and
- (d) where an appeal has been raised in respect of a modification proposal in accordance with sub-paragraph (b), provision for that modification proposal to be treated in accordance with any decision and/or direction of the Authority following that appeal.

11B.8 The REC must provide for:

- (a) a panel body, as specified in the REC (the "panel") whose functions shall include the matters required by this condition and as set out in the REC; and
 - (b) a person or body, as specified in the REC, to perform the role of code manager (the "REC manager"). In addition to any powers, duties or functions set out in the REC, the REC manager shall:
 - (i) together with other code managers and/or code administrators, publish, review and (where appropriate) amend from time to time the Code of Practice approved by the Authority (any amendments to the Code of Practice are to be approved by the Authority);
 - (ii) facilitate the procedures for making a modification to the REC;
 - (iii) have regard to, and in particular (to the extent relevant) be consistent with the principles contained in, the Code of Practice; and
 - (iv) provide assistance, insofar as is reasonably practicable and on reasonable request, to parties (including, in particular, Small Participants) and, to the extent relevant, consumer representatives that request the code manager's assistance in relation to the REC including, but not limited to, assistance with:
 - a. drafting a modification proposal;
 - b. understanding the operation of the REC;
 - c. their involvement in, and representation during, the modification procedure processes (including, but not limited to, code panel and/or workgroup meetings);
 - d. accessing information relating to modification proposals and/or modifications.
- and
- (c) a "performance assurance board" to conduct and administer activities identified within the REC and being appropriate to provide assurance that

all participants in the REC arrangements, particularly those relating to switching activities, are suitably qualified and that the relevant standards are maintained.

11B.9 The modification procedures referred to in sub-paragraph 11B.7(a) must provide:

- (a) for a modification report to be prepared in such manner and with all such contents as specified in the REC, which shall include an assessment of the extent to which the proposed modification would better facilitate achieving the relevant objectives and a detailed explanation of the reasons for that assessment;
- (b) where the proposed modification requires Authority approval in accordance with the provisions of the REC, for the revision and resubmission of the modification report upon, and in accordance with, a direction issued to the panel by the Authority, where the Authority determines that it cannot properly form an opinion on the approval of the modification proposal;
- (c) without prejudice to paragraph 11B.10B, that proposals for the modification of the REC falling within the scope of a Significant Code Review may not be made during the Significant Code Review phase, except:
 - a. where the Authority determines that the modification proposal may be made, having taken into account (among other things) the urgency of the subject matter of the proposal; or
 - b. at the direction of, or by, the Authority;
- (d) that where a modification proposal is made during a Significant Code Review phase the panel shall:
 - (i) unless exempted by the Authority, notify the Authority as soon as practicable of:
 - 1. any representations received in relation to the relevance of the Significant Code Review; and
 - 2. the panel's assessment of whether the proposal falls within the scope of the Significant Code Review and its reasons for that assessment; and
 - (ii) if the Authority so directs, not proceed with the modification proposal until the Significant Code Review phase has ended;
- (e) for proposals for the modification of the REC to be made by the licensee or the Authority (in relation only to modifications which fall within the scope of paragraph 11B.10E);

- (f) for modification proposals made by the Authority and the licensee in accordance with paragraphs 11B.9(e) and 11B.9(g)(i) respectively which fall within the scope of paragraph 11B.10E:
 - (i) to be accepted into the REC modification procedures by the REC Manager and/or REC Panel;
 - (ii) where they are raised by the licensee, not to be withdrawn without the Authority's prior consent; and
 - (iii) to proceed in accordance with paragraph 11B.9(g);
- (g) for compliance by the licensee and (where applicable) the panel with any direction(s) issued by the Authority under this paragraph setting and/or amending a timetable (in relation to a modification proposal which falls within the scope of paragraph 11B.10E) for:
 - (i) the licensee to raise a modification proposal(s); and/or
 - (ii) the completion of each of the procedural steps outlined in the direction, to the extent that they are relevant; and/or
 - (iii) the implementation of a modification.

11B.10 If, within twenty eight (28) days after the Authority has published its Significant Code Review conclusions:

- (a) the Authority issues directions to the licensee, the licensee shall comply with those directions and shall treat the Significant Code Review phase as ended;
- (b) the Authority issues to the licensee a statement that no directions under sub-paragraph (a) will be issued in relation to the REC, the licensee shall treat the Significant Code Review phase as ended;
- (ba) the Authority raises a modification proposal in accordance with paragraph 11B.9(e), the licensee shall treat the Significant Code Review phase as ended;
- (bb) the Authority issues a statement that it will continue work on the Significant Code Review, the licensee shall treat the Significant Code Review phase as continuing until it is brought to an end in accordance with paragraph 11B.10A;
- (c) neither directions under sub-paragraph (a) nor a statement under sub-paragraph (b) or (bb) have been issued, nor a modification proposal under sub-paragraph (ba) has been made, the Significant Code Review phase will be deemed to have ended.

The Authority's published conclusions and directions to the licensee will not fetter any voting rights of REC parties or members of the panel, or the procedures informing the modification report described at sub-paragraph 11B.9(a).

11B.10A Where the Authority issues a statement under sub-paragraph 11B.10(bb) and/or a direction in accordance with paragraph 11B.10D, the Significant Code Review phase will be deemed to have ended when:

- (a) the Authority issues a statement that the Significant Code Review phase has ended;
- (b) one of the circumstances in sub-paragraphs 11B.10(a) or (ba) occurs (irrespective of whether such circumstance occurs within twenty-eight (28) days after the Authority has published its Significant Code Review conclusions); or
- (c) the Authority makes a decision consenting, or otherwise, to the modification of the REC following the submission of the modification report prepared pursuant to sub-paragraph 11B.9(c) a.

11B.10B Where the Authority issues a statement in accordance with sub-paragraph 11B.10(bb) and/or a direction in accordance with paragraph 11B.10D, the Authority may submit a modification proposal for a modification falling within the scope of sub-paragraph 11B.10E(b) to the panel.

11B.10C The modification procedures must provide, where the Authority submits a Significant Code Review modification proposal to the panel in accordance with paragraph 11B.10B, for compliance with the modification procedures set out in sub-paragraphs 11B.9(a) and (b).

The Authority's published conclusions and Significant Code Review modification proposal will not fetter any voting rights of REC parties or members of the panel, or the procedures informing the modification report described at sub-paragraph 11.9(a).

11B.10D The modification procedures must provide for modification proposals raised in accordance with sub-paragraph 11B.10(a) or 11B.9(g), or by the Authority under sub-paragraph 11B.10(ba) and which fall within the scope of paragraph 11B.10E(b), the Authority may issue a direction (a "backstop direction"), which requires such proposal(s) and any alternatives to be withdrawn and which causes the Significant Code Review phase to recommence.

11B.10E Modification proposals fall within the scope of this paragraph where:

- (a) the Authority reasonably considers the modifications are necessary to comply with, or implement, the Regulation and/or any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators; and/or
- (b) the modification proposal is in respect of a Significant Code Review.

11B.11 Eligible grounds for appeal under the provisions referred to in sub-paragraph 11B.7(b) shall be that, in the opinion of the Authority:

- (a) (i) the appealing party is likely to be unfairly prejudiced by the implementation or non-implementation of that modification proposal; or
- (ii) the appeal is on the grounds that:

- 1. in the case of implementation, the modification proposal may not better facilitate the achievement of at least one of the relevant objectives; or
- 2. in the case of non-implementation, the modification may better facilitate the achievement of at least one of the relevant objectives; and
- (b) the appeal is not brought for reasons that are trivial or vexatious, nor does the appeal have no reasonable prospect of success.

11B.12 The procedures for the modification of the REC must provide that recommendations or decisions for or against the implementation of a modification proposal shall be made with regard to whether that modification would, as compared with the existing provisions of the REC, better facilitate the achievement of the relevant objectives.

11B.13 The procedures for the modification of the REC must be consistent with the principles set out in the Code of Practice, to the extent that they are relevant.

Interpretation

11B.14 For the purposes of this condition:

Code of Practice	means the Code Administration Code of Practice approved by the Authority and: <ul style="list-style-type: none"> (a) developed and maintained by the code administrator(s) and/or manager(s) in existence from time to time; (b) amended subject to the Authority's approval from time to time; and (c) re-published from time to time
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Small participant	means <ul style="list-style-type: none"> (a) a supplier, or new entrant to the electricity and/or gas market in Great Britain that can demonstrate to the REC Manager that it is resource-constrained and, therefore, in particular need of assistance; (b) any other participant or class of participant that the code administrator considers to be in particular need of assistance; and (c) a participant or class of participant that the Authority has notified the code administrator as being in particular need of assistance.
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Condition 12. Matters relating to Electricity Meters

Detection and prevention of electricity theft

- 12.1 The licensee must take and must ensure that its agents take all reasonable steps to detect and prevent:
- (a) the theft or abstraction of electricity at premises supplied by it;
 - (b) damage to any electrical plant, electric line or Metering Equipment through which such premises are supplied with electricity; and
 - (c) interference with any Metering Equipment through which such premises are supplied with electricity.

Apparatus on Customer's side of Non-Half-Hourly Meter

- 12.2 This paragraph applies where the licensee installs or arranges for the installation of a second Electricity Meter or other apparatus on the Customer's side of a Non-Half-Hourly Meter for the purpose of ascertaining or regulating:
- (a) the amount of electricity supplied;
 - (b) the period of supply; or
 - (c) any other quantity or time connected with the supply.
- 12.3 If paragraph 12.2 applies, the power consumed by the second Electricity Meter or other apparatus described in that paragraph must not exceed 10 watts when added to the power consumed by any other Electricity Meter or apparatus installed by or on behalf of the licensee in a similar position or for a similar purpose in relation to the Customer, except if the Customer otherwise agrees.

Services for Prepayment Meters using tokens

- 12.4 This paragraph applies where the licensee supplies or offers to supply a system of services (for this condition only, "Prepayment Meter services") within its Supply Services Area for Prepayment Meters operated by the use of tokens.
- 12.5 Where paragraph 12.4 applies, the licensee must, if any other Electricity Supplier requests it to do so, offer to enter into or procure an agreement for the provision of Prepayment Meter services for Prepayment Meters operated by the use of tokens within its Supply Services Area for that supplier on non-discriminatory terms.
- 12.6 When providing or arranging to procure Prepayment Meter services for Prepayment Meters operated by the use of tokens, the licensee must do so in the most efficient and economical manner that it reasonably can, having regard to the alternatives available and all the other circumstances of the case.

- 12.7 The obligations of the licensee under paragraphs 12.5 and 12.6 will stop having effect on and from 1 August 2010.

Services for Prepayment Meters using cards

- 12.8 This paragraph applies where the licensee supplies or offers to supply Prepayment Meter services within its Supply Services Area for Prepayment Meters operated by the use of cards.
- 12.9 Where paragraph 12.8 applies, the licensee must, if any other Electricity Supplier requests it to do so, offer to enter into or procure an agreement for the provision of Prepayment Meter services for Prepayment Meters operated by the use of cards within its Supply Services Area for that supplier on non-discriminatory terms.
- 12.10 When providing or arranging to procure Prepayment Meter services for Prepayment Meters operated by the use of cards, the licensee must do so in the most efficient and economical manner that it reasonably can, having regard to the alternatives available and all the other circumstances of the case.
- 12.11 The licensee may by Notice given to all other Electricity Suppliers and the Authority specify a date, being not less than two years after the date of the Notice, on and from which it will stop offering to provide or procure the provision of Prepayment Meter services for Prepayment Meters operated by the use of cards for other Electricity Suppliers in its Supply Services Area.
- 12.12 If a Notice under paragraph 12.11 has been given, the obligations of the licensee under paragraphs 12.9 and 12.10 will stop having effect on and from the date specified in the Notice unless, at least three months before that date, the Authority directs the licensee that it considers that the ending of those obligations would be likely to be detrimental to the interests of Customers.
- 12.13 Where the Authority issues a direction under paragraph 12.12, it will, after consulting with the licensee, give Notice to the licensee and all other Electricity Suppliers specifying a further date on and from which the licensee will stop offering to provide or procure the provision of the relevant Prepayment Meter services.
- 12.14 Not Used
- 12.15 Not Used
- 12.16 Not Used

Advanced meters for Non-Domestic Premises

- 12.17 This paragraph has effect on and after 6 April 2009 and applies where the licensee installs or arranges for the installation of an Electricity Meter at Non-Domestic Premises where the metering point falls within profile class 5, 6, 7 or 8 as defined in the Balancing and Settlement Code (for this condition only, “relevant premises”).
- 12.18 If paragraph 12.17 applies, the Electricity Meter installed at the relevant premises must be an advanced meter.
- 12.19 For the purposes of this condition, an advanced meter is an Electricity Meter that, either on its own or with an ancillary device, and in compliance with the requirements of any relevant Industry Code:
- (a) provides measured electricity consumption data for multiple time periods, and is able to provide such data for at least half-hourly time periods; and
 - (b) is able to provide the licensee with remote access to such data.
- 12.20 The licensee must ensure that a Customer supplied with electricity at relevant premises through an advanced meter, or that Customer’s nominated agent, has timely access, on request, to the data provided by that meter.
- 12.21 As from 6 April 2014, the licensee must not supply electricity to any relevant premises other than through an advanced meter.
- 12.22 The prohibition imposed by paragraph 12.21 does not apply where the licensee is unable to install or arrange for the installation of any advanced meter at the relevant premises in question despite taking all reasonable steps to do so.

Advanced meters – Designated Premises

- 12.23 This paragraph has effect on and after 6 April 2014 and applies where the licensee installs or arranges for the installation of a Current Transformer Electricity Meter at any Designated Premises.
- 12.24 If paragraph 12.23 applies, the Current Transformer Electricity Meter installed or arranged to be installed at the Designated Premises must be an advanced meter.

Advanced meters – Domestic Premises

- 12.25 This paragraph has effect from the date specified by the Secretary of State in a direction issued to the licensee under this paragraph and applies where the licensee installs or arranges for the installation of a Current Transformer Electricity Meter at any Domestic Premises.
- 12.26 If paragraph 12.25 applies, the Current Transformer Electricity Meter installed or arranged to be installed at the Domestic Premises must be an advanced meter.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Current Transformer Electricity Meters from 2021

- 12.27 After 31 December 2020, the licensee must not supply electricity to any Designated Premises or Domestic Premises through a Current Transformer Electricity Meter which is not also an advanced meter.

Customer Access to Data

- 12.28 The licensee must ensure that a Customer supplied with electricity at Designated Premises or Domestic Premises through an advanced meter, or that Customer's nominated agent, has timely access, on request, to the data provided by that meter.

Exception

- 12.29 The prohibition imposed by paragraph 12.27 does not apply where the licensee is unable to install or arrange for the installation of an advanced meter at the Designated Premises or the Domestic Premises in question despite taking all reasonable steps to do so.

Condition 12.A. Matters relating to Theft of Electricity

Objective

12.A.1 The objective of this licence condition (the “Objective”) is to ensure that:

- (a) the licensee and any Representative individually and/or in cooperation with other licence holders where necessary:
 - (i) detect Theft of Electricity;
 - (ii) investigate suspected Theft of Electricity;
 - (iii) prevent Theft of Electricity once detected;
 - (iv) prevent Theft of Electricity by other means such as deterrence and the security of the supply in respect of any premises supplied by the licensee; and
- (b) when taking the steps mentioned in sub-paragraph 12.A.1(a), the licensee and any Representative:
 - (i) behaves and acts towards Customers in a manner which is fair, transparent, not misleading, appropriate and professional; and
 - (ii) takes into account whether Domestic Customers and/or the occupants of Domestic Premises of Pensionable Age, disabled or chronically sick and/or Domestic Customers at Domestic Premises will have difficulty in paying all or part of the Charges for the Supply of Electricity resulting from Theft of Electricity.

12.A.2 The licensee must take (and ensure that any Representative takes) all reasonable steps:

- (a) to secure the achievement of the Objective; and
- (b) to avoid doing anything which jeopardises its ability to achieve the Objective.

12.A.3 The steps which the licensee must take (and ensure that any Representative takes) to secure the achievement of the Objective include, without

limitation, the steps which are detailed at paragraphs 12.A.5 to 12.A.15 of this condition, and the obligations set out in Clause 30.9 (Damage or Interference) of the Distribution Connection and Use of System Agreement.

- 12.A.4 In respect of premises not supplied by the licensee, its obligations under paragraphs 12.A.1 and 12.A.2 are limited to the provision of notification required by Clause 30.9 (Damage or Interference) of the Distribution Connection and Use of System Agreement.

Requirement to detect, prevent and investigate Theft of Electricity

- 12.A.5 In respect of any premises supplied by the licensee, the licensee must take (and ensure that any Representative takes) all reasonable steps to detect and prevent Theft of Electricity.
- 12.A.6 Where, in respect of any premises supplied by the licensee, the licensee has reasonable grounds to suspect Theft of Electricity, it must take (and ensure that any Representative takes) all reasonable steps to investigate that suspected Theft of Electricity.

The Theft Arrangement

- 12.A.7 The licensee must be a party to, comply with, and maintain such arrangement to give effect to the Objective, as the Authority may direct (the “Theft Arrangement”).
- 12.A.8 The licensee:
- (a) must take such steps as are necessary and within its reasonable control; and
 - (b) must not take any unreasonable steps to prevent or delay,
- to ensure that the Theft Arrangement is implemented by such a date as the Authority may direct.
- 12.A.9 The licensee must take all reasonable steps to secure and implement changes required by the Theft Arrangement and its systems, procedures and processes which are necessary to give full, timely and practical effect to the Theft Arrangement.
- 12.A.10 The licensee must take all reasonable steps to cooperate with other licence holders where necessary, to facilitate the achievement of the Theft Arrangement.

Standards for Theft of Electricity investigations

- 12.A.11 The licensee must ensure (and ensure that any Representative ensures) that the following standards are met when it is taking any of the steps referred to in paragraphs 12.A.1, 12.A.2, and 12.A.3 of this condition at particular premises:
- (a) The licensee must take (and ensure that any Representative takes) all reasonable steps to identify whether
 - (i) the Domestic Customer and/or the occupants of those premises which are Domestic Premises (in this condition “the relevant premises”) is of Pensionable Age, disabled or chronically sick; and/or
 - (ii) a Domestic Customer at the relevant premises will have difficulty in paying all or part of the Charges for the Supply of Electricity resulting from Theft of Electricity;
 - (b) The licensee must take (and ensure that any Representative takes) into account the Domestic Customer’s ability to pay all or part of the Charges for the Supply of Electricity resulting from Electricity Theft when calculating instalments, giving due consideration to:
 - (i) relevant information provided by third parties, where it is available to the licensee; and
 - (ii) where instalments will be paid using Prepayment Meter, the value of all of the charges that are to be covered through that meter;
 - (c) Where the licensee or any Representative has identified persons of a category described in sub-paragraphs 12.A.11(a)(i) and/or (ii), the licensee or any Representative must before seeking to Disconnect the relevant premises, as a minimum offer the Domestic Customer to pay those Charges for the Supply of Electricity by using a Prepayment Meter, where it is safe and reasonably practicable in all the circumstances of the case for the Domestic Customer to do so;
 - (d) Where the licensee or any Representative knows or has reason to believe that there may be persons of a category described in sub-paragraph 12.A.11(a)(i), the licensee or any Representatives must

take all reasonable steps not to Disconnect the supply of electricity to the relevant premises in Winter;

- (e) The licensee must have (and ensure that any Representative has) sufficient evidence to establish (on the balance of probabilities) the Statutory Disconnection Power before stopping the supply of electricity to the premises on grounds of Theft of Electricity;
- (f) Where Theft of Electricity has been established, the licensee must comply with the relevant requirements of the Distribution Connection and Use of System Agreement and the Balancing and Settlement Code in respect of that Theft of Electricity;
- (g) The licensee must have (and ensure that any Representative has) sufficient evidence to establish (on the balance of probabilities) that Theft of Electricity has occurred as a result of that Customer's intentional act or by culpable negligence before requiring payment of all or part of the Charges for the Supply of Electricity relating to that Theft of Electricity; and
- (h) The licensee must provide (and ensure that any Representative provides) in plain and intelligible language, clear, timely and accurate information and advice to the Customer about:
 - (i) the basis of any assessment made by the licensee (or its Representative) that Theft of Electricity occurred;
 - (ii) the basis for the calculation of any Charges for the Supply of Electricity associated with the Theft of Electricity made to the Customer;
 - (iii) what steps the Customer should take if they wish to dispute that Theft of Electricity occurred; and
 - (iv) the steps a Customer may take to reinstate supply if the licensee (or its Representative) has exercised the Statutory Disconnection Power.

- 12.A.12 The licensee must keep (and ensure that any Representative keeps) a record of its compliance with its obligation under this licence condition.

12.A.13 The licensee must take all reasonable steps to establish management arrangements that facilitate the licensee's compliance with its obligations under this condition, including, as appropriate, steps to ensure that any Representative, agent and subcontractor of the licensee establish equivalent arrangements.

12.A.14 Not Used.

12.A.15 Not Used.

Definitions for Condition

12.A.16 In this condition:

Theft of Electricity

includes, but is not limited to;

- (a) circumstances described in paragraphs 5(1) (Restoration of connection without consent) and 6(1)(a) (Damage to electrical plant etc) of Schedule 6 to the Electricity Act 1989 in so far as they relate to a electricity supplier;
- (b) circumstances described in paragraph 6(1)(b) (Damage to electrical plant etc) of Schedule 6 to the Electricity Act 1989; and
- (c) circumstances described in paragraph 11(1) (Interference with meters) of Schedule 7 to the Electricity Act 1989

Condition 13. Arrangements for site access

- 13.1 The licensee must take all reasonable steps to ensure that each Representative who visits a Customer's premises on the licensee's behalf:
- (a) possesses the skills necessary to perform the required function;
 - (b) can be readily identified as a Representative of the licensee by a member of the public;
 - (c) uses any password that the licensee has agreed with the Customer;
 - (d) is a fit and proper person to visit and enter the Customer's premises; and
 - (e) is able to inform the Customer, on request, of a contact point for any help and advice that he may require in relation to the supply of electricity.
- 13.2 The licensee must:
- (a) prepare a statement that sets out, in plain and intelligible language, its arrangements for complying with its obligations under paragraph 13.1;
 - (b) publish that statement on and make it readily accessible from its Website (if it has one); and
 - (c) give a copy of the statement on request and free of charge to any person.

Condition 14. Customer transfer blocking

General prohibition

- 14.1 The licensee, where it is the registered supplier at a premises under the Retail Energy Code, must not make a request in accordance with the Retail Energy Code Master Registration Agreement to prevent a Proposed Supplier Transfer at that premises, except in accordance with the provisions of this condition.

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Non-Domestic Customer transfer blocking

- 14.2 Subject to paragraph 14.2B, the licensee may make a request in accordance with the Retail Energy Code Master Registration Agreement to prevent a Proposed Supplier Transfer in relation to a Non-Domestic Customer at any Non-Domestic Premises at which the licensee is the Relevant Electricity Supplier in any of the following circumstances:

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RCC SCR

- (a) at the time the licensee receives Notice under the Retail Energy Code Master Registration Agreement that another Electricity Supplier has applied under the requirements of the Retail Energy Code Master Registration Agreement to supply the premises, the licensee's Contract with that customer for the supply of electricity to the premises includes a term which:

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RCC SCR

- (i) allows the licensee to prevent the Proposed Supplier Transfer; and
(ii) may be relied upon in the circumstances arising at that time; ~~or~~

- ~~(b) the Electricity Supplier that initiated the Proposed Supplier Transfer has agreed with the licensee that the transfer was initiated in error; or~~

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Switching SCR

- ~~(c)(b) (not used);~~

- ~~(c) (not used) the Proposed Supplier Transfer relates to a Related Metering Point and the proposed new Electricity Supplier has not applied to transfer all the Related Metering Points on the same Working Day for the same Supply Start Date; or~~

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Switching SCR

- (d) the customer informs the licensee that he has not entered into a Contract with the proposed new Electricity Supplier and asks the licensee to prevent the Proposed Supplier Transfer from taking place.

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- 14.2A Subject to paragraph 14.2B, the licensee may make a request in accordance with the Retail Energy Code Master Registration Agreement to prevent a Proposed Supplier Transfer in relation to a Non-Domestic Customer at any Non-Domestic Premises at which the licensee is the Relevant Electricity Supplier, if at the time the request is made Non-Domestic Outstanding Charges in respect of Green Deal Charges are due to the licensee from that Non-Domestic Customer.

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- 14.2B The licensee may not make a request pursuant to:

- (a) paragraph 14.2A; or
- (b) if there are Non-Domestic Outstanding Charges in respect of Green Deal Charges, paragraph 14.2,

if the licensee knows or has reason to believe that the relevant Non-Domestic Outstanding Charges are made up in their entirety of a Disputed Amount and/or a Supplier Error Amount and the operational functioning or management of the licensee's business is such that it is reasonably practicable for the licensee not to make the request in these circumstances.

14.3 If the licensee makes a request in accordance with the Retail Energy Code Master Registration Agreement to prevent a Proposed Supplier Transfer of a Non-Domestic Customer, it must give a Notice to that customer to inform him:

- (a) that it has made a request to prevent the transfer;
- (b) of the grounds for the request; and
- (c) how the customer may dispute or resolve such grounds,

as soon as reasonably practicable after making the request.

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Domestic Customer transfer blocking

14.4 The licensee may make a request in accordance with the Retail Energy Code Master Registration Agreement to prevent a Proposed Supplier Transfer in relation to a Domestic Customer at a Domestic Premises at which the licensee is the Relevant Electricity Supplier in any of the following circumstances:

- (a) subject to paragraphs 14.5 and 14.7, if at the time the request is made Outstanding Charges are due to the licensee from that Domestic Customer;
~~or~~
- (b) ~~(not used); or the Electricity Supplier that initiated the Proposed Supplier Transfer has agreed with the licensee that the transfer was initiated in error;~~
- (c) the customer informs the licensee that he has not entered into a Contract with the proposed new Electricity Supplier and asks the licensee to prevent the Proposed Supplier Transfer from taking place;

~~(d) (not used); or~~

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~~customer is bound by the provisions of a Contract with the licensee for the supply of electricity to the premises which will not end on or before the date of the Proposed Supplier Transfer and that Contract is of a kind specified in a direction issued by the Authority; or~~

~~(d)(e) (not used), the Proposed Supplier Transfer relates to a Related Metering Point and the proposed new Electricity Supplier has not applied to transfer all the Related Metering Points on the same Working Day for the same Supply Start Date.~~

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14.5 The licensee may not make a request in accordance with the Retail Energy Code ~~Master Registration Agreement~~ to prevent a Proposed Supplier Transfer in relation to a Domestic Customer at a Domestic Premises at which the licensee is the Relevant Electricity Supplier if the relevant Domestic Customer's Domestic Premises is being supplied with electricity through a Prepayment Meter and:

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- (a) the Domestic Customer has agreed with the proposed new Electricity Supplier that any Outstanding Charges may be assigned by the licensee in accordance with the Protocol; or
- (b) the licensee, having increased the Charges for the Supply of Electricity to the relevant Domestic Premises, has not taken all reasonable steps to reset the relevant Prepayment Meter within a reasonable period of time after that increase has effect to take account of the increase and the Outstanding Charges (which may have accumulated over time) relate only to the increase and are equal to all or part of it.

14.6 The licensee shall ensure that Outstanding Charges of amounts equal to or less than £500 are capable of being assigned by the licensee to a new Electricity Supplier in accordance with the Protocol.

14.7 The licensee may not make a request in accordance with the Retail Energy Code ~~Master Registration Agreement~~ to prevent a Proposed Supplier Transfer in relation to a Domestic Customer at a Domestic Premises in accordance with sub-paragraph 14.4(a) if the licensee knows or has reason to believe that the relevant Outstanding Charges are made up in their entirety of a Disputed Amount and/or a Supplier Error Amount and the operational functioning or management of the licensee's business is such that it is reasonably practicable for the licensee not to make the request in these circumstances.

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14.8 If the licensee makes a request in accordance with the Retail Energy Code ~~Master Registration Agreement~~ to prevent a Proposed Supplier Transfer in relation to a Domestic Customer at a Domestic Premises in accordance with sub-paragraph

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14.4(a) and the licensee subsequently becomes aware that the Outstanding Charges which are relevant to that Domestic Customer are made up in their entirety of a Disputed Amount and/or a Supplier Error Amount, the licensee must, save where the relevant Domestic Customer makes a request in Writing that it should not do so, take such steps as are necessary and within its reasonable control to facilitate the Proposed Supplier Transfer.

14.9 If the licensee makes a request in accordance with the Retail Energy CodeMaster Registration Agreement to prevent a Proposed Supplier Transfer of a Domestic Customer, it must give a Notice to that customer as soon as reasonably practicable after making the request:

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- (a) to inform him or her:
 - (i) that it has made a request to prevent the transfer;
 - (ii) of the grounds for the request; and
 - (iii) how the customer may dispute or resolve such grounds; and
- (b) to offer him or her advice (or to give them information on how and where advice may obtained) concerning:
 - (i) energy efficiency;
 - (ii) debt management; and
 - (iii) alternative Domestic Supply Contracts offered by the licensee which would be available to that Domestic Customer and which may be preferable to their existing Domestic Supply Contract; and
- (c) to inform him or her that they have 30 Working Days after they receive the Notice to pay any Outstanding Charges where the Domestic Customer notified the licensee of their intention to end the Domestic Supply Contract following Notice of a unilateral variation from the licensee under paragraph 3 of standard condition 23.

~~14.10~~ If sub-paragraph 14.2(d) or 14.4(c) applies and the licensee has agreed to prevent a Proposed Supplier Transfer at the Domestic Customer or Non Domestic Customer's request, the licensee must:

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~~14.11~~ 14.10 keep evidence of that request and of the reasons for it for at least 12 months after the request is made; ~~and~~

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~~(i) — (inform the proposed new Electricity Supplier:~~

~~(xiii) — that the the Proposed Supplier Transfer has been prevented objection has been raised at the customer's request; and~~

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~~(xiv) of the reason given by the customer for making the request,
as soon as reasonably practicable after the licensee makes the request to prevent the transfer.~~

~~14.16~~ ~~14.11~~ ~~Not used~~ Sub-paragraph 14.4(d) will stop having effect on and from 1 April 2008 unless, before that date, the Authority issues a direction providing that the sub-paragraph will continue to have effect for a further period of time.

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Definitions for condition

~~14.17~~ 14.12 For the purposes of this condition:

“Disputed Amount” means the amount of any Charges which is the subject of a Genuine Dispute between the licensee and a Customer.

“Genuine Dispute” means a genuine dispute between the licensee and a Customer as to whether that Customer is liable to pay certain Charges which have been demanded of that Customer by the licensee.

“Supplier Error Amount” means the amount of any Charges which are not Disputed Amounts and which have accumulated as a result of an error on the part of the licensee, its equipment or its systems.

Condition 14A. Customer transfer

Obligation to complete a Supplier Transfer within ~~three weeks~~ Five Working Days

14A.1 The licensee must take all reasonable steps to complete a Supplier Transfer ~~-as soon as reasonably practicable and, in any event, within five Working Days~~ within 21 days of the Relevant Date unless:

- (a) the Customer requests that the Supplier Transfer be completed at a later date; or
- (b) the Customer notifies the licensee that he does not wish the Supplier Transfer to take place; or
- (c) one or more of the conditions in paragraph 14A.3 applies.

14A.2 The licensee must include a term in each Contract that has been entered into with a Customer ~~on or after the day after the day on which the Electricity and Gas (Internal Markets) Regulations 2011 are made,~~ providing that the licensee will complete any Supplier Transfer in accordance with that Contract ~~as soon as reasonably practicable and, in any event within five Working Days~~ within 21 days of the Relevant Date unless:

- (a) the Customer requests that the Supplier Transfer be completed at a later date; or
- (b) the Customer notifies the licensee that he does not wish the Supplier Transfer to take place; or
- (c) one or more of the conditions in paragraph 14A.3 applies.

14A.3 The conditions in this paragraph are that, on or after the Relevant Date:

- (a) a Relevant Electricity Supplier has prevented the Proposed Supplier Transfer in accordance with paragraph 14.2 ~~(a) to (b)~~ or 14.4 ~~(a) to (d)~~ of standard condition 14 (Customer transfer blocking); or
- (b) a Supply Exemption Holder is currently supplying electricity to the premises and has objected to the Proposed Supplier Transfer under paragraph 2 of Schedule 2ZB to the Act; or
- (c) the licensee does not have all of the information it requires in order to complete the Supplier Transfer, despite having taken all reasonable steps

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Switching SCR

to obtain the missing information from the Customer, and cannot readily obtain that information from another sources; or

- (d) the Customer is currently taking a supply of electricity through an Exempt Distribution System and the licensee is unable to start supplying electricity to the premises because:
 - (i) a connection which the licensee or the Customer requires to be made in accordance with paragraph 7(2) of Schedule 2ZA to the Act and that physical connection has not yet been made; or
 - (ii) the distribution exemption holder has specified, in a notice under paragraph 1(6)(a)(i) of Schedule 2ZA to the Act, a metering arrangement which it considers would be required for access to be given to a third party supplier (within the meaning of that Schedule) and that metering arrangement is not yet in place; or
- (e) the licensee is prevented from completing the Supplier Transfer due to any other circumstance which is outside the control of the licensee and which it has taken all reasonably practicable steps to resolve; or.

(f) the customer is a Domestic Customer and, having been prompted by the licensee or its representative, they have not expressly requested to start the supply before the expiry of the Cooling Off PeriodPeriod.

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14A.4 Where a condition in paragraph 14A.3 (a) to (e) applies the Supplier Transfer must be completed as soon as reasonably practicable and, in any event, within five5 Working Days21 days of the date on which the condition ceases to apply (or, if more than one condition applies, when all relevant conditions cease to apply).

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14A.4A Where the condition in paragraph 14A.3 (f) applies, the Supplier Transfer must be completed as soon as reasonably practicable and, in any event, within five Working Days of the date on which the condition ceases to apply which will be the earlier of the expiry of:

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(a) the Cooling Off Period, or

(b) the period of 14 days from entering into the Contract.

14A.5 Where the condition in 14A.3(b) applies, the licensee must not complete the Supplier Transfer before the objection by the Supply Exemption Holder under paragraph 2 of Schedule 2ZB to the Act is resolved in accordance with paragraph 1(8) of that Schedule.

14A.6 The licensee must not charge a Customer for any costs associated with carrying out a Supplier Transfer. The obligation in this paragraph is without prejudice to contractual conditions relating to the termination of a Non-Domestic Supply Contract and to any obligation in the Contract to pay a termination fee.

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Obligation to improve switching systems

14A.7 ~~In order to achieve fast and reliable Supplier Transfers, (including by the end of the next Working Day after a for Domestic Customer requests and the end of the second following Working Day after a for Non-Domestic Customer requests), the licensee must take all reasonable steps to maintain, and where appropriate, improve the relevant systems, processes and data that facilitate the Supplier Transfer process. In order to achieve the objective of completing all Supplier Transfers within 5 working 21 days of the Relevant Date, the licensee must take all reasonable steps to improve the systems and processes governing the Supplier Transfer process.~~

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Obligation to cooperate in respect of a Supplier Transfer

14A.8 The licensee must comply with any reasonable request from another Electricity Supplier or Supply Exemption Holder to provide information or to take any other steps which are reasonably necessary in order to enable that Electricity Supplier or Supply Exemption Holder to complete a Supplier Transfer within five 5 Working 24 Days of the Relevant Date.

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14A.9 (Not uUsed).

Obligation to prevent Erroneous Transfers

14A.10 If the licensee applies for a Supplier Transfer under the Retail Energy Code at a premises specified by a Customer, the licensee must take all reasonable steps to ensure that it has a Valid Contract with that Customer for that Supplier Transfer at the point that the application is made.

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~~If the licensee applies under the Master Registration Agreement to supply electricity at a premises specified by a Customer (the "Transfer Request"), the licensee must take all reasonable steps to ensure that it has a Valid Contract with that Customer for that Transfer Request at the point it is made.~~

14A.11 Where the licensee becomes aware, prior to starting to supply electricity at a premises, that it does not have a Valid Contract for the supply of electricity to that premises it shall take all reasonable steps to prevent its application for a Supplier Transfer Transfer Request from having effect.

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14A.12 (Not uUsed).

Obligations where a Domestic Consumer has cancelled a contract with the licensee

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14A.13 Where a Domestic Customer has cancelled their Contract within the Cooling Off Period, and the licensee receives notice of the cancellation prior to starting to supply ~~felectricity/gas~~ at a premises, the licensee must take all reasonable steps to prevent a Supplier Transfer from having effect.

14A.14 Where a Domestic Customer has cancelled their Contract within the Cooling Off Period and the licensee receives notice of that cancellation after starting to supply ~~felectricity/gas~~ at a premises, or where the licensee has failed to prevent the Supplier Transfer in accordance with 14A.13, the licensee must:

- (a) cancel the Contract;
- (b) not charge or otherwise seek to enforce a Termination Fee; and
- (c) subject to sub-paragraph a) and b), continue to supply the Domestic Customer on the basis of the Principal Terms that existed immediately prior to cancellation until the earlier of:
 - i. the Domestic Customer has agreed to a new Contract with the licensee and supply has started;
 - ii. the Domestic Customer has agreed to a new Contract with another Electricity Supplier and supply has started; or
 - iii. [15 Working Days] from the day that the licensee sends the information specified in paragraph 14A.16, at which point the licensee may choose to charge the Domestic Customer under a Deemed Contract.

14A.15 As soon as reasonably practicable after the licensee receives notice of cancellation from the Domestic Customer, it must inform the Domestic Customer of the information in paragraph 14A.16 in a form which, taking into account the characteristics, preferences and circumstances of that Domestic Customer, would allow them to make an informed choice. This paragraph does not apply where the licensee is no longer the New Supplier.

14.A.16 The information referred to in paragraph 14A.15 above includes:

- a) the obligations on the licensee specified in paragraph 14A.14; and
- b) the options the Domestic Customer has, as set out in paragraph 14A.17.

14A.17 After cancelling a Contract with the licensee, who remains the New Supplier, the Domestic Customer has the following options:

- a) enter into a new Contract offered by the licensee;
- b) enter into an Equivalent Terms Contract with the Old Supplier;
- c) enter into a new Contract with an Electricity Supplier, that is not the licensee, including the Old Supplier; or
- d) take no action and after [15 Working Days], beginning on the day the licensee sends the information in paragraph 14A.16, be supplied by the licensee on a Deemed Contract that is not restricted by the requirements set out in paragraph 14A.14.

Obligations on a licensee as the Old Supplier

14A.18 Where the circumstances in paragraph 14A.19 apply, the licensee must offer the Domestic Customer an Equivalent Terms Contract for a minimum period of [16 Working Days] starting from the day that licensee became the Old Supplier.

14A.19 The circumstances referred to in paragraph 14A.18 are that the licensee:

- a) is the Old Supplier; and
- b) has received notification from the Domestic Customer that they have cancelled a Contract during the Cooling Off Period and have chosen to return to the licensee in accordance with 14A.17(b).

Definitions for condition

~~14A.4220~~ For the purposes of this condition:

“Cooling-Off Period” means: after entering into a Contract, a period of time within which a Domestic Customer may decide not to proceed with the Contract pursuant to any relevant contractual term or statutory provision.

“Equivalent Terms Contract” means: a Contract available from the licensee (or, where there are any Affiliate Licensees, the licensee and any Affiliate Licensees), that:

- (a) has terms and conditions that are similar in nature to the Contract or Deemed Contract that would have been in place had the Domestic Customer not undergone a Supplier Transfer or taken any other action to amend the terms of that Contract or Deemed Contract with that licensee, including in respect of:
- i. their previous payment method;
 - ii. their previous Relevant Meter Type;
 - iii. their previous Account Management Arrangement; and
 - iv. their characteristics and preferences;
 - v. where the Domestic Customer is subject to a White Label Tariff, a White Label Tariff of the same White Label Tariff Provider; and
 - vi. where the Domestic Customer is not subject to a White Label Tariff, a Tariff which is not a White Label Tariff; and
- (b) is the same or cheaper than the tariff the Domestic Customer would have been on had they not undergone a Supplier Transfer.

_____ “**Relevant Date**” _____ means:

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- (a) _____ -the day on which a Customer enters into a Contract with a new Electricity Supplier; ~~and/or~~

or

- (b) where a Customer enters into a Contract with a new Electricity Supplier after 5pm on a Working Day, the next Working Day, and

for the purpose of this definition, the day on point at which a Customer enters into a Contract is the day on point at which the Customer has provided all of the information necessary to the Supplier or its Representative with sufficient information to conduct the switch (including a price comparison website or other third party intermediary that has a commercial relationship with the Supplier to help facilitate an agreement with the Customer for the supply of electricity) and the Customer would reasonably expect the switch to take place without further action on their part.

if after entering into the Contract there is a period of time within which the Customer may decide not to proceed with the Contract (the “Cooling Off Period”), the earlier of:

- (i) ~~the day on which the Cooling period ends;~~
~~the day on which the Customer and the licensee agree that the transfer may~~
~~proceed during the Cooling Off Period; and~~
- (ii) ~~14 days after the day on which the Customer entered into~~
~~the Contract.~~

“Supplier Transfer” in relation to any premises at which an Electricity Supplier is supplying electricity, means the transfer of responsibility for that supply from that Electricity Supplier to another Electricity Supplier.

A **“Valid Contract”** is ~~a Contract~~ one:

- (a) that has been entered into by the Customer;
- (b) that relates to the premises for which the application for a Supplier Transfer ~~Transfer Request~~ has been made; and
- (c) for which notice of cancellation of that contract has not been received by the licensee in accordance with any relevant contractual term or statutory provision.

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“Exempt Distribution System”

“Distribution Exemption Holder”

“Supply Exemption Holder” have the meaning given in Part 1 of the Act.

Condition 15. Assistance for areas with high distribution costs scheme: payments to System Operator

- 15.1 This condition sets out the obligations of the licensee in relation to payments to be made to the System Operator for the purpose of:
- (a) providing assistance with the high costs of distributing electricity incurred by a Relevant Distributor in a Specified Area; and
 - (b) raising any sums specified in a Shortfall Direction in order to recover costs arising from the application of an Energy Administration Order to a Protected Energy Company or an ESC Administration Order to an Energy Supply Company.
- 15.2 The payments to which paragraph 15.1 refers are payments made pursuant to:
- (a) in the case of sub-paragraph 15.1(a), the Energy Act 2004 (Assistance for Areas with High Distribution Costs) Order 2005; and
 - (b) in the case of sub-paragraph 15.1(b), the provisions of Chapter 3 of Part 3 of the Energy Act 2004 or the provisions of Chapter 5 of Part 2 of the Energy Act 2011, as the case may be.

Licensee's duty to pay

- 15.3 In accordance with paragraph 15.1, the licensee must pay to the System Operator:
- (a) the sums resulting from the pence per kWh tariff specified in accordance with the terms set out in standard condition C21 (Assistance for areas with high distribution costs scheme: payments from authorised suppliers) (for this condition only, "standard condition C21") of the Transmission Licence; and
 - (b) where applicable, such additional sums as result from any modification of those charges made pursuant to standard condition C24 (Energy administration and Energy Supply Company Administration: National Electricity Transmission System Operator: GBSO shortfall contribution obligations) (for this condition only, "standard condition C24") of the Transmission Licence.
- 15.4 Subject to paragraph 15.5, the sums to be paid by the licensee in accordance with paragraph 15.3 must be payable on a quarterly basis in each Financial Year (or such other basis as may be specified in standard condition C21 of the Transmission Licence) by:
- (a) the date indicated in each invoice received by the licensee from the System Operator requiring such payment; or
 - (b) where no such date is indicated, no later than 28 days after the date of the invoice.

- 15.5 In the case of sums payable in accordance with sub-paragraph 15.3(b), the licensee must comply with any basis of payment different from that set out in paragraph 15.4 if this is required by the Shortfall Direction and has been notified to the licensee by the System Operator.

Late payment charges

- 15.6 In relation to sums required to be paid by sub-paragraph 15.3(a), the licensee must pay to the System Operator an amount representing 8% above the Base Interest Rate of any payment not made to the System Operator on the date specified pursuant to paragraph 15.4, calculated for each day after the date on which that payment should have been made, until the payment is made.
- 15.7 In relation to any sums required to be paid by sub-paragraph 15.3(b), if the licensee does not make that payment on or before the date required in accordance with paragraph 15.4 or 15.5, it must pay to the System Operator an amount representing the rate of interest applicable to any part of the amount to be raised by the System Operator that is specified in the Shortfall Direction and set out in the System Operator's notice given to the licensee under standard condition C24 of the Transmission Licence, until the payment is made.
- 15.8 Any interest payment owed under paragraph 15.6 or 15.7 must be made by the licensee as soon as possible after, and in any event no later than 28 days after, the date of the System Operator's invoice for such payment.

Definitions for condition

- 15.9 For the purposes of this condition:

Act means the Electricity Act 1989.

Base Interest Rate means, in respect of any day, the rate per annum which is equal to the base lending rate from time to time of Barclays Bank plc as at the close of business on the immediately preceding Business Day.

Business Day means any day of the week, other than a Saturday, on which banks are open for domestic business in the City of London.

Energy Administration Order has the same meaning as in section 154 of the Energy Act 2004.

ESC Administration Order has the same meaning as in section 94 of the Energy Act 2011.

Financial Year means a period of 12 months beginning on 1 April each year and ending on 31 March of the next calendar year.

Protected Energy Company has the same meaning as in section 154 of the Energy Act 2004.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Energy Supply Company has the same meaning as in section 94 of the Energy Act 2011.

Relevant Distributor has the same meaning as in section 184 of the Energy Act 2004.

Shortfall Direction has the same meaning as in standard condition C24 of the Transmission Licence.

Specified Area means the area specified in the Energy Act 2004 (Assistance for Areas with High Distribution Costs) Order 2005.

System Operator means the holder, from time to time, of a Transmission Licence.

Transmission Licence means a licence granted, or treated as granted, under section 6(1)(b) of the Act and in which Section C of the standard conditions of that licence has effect.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Conditions 16 to 19 (Not used)

Condition 19A. Financial information reporting

- 19A.1. The Relevant Licensee must prepare and publish on its Website a Consolidated Segmental Statement in respect of information relating to the revenues, costs and profits of its activities in the generation and supply of electricity and the supply of gas to any premises taking account of the Guidelines.
- 19A.2. Where applicable, the Relevant Licensee must prepare and publish the Consolidated Segmental Statement referred to in paragraph 19A.1 in conjunction with any Affiliates.
- 19A.3. The Relevant Licensee must, in conjunction with any Affiliates, prepare and publish a Consolidated Segmental Statement
- (a) as soon as reasonably practicable and no later than four months after the end of the Relevant Licensee's financial year; or
 - (b) no later than a date specified by the Authority, which can be no earlier than four months after the end of the Relevant Licensee's financial year.
- 19A.4. Subject to complying with this paragraph the Relevant Licensee may, for the purpose of preparing the statement pursuant to paragraph 19A.3, prepare and compile the information according to the licensee's annual accounting procedures. The Relevant Licensee must include in every such statement an explanation of:
- (a) how it defines the terms revenues, costs and profits;
 - (b) how the revenues and profits can be reconciled with its UK statutory accounts;
 - (c) or, if UK statutory accounts are not prepared or published, how the revenues and profits can be reconciled with audited figures (prepared under International Financial Reporting Standards) published in Group accounts;
 - (d) its transfer pricing methodology and how this relates to the revenues, costs and profits information published; and
 - (e) where individual business functions are captured in the Consolidated Segmental Statement, as specified by Appendix 2 of the Guidelines.
- 19A.5. The Relevant Licensee must ensure that the information prepared and made public pursuant to paragraph 19A.3 includes the cost of fuel used to generate electricity and its share of revenues, costs, profits and volumes of Joint Ventures and Associates.

- 19A.6. Subject to complying with Paragraph 19A.5 the Relevant Licensee must ensure that all the information prepared and made public pursuant to paragraph 19A.3 is in all material respects consistent with the information prepared pursuant to paragraph 19A.4 and the information is presented with a clear and full explanation.
- 19A.7. The Relevant Licensee must, for the purposes of ensuring the transfer pricing methodology is appropriate and up to date:
- (a) keep transfer pricing policies and procedures under review; and
 - (b) ensure that the supporting information that supports the transfer pricing policies remains appropriate and up to date.
- 19A.8. The Relevant Licensee must notify the Authority as soon as reasonably practicable of any material changes to transfer pricing policies.
- 19A.9. (a) The Authority shall prepare Guidelines in relation to the requirements of this condition and may modify, in whole or in part, the Guidelines following consultation with the Relevant Licensees.
- (b) The Authority shall modify the definition of Consolidated Segmental Statement as described in Appendices 1 and 2 of the Guidelines in accordance with section 11A of the Act.
- 19A.10. Except and to the extent that the Authority otherwise consents, the Relevant Licensee must include in the Consolidated Segmental Statement a report from an Appropriate Auditor that gives an audited opinion as to the extent to which the Relevant Licensee has properly prepared the Consolidated Segmental Statement in accordance with this licence condition and the Guidelines.
- 19A.11. For the purposes of this condition:
- “Affiliate”** means any holding company or subsidiary of a holding company of the Relevant Licensee, in each case within the meaning of sections 1159 and 1160 of the Companies Act 2006.
- “Appropriate Auditors”** means
- (a) in the case of a licensee which is a company within the meaning of section 1 of the Companies Act 2006, a person appointed as auditor under Chapter 2 of Part 16 of that Act;
 - (b) in the case of any other licensee which is required by the law of a country or territory within the European Economic Area to appoint an auditor under provisions analogous to Chapter 2 of Part 16 of the Companies Act 2006, a person so appointed;

- (c) in any other case, a person who is eligible for appointment as a company auditor under sections 1212 and 1216 of the Companies Act 2006, or in relation to auditors appointed for financial years beginning before 6 April 2008, a person who is eligible for appointment as a company auditor under sections 25 and 26 of the Companies Act 1989.

“Associate” means an entity, including an unincorporated entity such as a partnership, over which the Relevant Licensee has significant influence and that is neither a subsidiary nor an interest in a joint venture.

“Consolidated Segmental Statement” means a statement as described in Appendices 1 and 2 of the Guidelines.

“Joint Venture” means a contractual arrangement whereby the Relevant Licensees and one or more parties undertake an economic activity that is subject to joint control.

“Relevant Licensee” means the holder of an electricity supply licence granted or treated as granted under section 6(1)(d) of the Act if:

- (a) it supplies, or it and any of its Affiliates jointly supply:
- i. electricity to more than 250,000 domestic customers; or
 - ii. gas to more than 250,000 domestic customers; or
 - iii. electricity to more than 250,000 non-domestic customers; or
 - iv. gas to more than 250,000 non-domestic customers, respectively: and
- (b) it or any of its Affiliates is a holder of an electricity generation licence granted or treated as granted under section 6(1)(a) of the Act.

“Website” means a website controlled and used by the Relevant Licensee or an Affiliate for the purposes of providing information and communication.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Condition 19B. Prohibition of cross-subsidies

The licensee shall ensure that its business carrying out supply activities shall not give any cross-subsidy to, or receive any cross-subsidy from any interconnection, transmission or distribution business of the licensee.

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Standard conditions 20 and 21:

Information for all Customers

Condition 20. Enquiry service, Supply Number and dispute settlement – for Non-Domestic Customers

Licensed Distributor's Enquiry Service

- 20.1 The licensee must keep each of its Non-Domestic Customers informed of the current postal address and telephone number of the Licensed Distributor's Enquiry Service.
- 20.2 The licensee may comply with paragraph 20.1 by:
- (a) providing each Non-Domestic Customer whose premises is supplied with electricity under a Contract or a Deemed Contract with the information referred to in that paragraph when the licensee first begins to supply electricity to the Non-Domestic Customer's premises or, in the case of a Deemed Contract, becomes aware that it is doing so;
 - (b) providing the information referred to in that paragraph to each Non-Domestic Customer on each Bill or statement of account sent to a Non-Domestic Customer in relation to Charges for the Supply of Electricity or annually if the licensee has not sent such a Bill or statement of account to them; and
 - (c) providing the information referred to in that paragraph to a Non-Domestic Customer as soon as reasonably practicable after they request it.
- 20.3 The licensee must take all reasonable steps to inform each of its Non-Domestic Customers of any change to the postal address or telephone number of the Licensed Distributor's Enquiry Service before the date on which the change becomes effective or as soon as reasonably practicable after that date.

Supply Number

- 20.4 The licensee must inform each of its Non-Domestic Customers of their Supply Number:
- (a) on each Bill or statement of account sent to a Non-Domestic Customer in relation to Charges; or
 - (b) annually if the licensee has not sent such a Bill or statement of account to them.

Dispute settlement

- 20.5 The licensee must provide to each of its Non-Domestic Customers information concerning their rights as regards the means of dispute settlement available to them in the event of a dispute with the licensee by providing that information on any relevant Promotional Materials sent to the Non-Domestic Customer and on or with

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each Bill or statement of account sent to each Non-Domestic Customer in relation to Charges or annually if the licensee has not sent such a Bill or statement of account to them.

Condition 21. Fuel mix disclosure arrangements

- 21.1 The purpose of this condition is to require the licensee to publish specified information about:
- (a) fuel sources from which the electricity supplied by it has been generated; and
 - (b) the environmental impact of generating electricity from those fuel sources.

Licensee's duty to publish

- 21.2 The duty to publish under this condition arises where the licensee has been a supplier of electricity for the whole of any Disclosure Period.
- 21.3 Where the duty arises, the licensee must, during the period of 12 months beginning on 1 October after the end of a Disclosure Period:
- (a) provide the Data relating to that Disclosure Period to each customer to whom it sends a Bill or statement of account, by including that Data on or with at least one Bill or statement of account sent to the customer during those 12 months; and
 - (b) include the Data relating to that Disclosure Period in its Promotional Materials issued during those 12 months.

Data for publication

- 21.4 The Data are, in respect of each Disclosure Period:
- (a) the contribution, expressed as a percentage, made by each Energy Source to the Total Amount of Electricity purchased for supply by the licensee; and
 - (b) the environmental impact of the Total Amount of Electricity purchased for supply by the licensee, expressed as the quantity in grams of carbon dioxide emitted and of radioactive waste produced per kWh of electricity.
- 21.5 The Data are to be calculated consistently with paragraphs 21.10 to 21.13.

Publishing Data

- 21.6 The licensee must indicate clearly, when publishing the Data under this condition:
- (a) the Disclosure Period to which those Data relate; and
 - (b) that the Data relate to the Total Amount of Electricity purchased for supply by the licensee.

- 21.7 Each item of Data referred to in sub-paragraph 21.4(a), if it is not zero, must be rounded either to the nearest whole percentage point or, if it is less than one percent, to the nearest single decimal place.
- 21.8 The Data referred to in sub-paragraph 21.4(b) may be provided by reference to an existing source such as a web page, so long as that reference is sufficiently clear to enable the source to be easily accessed and that the purpose for which the reference is provided is stated on or with the Bill or statement of account and on the Promotional Materials.
- 21.9 During the last two months of any period of 12 months beginning on 1 October after the end of a Disclosure Period, the licensee may include in its Promotional Materials the Data relating to the most recent Disclosure Period instead of the Data referred to in paragraph 21.3.

Evidence for contributions

- 21.10 An Energy Source must be treated as having contributed to the Total Amount of Electricity purchased for supply by the licensee where, at midday on 1 July immediately after the end of a Disclosure Period, the licensee holds:
- (a) for Renewable Energy Sources, a Guarantee of Origin relating to its generation in that period or, up to and including 1 July 2006, a Generator Declaration relating to that period and indicating a Renewable Energy Source;
 - (b) for coal, gas, a nuclear source or any Other Energy Source, a Generator Declaration relating to that period and indicating that Energy Source; and
 - (c) for electricity that is purchased by way of an electricity exchange or imported from an undertaking outside the Community, if aggregated figures are provided by that exchange or undertaking, those figures that identify the amount of electricity produced from a particular Energy Source.
- 21.11 If the licensee does not hold evidence referred to in paragraph 21.10 in respect of any part of the electricity which it has purchased for supply during the Disclosure Period, it must apportion that electricity between Energy Sources in the percentages provided for under the Fuel Mix Disclosure Data Table.
- 21.12 The licensee may only rely on a Guarantee of Origin issued outside Great Britain or on a Generator Declaration from a generator outside Great Britain if:
- (a) it holds evidence that the electricity referred to in the Guarantee of Origin or Generator Declaration has been supplied in Great Britain; and
 - (b) that Guarantee of Origin or Generator Declaration has not been used outside Great Britain as evidence of fuel mix.

Calculating the environmental impact

21.13 The Data referred to in sub-paragraph 21.4(b) must be calculated as follows:

- (a) for carbon dioxide, by multiplying the percentage contribution of each Energy Source calculated under sub-paragraph 21.4(a) by the CO₂ emission rate for that Energy Source set out in the Fuel Mix Disclosure Data Table and then adding together the results of that calculation for all Energy Sources; and
- (b) for radioactive waste, by multiplying the percentage contribution of nuclear generation calculated under sub-paragraph 21.4(a) by the rate of radioactive waste set out in the Fuel Mix Disclosure Data Table.

21.14 Not Used.

Definitions for condition

21.15 For the purposes of this condition:

Data has the meaning given in paragraph 21.4.

Disclosure Period means each period beginning on 1 April and ending on the following 31 March.

Energy Source means the fuel used for the generation of electricity purchased for supply by the licensee, being coal, gas, a nuclear source, a Renewable Energy Source or any Other Energy Source.

Fuel Mix Disclosure Data Table means a table published by the Department of Trade and Industry on its website, which is available by 1 August each year and is identified as being for use by suppliers for the provision of:

- (a) the adjustment factor referred to in the definition (below) of the Total Amount of Electricity;
- (b) the percentages required for the calculation of the amount of each Energy Source in the residual fuel mix for the purposes of paragraph 21.11; and
- (c) the information required about environmental impact for the purposes of paragraph 21.13.

Generator Declaration means a declaration including details of:

- (a) the name and location of the generating station;

- (b) the name of the licence holder to which the information in the declaration relates;
- (c) the Disclosure Period to which the declaration relates;
- (d) the fuel used in the generating station and, when that station uses more than one fuel, the proportion of each fuel used according to its calorific value;
- (e) the amount of electricity subject to the declaration, expressed in MWh;
- (f) a statement that the generator has neither issued Generator Declarations nor transferred Guarantees of Origin in relation to an amount of electricity that exceeds the total output of the generating station in the Disclosure Period; and
- (g) the signature of a director of the generating company (or a person of similar standing where the licence holder to which the declaration relates is not a company) to verify the facts referred to in sub-paragraphs (a) to (f).

Guarantee of Origin means a certificate issued by the Authority or by any other competent body that is recognised by the Authority under the Electricity (Guarantees of Origin of Electricity Produced from Renewable Energy Sources) Regulations 2003.

Other Energy Source means an Energy Source other than coal, gas, a nuclear source or a Renewable Energy Source.

Renewable Energy Source means any of the following: wind power, solar power, geothermal power, wave power, tidal power, hydro power and power produced from biomass, landfill gas, sewage treatment plant gas and biogases.

Total Amount of Electricity means the sum of the figures determined by the licensee under article 6(3) of the Renewables Obligation Order 2002 and article 6(3) of the Renewables Obligation (Scotland) Order 2004 (or equivalent determinations under any amendments to those Orders or re-enactments of the renewables obligation upon revocation of those Orders) multiplied by an adjustment factor provided in the Fuel Mix Disclosure Data Table to allow for transmission and distribution losses.

Condition 21A. Provision of the annual statement of supply to Participants of the Carbon Reduction Commitment (CRC) Energy Efficiency Scheme

21A.1 Where a Participant requests in writing for it to do so, a licensee must provide in Writing the information specified in paragraph 21A.2 within 6 weeks of the end of the Year of the Phase to which the information relates.

21A.2 The information referred to in paragraph 21A.1 is:

- (a) the amount of electricity supplied (in kWh) by the licensee to the Participant in the Year in which the request is made;
- (b) how much (in kWh), if any, of the electricity supply has been estimated by the licensee, and the period to which such an estimate relates;
- (c) the premises to which the supply was made;
- (d) the Meter Point Administration Number (MPAN) of the Electricity Meter that measured any such supply.

21A.3 The Authority may issue, and may from time to time revise, guidance regarding the interpretation of this licence condition.

21A.4 In this condition:

“**Participant**” has the same meaning as that set out in Article 3 of the CRC Energy Efficiency Scheme Order 2010 (S.I. 2010/768).

“**Phase**” has the same meaning as that set out in Articles 2 and 3 of the CRC Energy Efficiency Scheme Order 2010 (S.I. 2010/768).

“**Year**” has the same meaning as that set out in Article 3 of the CRC Energy Efficiency Scheme Order 2010 (S.I. 2010/768).

Condition 21B. Billing based on meter readings

21B.1 If a Customer provides a meter reading to the licensee that the licensee considers reasonably accurate, or if the Electricity Meter is read by the licensee, the licensee must take all reasonable steps to reflect the meter reading in the next Bill or statement of account sent to the Customer.

21B.2 If the licensee considers that a meter reading provided by a Customer is not reasonably accurate, the licensee must take all reasonable steps to contact the Customer to obtain a new meter reading from him.

21B.3 Paragraphs 21B.4 and 21B.5 apply from 31 December 2014.

21B.4 The licensee must take all reasonable steps to obtain a meter reading (including any meter reading transmitted electronically from a meter to the licensee or provided by the Customer and accepted by the licensee) for each of its Customers at least once every year.

This paragraph does not apply in relation to any Customer with a Prepayment Meter.

21B.5 The licensee must make available a Bill or statement of account to each of its Customers at least twice yearly and at least quarterly to any Customer who requests it or who has Online Account Management.

This paragraph does not apply in relation to any Customer with:

- (a) a Prepayment Meter;
- (b) a Smart Metering System;
- (c) unmetered supply as defined in regulation 2 of the Electricity (Unmetered Supply) Regulations 2001(1).

21B.6 Where a Customer requests Online Account Management the licensee must comply with that request.

21B.7 Where a Customer requests an explanation of how their Bill or statement of account was derived the licensee must comply with that request in plain and intelligible language.

21B.8 The licensee must not make a specific charge for the provision of a Bill or statement of account or for access in an appropriate way to the consumption data used to calculate that Bill or statement of account.

This paragraph does not apply in respect of providing additional copies of a Bill or statement of account to a Customer.

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21B.9 Where a Customer requests the licensee to make available information on their energy billing and historical consumption either to the Customer or to any other person designated by the Customer the licensee must comply with that request to the extent that the information requested is available and as soon as reasonably practicable.

Condition 21BA. Backbilling

Part A: application to Domestic Customers

Prohibition

21BA.1 Subject to paragraph 21BA.2, where the licensee or any Representative Issues a Bill to a Domestic Customer or otherwise seeks to recover (including via a Prepayment Meter) Charges for the Supply of Electricity from that customer (hereafter a “charge recovery action”), they must only do so in respect of:

- (a) units of electricity which could reasonably be considered to have been consumed within the 12 months preceding the date the charge recovery action was taken; and
- (b) where applicable, amounts in respect of a Standing Charge or any other type of supply charge accrued within the 12 months preceding the date the charge recovery action was taken.

Exceptions to prohibition

21BA.2 Paragraph 21BA.1 does not apply in the following circumstances:

- (a) where any charge recovery action was taken prior to the date this condition took effect;
- (b) the licensee or any Representative, has taken a charge recovery action following the date this condition took effect in a manner which complied with paragraph 21BA.1 and, due to non-payment are continuing to take steps to obtain payment for the same units of electricity and, where applicable, the same amounts in respect of a Standing Charge or other type of supply charge;
- (c) the licensee has been unable to take a charge recovery action for the correct amount of electricity consumed due to obstructive or manifestly unreasonable behaviour of the Domestic Customer;
- (d) any other circumstances, which following consultation, the Authority may specify by publishing a statement in writing.

Terms of contracts

21BA.3 The licensee must ensure that the terms and conditions of each Relevant Contract comply with the provisions of this condition.

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21BA.4 The licensee must ensure that each Relevant Contract contains terms and conditions which reflect the effect of the provisions of this condition.

21BA.5 The licensee must not enforce or take advantage of any term of a Relevant Contract if:

- (a) the inclusion of that term is incompatible with this condition; or
- (b) the enforcement or taking advantage of that term would be so incompatible.

Definitions for Part A

21BA.6 In this condition Relevant Contract means any Domestic Supply Contract and Deemed Contract.

Part B: application to Micro Business Consumers

21BA.7 In respect of a Micro Business Consumer, the licensee must comply with Part A of this condition on the basis that:

- (a) any reference to Domestic Customer is to be read as a reference to Micro Business Consumer; and
- (b) any reference to a Relevant Contract is to be read as a reference to Micro Business Consumer Contract.

21BA.8 In this condition **Micro Business Consumer** and **Micro Business Consumer Contract** have the meanings given in standard condition 7A.

Compliance with Part A and Part B

21BA.9 The licensee must comply with:

- (a) paragraphs 21BA.1 to 21BA.6 with immediate effect; and
- (b) paragraphs 21BA.7 and 21BA.8 with effect on and from 01 November 2018.

Condition 21C. Declaration of a Licensee's FIT status

21C.1 The licensee must keep each of its Customers informed as to whether it is a Mandatory FIT Licensee, Voluntary FIT Licensee or neither.

21C.2 The licensee may comply with paragraph 21C.1. by–

- (a) providing each Customer whose premises is supplied with electricity under a Contract or a Deemed Contract with the information referred to in that paragraph when the licensee first begins to supply electricity to the customer's premises or, in the case of a Deemed Contract, becomes aware that it is doing so; or

- (b) providing the information referred to in that paragraph to a Customer as soon as reasonably practicable after the customer requests it.

21C.3. In this condition, "Mandatory FIT Licensee" and "Voluntary FIT Licensee" have the meaning set out in Schedule A to Standard Condition 33.

Condition 21D. Tariffs with Environmental Claims

21D.1 Conditions 21D.2 to 21D.13 apply to a licensee who offers a Tariff to Domestic Customers to which it attaches an Environmental Claim.

Evidence of supply

21D.2 The licensee must, at midday on 1 July immediately after a disclosure period as defined in SLC 21 and after it supplies electricity under a Tariff to which it attaches an Environmental Claim to the effect that some or all of the electricity supplied under that Tariff is generated from renewable sources:

- a) hold the requisite number of Guarantees of Origin (GOs) to support the volume of claimed renewable supply, and,
- b) retire any associated Levy Exemption Certificates (LECs).

21D.3 For the use of Guarantees of Origin issued outside of Great Britain, the same rules and procedures apply as set out in SLC 21.12.

Additionality

21D.4 If the licensee makes an Environmental Claim in connection with a Tariff, either:

- a) the licensee must ensure that the claimed environmental benefit is a result of consumers choosing to purchase the Tariff in question and not solely brought about as a result of subsidies, obligations or other mandatory mechanisms; or
- b) if the licensee cannot comply with (a), publish a statement in accordance with paragraphs 21D.6 and 21D.7.

Transparency

21D.5 The licensee must provide the following information to customers.

Tier 1

21D.6 If paragraph 21D.4(b) applies, the licensee must publish a clear statement to the effect that purchasing the tariff in question will not produce an environmental benefit.

21D.7 The licensee must ensure that the statement required by paragraph 21D.6 is published prominently and in close proximity to the Environmental Claim.

Tier 2

21D.8 Before it enters into a Domestic Supply Contract with a Domestic Customer for a Tariff that is the subject of an Environmental Claim, the licensee must take all reasonable steps to communicate the following information to the Domestic Customer:

- a) A Fuel Mix Disclosure chart illustrating the relevant fuel mix of the licensee in line with SLC 21.
- b) If paragraph 21D.4(a) applies to the tariff, a description of the environmental benefit that is, or will be, delivered as a result of the Domestic Customer choosing the Tariff, expressed where applicable in tonnes of CO2 equivalent.
- c) A link to, or information on where the Domestic Customer can obtain, the information required by paragraph 21D.9

Tier 3

21D.9 To put the Environmental Claim in context, the licensee must make further information available to Domestic Customers from a publicly accessible resource such as its website (including information on additionality, government support for renewable supply, and how electricity is physically distributed).

Responsibility for Representatives

21D.10 The licensee must take all reasonable steps to ensure that its Representatives comply with the obligations in paragraphs 21D.6 to 21D.9.

Annual reporting obligation

21D.11 For each Tariff it offers to which paragraph 21D.6 does not apply, the licensee must publish a report annually to outline the environmental benefit derived from the tariff.

21D.12 The licensee must comply with guidance on the interpretation of condition 21D which, following consultation, the Authority may issue and may from time to time revise.

Definitions for condition

21D.13 In this condition:

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“Environmental Claim” means a claim made in the course of marketing, billing, or other customer communication that asserts, or may lead a customer to believe, that choosing the particular tariff is driving an environmental benefit based on the supply of renewable electricity.

“Guarantee of Origin” or **“GO”** means a certificate issued by the Authority or by any other competent body that is recognised by the Authority under the Electricity (Guarantees of Origin of Electricity Produced from Renewable Energy Sources) Regulations 2003.”

“Levy Exemption Certificate” or **“LEC”** means a renewables levy exemption certificate (in units of one megawatt hour each) issued by the Authority or its appointed agent as evidence that one megawatt hour of renewable electricity is wholly exempt from the Climate Change Levy;

“Climate Change Levy” means the levy of that name introduced pursuant to the Finance Act 2000 and subordinate legislation, including the Climate Change Levy (General) Regulations 2001 (SI 2001/838), as amended from time to time.

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SECTION B: STANDARD CONDITIONS FOR DOMESTIC SUPPLIERS

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Standard conditions 22 to 24:
Regulation of Domestic Supply Contracts

Condition 22. Duty to offer and supply under Domestic Supply Contract

Licensee's obligations

- 22.1 If the licensee supplies electricity to Domestic Premises, it must do so under a Domestic Supply Contract or a Deemed Contract.
- 22.2 Within a reasonable period of time after receiving a request from a Domestic Customer for a supply of electricity to Domestic Premises, the licensee must offer to enter into a Domestic Supply Contract with that customer.
- 22.3 If the Domestic Customer accepts the terms of the Domestic Supply Contract offered to him under paragraph 22.2, the licensee must supply electricity in accordance with that contract.
- 22.4 A Domestic Supply Contract must:
 - (a) be in Writing; and
 - (b) include all the terms and conditions for the supply of electricity, including:
 - (i) a term separately identifying the Charges for the Supply of Electricity and the charge for any other good or service to be provided; and
 - (ii) a term reflecting the provisions of standard condition 24 (Termination of Domestic Supply Contracts) in relation to the ending of the contract in the circumstances set out there.
- 22.5 A Domestic Supply Contract or a Deemed Contract with a Domestic Customer entered into or negotiated on or after the day after the day on which the Electricity and Gas (Internal Markets) Regulations 2011 are made must include:
 - (a) the identity and address of the licensee;
 - (b) the services provided, including any maintenance services provided, and any service quality levels that are to be met;
 - (c) if a connection is required, when that connection will take place;
 - (d) the means by which up to date information on all applicable tariffs and maintenance charges may be obtained;
 - (e) any conditions for renewal of the Domestic Supply Contract;

- (f) any compensation and refund arrangements which apply if contracted quality service levels are not met, including inaccurate and delayed billing; and
- (g) information concerning the Domestic Customer's rights as regards the means of dispute settlement available to them in the event of a dispute with the licensee including how dispute resolution procedures can be initiated.

22.6 If a Domestic Premises is in the part of the North of Scotland referred to in an order made by the Secretary of State under section 7B of the Act, the licensee must ensure that the Charges for the Supply of Electricity for the premises comply with that order.

Exceptions to licensee's obligations

22.7 The licensee is not required to comply with the obligations set out in paragraphs 22.2 or 22.3 in any of the following circumstances:

- (a) the licensee may breach regulations made under section 29 of the Act if it supplies electricity to the Domestic Premises, provided that it has taken all reasonable steps to prevent such breach from occurring;
- (b) without prejudice to paragraph 13 of standard condition 25B, it is not reasonable in all the circumstances of the case for the licensee to supply electricity to the Domestic Premises, provided that, if it is already supplying electricity to the premises, it has given at least seven Working Days' Notice of its intention to stop doing so;
- (c) the licensee requires the Domestic Customer to pay a Security Deposit and he does not do so, except if that deposit is in breach of any of the requirements in paragraphs 3 and 4 of standard condition 27 (Payments, Security Deposits and Disconnections); or
- (d) where the licensee is a Non-Green Deal Licensee, the premises of the Domestic Customer are Green Deal Premises and the licensee reasonably expects that those premises will continue to be Green Deal Premises at the time the licensee expects to begin supplying electricity to the premises.

Provision of Domestic Supply Contracts

22.8 If a person requests a copy of any form of Domestic Supply Contract that the licensee may offer under paragraph 22.2, the licensee must send a copy of that

form of contract to that person within a reasonable period of time after receiving the request.

Provision of Customer Information

22.9 Where a Domestic Customer requests the licensee to pass on his Historic Consumption Data and/or Supply Number either to the Domestic Customer or to another Electricity Supplier or to any other person, the licensee shall comply with that request free of charge as soon as reasonably practicable.

22.10 For the purposes of this condition:

“Historic Consumption Data” means:

- (a) except where a Domestic Customer has held his Domestic Supply Contract for less than 12 months, the quantity of electricity supplied to the Domestic Customer’s Domestic Premises during the previous 12 months;
or
- (b) where the Domestic Customer has held his Domestic Contract for less than 12 months, the quantity of electricity supplied to the Domestic Customer’s Domestic Premises during the duration of the Domestic Contract.

Condition 22A. Unit Rate, Standing Charge and Tariff Name requirements

22A.1 The licensee must only:

- (a) offer to supply a Domestic Customer on the basis of a Domestic Supply Contract which complies with standard condition 22A; and
- (b) supply a Domestic Customer on the basis of a Domestic Supply Contract or a Deemed Contract which complies with standard condition 22A.

22A.2 In respect of supplying electricity to a Domestic Customer under a Deemed Contract or Domestic Supply Contract, the licensee must ensure that all Charges for Supply Activities are incorporated within:

- (a) where the Domestic Supply Contract or Deemed Contract is for a Non-Time of Use Tariff:
 - (i) zero or more Standing Charge(s); and/or
 - (ii) zero or more Unit Rate(s); and
- (b) where the Domestic Supply Contract or Deemed Contract is for a Time of Use Tariff:
 - (i) zero or more Standing Charge(s); and/or
 - (ii) Time of Use Rates.

22A.3 When the licensee or any Representative displays or communicates the Charges for the Supply of Electricity in any form the licensee must ensure that each of the following are separately identified from each other and any other charges:

- (a) the Standing Charge(s);
- (b) the Unit Rate(s) or, where applicable, Time of Use Rates.

Restriction on Tariff Name

22A.3A With the exception of White Label Tariffs, in any Region the licensee must not use more than one Tariff Name for each of its Tariffs at any time;

22A.3B In respect of White Label Tariffs, in any Region the licensee must not use more than one Tariff Name for each White Label Tariff of the same White Label Tariff Provider at any time.

22A.3C For the purposes of this condition the expression “use” is to be read as including (but is not necessarily limited to) circumstances where a Domestic Customer is:

- (a) able to enter into a contract for something;
- (b) offered something; or
- (c) provided with something.

Exception to compliance with condition

22A.4 The licensee is not required to comply with standard condition 22A to such extent and subject to such conditions as the Authority may from time to time direct.

Guidance

22A.5 The licensee must have regard to any guidance on standard condition 22A (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Terms of Domestic Supply Contracts and Deemed Contracts

22A.6 The licensee must ensure that the terms and conditions of each Domestic Supply Contract and Deemed Contract comply with the provisions of standard condition 22A.

22A.7 The licensee must ensure that each Domestic Supply Contract and Deemed Contract contains terms and conditions which reflect the effect of:

- (a) paragraph 22A.2 of standard condition 22A; and
- (b) any provisions of standard condition 22A specified in directions, which following consultation, the Authority may issue and may from time to time revise (following further consultation).

22A.8 The licensee must not enforce or take advantage of any term of a Domestic Supply Contract or Deemed Contract if:

- (a) the inclusion of that term is incompatible with standard condition 22A; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

Definitions for condition

22A.9 In this condition:

“Charges for Supply Activities” means (excluding Separate Charges, a Security Deposit, a Dual Fuel Discount and an Online Account Management Discount) any charges or costs relating to activities that could reasonably be considered as being directly related to the supply of electricity to premises, including (but not limited) to:

- (a) Customer billing;
- (b) meter reading;
- (c) data processing;
- (d) the provision of an Electricity Meter;
- (e) the transmission and distribution of electricity;
- (f) any form of electricity generation.

“Separate Charges” means (in so far as they are compatible with any relevant provisions of legislation, law or other licence conditions):

- (a) charges levied on particular occasions in respect of matters related to the licensee’s statutory powers to Disconnect premises;
- (b) charges levied on particular occasions in relation to the licensee’s statutory powers in respect of rights of entry to premises;
- (c) charges levied on particular occasions in respect of:
 - (i) removing, inspecting (rather than meter reading), re-installing, testing, installing, or repairing a meter; or
 - (ii) changing the position of a meter installed at premises;
- (d) charges levied on particular occasions in respect of making of a connection between premises and a Distribution System of a Licensed Distributor;
- (e) a Termination Fee levied on a particular occasion;
- (f) fees or charges levied on particular occasions in respect of, or related to, the late payment of Bills;

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- (g) charges levied on particular occasions in respect of providing replacement prepayment meter cards or payment cards;
- (h) charges levied on particular occasions in respect of providing additional copies of any documentation to a Domestic Customer;
- (i) charges that may be levied on a Domestic Customer from their telephone provider in respect of telephone calls;
- (j) any charges which are expressly required by any licence condition or legislation; and
- (k) charges levied on particular occasions in respect of any matters specified in directions which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise.

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Condition 22B. (Not used.)

Condition 22C. Fixed Term Supply Contracts

22C.1 Without prejudice to any Evergreen Supply Contract or Deemed Contract, the licensee and any Representative must only supply or offer to supply a Domestic Customer on the basis of a Fixed Term Supply Contract which complies with standard condition 22C.

Prohibition on further fixed term periods

22C.2 Without prejudice to paragraphs 22C.5, 22C.7 and 22C.8 of standard condition 22C and paragraph 24.9 of standard condition 24 (Continuation of Fixed Term Supply Contract Terms for interim period), the licensee must not extend in any way the duration of any fixed term period that applies to a Fixed Term Supply Contract.

Renewal of a Fixed Term Supply Contracts

22C.3 Prior to the end of a Fixed Term Supply Contract the licensee must act in accordance with standard condition 31I.

22C.4 (Not used)

22C.5 In relation to any Fixed Term Supply Contract, other than a Relevant Fixed Term Default Tariff, the licensee may only extend the duration of that Contract for a further fixed term period (with or without any changes to other terms and conditions, including the Charges for the Supply of Electricity) if:

- (a) the licensee has provided the Domestic Customer with a Domestic Statement of Renewal Terms which incorporates an additional Notice which:
 - (i) with the exception of the Domestic Statement of Renewal Terms, must be provided separately from any other document, including (but not limited to) a Bill, statement of account or marketing material;
 - (ii) informs the Domestic Customer that the licensee is seeking the Domestic Customer's express agreement in Writing to a further fixed term period with or without proposed changes to other terms and conditions (including the Charges for the Supply of Electricity);
 - (iii) informs the Domestic Customer of the duration of the proposed further fixed term period and the nature, purpose and effect of any

proposed changes to other terms and conditions (including the Charges for the Supply of Electricity); and

- (iv) informs the Domestic Customer they are under no obligation to agree to the proposed further fixed term period or any proposed changes to other terms and conditions (including the Charges for the Supply of Electricity);
- (b) the Domestic Customer has expressly agreed in Writing to the proposed further fixed term period and, where applicable, any proposed changes to other terms and conditions (including the Charges for the Supply of Electricity) in response to the Notice given by the licensee in accordance with sub-paragraph 22C.5(a);
- (c) the duration of the further fixed term period is no longer than the duration of the fixed term period that currently applies to the existing Fixed Term Supply Contract; and
- (d) by virtue of the extended duration of the further fixed term period and any changes to other terms and conditions (including the Charges for the Supply of Electricity), the Fixed Term Supply Contract becomes identical to a Tariff which is a Live Fixed Term Tariff.

Prohibition on termination fees for non compliance

22C.6 Where the licensee fails to comply with paragraphs 22C.2 to 22C.5 and extends the duration of an existing Fixed Term Supply Contract for a further fixed term period, the licensee may not charge or otherwise seek to enforce a Termination Fee in respect of that Domestic Supply Contract.

Continued supply after a fixed term period ends

22C.7 Where a Domestic Customer does not change supplier or does not expressly agree a new Evergreen Supply Contract, a new Fixed Term Supply Contract or a further fixed term period for a Fixed Term Supply Contract by the date the fixed term period of an existing Fixed Term Supply Contract is due to end, the licensee must ensure that the terms of the Fixed Term Supply Contract provide that the Domestic Customer will become subject to either the Relevant Cheapest Evergreen Tariff or if the licensee considers it appropriate to do so, a Relevant Fixed Term Default Tariff.

22C.8 Without prejudice to paragraph 22C.2 of this condition and paragraph 24.9 of standard condition 24, if at the end of any fixed term period the licensee continues to supply a Domestic Customer, it must do so on the basis of:

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- (a) the Relevant Cheapest Evergreen Tariff or a Relevant Fixed Term Default Tariff which is provided for by the terms of the Fixed Term Supply Contract in accordance with paragraph 22C.7;
- (b) a new Evergreen Supply Contract which has been entered into with the express agreement of the Domestic Customer;
- (c) a new Fixed Term Supply Contract which has been entered into with the express agreement of the Domestic Customer and which complies with standard condition 22C; or
- (d) a further fixed term period in relation to an existing Fixed Term Supply Contract in circumstances where that Fixed Term Supply Contract and that further fixed term period complies with standard condition 22C.

Prohibition on increasing the Charges for the Supply of Electricity and other adverse unilateral variations

22C.9 Without prejudice to paragraph 22C.5 or paragraph 22C.7, in relation to any Fixed Term Supply Contract, the licensee must not:

- (a) increase the Charges for the Supply of Electricity (including, but not limited to, by making any reduction in the amount of a Discount that is directly applied to a Unit Rate or Standing Charge); or
- (b) unilaterally vary any other terms and conditions in any way which is to the disadvantage of a Domestic Customer.

Exception to compliance with condition

22C.10 The licensee is not required to comply with standard condition 22C to such extent and subject to such conditions as the Authority may from time to time direct.

22C.11 In respect of an increase in Charges for the Supply of Electricity, the licensee is not required to comply with paragraph 22C.9 if:

- (a) all of the following requirements are satisfied:
 - (i) the Domestic Supply Contract provides that variations to the Charges for the Supply of Electricity will occur automatically only in a manner which is fully linked to fluctuations in a published and transparent stock exchange quotation or index or a financial market rate that the licensee does not control; and
 - (ii) the licensee has complied with paragraph 23.1 of standard condition 23; and

- (iii) the method by which the Charges for the Supply of Electricity fluctuate automatically is set out in the Domestic Supply Contract in a prominent position in plain and intelligible language; or
- (b) all of the following requirements are satisfied:
 - (i) the Domestic Supply Contract expressly sets out in advance the precise variation or variations to the Charges for the Supply of Electricity which are scheduled to occur automatically by a precise amount (or precise amounts) and on a precise date (or precise dates) which is not subject to the licensee's discretion; and
 - (ii) the licensee has complied with paragraph 23.1 of standard condition 23; and
 - (iii) the precise variations to the Charges for the Supply of Electricity are set out in the Domestic Supply Contract in a prominent position and in plain and intelligible language.

22C.11A The licensee is not required to comply with paragraph 22C.9 in respect of an increase or variation of the Charges for the Supply of Electricity or any other charge or fee ('Relevant Charge') where all of the following circumstances apply:

- (a) the Relevant Charge is subject to value added tax;
- (b) there has been a change in the rate of value added tax that is chargeable in respect of the Relevant Charge; and
- (c) the licensee varies the amount of the Relevant Charge only in order to fully reflect the rate of value added tax that is chargeable in respect of that Relevant Charge.

22C.11B Paragraph 22C.9 does not apply where all of the following requirements are satisfied:

- (a) the licensee is only increasing the Charges for the Supply of Electricity and/or unilaterally varying any other terms and conditions in any way which is to the disadvantage of a Domestic Customer in order to move a Domestic Customer from one payment method to another ('the power to change payment methods');
- (b) the power to change payment methods is only exercised on the grounds that the Domestic Customer has Outstanding Charges and/or has failed to comply with terms and conditions relating to a payment method;

- (c) the power to change payment methods and the precise circumstances when that power will be exercised are set out in the Domestic Supply Contract in a prominent position and in plain and intelligible language; and
- (d) the licensee has complied with paragraph 23.1 of standard condition 23.

Guidance

22C.12 The licensee must have regard to any guidance on Relevant Matters for SLC 22C which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Terms of Fixed Term Supply Contracts

22C.13 The licensee must ensure that the terms and conditions of each Fixed Term Supply Contract comply with the provisions of standard condition 22C.

22C.13A The licensee must ensure that each Fixed Term Supply Contract contains terms and conditions which reflect the effect of:

- (a) paragraph 22C.2;
- (b) paragraph 31I.1(c) of standard condition 31I;
- (c) paragraph 22C.5 (excluding sub-paragraphs 22C.5(a)(i) and 22C.5(d);
- (d) paragraph 22C.6;
- (e) paragraph 22C.7;
- (f) paragraph 22C.9;
- (g) any other requirement of standard condition 22C or any requirement relevant to the end of a Fixed Term Supply Contract set out in standard condition 31I which could reasonably be considered as:
 - (i) giving a particular Domestic Customer a right to receive or do something in any circumstances (including how much notice a Domestic Customer will receive before their Fixed Term Supply Contract comes to an end);
 - (ii) giving a particular Domestic Customer a right to avoid being subject to something in any circumstances; and

- (iii) providing for a specific form of protection for a particular Domestic Customer in any circumstances; and
- (h) any provisions of standard condition 22C specified in directions, which following consultation, the Authority may issue and may from time to time revise (following further consultation).

22C.14 The licensee must not enforce or take advantage of any term of a Fixed Term Supply Contract if:

- (a) the inclusion of that term is incompatible with standard condition 22C; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

Treatment of Fixed Term Supply Contracts with changes to terms precisely set out in advance

22C.15 Without prejudice to paragraphs 22C.2 and 22C.5, where, in accordance with sub-paragraph 22C.11(b), a Fixed Term Supply Contract expressly sets out in advance the precise variation or variations to the Charges for the Supply of Electricity which are scheduled to occur automatically by a precise amount (or precise amounts) and on a precise date (or precise dates) which is not subject to the licensee's discretion, the references to "fixed term period" in paragraphs 22C.5, 22C.7 and 22C.8 of standard condition 22C and paragraph 31I.6 of standard condition 31I are to be read as a reference to the last fixed term period that applies to the Fixed Term Supply Contract.

Definitions for condition

22C.16 In this condition:

"Relevant Matters for SLC 22C" means:

- (a) the format and/or display of any information which must be included in the Notice referred to in sub-paragraph 22C.5(a); and
- (b) the interpretation of standard condition 22C (including in respect of definitions which appear in standard condition 1).

Condition 22D. Dead Tariffs

Prohibition on Dead Tariffs

22D.1 Subject to paragraphs 22D.2 to 22D.22, and without prejudice to a Fixed Term Supply Contract, the licensee must only use (or, where there are any Affiliate Electricity Licensees, the licensee and any Affiliate Electricity Licensees must collectively or individually only use) Live Evergreen Tariffs.

Exception for the withdrawal of Live Evergreen Tariffs

22D.1A Where the licensee wishes to withdraw a Live Evergreen Tariff, the licensee may treat that Live Evergreen Tariff as a Dead Tariff (a “Transitional Dead Tariff”) for a period of 49 days (the “Transitional Period”) in order to ensure that all the Domestic Customers which are subject to that Transitional Dead Tariff become subject to a Live Evergreen Tariff or Live Fixed Term Tariff.

22D.1B Without prejudice to paragraph 23.6 of standard condition 23 (treating a price increase or variation as ineffective), where the licensee has a Transitional Dead Tariff pursuant to paragraph 22D.1A, the licensee must ensure (in a way that is compatible with standard licence conditions and legislation) that all the Domestic Customers which are subject to that Transitional Dead Tariff become subject to a Live Evergreen or Live Fixed Term Tariff by the end of the Transitional Period.

22D.1C Paragraphs 22D.2 to 22D.22 do not apply in respect of a Transitional Dead Tariff.

Exception to prohibition on Dead Tariffs

22D.2 Subject to paragraphs 22D.5 to 22D.19, where paragraph 22D.3 applies, the licensee may continue to supply a Domestic Customer using a Dead Tariff which existed before the date paragraph 22D.1 took effect (on 23 October 2013).

Dead Tariff is already compliant and is cheaper than or as cheap as Relevant Cheapest Evergreen Tariff

22D.3 This paragraph applies where the Dead Tariff:

- (a) already fully complies with the requirements of standard condition 22A; and
- (b) is cheaper than or as cheap as the licensee’s Relevant Cheapest Evergreen Tariff for the particular Domestic Customer.

22D.4 – 22D.6 (Not used)

Annual reassessment of Dead Tariffs

22D.7 Where pursuant to paragraph 22D.2, the licensee has decided to continue to supply a Domestic Customer using a Dead Tariff, it must:

- (a) reassess in every twelve month period whether the Dead Tariff is cheaper than or as cheap as the licensee's Relevant Cheapest Evergreen Tariff for the particular Domestic Customer; and
- (b) only continue to supply the Domestic Customer using the Dead Tariff if that Tariff is cheaper than or as cheap as the licensee's Relevant Cheapest Evergreen Tariff for the particular Domestic Customer.

22D.8 Where pursuant to paragraph 22D.7, the licensee is unable to continue to supply the Domestic Customer using the Dead Tariff or otherwise does not wish to continue to supply the Domestic Customer using the Dead Tariff, the licensee must ensure, in a way that is compatible with standard licence conditions and legislation, that the Domestic Customer becomes subject to the licensee's Relevant Cheapest Evergreen Tariff as soon as is reasonably practicable following the reassessment under paragraph 22D.7.

Notification requirements where Domestic Customers are to become subject to the Relevant Cheapest Evergreen Tariff

22D.9 Subject to paragraph 22D.10, where the licensee has decided to not continue to supply a Domestic Customer using a Dead Tariff or is unable to do so by virtue of a requirement of this condition, it must ensure that, the particular Domestic Customer is provided with a Notice stating that they are due to become subject to the licensee's Relevant Cheapest Evergreen Tariff which:

- (aa) is in a Form and at an appropriate time that is designed to prompt that Domestic Customer to make an informed choice in light of the change in Tariff, leaving reasonable time for that Domestic Customer to avoid that change before it takes effect;
- (a) complies with any direction issued pursuant to paragraph 22D.18;
- (b) contains a prominent title which clearly highlights that the Notice relates to ensuring that the Domestic Customer will become subject to the licensee's Relevant Cheapest Evergreen Tariff, and where applicable, that the licensee is changing the terms and conditions of the Dead Tariff;
- (c) sets out the date the Domestic Customer is due to become subject to the licensee's Relevant Cheapest Evergreen Tariff;

- (d) (Not used)
- (e) where the supplier has the ability to make unilateral changes to the terms and conditions of the Evergreen Supply Contract (or, as the case may be, Deemed Contract) in respect of the Dead Tariff and, in order to ensure that the Domestic Customer would become subject to their Relevant Cheapest Evergreen Tariff, is changing those terms and conditions to ensure that they are the same as the Relevant Cheapest Evergreen Tariff, provides the following information:
 - (i) the changes to terms and conditions of the Evergreen Supply Contract (or, as the case may be, Deemed Contract) in respect of the Dead Tariff which are taking place in a manner which compares the changes with the terms and conditions which currently apply in respect of the Dead Tariff (showing any Charges for the Supply of Electricity and any other charges inclusive of value added tax at the applicable rate);
 - (ii) the main reasons for why the changes to the terms and conditions of the Evergreen Supply Contract (or, as the case may be, Deemed Contract) in respect of the Dead Tariff are taking place;
 - (iii) a statement to the effect that the Domestic Customer may end the Domestic Supply Contract if the changes to the terms and conditions of the Evergreen Supply Contract (or, as the case may be, Deemed Contract) in respect of the Dead Tariff are unacceptable to them by changing their Electricity Supplier or entering into a new contract with their Electricity Supplier;
 - (iv) (Not used)
 - (v) where the licensee is increasing the Charges for the Supply of Electricity of the Dead Tariff by increasing any Unit Rate or Standing Charge (including, where applicable, where the licensee is making any reduction in the amount of a Discount which is applied to a Unit Rate or Standing Charge), the Domestic Customer's Annual Consumption Details displayed in kilowatt hours under a title which clearly highlights that the information relates to the Domestic Customer's estimated annual consumption; and
 - (vi) (Not used)
 - (vii) in close proximity to the information required by sub-paragraph 22D.9(e)(i), must include a statement to the effect that value added tax (at the applicable rate) is included in any Charges for the

Supply of Electricity and any other charges which are subject to value added tax and that this may be different to the way such charges are displayed on a Bill or statement of account;

- (f) where the licensee is terminating the Evergreen Supply Contract that is subject to a Dead Tariff in order to ensure that the Domestic Customer would become subject to their Relevant Cheapest Evergreen Tariff on the basis of a Deemed Contract (in the event that the Domestic Customer continued to consume electricity), provides the following information:
- (i) a statement to the effect that the licensee is terminating the Evergreen Supply Contract that is subject to the Dead Tariff so that the Domestic Customer will become subject to the licensee's Relevant Cheapest Evergreen Tariff;
 - (ii) the date the Evergreen Supply Contract that is subject to the Dead Tariff is due to be terminated;
 - (iii) a statement to the effect that if the Domestic Customer does not change supplier or agree a new Domestic Supply Contract, they will become subject to their Relevant Cheapest Evergreen Tariff on the basis of a Deemed Contract (in the event that the Domestic Customer continued to consume electricity);
 - (iv) contains a statement to the effect that the Domestic Customer may be able to avoid becoming subject to 22D Relevant Cheapest Evergreen Tariff on the basis of a Deemed Contract (in the event that the Domestic Customer continued to consume electricity) by changing their Electricity Supplier or entering into a new contract with their Electricity Supplier; and
- (g) informs the Domestic Customer where they may obtain impartial advice and information about changing their Electricity Supplier;
- (h) informs the Domestic Customer that where they have any Outstanding Charges, their Electricity Supplier may be able to prevent a **Proposed Supplier Transfer**;
- (i) explains the effect of paragraphs 22D.12 to 22D.15 in terms of how the Domestic Customer may be able to take steps to avoid becoming subject to the licensee's Relevant Cheapest Evergreen Tariff (including by indicating that the Domestic Customer would need to enter into a new contract with either their Electricity Supplier or another Electricity Supplier as soon as possible to facilitate this process);

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- (j) (not used);
- (k) contains, in a separate part of the Notice, the Principal Terms of the:
 - (i) Evergreen Supply Contract (or, as the case may be Deemed Contract) that is subject to the Dead Tariff; and
 - (ii) Evergreen Supply Contract (or, as the case may be Deemed Contract) for the Relevant Cheapest Evergreen Tariff; and
- (l) contains the SLC 22D Supplier and Customer Information.

Exception for notification requirements

22D.10 Paragraph 22D.9 does not apply where, in order to ensure that the Domestic Customer is no longer subject to a Dead Tariff, the licensee has:

- (a) entered into a new Domestic Supply Contract with a Domestic Customer; or
- (b) has agreed a mutual variation with a Domestic Customer (in accordance with standard condition 23A).

Requirements for Deemed Contracts

22D.11 Where the licensee is terminating the Evergreen Supply Contract that is subject to a Dead Tariff in order to ensure that the Domestic Customer would become subject to their Relevant Cheapest Evergreen Tariff on the basis of a Deemed Contract (in the event that the Domestic Customer continued to consume electricity), the licensee must ensure that the terms and conditions of the Deemed Contract are the same as the Evergreen Supply Contract for the Relevant Cheapest Evergreen Tariff (excluding any terms and conditions of the Deemed Contract (other than the Charges for the Supply of Electricity) which are different because of an express prohibition or express requirement contained in a standard licence condition).

Continuation of Dead Tariffs for interim period

22D.12 Where paragraph 22D.13 applies, the licensee must ensure that a Domestic Customer will continue to be subject to the same Charges for the Supply of Electricity and the same terms and conditions that applied to the Dead Tariff until they have changed their supplier.

22D.13 This paragraph applies where one of the following conditions is satisfied:

- (a) no later than 20 Working Days after (but not including) the date on which the Dead Tariff is due to terminate or be changed in order for the Domestic Customer to become subject to the licensee's Relevant Cheapest Evergreen Tariff, the licensee receives Notice under the [Retail Energy Code Master Registration Agreement](#) that another Electricity Supplier will begin to supply the Domestic Customer's Domestic Premises within a reasonable period of time; and
- (b) another Gas Supplier begins to supply the Domestic Customer's Domestic Premises within a reasonable period of time after the date on which the Notice referred to in sub-paragraph 22D.13(a) is given; or
- (c) where:
 - (i) the conditions in sub-paragraphs 22D.13(a) and (b) are met; and
 - (ii) the Domestic Customer has paid any Outstanding Charges within 30 Working Days after the Domestic Customer receives Notice that the licensee intends to prevent the Domestic Customer's Proposed Supplier Transfer.

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22D.14 Where paragraph 22D.15 applies, the licensee must ensure that a Domestic Customer will continue to be subject to the same Charges for the Supply of Electricity and the same terms and conditions that applied to the Dead Tariff until a new Domestic Supply Contract with the licensee comes into effect.

22D.15 This paragraph applies where a new Domestic Supply Contract comes into effect no later than 20 Working Days after (but not including) the date on which the Domestic Evergreen Supply Contract (or, as the case may be Deemed Contract) that was subject to the Dead Tariff was to terminate or be changed in order for the Domestic Customer to become subject to the licensee's Relevant Cheapest Evergreen Tariff.

Terms of Domestic Supply Contracts

22D.16 The licensee must ensure that the terms and conditions of each Evergreen Supply Contract for a Dead Tariff comply with the provisions of standard condition 22D.

22D.16A The licensee must ensure that each Evergreen Supply Contract for a Dead Tariff contains terms and conditions which reflect the effect of:

- (a) (Not used)
- (b) sub-paragraphs 22D.9(e)(i) and 22D.9(e)(iii);

- (c) sub-paragraphs 22D.9(f)(i), 22D.9(f)(iii) and 22D.9(f)(iv);
- (d) paragraph 22D.12;
- (e) paragraph 22D.13;
- (f) paragraph 22D.14;
- (g) paragraph 22D.15;
- (h) any other requirement of standard condition 22D which could reasonably be considered as:
 - (i) giving a particular Domestic Customer a right to receive or do something in any circumstances;
 - (ii) giving a particular Domestic Customer a right to avoid being subject to something in any circumstances; and
 - (iii) providing for a specific form of protection for a particular Domestic Customer in any circumstances; and
- (i) any provisions of standard condition 22D specified in directions, which following consultation, the Authority may issue and may from time to time revise (following further consultation).

22D.17 The licensee must not enforce or take advantage of any term of a Domestic Supply Contract if:

- (a) the inclusion of that term is incompatible with standard condition 22D; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

Power to direct restrictions on information included on or with a Notice issued pursuant to paragraph 22D.9

22D.18 Subject to paragraph 22D.19, the licensee must comply with any directions relating to SLC 22D Information Restrictions which, following consultation, the Authority may issue and may from time to time revise (following further consultation).

22D.19 Unless the licensee otherwise consents, a direction under paragraph 22D.18 will only take effect after the Authority has given the licensee at least two months Notice.

Guidance

22D.20 The licensee must have regard to any guidance on standard condition 22D (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

22D.21 The licensee is not required to comply with standard condition 22D to such extent and subject to such conditions as the Authority may from time to time direct.

Definitions for condition

22D.22 For the purposes of this condition:

"SLC 22D Exempt Information" means:

- (a) SLC 22D Supplier and Customer Information;
- (b) (not used); and
- (c) the information required by standard condition 31E.

"SLC 22D Information Restrictions" means any or all of the following requirements:

- (a) that the Notice issued pursuant to paragraph 22D.9 only contains information which is specified by the Authority; and/or
- (b) that the Notice issued pursuant to paragraph 22D.9 is not to be provided in conjunction with any other information, including (but not limited to) a Bill, statement of account or marketing material.

"SLC 22D Supplier and Customer Information" means:

- (a) the name of the licensee and its contact details;
- (b) the name and address of the Domestic Customer;
- (c) other information used for the purposes of identifying the Domestic Customer; and
- (d) the date of the Notice.

Condition 22E. Unmetered Supply Arrangements

22E.1 For the purposes of this condition an “Unmetered Supply Arrangement” is a contractual arrangement (or, where applicable, part of a contractual arrangement) in respect of a Domestic Supply Contract which:

- (a) has been agreed between the licensee, an Authorised Distributor and a Domestic Customer in respect of Unmetered Supply to Domestic Premises (or part of those premises);
- (b) complies with any regulations made pursuant to paragraph 1(1A) of Schedule 7 to the Act; and
- (c) does not apply to any part of a Domestic Supply Contract which does not relate to Unmetered Supply.

22E.2 This condition applies to any Unmetered Supply Arrangement and takes precedence over any requirements of any standard conditions set out in paragraph 22E.3 or otherwise referred to in paragraphs 22E.4 and 22E.5.

22E.3 In respect of an Unmetered Supply Arrangement, the licensee is not required to comply with standard condition 22A.

22E.4 Where any requirement of a standard condition relates to information that would not be applicable to an Unmetered Supply Arrangement, the licensee must take all reasonable steps to ensure that the information is replaced with information that would be applicable and relevant to the Unmetered Supply Arrangement.

22E.5 The steps which must be taken by the licensee in order to comply with paragraph 22E.4 include, but are not limited to:

- (a) replacing information relating to Unit Rates and Standing Charges with information about the Charges for the Supply of Electricity which apply to the Unmetered Supply Arrangement; and
- (b) the provision of information which is similar to the Estimated Annual Costs using a methodology for calculations which is compatible with the Tariffs that apply to each Unmetered Supply Arrangement.

22E.6 The licensee must take all reasonable steps to ensure that Domestic Customers which are subject to an Unmetered Supply Arrangement are not at a significant disadvantage to other Domestic Customers when they:

- (a) seek to evaluate the benefits and costs of the Tariff that applies to their Unmetered Supply Arrangement; and
- (b) consider changing their Tariff or supplier.

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Exception to compliance with condition

22E.6A The licensee is not required to comply with standard condition 22E to such extent and subject to such conditions as the Authority may from time to time direct.

Definitions for condition

22E.7 For the purposes of this condition:

“Authorised Distributor” has the meaning in section 64(1) of the Act.

“Unmetered Supply Arrangement” is to be interpreted in accordance with paragraph 22E.1.

“Unmetered Supply” has the meaning given in regulation 2 of the Electricity (Unmetered Supply) Regulations (S.I.2001/3263).

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Condition 22F. Not Used

Condition 22G. Requirements for Restricted Meters

Obligation to make available Relevant Tariffs

- 22G.1 Subject to paragraphs 22G.2 and 22G.3 and its obligations under condition 22 (Duty to offer and supply under Domestic Supply Contract), the Relevant Licensee must make all Relevant Tariffs available immediately for each Relevant RMI Customer to enter into a Domestic Supply Contract.
- 22G.2 Where a Relevant RMI Customer requests a Relevant Tariff and the Relevant Licensee is unable to make that Relevant Tariff available immediately, the Relevant Licensee must ensure that contractual arrangements are in place so that, as from the date a new Domestic Supply Contract comes into effect, the terms of that contract provide that the Relevant Tariff will apply retrospectively as from an earlier date which:
- (a) is commensurate with the period of delay in making the Relevant Tariff available; and
 - (b) takes into account the period of time the Relevant Licensee has been responsible for supplying the Relevant RMI Customer's premises.
- 22G.3 The Relevant Licensee must not make their compliance with paragraph 22G.1, or any Contract that is or may be concluded as a result of complying with paragraph 22G.1, conditional upon the Relevant RMI Customer changing their existing Relevant Restricted Metering Infrastructure, or incurring any additional costs or any other financial charge associated with keeping their existing Relevant Restricted Metering Infrastructure.
- 22G.4 The Relevant Licensee may apply to the Authority to request a direction concerning the compliance with paragraph 22G.1 where, due to material technical reasons which cannot be resolved within a reasonable period, the Relevant Tariffs cannot be made available to one or more Relevant RMI Customers.
- 22G.5 Where the Relevant Licensee works in partnership with a White Label Tariff Provider, with a standalone IT platform, and the White Label Tariff Provider supplies electricity to fewer than 50,000 Domestic Customers, the Relevant Licensee may apply to the Authority for a direction concerning its compliance with paragraph 22G.1 in respect of the White Label Tariff Provider.

- 22G.6 If the Authority has given a direction to the Relevant Licensee pursuant to paragraphs 22G.4 or 22G.5, the Relevant Licensee is not required to comply with paragraph 22G.1 to the extent set out in such direction but is required to comply with any alternative obligations specified by the Authority.
- 22G.7 The Relevant Licensee must comply with paragraphs 22G.1 and 22G.2 from 1 September 2017 until 31 December 2020.
- 22G.8 For the purposes of paragraphs 22G.1 to 22G.7, where there are any Affiliate Electricity Licensees, the Relevant Licensee and all Affiliate Electricity Licensees are treated as one and the same Retail Electricity Supplier.

Obligation to provide information

- 22G.9 The Relevant Licensee must provide the Specified Information to each Relevant RMI Customer in each Relevant RMI Customer's Bill, Domestic Statement of Renewal Terms, or other regular written correspondence with the Relevant RMI Customer, in a manner designed to promote positive engagement (which may be specified by the Authority).
- 22G.10 The licensee must use all reasonable endeavours to provide each RMI Customer supplied by the licensee with the RMI Customer Information in a timely manner after the RMI Customer has submitted a request for, or other relevant inquiry concerning, any of the RMI Customer Information.
- 22G.11 The licensee must provide the Relevant Citizens Advice Body with information concerning RMI Customers' metering infrastructure in a timely manner after the Relevant Citizens Advice Body has submitted a request and in such format specified by the Relevant Citizens Advice Body.
- 22G.12 The Relevant Licensee must comply with paragraph 22G.9 from 1 September 2017 until 31 December 2020.
- 22G.13 The licensee must comply with paragraphs 22G.10 and 22G.11 from 15 December 2016 until 31 December 2020.

Reporting obligation

- 22G.14 The licensee must give the Authority any Information that it reasonably requests about the licensee's compliance with paragraphs 22G.1 to 22G.6 and 22G.9 to 22G.11 (as applicable) as soon as reasonably practicable after receiving a request from the Authority.

22G.15 The licensee must give the Authority any Information that it reasonably requests to assess the impact and effectiveness of the obligations contained in paragraphs 22G.1 to 22G.6 and 22G.9 to 22G.11 (as applicable) as soon as reasonably practicable after receiving a request from the Authority.

Definitions for condition

Annual Consumption Breakdown	means a breakdown of the Annual Consumption Details by each Consumption Window for which data is recorded.
Consumption Window	means each separate period within a total period of 24 hours in which electricity consumption was recorded and charged at a distinct Unit Rate.
Economy 7 Metering Infrastructure	<p>means one or more Electricity Meters installed in the same premises whereby, in each period of 24 hours:</p> <ul style="list-style-type: none"> (a) the peak electricity consumption level is recorded during seventeen ‘day/normal’ hours and the off-peak electricity consumption level is recorded during seven ‘night/low’ hours; or (b) if any Electricity Meter is located in the South Scotland Distribution Area and the peak electricity consumption level is recorded during 15.5 ‘day/normal’ hours and the off-peak electricity consumption level is recorded during 8.5 ‘night/low’ hours’, <p>but in each of the above cases excluding any Electricity Meter (i) with a third (electric heating) register, in addition to the ‘day/normal’ and ‘night/low’ registers; or (ii) where part of the off-peak period occurs between 1200 and 1630 (with the remainder of the off-peak period occurring during a night-time period).</p>
MPAN	means the Meter Point Administration Number, as the reference number used to uniquely identify electricity supply points in Great Britain.
Prepayment Metering Infrastructure	means one or more Electricity Meters installed in the same premises operating in a mode which requires a customer to pay charges in advance.

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Relevant Citizens Advice Body	means Citizens Advice or Citizens Advice Scotland (as applicable).
Relevant Licensee	means a licensee that supplies electricity to 50,000 or more Domestic Customers.
Relevant Restricted Metering Infrastructure	means Restricted Metering Infrastructure, excluding any Economy 7 Metering Infrastructure, Smart Metering Infrastructure or Prepayment Metering Infrastructure.
Relevant RMI Customer	means a Domestic Customer receiving the supply of electricity through Relevant Restricted Metering Infrastructure.
Relevant Tariff	means a Tariff which continues to be capable of being entered into by a Single-Rate Customer in the same Region as the Relevant RMI Customer, for the supply of electricity from the supplier, provided that a Tariff shall only be a Relevant Tariff in respect of an individual RMI Customer where that Relevant RMI Customer meets all the terms, conditions and requirements of the Tariff that do not relate to the Relevant Restricted Metering Infrastructure.
RMI Customer	means a Domestic Customer receiving the supply of electricity through Restricted Metering Infrastructure, excluding any Economy 7 Metering Infrastructure and Smart Metering Infrastructure.
RMI Customer Information	means a RMI Customer's meter type; name of their current Tariff (including method of payment); Annual Consumption Details; MPAN; Annual Consumption Breakdown; all Standing Charges and Unit Rates for that RMI Customer that apply to each Consumption Window; the length of time and the hours within which time is recorded for each Consumption Window; and contact details for Citizens Advice.
Restricted Metering Infrastructure	means (a) one Electricity Meter whereby electricity consumption in two or more Consumption Windows is separately recorded on two or more registers; or (b) two or more Electricity Meters (each with one or more registers) installed in the same premises whereby electricity consumption for distinct purposes is separately recorded on such Electricity Meters.

Single-Rate Customer	means a Domestic Customer receiving the supply of electricity through Single-Rate Metering Infrastructure.
Single-Rate Metering Infrastructure	means any Electricity Meter installed in a particular premises whereby electricity consumption is recorded on one register and through which electricity is continuously provided, and excludes Restricted Metering Infrastructure.
Smart Metering Infrastructure	means (a) any type of Electricity Meter which forms part of a Smart Metering System; and (b) an Electricity Meter, either on its own or with an ancillary device, which has functionality similar to a Smart Metering System in respect of elements other than the measurement of consumption for multiple time periods and/or providing remote access to data.
South Scotland PES Area	means the geographical area which corresponds with the distribution services area described in Annexe 3 (Scottish Distribution Licence) of the Scheme made by the Secretary of State on 28 September 2001 pursuant to paragraphs 1, 13 and 17 of Schedule 7 to the Utilities Act 2000, in respect of the licences granted to Scottish Power UK PLC and Manweb PLC under sections 6(1)(a) to (c) and 6(2) of the Electricity Act 1989.
Specified Information	means (a) a statement that the Relevant RMI Customer is able to change their electricity supplier or change to a Relevant Tariff without having to change, nor incurring any costs or any other financial charge associated with replacing, their Electricity Meter; and (b) contact details for the Relevant Citizens Advice Body.

Condition 23. Notification of Domestic Supply Contract terms

Notification of Principal Terms

- 23.1 Before it enters into a Domestic Supply Contract with a Domestic Customer, (or, where applicable, before any mutual variation of a Domestic Supply Contract is agreed with a Domestic Customer pursuant to standard condition 23A), the licensee must take (and ensure that any Representative takes) all reasonable steps to communicate the Principal Terms of the Domestic Supply Contract (which in the case of a mutual variation must cover the Principal Terms that will apply as a result of that variation and any Principal Terms of the current Domestic Supply Contract that are not affected by the mutual variation) to that Domestic Customer and ensure that the Principal Terms are communicated (or, where they are provided in Writing, drafted) in plain and intelligible language.

Notification before Domestic Supply Contract ends

- 23.2 Before a Domestic Supply Contract is due to end (including in circumstances where the Domestic Supply Contract is being terminated by the licensee), the licensee must provide a Notice to the Domestic Customer:
- (a) in a Form and at an appropriate time that is designed to prompt that Domestic Customer to make an informed choice in light of the Domestic Supply Contract ending, leaving reasonable time for that Domestic Customer to avoid that change before it takes effect;
 - (b) that contains information which is sufficient to enable that Domestic Customer to understand:
 - (i) the Principal Terms of the Deemed Contract that will apply after the Domestic Supply Contract ends if the Domestic Customer does not enter into a new Domestic Supply Contract; and
 - (ii) when the change takes effect.

23.2A Paragraph 23.2 does not apply:

- (a) in any circumstances whereby a Domestic Customer becomes subject to the Relevant Cheapest Evergreen Tariff or a Relevant Fixed Term Default Tariff in accordance with standard condition 22C; and
- (b) in any circumstances where standard condition 22D applies.

Notification of increase in Charges for the Supply of Electricity and other unilateral variations

23.3 If, in accordance with the terms of a Domestic Supply Contract with a Domestic Customer, the licensee:

- (a) increases the Charges for the Supply of Electricity to a Domestic Premises (including by making any reduction in the amount of a Discount that is applied to a Unit Rate or Standing Charge); or
- (b) unilaterally varies any other term of the contract in any other way that is to the disadvantage of the Domestic Customer ("Disadvantageous Unilateral Variation"),

the licensee must act in accordance with standard condition 31I.

23.3A Paragraph 23.3(a) and paragraph 31I.1(b) of standard condition 31I do not apply where the licensee increases the Charges for the Supply of Electricity to a Domestic Premises (including by making any reduction in the amount of a Discount that is applied to a Unit Rate or Standing Charge) by:

- (a) a mutual variation made pursuant to standard condition 23A;
- (b) a unilateral variation made pursuant to standard condition 22D; or
- (c) any circumstances whereby a Domestic Customer becomes subject to the Relevant Cheapest Evergreen Tariff, or a Relevant Fixed Term Default Tariff or the duration of a Fixed Term Supply Contract is extended for a further fixed term period in accordance with standard condition 22C.

23.3B Paragraph 23.3(b) and paragraph 31I.1(a) of standard condition 31I do not apply:

- (a) in any circumstances whereby a Domestic Customer becomes subject to the Relevant Cheapest Evergreen Tariff, or a Relevant Fixed Term Default Tariff or the duration of a Fixed Term Supply Contract is extended for a further fixed term period in accordance with standard condition 22C; or
- (b) where the licensee is making a Disadvantageous Unilateral Variation by a unilateral variation made pursuant to standard condition 22D.

23.4 - 23.5 (Not used)

Circumstances where increases to Charges for the Supply of Electricity or Disadvantageous Unilateral Variations are ineffective or unenforceable

23.6 The licensee must treat the increase in the Charges for the Supply of Electricity and/or the Disadvantageous Unilateral Variation as ineffective and neither enforce nor take advantage of it where –

- (a) no later than 20 Working Days after (but not including) the date on which the increase in the Charges for the Supply of Electricity and/or Disadvantageous Unilateral Variation has effect, the licensee receives Notice under the Retail Energy Code ~~Master Registration Agreement~~ that another Electricity Supplier will begin to supply the Domestic Customer's Domestic Premises within a reasonable period of time after the date on which that Notice has been given; and
- (b) another Electricity Supplier begins to supply the Domestic Customer's Domestic Premises within a reasonable period of time after the date on which the Notice referred to in sub-paragraph 23.6(a) is given; or
- (c) where:
 - (i) the conditions in sub-paragraphs 23.6(a) and (b) are met; and
 - (ii) the Domestic Customer has paid any Outstanding Charges within 30 Working Days after the Domestic Customer receives Notice that the licensee intends to prevent the Domestic Customer's Proposed Supplier Transfer; or
- (d) where the Domestic Customer enters into a new Domestic Supply Contract with the licensee which comes into effect no later than 20 Working Days after (but not including) the date on which the increase in the Charges for the Supply of Electricity and/or Disadvantageous Unilateral Variation has effect.

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Exceptions to compliance with condition

- 23.7 The licensee is not required to comply with paragraph 23.3 to such extent as the Authority may direct.
- 23.8 In respect of an increase in Charges for the Supply of Electricity, the licensee is not required to comply with paragraph 23.3 or paragraph 31I.1(b) of standard condition 31I if:
- (a) all of the following requirements are satisfied:
 - (i) the Domestic Supply Contract is a Fixed Term Supply Contract; and
 - (ii) the Domestic Supply Contract provides that variations to the Charges for the Supply of Electricity will occur automatically only in a manner which is fully linked to fluctuations in a published and transparent stock exchange quotation or index or a financial market rate that the licensee does not control; and

- (iii) the licensee has complied with paragraph 23.1; and
 - (iv) the method by which the Charges for the Supply of Electricity fluctuate automatically is set out in the Domestic Supply Contract in a prominent position and in plain and intelligible language; or
- (b) all of the following requirements are satisfied:
- (i) the Domestic Supply Contract is a Fixed Term Supply Contract; and
 - (ii) the Domestic Supply Contract expressly sets out in advance the precise variation or variations to the Charges for the Supply of Electricity which are scheduled to occur automatically by a precise amount (or precise amounts) and on a precise date (or precise dates) which is not subject to the licensee's discretion; and
 - (iii) the licensee has complied with paragraph 23.1; and
 - (iv) the precise variations to the Charges for the Supply of Electricity are set out in the Domestic Supply Contract in a prominent position and in plain and intelligible language.

23.8A The licensee is not required to comply with paragraph 23.3 or paragraph 31I.1(a) and 31I.1 (b) of standard condition 31I if all of the following requirements are satisfied:

- (a) the licensee is only increasing the Charges for the Supply of Electricity and/or making Disadvantageous Unilateral Variations in order to move a Domestic Customer from one payment method to another ('the power to change payment methods');
- (b) the power to change payment methods is only exercised on the grounds that the Domestic Customer has Outstanding Charges and/or has failed to comply with terms and conditions relating to a payment method;
- (c) the power to change payment methods and the precise circumstances when that power will be exercised are set out in the Domestic Supply Contract in a prominent position and in plain and intelligible language;
- (d) the Domestic Supply Contract sets out, in a prominent position and in plain and intelligible language, the precise variations to the Charges for the Supply of Electricity and other terms and conditions which would occur as a result of the licensee exercising the power to change payment methods; and

- (e) the licensee has complied with paragraph 23.1; and
- (f) Before the licensee exercises the power to change payment methods it must provide the Domestic Customer with a Notice containing the following information at least 7 Working Days in advance of the date the change to the Domestic Customer's payment method is scheduled to take effect:
 - (i) details of the specific:
 - (1) increase in the Charges for the Supply of Electricity (including, where applicable, where the licensee is making any reduction in the amount of a Discount which is applied to a Unit Rate or Standing Charge) which applies to each particular Domestic Customer; and/or
 - (2) Disadvantageous Unilateral Variation which applies to each particular Domestic Customer;
 - (ii) the date the increase in the Charges for the Supply of Electricity or Disadvantageous Unilateral Variation has effect; and
 - (iii) the main reasons for the increase in the Charges for the Supply of Electricity and/or the Disadvantageous Unilateral Variation.

23.8B Where the licensee has satisfied the requirements of sub-paragraphs 23.8A (a), (b) and (c) (but not the other requirements of paragraph 23.8A), the licensee must provide the Domestic Customer with a Notice in accordance with standard condition 31I, and this must be provided at least 7 Working Days in advance of the date on which the increase in the Charges for the Supply of Electricity and/or Disadvantageous Unilateral Variation has effect.

Terms of Domestic Supply Contracts

23.9 The licensee must ensure that the terms and conditions of each Domestic Supply Contract comply with the provisions of standard conditions 23 and 31I.

23.9A The licensee must ensure that the terms and conditions of each Domestic Supply Contract contains terms and conditions which reflect the effect of:

- (a) paragraphs 31I.1(a) and 31I.1(b) of standard condition 31I;
- (b) (Not used)
- (c) (Not used)

- (d) paragraph 31I.4(a) of standard condition 31I;
- (e) paragraph 23.6;
- (f) any other requirement of standard condition 23 or any requirement relevant to an increase in Charges for the Supply of Electricity or Disadvantageous Unilateral Variation set out in standard condition 31I which could reasonably be considered as:
 - (i) giving a particular Domestic Customer a right to receive or do something in any circumstances (including how much notice a Domestic Customer will receive before any increase in Charges for the Supply of Electricity and/or Disadvantageous Unilateral Variation comes into effect);
 - (ii) giving a particular Domestic Customer a right to avoid being subject to something in any circumstances; and
 - (iii) providing for a specific form of protection for a particular Domestic Customer in any circumstances; and
- (g) any provisions of standard condition 23 specified in directions, which following consultation, the Authority may issue and may from time to time revise (following further consultation).

23.10 The licensee must not enforce or take advantage of any term of a Domestic Supply Contract if:

- (a) the inclusion of that term is incompatible with standard conditions 23 or 31I; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

23.11 (Not used)

Guidance

23.12 The licensee must have regard to any guidance on the interpretation of standard condition 23 (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Condition 23A. Mutual variations

23A.1 This condition applies to any mutual variation or proposed mutual variation to the terms of a Domestic Supply Contract.

23A.2 Without prejudice to paragraph 22C.5 of standard condition 22C, the licensee may only agree a mutual variation to the terms (including the Charges for the Supply of Electricity) of a Domestic Supply Contract with a Domestic Customer if:

- (a) the mutual variation does not relate to extending the duration of a Domestic Supply Contract or a fixed term period in any way;
- (b) in respect of a mutual variation which would increase the Charges for the Supply of Electricity or which would in any way be to the disadvantage of the Domestic Customer, the licensee has given the Domestic Customer Notice of the proposed mutual variation and that Notice:
 - (i) is given in advance of the date the mutual variation is intended to take effect;
 - (ii) informs the Domestic Customer that the licensee is seeking to agree a mutual variation;
 - (iii) informs the Domestic Customer of the nature, purpose and effect of the proposed mutual variation; and
 - (iv) informs the Domestic Customer in a prominent position that they are under no obligation to agree to the mutual variation;
- (c) the licensee has complied with paragraph 23.1 of standard condition 23; and
- (d) in respect of a mutual variation which would increase the Charges for the Supply of Electricity or which would in any way be to the disadvantage of the Domestic Customer, in response to the Notice given by the licensee in accordance with sub-paragraph 23A.2(b), the Domestic Customer has contacted the licensee in Writing or by any other means and has expressly agreed to the mutual variation as part of that contact.

23A.3 Where a Domestic Customer has agreed to a mutual variation, the licensee must provide that Domestic Customer with a Notice containing the following information in a prominent position within 5 Working Days as from (and including) the date the mutual variation was agreed (or must provide that information as soon as reasonably practicable thereafter):

- (a) a statement to the effect that the Domestic Customer has agreed to a mutual variation; and
- (b) an explanation of the effect of that mutual variation.

Terms of Domestic Supply Contracts

23A.4 The licensee must ensure that the terms and conditions of each Domestic Supply Contract comply with the provisions of standard condition 23A.

23A.4A The licensee must ensure that each Domestic Supply Contract contains terms and conditions which reflect the effect of the provisions of standard condition 23A.

23A.5 The licensee must not enforce or take advantage of any term of a Domestic Supply Contract if:

- (a) the inclusion of that term is incompatible with standard condition 23A; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

Guidance

23A.6 The licensee must have regard to any guidance on standard condition 23A (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

23A.7 The licensee is not required to comply with standard condition 23A to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 24. Termination of Domestic Supply Contracts

End of ownership or occupation

- 24.1 The licensee must include a term in each Domestic Supply Contract to provide that it will end, in relation to the Domestic Premises to which it applies, by no later than:
- (a) if the Domestic Customer has notified the licensee at least two Working Days before the date on which he stops owning or occupying the premises, that date; or
 - (b) if the Domestic Customer has stopped owning or occupying the premises without giving the licensee such notification, the first to happen of the following:
 - (i) the end of the second Working Day after the customer has notified the licensee that he has stopped owning or occupying the premises; or
 - (ii) the date on which any other person begins to own or occupy the premises and takes a supply of electricity at those premises.
- 24.2 The licensee must include a term in each Domestic Supply Contract to provide that, if that contract is brought to an end in accordance with a term included in it because of paragraph 24.1:
- (a) the Domestic Customer is liable for the supply of electricity to the Domestic Premises until the date on which that contract ends;
 - (b) and if the premises are Green Deal Premises and the person who is the Domestic Customer is still the Green Deal Bill Payer (for the purposes of the Green Deal Regulations) after the contract has ended, he or she will still remain liable under the Green Deal Regulations for the Green Deal Charges.

Termination Fees

- 24.3 The licensee may include a term in a Domestic Supply Contract requiring a Domestic Customer to pay a Termination Fee to end that contract except in any of the following circumstances:
- (a) the contract is of an indefinite length;
 - (b) without prejudice to sub-paragraph (a), the contract allows for both a fixed term period and a period of indefinite length and it is brought to an end during the period of indefinite length;
 - (c) the licensee is required to give Notice of increase in the Charges for the Supply of Electricity or any Disadvantageous Unilateral Variation in

accordance with paragraph 23.3 of standard condition 23 (Notification of Domestic Supply Contract terms); or

- (d) the contract is a Relevant Fixed Term Default Tariff.

24.4 The restrictions imposed by paragraph 24.3 will not apply to such extent as the Authority may direct.

Application of this condition

24.5 If a Domestic Supply Contract provides for both the supply of electricity to a premises and the provision of any other good or service, a reference in this condition to ending that contract is a reference to ending it for the supply of electricity to the premises only.

Length of notice period for termination

24.6 The licensee must ensure that any notice period for termination of any Evergreen Supply Contract is no longer than 28 days.

Termination of Evergreen Supply Contracts

24.7 In relation to any Evergreen Supply Contract, the licensee must ensure that the Domestic Customer is entitled to give notice to terminate the Evergreen Supply Contract at any time.

Termination of Fixed Term Supply Contracts

24.8 In relation to each Fixed Term Supply Contract, the licensee must ensure that:

- (a) a Domestic Customer is entitled to take steps to facilitate changing to any other Electricity Supplier (but not complete the process of changing supplier) at any time without having to pay a Termination Fee;
- (b) unless the Domestic Customer has already entered into a new Fixed Term Supply Contract with the licensee or paragraph 22C.5 of standard condition 22C applies, a Domestic Customer is entitled to switch to any other Electricity Supplier at any time during or after the Switching Window without having to pay a Termination Fee;
- (c) a Domestic Customer is not required to give any form of notice to terminate a Fixed Term Supply Contract or to switch supplier.

Continuation of Fixed Term Supply Contract terms for interim period

24.9 In relation to each Fixed Term Supply Contract, unless the Domestic Customer has entered into a new Fixed Term Supply Contract with the licensee or paragraph

22C.5 of standard condition 22C applies, the licensee must ensure that where paragraph 24.10 applies a Domestic Customer will continue to be subject to the same Charges for the Supply of Electricity and the same terms and conditions (but not any Termination Fee) that applied to that Fixed Term Supply Contract until they have changed their supplier.

24.10 This paragraph applies where one of the following conditions are satisfied:

- (a) no later than 20 Working Days after (but not including) the date on which the fixed term period of a Fixed Term Supply Contract ends, the licensee receives Notice under the **Retail Energy Code Master Registration Agreement** that another Electricity Supplier will begin to supply the Domestic Customer's Domestic Premises within a reasonable period of time; and
- (b) another Electricity Supplier begins to supply the Domestic Customer's Domestic Premises within a reasonable period of time after the date on which the Notice referred to in sub-paragraph 24.10(a) is given; or
- (c) where:
 - (i) the conditions in sub-paragraphs 24.10(a) and (b) are met; and
 - (ii) the Domestic Customer has paid any Outstanding Charges within 30 Working Days after the Domestic Customer receives Notice that the licensee intends to prevent the Domestic Customer's Proposed Supplier Transfer.

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24.11 In relation to each Fixed Term Supply Contract, where paragraph 22C.5 of standard condition 22C does not apply and a Domestic Customer has entered into a new Fixed Term Supply Contract with the licensee, the licensee must ensure that where paragraph 24.12 applies a Domestic Customer will continue to be subject to the same Charges for the Supply of Electricity and the same terms and conditions (but not any Termination Fee) that applied to that Fixed Term Supply Contract until the new Fixed Term Supply Contract comes into effect.

24.12 This paragraph applies where a new Fixed Term Supply Contract comes into effect no later than 20 Working Days after (but not including) the date on which the fixed term period of a Fixed Term Supply Contract ends.

Treatment of Fixed Term Supply Contracts with changes to terms precisely set out in advance

- 24.13 Without prejudice to paragraphs 22C.2 and 22C.5 of standard condition 22C, where, in accordance with paragraph 22C.11 of standard condition 22C, a Fixed Term Supply Contract expressly sets out in advance the precise variation or variations to the Charges for the Supply of Electricity which are scheduled to occur automatically by a precise amount (or precise amounts) and on a precise date (or precise dates) which is not subject to the licensee's discretion, the references to "fixed term period" in paragraphs 24.10 and 24.12 are to be read as a reference to the last fixed term period that applies to the Fixed Term Supply Contract.

Terms of Domestic Supply Contracts

- 24.14 The licensee must ensure that the terms and conditions of each Domestic Supply Contract comply with the provisions of standard condition 24.

- 24.14A The licensee must ensure that each Domestic Supply Contract contains terms and conditions which reflect the effect of:

- (a) paragraph 24.3;
- (b) paragraph 24.6;
- (c) paragraph 24.7;
- (d) paragraph 24.8;
- (e) paragraph 24.9;
- (f) paragraph 24.10;
- (g) paragraph 24.11; and
- (h) paragraph 24.12.

- 24.15 The licensee must not enforce or take advantage of any term of a Domestic Supply Contract if:

- (a) the inclusion of that term is incompatible with standard condition 24; or
- (b) the enforcement or the taking advantage of that term would be so incompatible.

Guidance

- 24.16 The licensee must have regard to any guidance on standard condition 24 (including in respect of definitions which appear in standard condition 1) which,

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following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Definitions for condition

- 24.17 For the purposes of this condition “**Switching Window**” means the period which begins when the Domestic Statement of Renewal Terms is provided to the Domestic Customer, or 49 days before the date the fixed term period of a Fixed Term Supply Contract is due to end (whichever is earlier) and which ends on the date the fixed term period of a Fixed Term Supply Contract is due to end.

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Standard conditions 25 to 30:
Domestic Customer protection

Condition 25. Informed choices – Tariff comparability and marketing

25.1 The licensee must ensure that the structure, terms and conditions of its Tariffs are clear and easily comprehensible.

25.2 The licensee must ensure that its Tariffs are easily distinguishable from each other.

25.3 The licensee must ensure that it puts in place information, services and/or tools to enable each Domestic Customer to easily compare and select appropriate Tariffs within its offering, taking into account that Domestic Customer's characteristics and/or preferences.

25.4 The licensee must not, and must ensure that its Representatives do not mislead or otherwise use inappropriate tactics, including high pressure sales techniques, when selling or marketing to Domestic Customers.

25.5 The licensee must only Recommend and must ensure that its Representatives only Recommend, to a Domestic Customer Tariffs which are appropriate to that Domestic Customer's characteristics and/or preferences.

25.6 Where a Domestic Customer to whom the licensee or any Representative has provided information in the course of Face-to-Face Marketing Activities or Telesales Activities enters into a Domestic Supply Contract with the licensee, the licensee must maintain a record of the information which it provided to that Domestic Customer concerning that Domestic Supply Contract in accordance with this licence condition for a period of 2 years.

Definitions for condition

25.7 For the purposes of this condition:

"Face-to-Face Marketing Activities" means any activities of the licensee or any Representative, that:

- (a) take place with the simultaneous physical presence of the licensee or any Representative and a Domestic Customer; and
- (b) are directed at or incidental to identifying and communicating with Domestic Customers for the purpose of promoting the licensee's Domestic Supply Contracts to them and includes entering into such contracts with such customers.

"Recommend" means communicating (whether in Writing or orally) to a Domestic Customer information about one or more Tariffs in a way which gives, or is likely to

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give, the Domestic Customer the impression that the particular Tariff(s) is/are suitable for their characteristics and/or preferences.

“Telesales Activities” means any activities of the licensee or any Representative that are:

- (a) conducted by telephone; and
- (b) directed at or incidental to identifying and communicating with Domestic Customers for the purpose of promoting the licensee’s Domestic Supply Contracts to them and includes entering into such contracts with such customers.

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Condition 25A. (Not used.)

Condition 25B. Interoperability of Advanced Domestic Meters

Information to be provided in respect of Advanced Domestic Meters

- 25B.1 This paragraph applies where the licensee is the Relevant Electricity Supplier to a Domestic Customer with an Advanced Domestic Meter and that Domestic Customer intends to change their Electricity Supplier and continue to use that Advanced Domestic Meter at the Domestic Premises.
- 25B.2 Where paragraph 25B.1 applies the licensee must take (and ensure that any Representative takes) all reasonable steps to ensure that, as from the date another supplier becomes the Relevant Electricity Supplier for those premises, no misleading or inaccurate information relating to Charges for the Supply of Electricity will be provided to the Domestic Customer via an Electronic Consumption Data Display and the Advanced Domestic Meter which relates to, or arises from, the Domestic Supply Contract previously entered into between the licensee and the Domestic Customer.
- 25B.3 Before the licensee enters into a Domestic Supply Contract with a Domestic Customer, the licensee must take (and ensure that any Representative takes) all reasonable steps to:
- (a) ascertain whether an Advanced Domestic Meter is installed at the Domestic Premises;
 - (b) where an Advanced Domestic Meter is installed at the Domestic Premises, obtain information about the functionality of that Advanced Domestic Meter;
 - (c) communicate to that Domestic Customer in plain and intelligible language an explanation of the nature and effect of any potential variations to the services related to the functionality of the Advanced Domestic Meter installed at the Domestic Premises that might be to the disadvantage of the Domestic Customer.
- 25B.4 Where paragraph 3 applies, the licensee must take and retain (and ensure that any Representative takes and maintains) appropriate record of its compliance with the requirements of paragraph 3 of this condition.
- 25B.5 Before the licensee or any Representative installs and Advanced Domestic Meter, the licensee must take (and ensure that any Representative takes) all reasonable steps to communicate to that Domestic Customer in plain and intelligible language a statement to the effect that if they change their Electricity Supplier they may not be able to receive the same services in respect of the functionality of the Advanced Domestic Meter installed at their Domestic Premises.

General obligation to help maintain Advanced Domestic Meter services

25B.6 This paragraph applies where:

- (a) the licensee is the Installation Licensee; and
- (b) a Proposed New Electricity Supplier has requested the information referred to in paragraph 7 from the Installation Licensee.

25B.7 Where paragraph 6 applies, the Installation Licensee must, as soon as reasonably practicable, provide the Proposed New Electricity Supplier with such information as is reasonably required to:

- (a) enable the Proposed New Electricity Supplier to determine the functionality of the existing Advanced Domestic Meter at the particular Domestic Premises (hereafter referred to as 'the relevant premises'); and
- (b) enable the Proposed New Electricity Supplier to maintain all or part of the services related to the functionality of the existing Advanced Domestic Meter at the relevant premises.

25B.8 The licensee is not required to give information under paragraph 7, if doing so would seriously and prejudicially affect its commercial interest or might be expected to be incompatible with any legislation, rule of law or licence condition.

25B.9 The licensee is not required to give information under paragraph 7 which it could not be compelled to produce or give in evidence in civil proceedings before a court.

25B.10 Where the Installation Licensee receives a request from a Proposed New Electricity Supplier, after 31st December 2012, the Installation Licensee must:

- (a) offer to provide the Proposed New Electricity Supplier with all such services as are reasonably required for the Proposed New Electricity Supplier to maintain all of the services related to the functionality of the existing Advanced Domestic Meter at particular Domestic Premises (hereafter referred to as 'the relevant services'); and
- (b) if the offer referred to in sub-paragraph (a) is accepted, provide the Proposed New Electricity Supplier with the relevant services in accordance with that offer.

25B.11 The Installation Licensee is not required to comply with paragraph 10 where it –

- (a) supplies electricity to fewer than 250,000 Domestic Customers; or
- (b) has installed or arranged to have installed fewer than –
 - (i) 25,000 Advanced Domestic Meters; and

- (ii) 5,000 Prepayment Advanced Domestic Meters.

25B.12 The licensee is not required to comply with Standard Condition 25B.10 to such extent as the Authority may from time to time direct.

Replacement of a Prepayment Advanced Domestic Meter

25B.13 Where a Domestic Customer with a Prepayment Advanced Domestic Meter intends to change their Electricity Supplier at the same Domestic Premises to the licensee, and will continue to pay Charges for the Supply of Electricity in advance through a Prepayment Meter, but the licensee is unable to support the existing Prepayment Advanced Domestic Meter, the licensee must install or arrange to install a replacement Prepayment Meter at no charge to the Domestic Customer.

25B.14 Not Used.

25B.15 Not Used.

Definitions for condition

25B.16 For the purposes of this condition:

Advanced Domestic Meter	means an Electricity Meter that, either on its own or with an ancillary device: (a) provides measured electricity consumption data for multiple time periods and is able to provide such data for at least daily periods; (b) is able to provide the licensee with remote access to such data; and (c) is not an Electronic Consumption Data Display.
Electronic Consumption Data Display	means an electronic device that provides information, by electronic display, for the purposes of ascertaining the quantity of electricity supplied to Domestic Premises and/or information relating to Charges for the Supply of Electricity, in relation to Domestic Premises where an Advanced Domestic Meter is installed.
Installation Licensee	means the Electricity Supplier who has installed or arranged to have installed an Advanced Domestic Meter at the Domestic Premises of a particular Domestic Customer.
Prepayment Advanced Domestic Meter	means a Electricity Meter that, either on its own or with an ancillary device: (a) provides measured electricity consumption data for multiple time periods and is able to provide such data for at least daily periods;

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	<p>(b) is able to provide the licensee with remote access to such data;</p> <p>(c) operating in a mode which requires a Domestic Customer to pay Charges for the Supply of Electricity in advance; and</p> <p>(d) a reference to the installation or removal of a Prepayment Meter includes the switching of any Electricity Meter to or from such a mode.</p>
Proposed New Electricity Supplier	means a Electricity Supplier that is not the Installation Licensee and is seeking to enter into a Domestic Supply Contract with a particular Domestic Customer.

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Condition 25C. (Not Used – refer to standard condition 0)

Condition 25D. Power to direct payment of rebates to Domestic Customers

- 25D.1 The licensee must comply with any direction relating to Relevant Matters for Standard Condition 25D which, following consultation and subject to the Secretary of State's duty to have regard to the Statutory Considerations, the Secretary of State may issue and may from time to time revise (following further consultation).
- 25D.2 The licensee must provide the Authority or the Secretary of State with information specified by the Authority or Secretary of State in relation to matters that it or he reasonably considers are relevant to the licensee's compliance with any direction issued pursuant to paragraph 25D.1.
- 25D.3 The Authority or Secretary of State may direct the licensee to comply with paragraph 25D.2 by providing to the Authority or the Secretary of State information:
- (a) in a particular form or medium by a particular date;
 - (b) in a particular form or medium at such reoccurring intervals of time as the Authority or Secretary of State considers appropriate; and
 - (c) of any description specified by the Authority or the Secretary of State, including any documents, accounts, estimates, returns, records or reports and data of any kind, whether or not prepared specifically at the request of the Authority or the Secretary of State.
- 25D.4 The licensee is not required to comply with paragraph 25D.2 if it could not be compelled to produce or give the information in evidence in civil proceedings before a court.
- 25D.5 This condition will cease to have effect from and including the date five years after this condition becomes effective.

Definitions for condition

25D.6 For the purposes of this condition:

"Relevant Matters for Standard Condition 25D" means:

- (a) a requirement to deliver a Government Electricity Rebate to a Domestic Customer;
- (b) the time and manner in which a Government Electricity Rebate is to be delivered to a Domestic Customer;

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- (c) a requirement to display or provide any information relating to a Government Electricity Rebate on or with a Bill or statement of account; and
- (d) the format of any information relating to a Government Electricity Rebate which must be displayed on or provided with a Bill or statement of account.

“Government Electricity Rebate” means, in respect of any eligible Domestic Customer, up to two annual rebates equal to twelve pounds sterling per calendar year which the Secretary of State may in accordance with this condition direct the licensee to deliver to a Domestic Customer.

“Statutory Considerations” means the principal objective and duties set out in sections 3A to 3C of the Electricity Act 1989.

Condition 26. Priority Services Register

Duty to establish a Priority Services Register

26.1 The licensee must:

- (a) establish and maintain a Priority Services Register of its Domestic Customers, who, due to their Personal Characteristics or otherwise being in a vulnerable situation, may require Priority Services;
- (b) take all reasonable steps to promote the existence of the Priority Services Register and the Priority Services which may be available from the licensee; and
- (c) take all reasonable steps (which are appropriate in the circumstances, having regard to the interests of the Domestic Customer) to:
 - (i) identify such Domestic Customers in the course of interactions between the licensee and Domestic Customers, and
 - (ii) offer to add any or all of the Minimum Details to the Priority Services Register during interactions.

26.2 In so far as permitted by any laws relating to data protection and/or privacy, the licensee must add the Minimum Details to the Priority Services Register.

Duty to share information

26.3 In so far as permitted by any laws relating to data protection and/or privacy, the licensee must share the Minimum Details using the Relevant Industry Mechanisms.

Duty to offer services

26.4 The licensee must offer (and, if accepted, provide), free of charge, to any of its Domestic Customers which have been added to the Priority Services Register, such of the Priority Services as the Domestic Customer may reasonably require on account of his or her Personal Characteristics or vulnerable situation.

26.5 The Priority Services are appropriate mechanisms and arrangements to enable the following:

- (a) the Domestic Customer receiving additional support to assist him or her to identify any person acting on behalf of the licensee,
- (b) a person nominated by, or otherwise legally entitled to act on behalf of, the Domestic Customer being able to receive communications relating to their account,

(c) the reading (and provision of that reading to the Domestic Customer) of the Domestic Customer's Electricity Meter at appropriate intervals, if the Domestic Customer is unable to do so and there is no other person the Domestic Customer could reasonably nominate to do so on their behalf,

(d) functionality of the Domestic Customer's Prepayment Meter which is Safe and Reasonably Practicable in all the Circumstances of the Case,

(e) communications with the Domestic Customer in an accessible format that is, so far as is reasonably practicable, appropriate to the Domestic Customer's needs on the basis of their Personal Characteristics and/or vulnerable situation, and

(f) such further or additional services (of a similar non-financial nature as sub-paragraphs 26.5(a) to (e)) as the licensee identifies are appropriate to the needs of its Domestic Customers and reasonably practicable for the licensee to provide.

Compliance with data protection and/or privacy laws

26.6 For the purposes of its obligations under standard condition 26 (including in respect of obtaining, recording, using and sharing information), the licensee must comply with any laws relating to data protection and/or privacy.

Definitions for condition

26.7 In this condition:

"Minimum Details" means the Domestic Customer's name, details of any relevant Personal Characteristics and/or vulnerable situation, and such other details which are relevant to the subject matter of standard condition 26 as the Authority may from time to time specify by publishing a statement in Writing (following public consultation and giving at least two months' prior notice).

"Personal Characteristics" means:

(a) the Domestic Customer being of Pensionable Age;

(b) the Domestic Customer being chronically sick, or having an impairment, disability, or long term medical condition (including but not limited to a visual, auditory or mobility impairment);

(c) any other characteristics identified by the licensee as being relevant due to the nature of the Priority Services.

"Priority Services" is to be interpreted in accordance with paragraph 26.5.

"Relevant Industry Mechanisms" means arrangements for the purposes of sharing the Minimum Details with specified persons as:

- (a) set out in the Retail Energy Code ~~Master Registration Agreement~~, or
- (b) designated by the Authority by publishing a statement in Writing (following public consultation and giving at least two months' prior notice).

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"Safe and Reasonably Practicable in all the Circumstances of the Case" is to be interpreted in accordance with paragraph 28.1B of standard condition 28.

Condition 27. Payments, Security Deposits, Disconnections and final Bills

Payment methods under Domestic Supply Contract

- 27.1 Where the licensee offers to supply electricity to a Domestic Customer under a Domestic Supply Contract under paragraph 2 of standard condition 22 (Duty to offer and supply under Domestic Supply Contract), it must offer the customer a wide choice of payment methods for paying Charges and those methods must include (in each case, for the duration of the contract):
- (a) payment by cash:
 - (i) to a person and at a place that is reasonable in all the circumstances of the case including circumstances where a Domestic Customer pays in advance using a Prepayment Meter; and
 - (ii) fortnightly or more regularly; and
 - (b) payment in advance through a Prepayment Meter.
- 27.2 The licensee is not required to comply with paragraph 27.1:
- (a) if a Domestic Customer asks to use a particular payment method for paying Charges and the licensee offers that method to him; or
 - (b) if it supplies electricity to fewer than 50,000 Domestic Customers or such other number as may from time to time be directed by the Authority.
- 27.2A Any difference in terms and conditions as between payment methods for paying Charges for the Supply of Electricity shall reflect the costs to the supplier of the different payment methods.
- 27.2B In this condition, "terms" means all terms on which a supply of electricity is offered or provided, including terms as to price, which significantly affect the evaluation of that supply.

Security Deposits

27.3 A licensee must not require a Domestic Customer to pay a Security Deposit in relation to the supply of electricity to his premises or, where the premises are Green Deal Premises, in relation to Green Deal Charges:

- (a) if that customer agrees that the premises may be supplied through a Prepayment Meter and it is safe and reasonably practicable in all the circumstances of the case for the premises to be so supplied; or
- (b) if it is unreasonable in all the circumstances of the case to require that customer to pay a Security Deposit.

27.4 A Security Deposit must not exceed a reasonable amount.

Customers in payment difficulty

27.5 The licensee must offer each of the services set out in paragraph 27.6 when it becomes aware or has reason to believe that a Domestic Customer is having or will have difficulty paying all or part of the Charges.

27.6 The services referred to in paragraph 27.5 are:

- (a) the facility for a Domestic Customer to pay Charges:
 - (i) by using, where available, a means by which payments may be deducted at source from a social security benefit received by that customer;
 - (ii) by regular instalments calculated in accordance with paragraph 27.8 and paid through a means other than a Prepayment Meter; and
 - (iii) by using a Prepayment Meter, where it is safe and reasonably practicable in all the circumstances of the case for the Domestic Customer to do so and where any instalments to be paid are calculated in accordance with paragraph 27.8; and
- (b) the provision of information about how the Domestic Customer could reduce the Charges for the Supply of Electricity that he must pay by using the electricity supplied to his premises more efficiently.

27.7 If a Domestic Customer, having agreed to make payments for Charges using the service referred to in sub-paragraph 27.6(a)(i), is no longer in receipt of social security benefits from which payments can be deducted at source, the licensee must offer the services referred to in sub-paragraph 27.6(a)(ii) and 27.6(a)(iii).

- 27.8 The licensee must take all reasonable steps to ascertain the Domestic Customer's ability to pay and must take this into account when calculating instalments, giving due consideration to:
- (a) relevant information provided by third parties, where it is available to the licensee; and
 - (b) where instalments will be paid using a Prepayment Meter, the value of all of the charges that are to be recovered through that meter.

Disconnection for unpaid charges

- 27.9 The licensee must not Disconnect a Domestic Premises at which the Domestic Customer has not paid Charges unless it has first taken all reasonable steps to recover those charges by means of the service referred to in sub-paragraph 27.6(a)(iii).
- 27.9A For the purposes of conditions 27.9, 27.10, 27.11 and 27.11A, Disconnection includes:
- (a) Credit Limiting where:
 - (i) it amounts to stopping the supply to the Domestic Premises; and
 - (ii) the Domestic Customer does not pay Charges by using a Prepayment Meter; and
 - (b) Load Limiting where:
 - (i) the supply to the Domestic Premises is significantly constrained; and
 - (ii) the Domestic Customer does not pay Charges by using a Prepayment Meter or, where the Domestic Customer pays using a Prepayment Meter, that meter remains for the time being, in credit.
- 27.10 The licensee must not Disconnect, in Winter, a Domestic Premises at which the Domestic Customer has not paid Charges if it knows or has reason to believe that the customer is of Pensionable Age and lives alone or lives only with persons who are of Pensionable Age or under the age of 18.
- 27.11 The licensee must take all reasonable steps to avoid Disconnecting, in Winter, a Domestic Premises at which the Domestic Customer has not paid Charges if the occupants of the premises include a person who is of Pensionable Age, disabled

or chronically sick and to whom paragraph 27.10 does not apply.

- 27.11A The licensee shall, before it exercises any right it may have to Disconnect a Domestic Premises, take all reasonable steps to ascertain whether:
- (i) the relevant Domestic Customer falls within the scope of SLC 27.10; or
 - (ii) the restriction on its right to disconnect in accordance with SLC 27.11 applies.
- 27.11B The licensee must have regard to guidance on the interpretation of conditions 27.9A to 27.11A which, following consultation, the Authority may issue and may from time to time revise.
- 27.11C Paragraphs 27.5 to 27.11B shall not apply where the licensee is considering exercising its Statutory Disconnection Power.

Provision of information

- 27.12 The licensee must:
- (a) prepare a statement that sets out, in plain and intelligible language, its obligations under paragraphs 27.5 to 27.11A;
 - (b) publish that statement on and make it readily accessible from its Website (if it has one);
 - (c) take all reasonable steps to inform each of its Domestic Customers, at least once each year, of that statement and how to obtain it; and
 - (d) give a copy of that statement on request and free of charge to any person.
- 27.13 Paragraphs 14, 15 and 16 apply where a Domestic Customer pays the Charges which are payable under its Domestic Supply Contract by way of regular direct debit payments of a fixed amount (which amount may be varied from time to time in accordance with the relevant Domestic Supply Contract or, as the case may be, the relevant Green Deal Plan).
- 27.14 The licensee must provide to each such Domestic Customer an explanation in clear, plain and intelligible language of the basis upon which a fixed amount (and any variation of that fixed amount) has been determined.
- 27.15 Save where a clear and express Principal Term of the relevant Domestic Supply Contract provide otherwise, the licensee must take all reasonable steps to ensure that the fixed amount of the regular direct debit payment is based on the best and most current information available (or which reasonably ought to be available) to the licensee, including information as to the quantity of electricity which the

licensee reasonably estimates has been or will be supplied under the relevant Domestic Supply Contract.

- 27.16 Where any Credit has accumulated under a Domestic Supply Contract and the relevant Domestic Customer requests that the licensee do so, the licensee must, save where it is fair and reasonable in all the circumstances for the licensee not to do so, refund, in a timely manner any Credit which has accumulated under that Domestic Supply Contract to the relevant Domestic Customer. Where the licensee considers that it is fair and reasonable in all the circumstances for it not to refund any Credit which has accumulated under a Domestic Supply Contract in accordance with this provision, it must inform the relevant Domestic Customer of its view and of the reasons for holding that view.

In this condition, “**Credit**” means the amount by which the payments made by a Domestic Customer to the licensee under or in accordance with the relevant Domestic Supply Contract exceeds the total amount of Charges which is due and payable by that Domestic Customer to the licensee under that Domestic Supply Contract.

Provision of final bill

- 27.17 Where the responsibility for the supply of electricity to a Domestic Customer transfers from the licensee to another Electricity Supplier or otherwise terminates, the licensee must take all reasonable steps to send a final Bill or statement of account of the Domestic Customer’s account within 6 weeks of the supplier transfer or termination of the Domestic Supply Contract.
- 27.18 Where subsequent information becomes available to correct an error in the final Bill or statement of account issued pursuant to paragraph 27.17, the licensee shall send a corrected Bill or statement of account as soon as reasonably practicable after the subsequent information becomes available.

Condition 28. Prepayment Meters

Information about Prepayment Meters

- 28.1 If the licensee offers to enable a Domestic Customer to pay or a Domestic Customer asks to pay Charges through a Prepayment Meter, the licensee must provide, prior to or upon the installation of that meter, appropriate information to that customer about:
- (a) the advantages and disadvantages of a Prepayment Meter;
 - (aa) information relating to the operation of the Prepayment Meter, including information about the process for, and methods by which, the Domestic Customer can pay in advance through the Prepayment Meter;
 - (b) where he may obtain information or assistance if:
 - (i) the Prepayment Meter is not operating effectively; or
 - (ii) any device used to allow the Charges to be paid through the Prepayment Meter is not operating effectively; and
 - (c) the procedures that the licensee will follow when removing or resetting the Prepayment Meter, including the timescale and any conditions for removing or resetting it.

Safety and reasonable practicability of Prepayment Meters

- 28.1A Where a Domestic Customer uses a Prepayment Meter and the licensee becomes aware or has reason to believe that it is no longer safe and reasonably practicable in all the circumstances of the case for the Domestic Customer to do so, the licensee must offer:
- (a) to alter the position of, or replace with one which has been specially adapted, the Prepayment Meter installed in the Domestic Premises, either in accordance with its obligations under Schedule 6 (Electricity Code) or otherwise, if it would make it safe and reasonably practicable in all the circumstances of the case, for the Domestic Customer to continue to use the Prepayment Meter;
 - (b) to make such other arrangements as are necessary to ensure that it would be safe and reasonably practicable in all the circumstances of the case, for the Domestic Customer to continue to use the Prepayment Meter; or
 - (c) a facility for the Domestic Customer to pay Charges through a means other than a prepayment meter, including, where condition 27.5 applies, the services referred to in condition 27.6(a)(i) and (ii).

28.1B The licensee must have regard to guidance on the interpretation of “safe and reasonably practicable in all the circumstances of the case” which, following consultation, the Authority may issue, and may from time to time revise.

Resetting of Prepayment Meters

28.2 Where a Domestic Customer pays Charges for the Supply of Electricity through a Prepayment Meter, the licensee must take all reasonable steps to ensure that the meter is reset within a reasonable period of time:

- (a) after 1 August 2007, if any change has been made to Charges before that date and the meter has not been reset;
- (b) after any change is made on or after that date to Charges for the Supply of Electricity; or
- (c) if payments are being made by instalments using the meter:
 - (i) after any change is made to the amount due in instalments; or
 - (ii) after instalments are no longer required.

Provision of information

28.3 The licensee must:

- (a) prepare a statement that sets out, in plain and intelligible language, its obligations under this condition and includes the information referred to in paragraph 28.1;
- (b) publish that statement on and make it readily accessible from its Website (if it has one);
- (c) take all reasonable steps to inform each of its Domestic Customers who pay Charges through a Prepayment Meter, at least once each year, of the statement and how to obtain it; and
- (d) give a copy of the statement on request and free of charge to any person.

Condition 28A. Prepayment Charge Restriction

Requirement to adhere to a Prepayment Charge Restriction

- 28A.1 The licensee must that, between 1 April 2017 and 31 December 2020, the aggregate amount of all Charges for Supply Activities to each Relevant Customer does not exceed the Relevant Maximum Charge within each Charge Restriction Period. However, the licensee will be released from its obligation to comply with SLC28A in the Charge Restriction Period beginning on 1 October 2020 and ending on 31 December 2020 if the CMA issues a direction pursuant to Articles 3.4 and 6 of the Energy Market Investigation (Prepayment Charge Restriction) Order 2016 (as varied) releasing it from that obligation.
- 28A.2 Unless a direction has been issued by the Authority pursuant to paragraph 28A.18, in order to comply with paragraph **Error! Reference source not found.**, the licensee must ensure that for each of its Prepayment Tariffs the aggregate Charges for Supply Activities applicable to any Relevant Customer at any consumption level (x kWh) in respect of a Charge Restriction Period do not exceed the Relevant Maximum Charge.
- 28A.3 For all Single-Register Prepayment Tariffs, compliance with the Prepayment Charge Restriction will be assessed against the Relevant Maximum Charge determined on the basis of the Benchmark Metering Arrangement values for Single-Rate Metering Arrangements. The licensee must ensure that each of its Multi-tier Prepayment Tariffs complies with the Prepayment Charge Restriction for all possible divisions of consumption between the different months within the Charge Restriction Period.
- 28A.4 For all Multi-Register Prepayment Tariffs, compliance with the Prepayment Charge Restriction will be assessed against the Relevant Maximum Charge determined on the basis of the Benchmark Metering Arrangement values for Economy 7 Metering Arrangements.
- 28A.5 This condition 28A does not apply in relation to Fixed Term Supply Contracts entered into by a Relevant Customer on or before 24 June 2016.

Determination of the Relevant Maximum Charge

- 28A.6 For each Benchmark Metering Arrangement (denoted l), Charge Restriction Period of length t months (denoted j), and for each of the 14 Charge Restriction

Regions (denoted i), the Relevant Maximum Charge for a given level of consumption x shall be determined by reference to the Benchmark Maximum Charges applicable during a Charge Restriction Period at two Benchmark Annual Consumption Levels denoted below as nil and m , as follows:

$$ChargeMax_{i,j,l}(x) = \left(ChargeMax_{i,j,l}(nil) \times \frac{t}{12} \right) + \left[\frac{ChargeMax_{i,j,l}(m) - ChargeMax_{i,j,l}(nil)}{m} \times x \right]$$

ChargeMax(m) and ChargeMax(nil) are defined as described in paragraph 28A.7.

Calculation of the Benchmark Maximum Charges for Charge Restriction Periods

28A.7 For each Charge Restriction Period, the Authority will calculate the Benchmark Maximum Charge for each:

- (a) Benchmark Annual Consumption Level;
- (b) Charge Restriction Region; and
- (c) Benchmark Metering Arrangement,

in accordance with the following formula:

$$ChargeMax_{i,j,k,l} = (WC_{i,j,k,l} + NC_{i,j,k,l} + PC_{i,j,k,l} + OC_{j,k,l} + PPM_j + E_{i,j,k,l} + H_{i,j,k,l})$$

where (the following units all being in pounds sterling):

$ChargeMax_{i,j,k,l}$ means the Benchmark Maximum Charge in Charge Restriction Region i , Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l ;

$WC_{i,j,k,l}$ has the meaning given to it in paragraph 28AD.7 and calculated in accordance with paragraph 28AD.8;

$NC_{i,j,k,l}$ has the meaning given to it in paragraph 28AD.7 and calculated in accordance with paragraph 28AD.9;

$PC_{i,j,k,l}$ has the meaning given to it in paragraph 28AD.7 and calculated in accordance with paragraph 28AD.10;

$OC_{j,k,l}$ means the Operating Cost Allowance in Charge Restriction Period j, at Benchmark Annual Consumption Level k, for Benchmark Metering Arrangement l, calculated in accordance with paragraph 28A.13;

PPM_j means the prepayment meter uplift allowance in Charge Restriction Period j calculated in accordance with paragraph 28A.14;

$E_{i,j,k,l}$ means the Earnings Before Interest and Tax Allowance in Charge Restriction Region i, in Charge Restriction Period j, at Benchmark Annual Consumption Level k, for Benchmark Metering Arrangement l, calculated in accordance with paragraph 28A.12;

$Hi_{j,k,l}$ means the headroom allowance in Charge Restriction Region i, in Charge Restriction Period j, at Benchmark Annual Consumption Level k, for Benchmark Metering Arrangement l, calculated in accordance with paragraph 28A.15

28A.8 For the purposes of paragraph 28A.7, the Earnings Before Interest and Tax Allowance in Charge Restriction Region i, in Charge Restriction Period j, at Benchmark Annual Consumption Level k, for Benchmark Metering Arrangement l, shall be calculated as follows:

$$E_{i,j,k,l} = \text{EBIT} \times (\text{WC}_{i,j,k,l} + \text{NC}_{i,j,k,l} + \text{PC}_{i,j,k,l} + \text{OC}_{j,k,l} + \text{PPM}_j)$$

where:

EBIT means 1.9368%.

28A.9 For the purposes of paragraph 28A.7, the Operating Cost Allowance in Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , shall be calculated in accordance with paragraph 28AD.11 after exclusion of any Smart Metering Non-Pass-Through Net Cost Change, as defined in SLC 28AD and published in the format set out in Annex 5 of SLC 28AD.

28A.10 For the purposes of paragraph 28A.7, the prepayment uplift allowance in Charge Restriction Period j shall be calculated as follows:

$$PPM_j = PPM_0 \times \frac{CPIH_j}{CPIH_0}$$

where

' PPM_0 ' shall be £24.4072 for both Single-Rate Metering Arrangements and Economy 7 Metering Arrangements

$CPIH_j$ means the value of the Consumer Prices Index including owner occupiers' housing costs, series ID: L522 (the "CPIH Index"), published by the Office for National Statistics or the successor to such series as may be identified by the Monetary Policy Committee of the Bank of England for the purposes of targeting inflation or as otherwise directed by the Authority. The value used shall be chosen as follows:

- For a Charge Restriction Period starting on 1 April of each calendar year, the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Dec\ j-1}$$

- For a Charge Restriction Period starting on 1 October of each calendar year, the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Jun\ j-1}$$

$CPIH_0$ has the value 102.2.

28A.11 For the purposes of paragraph 28A.7, the Headroom Allowance in Charge Restriction Region i , in Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , shall be calculated as follows:

$$H_{j,k,l} = HAP_l \times (WC_{j,k,l} + PC_{j,k,l} + IC_{j,k,l} + PPM_{j,l})$$

where:

HAP means the Headroom Allowance Percentage, which is 1.4641% for Single-Rate Metering Arrangements and Economy 7 Metering Arrangements.

Determination and publication of Benchmark Maximum Charges and other values

28A.12 Subject to paragraphs 28A.14 and 28A.15, no later than the fifth Working Day of February in relation to a forthcoming Charge Restriction Period j starting on 1 April, or no later than the fifth Working Day of August in relation to a forthcoming Charge Restriction Period j starting on 1 October, the Authority will:

- determine the updated Benchmark Maximum Charges which shall apply for any forthcoming Charge Restriction Period j by calculating such values in accordance with paragraph 28A.7; and
- publish such Benchmark Maximum Charges so calculated in the format specified in Annex 5.

28A.13 Subject to paragraphs 28A.14 and 28A.15, at the same time as publishing the Benchmark Maximum Charges pursuant to paragraph 28A.16(b) the Authority will also publish the following values for each Charge Restriction Region i , at Benchmark Annual Consumption Level k , and for Benchmark Metering Arrangement l , and, where relevant, identify the data sources used for:

- (a) the wholesale cost allowance calculated in accordance with paragraph 28AD.8;
- (b) the network cost allowance determined in accordance with paragraph 28AD.9;
- (c) the policy cost allowance, calculated in accordance with paragraph 28AD.10;
- (d) the value of CPIHj as described in paragraph 28A.14.

28A.14 If the information that is required to calculate one or more of the values listed in paragraph 28A.13 is unavailable at the time of the calculation, the Authority may use an alternative source to set the values to determine the Benchmark Maximum Charges. In that event, the Authority will publish the alternative value(s) it has used to determine the Benchmark Maximum Charge at the same time as publishing the Benchmark Maximum Charges.

28A.15 If, owing to a technical issue, the Authority is unable to publish the Benchmark Maximum Values or the values listed in paragraph 28A.13 within the timetable set out in paragraph 28A.12, it will publish such values without delay following resolution of the technical issue.

Duration of the Prepayment Charge Restriction

28A.16 This condition will come into force on 1 January 2017 and shall cease to have effect on 30 June 2021.

Direction for alternative compliance assessment

28A.17 The licensee may apply to the Authority to request a direction concerning the compliance of a particular Prepayment Tariff with the Prepayment Charge Restriction where, due to the structure of the relevant Prepayment Tariff, the Charges for Supply Activities at certain consumption levels would exceed the Relevant Maximum Charge, but the licensee can provide evidence to the reasonable satisfaction of the Authority that it is unlikely that Relevant Customers subject to such Prepayment Tariff will have a consumption level which would cause them to incur Charges for Supply Activities in excess of the Relevant Maximum Charge.

28A.18 If the Authority has issued a direction to the licensee pursuant to paragraph 28A.17, the licensee must comply with such direction and must determine, at the

end of each Charge Restriction Period, whether any Relevant Customer has in fact incurred Charges for Supply Activities in excess of the Relevant Maximum Charge. If the licensee determines that this is the case, the licensee must:

- (a) inform the Authority in Writing without delay; and
- (b) pay a rebate to, or credit the account of, each such Relevant Customer equal to the difference between the Charges for Supply Activities actually incurred (or, if the Tariff is a Multi-Register Prepayment Tariff, the difference between the Charges for Supply Activities calculated on the basis of the Assumed Consumption Split) by Relevant Customers and the Relevant Maximum Charge within 30 days of the end of the relevant Charge Restriction Period.

Assumed Consumption Split where the Prepayment Tariff varies by time of consumption or purpose (Multi-Register Prepayment Tariffs)

- 28A.19 For the purpose of assessing compliance of Multi-Register Prepayment Tariffs with the Prepayment Charge Restriction pursuant to paragraph 28A.3, in calculating the aggregate amount of all Charges for Supply Activities, consumption in different periods will be weighted using an Assumed Consumption Split determined in accordance with paragraph 28A.21.
- 28A.20 For the purpose of the review to be carried out pursuant to paragraph 28A.18, in calculating the Charges for Supply Activities incurred by Relevant Customers on any given Prepayment Tariff, consumption in different peak and off-peak periods will be weighted using an Assumed Consumption Split determined in accordance with paragraph 28A.21.
- 28A.21 The Assumed Consumption Splits shall apply across Great Britain, reflect annual consumption patterns, and be determined as follows:
- (a) in respect of each Economy 7 Tariff, off-peak and peak consumption levels of 42% and 58%, respectively, shall be the Assumed Consumption Split, subject to any direction from the Authority issued pursuant to paragraph 28A.27;
 - (b) in respect of each Multi-Register Prepayment Tariff (other than an Economy 7 Tariff), the Assumed Consumption Split shall be based on historic consumption data or, in the absence of historic data, on a reasonable estimate

of the average consumption split, subject to any direction from the Authority issued pursuant to paragraph 28A.23.

28A.22 In respect of each Multi-Register Prepayment Tariff (other than an Economy 7 Tariff), the licensee must:

- (a) notify the Authority in Writing of the Assumed Consumption Split with accompanying relevant data relating to the historic consumption of their customers, no less than three months before the beginning of each relevant Charge Restriction Period or, if a Prepayment Tariff is capable of being entered into for the first time at a date after the beginning of a Charge Restriction Period, two months before that date; and
- (b) when historic data are not available in relation to a particular Prepayment Tariff, the licensee must:
 - (i). notify the Authority as per paragraph 28A.22(a) its forecast of the average consumption split relevant to that Prepayment Tariff (which shall be used as the Assumed Consumption Split), as well as evidence supporting this forecast, and
 - (ii). no later than three months after the last day of each Charge Restriction Period, notify in Writing to the Authority the actual average consumption usage split for that Prepayment Tariff in the previous Charge Restriction Period.

28A.23 The licensee must comply with any direction which the Authority may issue, having first sought representations from the licensee (to which the Authority will have regard), to use an alternative Assumed Consumption Split in relation to one or more Multi-Register Prepayment Tariffs. This Assumed Consumption Split shall be notified:

- (a) in relation to a Multi-Register Prepayment Tariff capable of being entered into for the first time at a date after the beginning of a Charge Restriction Period, no later than one month before the launch of that Prepayment Tariff; and
- (b) in relation to any other Multi-Register Prepayment Tariff, no later than the date on which the Benchmark Maximum Charges are published pursuant to paragraph 28A.12.

28A.24 The licensee must comply with any direction which the Authority may issue, after consultation with the licensee, to pay a rebate to Relevant Customers if, due to a discrepancy between the forecast and actual average consumption splits referred to in paragraph 28A.22(b), Relevant Customers either individually or collectively incurred Charges for Supply Activities materially in excess of the Relevant Maximum Charge.

Reporting obligation

28A.25 The licensee must provide the Authority with a report, in the format specified by the Authority from time to time, no more than 30 calendar days after the start of each Charge Restriction Period (without prejudice to the Authority's existing powers to request such information from the licensee at an earlier date). This report must state for each of its Prepayment Tariffs:

- (a) the Tariff Name and any brand name that the Prepayment Tariff is marketed under;
- (b) the first and (where applicable) last dates on which each Prepayment Tariff was or will be supplied to Relevant Customers under a Domestic Supply Contract or a Deemed Contract;
- (c) the Standing Charge(s) and Unit Rate(s) of each Prepayment Tariff in each Charge Restriction Region;
- (d) to which Metering Arrangement(s) each Prepayment Tariff applies or will apply;
- (e) the criteria a customer must meet in order to be eligible for the Prepayment Tariff;
- (f) in relation to Multi-Register Prepayment Tariffs, the Assumed Consumption Split;
- (g) the number of customers subject to the Prepayment Tariff at the end of the first day of the Charge Restriction Period; and
- (h) any other information about the Prepayment Tariff which the Authority may from time to time specify.

28A.26 The licensee must inform the Authority, in Writing (or in any other format specified by the Authority), of any changes to the information included in the

report as soon as reasonably practicable after the implementation of any such changes, including as a result of the launch of a new Prepayment Tariff.

28A.27 The licensee must give the Authority any information that it reasonably requests about the licensee's compliance with paragraph 28A.1 as soon as reasonably practicable after receiving a request.

Definitions for condition

28A.28 In this condition:

'Assumed Consumption Split' means the assumed percentage consumption split between each rate relevant to a Multi-Register Metering Arrangement, determined pursuant to paragraph 28A.21;

'Benchmark Metering Arrangement' means either a Single-Rate Metering Arrangement or an Economy 7 Metering Arrangement, to be used for the purpose of this licence pursuant to 28A.3 and 28A.4; for the purposes of applying Annexes 1 to 5 when determining the Benchmark Maximum Charge pursuant to paragraph 28A.7, means using: (a) the values set out in the columns headed 'Single-rate meters' for determining the Benchmark Maximum Charge for Single-Rate Metering Arrangements; and (b) the values set out in the columns headed 'Economy 7 meters' for determining the Benchmark Maximum Charge for Multi-Register Metering Arrangements;

'Benchmark Annual Consumption Levels' means the benchmark annual consumption levels nil kWh and m kWh, where m shall respectively take the following values:

For Single-Register Metering Arrangements

m = 3,100 kWh;

For Multi-Register Metering Arrangements

m = 4,200 kWh;

'Benchmark Maximum Charge' means one of 56 benchmark maximum charge values (in pounds sterling and exclusive of Value Added Tax), which are unique to a specific Benchmark Annual Consumption Level, Charge Restriction Region and Benchmark Metering Arrangement, and are updated on a semi-annual basis by the Authority pursuant to paragraphs 28A.7 and 28A.12;

'Charge Restriction Region' means a Distribution Services Area;

‘Charge Restriction Period’ means a period, between 1 April 2017 and 31 December 2020, either:

- beginning on 1 April of each year and ending on 30 September of the same calendar year; or
- beginning on 1 October of each year and ending on 31 March of the subsequent calendar year; or
- beginning on 1 October of 2020 and ending on 31 December 2020.

‘Charges for Supply Activities’ has the meaning given to that term in standard condition 22A;

‘CMA’ means the Competition and Markets Authority;

‘Consumption Window’ means, in the context of a Multi-Register Metering Arrangement, each separate period within a total period of 24 hours in which electricity consumption was recorded and charged at a distinct Unit Rate;

‘Economy 7 Metering Arrangement’ means using an Electricity Meter for the purpose of an Economy 7 Tariff;

‘Economy 7 Tariff’ means a Prepayment Tariff whereby a Domestic Customer is charged on the basis of two separate Unit Rates, where in each period of 24 hours the peak electricity consumption level is recorded during 17 ‘day/normal’ hours and the off-peak electricity consumption level is recorded during seven ‘night/low’ hours;

‘Excluded Smart Meter’ means either: (a) a Smart Metering System which consists of an Electricity Meter and any associated or ancillary devices identified in a version (other than the first version) of the SME Technical Specification; or (b) a Smart Metering System otherwise identified by the CMA (through a direction given under the Energy Market Investigation (Prepayment Charge Restriction) Order 2016) as being fully interoperable;

‘Metering Arrangement’ means, for the purpose of this licence condition, using one or more Electricity Meters for the purpose of a Prepayment Tariff whereby a Domestic Customer is charged either on the basis of a Multi-Register Metering Arrangement or on the basis of a Single-Register Metering Arrangement;

‘Multi-tier Metering Arrangement’ means using an Electricity Meter for the purpose of a Prepayment Tariff whereby a Domestic Customer is charged on the basis of a Unit Rate which varies according to the Domestic Customer’s electricity consumption over a defined period of time;

‘Multi-tier Prepayment Tariff’ means a Prepayment Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-tier Metering Arrangement;

‘Multi-Register Metering Arrangement’ means using one or more Electricity Meters for the purpose of a Prepayment Tariff whereby a Domestic Customer’s electricity consumption at certain times, or for certain purposes (for example, heating), or both, is separately recorded - on one or more registers - and includes any contractual arrangement whereby the Domestic Customer is charged on the basis of Time of Use Rates (regardless of the metering equipment employed);

‘Multi-Register Prepayment Tariff’ means a Prepayment Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-Register Metering Arrangement;

‘Prepayment Charge Restriction’ means the obligation set out in paragraph **Error! Reference source not found.**;

‘Prepayment Tariff’ means a Tariff in respect of any Domestic Supply Contract or Deemed Contract with a Relevant Customer which includes a requirement to pay Charges through one or more Prepayment Meters;

‘Relevant Customer’ means a Domestic Customer supplied via a Prepayment Meter, excluding those Domestic Customers supplied via an Excluded Smart Meter;

‘Relevant Maximum Charge’ means for each Relevant Customer the maximum charge amount (in pounds sterling, excluding value added tax) for any consumption level, calculated in accordance with paragraph 28A.6;

‘Single-Rate Metering Arrangement’ means using one or more Electricity Meters for the purpose of a Prepayment Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a single Unit Rate;

‘Single-Register Metering Arrangement’ means any Metering Arrangement which is not a Multi-Register Metering Arrangement, and includes a Single-Rate Metering Arrangement (regardless of the metering equipment employed) and a Multi-Tier Metering Arrangement in which the Unit Rate does not vary according to the time of use);

‘Single-Register Prepayment Tariff’ means a Prepayment Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a Single-Register Metering Arrangement.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 1 – Baseline Benchmark Maximum Charges (ie as at 30 June 2015)

Region, <i>i</i>	Single-rate meters (benchmark consumption per annum, <i>k</i>)		Economy 7 meters (benchmark consumption per annum, <i>k</i>)	
	Nil kWh	<i>m</i> (3,200 kWh)	Nil kWh	<i>m</i> (4,600 kWh)
North West England	£82.00	£498.16	£86.00	£586.05
North East England	£82.00	£502.69	£86.00	£606.13
Yorkshire & North Lincolnshire	£82.00	£494.39	£86.00	£593.72
North Scotland	£82.00	£522.90	£86.00	£634.02
Southern England	£82.00	£496.19	£86.00	£588.14
Southern Scotland	£82.00	£497.72	£86.00	£602.83
North Wales and Mersey	£82.00	£537.14	£86.00	£640.70
London	£82.00	£480.91	£86.00	£579.46
South East England	£82.00	£500.34	£86.00	£601.73
East England	£82.00	£488.82	£86.00	£587.05
East Midlands	£82.00	£486.54	£86.00	£582.00
West Midlands	£82.00	£491.80	£86.00	£586.74
South West England	£82.00	£521.80	£86.00	£621.88
South Wales	£82.00	£507.05	£86.00	£600.64

Note: all amounts exclude VAT

Condition 28AA. Regulation of charges for certain Domestic Customers

Prohibition on exceeding the Relevant Maximum Charge

28AA.1 In respect of each Relevant 28AA Customer and in accordance with the requirements of this condition, the Obligated Licensee must ensure that at all times from the date for compliance with this paragraph 28AA.1 (as set out in paragraphs 28AA.15 or 28AA.16 below (as applicable)) during each applicable Charge Restriction Period the aggregate Charges for Supply Activities which apply to that Relevant 28AA Customer do not exceed the Relevant Maximum Charge.

Domestic Customers already subject to standard condition 28A

28AA.2 This condition will apply to a Relevant 28AA Customer in respect of any periods of time whereby that Relevant 28AA Customer does not benefit from the requirements of condition 28A.

Compliance in respect of Single-Register Tariffs

28AA.3 For all Single-Register Tariffs (including Multi-tier Tariffs), the Obligated Licensee must comply with paragraph 28AA.1 on the basis that the Relevant Maximum Charge is determined using the Benchmark Metering Arrangement values for Single-Rate Metering Arrangements.

28AA.4 Where a Single-Register Tariff is a Multi-tier Tariff the Obligated Licensee must also ensure that it complies with paragraph 28AA.1 for all possible divisions of consumption between the different months within the Charge Restriction Period.

Compliance in respect of Multi-Register Tariffs

28AA.5 For all Multi-Register Tariffs, the Obligated Licensee must comply with paragraph 28AA.1 on the basis that:

- (a) the Relevant Maximum Charge is determined using the Benchmark Metering Arrangement values for Economy 7 Metering Arrangements; and
- (b) in calculating the aggregate amount of all Charges for Supply Activities, consumption in different periods must be weighted using the Assumed Consumption Split which is applied across Great Britain and which reflects annual consumption patterns.

Requirements for reasonable estimate of the average consumption split for Multi-Register Tariffs

28AA.6 In respect of the first Charge Restriction Period that applies under this condition, the Authority may either:

- (a) by publishing a statement in Writing, require the Obligated Licensee to adopt the same Assumed Consumption Split as it has adopted for the purposes of the corresponding Charge Restriction Period that applies for the purposes of Condition 28A; or
- (b) direct the Obligated Licensee to adopt as the Assumed Consumption Split such other reasonable estimate of the average consumption split as the Authority considers appropriate in the circumstances, after consulting with the Obligated Licensee.

28AA.7 In respect of any subsequent Charge Restriction Period that applies under this condition, the Obligated Licensee must comply with:

- (a) paragraph 28A.26 of condition 28A on the basis that any reference to:
 - (i) “Assumed Consumption Split” is to be read as “Assumed Consumption Split as applied under condition 28AA”;
 - (ii) “Multi-Register Prepayment Tariff” is to be read as “Multi-Register Tariff”; and
 - (iii) “Prepayment Tariff” is to be read as “Tariff subject to the Relevant Maximum Charge in condition 28AA”; and;
 - (b) paragraph 28A.27 of condition 28A on the basis that any reference to:
 - (i) “Assumed Consumption Split” is to be read as “Assumed Consumption Split as applied under condition 28AA”;
 - (ii) “Multi-Register Prepayment Tariff” is to be read as “Multi-Register Tariff”;
- and
- (ii) “Prepayment Tariff” is to be read as “Tariff subject to the Relevant Maximum Charge in condition 28AA”.

28AA.8 In respect of any Charge Restriction Period that applies under this condition, the Obligated Licensee must comply with paragraph 28A.28 of condition 28A on the basis that:

- (a) any reference to “Relevant Customers” is to be read as “Relevant 28AA Customer”; and

- (b) any reference to “Relevant Maximum Charge” is to be read as “Relevant Maximum Charge as applied under condition 28AA”.

Determination of Relevant Maximum Charge

28AA.9 For each Benchmark Metering Arrangement (denoted l), for that part of a Charge Restriction Period (denoted j) where this condition has effect with resulting length t months, and for each of the 14 Charge Restriction Regions (denoted i), the Relevant Maximum Charge for a given level of consumption x shall be determined by reference to the Benchmark Maximum Charges applicable during the part of a Charge Restriction Period where this condition has effect at two Benchmark Annual Consumption Levels denoted below as nil and m , as follows:

$$ChargeMax_{i,j,l}(x) = \left[ChargeMax_{i,j,l}(nil) \times \frac{t}{12} \right] + \left[\frac{ChargeMax_{i,j,l}(m) - ChargeMax_{i,j,l}(nil)}{m} \times x \right]$$

Reporting obligation

28AA.10 Subject to paragraph 28AA.11, the Obligated Licensee must provide the Authority, in a format specified by the Authority, with a report containing the following information for each of its Tariffs with a Relevant 28AA Customer to whom this condition applies:

- (a) the Tariff name and any brand name that the Tariff is marketed under;
- (b) the first and (where applicable) last dates on which each Tariff was or will be supplied to Relevant 28AA Customers under a Domestic Supply Contract or a Deemed Contract;
- (c) the Standing Charge(s) and Unit Rate(s) of each Tariff in each Charge Restriction Region;
- (d) to which Metering Arrangement(s) each Tariff applies or will apply;
- (e) in relation to Multi-Register Tariffs, the Assumed Consumption Split;
- (f) the number of Relevant 28AA Customers subject to the Tariff:
 - (i) as at the Modification Date for the first report; and

(ii) as at the date specified by the Authority pursuant to paragraph 28AA.11 for each subsequent report; and

(g) any other information about the Tariff which the Authority may from time to time specify.

28AA.11 For the purposes of paragraph 28AA.10 the first report must be provided five Working Days after the Modification Date, and any subsequent report must be provided five Working Days after a date specified by the Authority in a statement published in writing.

28AA.12 The Obligated Licensee must inform the Authority, in Writing (or in any other format specified by the Authority), of any changes to the information included in the report as soon as reasonably practicable after the implementation of any such changes.

28AA.13 The Obligated Licensee must give the Authority any information that it reasonably requests about the Obligated Licensee's compliance with this condition as soon as reasonably practicable after receiving a request.

Direction for alternative compliance assessment

28AA.14 Paragraphs 28A.21 and 28A.22 of condition 28A apply to this condition on the basis that:

- (a) any reference to "Prepayment Tariff" is to be read as "Tariff subject to the Relevant Maximum Charge in condition 28AA";
- (b) any reference to "Prepayment Charge Restriction" is to be read as "paragraph 28AA.1 of condition 28AA";
- (c) any reference to "Relevant Customers" is to be read as "Relevant 28AA Customer";
- (d) any reference to "Relevant Maximum Charge" is to be read as "Relevant Maximum Charge as applied under condition 28AA";
- (e) any reference to "Multi-Register Prepayment Tariff" is to be read as "Multi-Register Tariff"; and
- (f) any reference to "Assumed Consumption Split" is to be read as "Assumed Consumption Split as applied under condition 28AA".

Date for compliance with Relevant Maximum Charge

28AA.15 Where the Obligated Licensee has Identified a Relevant 28AA Customer on a date which is:

- (a) 50 days or less before the Modification Date; or
- (b) on or after the Modification Date,

the Obligated Licensee must comply with paragraph 28AA.1 of this condition on and from the date which is 50 days after that Relevant 28AA Customer was Identified.

28AA.16 Where the Obligated Licensee has Identified a Relevant 28AA Customer on a date which is earlier than 50 days before the Modification Date, the Obligated Licensee must comply with paragraph 28AA.1 of this condition on and from the Modification Date.

Termination of condition

28AA.17 This condition will cease to have effect at 23:59 on 31 December 2019 unless the Authority specifies an earlier date by publishing a statement in Writing.

Definitions for condition

28AA.18 In this condition:

“Assumed Consumption Split” means the assumed percentage consumption split between each rate relevant to a Multi-Register Metering Arrangement, determined on the following basis:

- (a) in respect of each Economy 7 Tariff, the split will be 42% for off-peak consumption levels and 58% for peak consumption levels; and
- (b) in respect of each Multi-Register Tariff (other than an Economy 7 Tariff), the split shall be based on historic consumption data or, in the absence of historic data, on a reasonable estimate of the average consumption split;

“Benchmark Annual Consumption Levels” has the meaning given to that term in standard condition 28A.32;

“Benchmark Maximum Charge” means the applicable value published by the Authority for the Charge Restriction Period *j* pursuant to paragraph 28A.16 of condition 28A and calculated in accordance with paragraphs 28A.7 to 28A.15;

“Benchmark Metering Arrangement” means:

(a) for the purposes of paragraph 28AA.3, a Single-Rate Metering Arrangement and the values that relate to “Single-rate meters” as specified in the relevant column in Annexes 1 to 5 of condition 28A; and

(b) for the purposes of paragraph 28AA.5, an Economy 7 Metering Arrangement and the values that relate to “Economy 7 Meters” as specified in the relevant column in Annexes 1 to 5 of condition 28A;

“Charge Restriction Period” has the meaning given to that term in standard condition 28A.24;

“Charge Restriction Region” has the meaning given to that term in standard condition 28A.24;

“Charges for Supply Activities” has the meaning given to that term in standard condition 22A.9;

“Core Group Customer” has the meaning given to that term in regulation 7(2) of the Regulations;

“28AA Default Fixed Term Contract” means any type or part of a Domestic Supply Contract or any other contractual arrangement which may apply or be deemed to apply to a Domestic Customer, including by virtue of any law or enactment (“other contractual arrangement”) which:

(a) contains any terms and conditions which apply for a fixed term period; and

(b) comes into effect automatically or at the discretion of the licensee after the expiry or termination of any type or part of a previous Domestic Supply Contract or, where applicable, other contractual arrangement (“previous contract”) either:

(i) by virtue of the terms and conditions provided for in that previous contract (and, for the purposes of this definition, it is irrelevant whether or not the Domestic Customer originally agreed to any terms and conditions which purported to permit that automatic effect or discretion of the licensee); or

(ii) otherwise by the operation of the law or any provision of an enactment;

“Economy 7 Metering Arrangement” means using an Electricity Meter for the purpose of an Economy 7 Tariff;

“Economy 7 Tariff” means a Tariff whereby a Domestic Customer is charged on the basis of two separate Unit Rates, where in each period of 24 hours the peak electricity consumption level is recorded during 17 “day/normal” hours and the off-peak electricity consumption level is recorded during seven “night/low” hours;

“First Subsequent Scheme Year” means the period of time designated as a scheme year at paragraph 2 of the Regulations which commences consecutively after the end of Scheme Year 7;

“Identified” means

- (a) In respect of Scheme Year 7, the First Subsequent Scheme Year or the Second Subsequent Scheme Year, where the Obligated Licensee has either:
 - (i) received a notice under regulation 6(1) of the Regulations which specifies the Domestic Customer; or
 - (ii) determined that the Domestic Customer is to receive the prescribed rebate (as defined in the Regulations) pursuant to Chapter 2 of the Regulations;
- (b) In respect of Scheme Year 6, where the Domestic Customer has received the prescribed rebate from the Obligated Licensee (as defined in the Regulations);

“Metering Arrangement” means, for the purpose of this licence condition, using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer is charged either on the basis of a Multi-Register Metering Arrangement or on the basis of a Single-Register Metering Arrangement;

“Modification Date” means the 2 February 2018;

“Multi-tier Metering Arrangement” means using an Electricity Meter for the purpose of a Tariff whereby a Domestic Customer is charged on the basis of a Unit Rate which varies according to the Domestic Customer’s electricity consumption over a defined period of time;

“Multi-tier Tariff” means a Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-tier Metering Arrangement;

“Multi-Register Metering Arrangement” means using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer’s electricity consumption at certain times, or for certain purposes (for example, heating), or both, is separately recorded - on one or more registers - and includes any contractual arrangement whereby the Domestic Customer is charged on the basis of Time of Use Rates (regardless of the metering equipment employed);

“Multi-Register Tariff” means a Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-Register Metering Arrangement;

“Obligated Licensee” means a Supplier which is a compulsory scheme electricity supplier for the purposes of regulation 5(1) of the Regulations;

“Regulations” means the Warm Home Discount Regulations 2011 (SI 2011/1033) (as amended from time to time);

“Relevant 28AA Customer” means a Domestic Customer supplied by virtue of the Electricity Supply Licence held by the Obligated Licensee and which:

- (a) is subject to a Deemed Contract, an Evergreen Supply Contract or a 28AA Default Fixed Term Contract; and
- (b) falls into at least one of the categories listed at Schedule 1 to this condition 28AA;

“Relevant Maximum Charge” means for each Relevant 28AA Customer the maximum charge amount (in pounds sterling, excluding value added tax) for any consumption level, calculated in accordance with paragraph 28AA.9;

“Scheme Year 6” has the meaning given to that term in paragraph 2 of the Regulations, being the period from 23 July 2016 to 31 May 2017;

“Scheme Year 7” has the meaning given to that term in paragraph 2 of the Regulations, being the period from 1 June 2017 to 31 March 2018;

“Second Subsequent Scheme Year” means the period of time designated as a scheme year at paragraph 2 of the Regulations commencing after the end of the First Subsequent Scheme Year;

“Single-Rate Metering Arrangement” means using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a single Unit Rate;

“Single-Register Metering Arrangement” means any Metering Arrangement which is not a Multi-Register Metering Arrangement, and includes a Single-Rate Metering Arrangement (regardless of the metering equipment employed) and a Multi-Tier Metering Arrangement in which the Unit Rate does not vary according to the time of use);

“Single-Register Tariff” means a Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a Single-Register Metering Arrangement.

Schedule 1 to standard condition 28AA: Categories of Relevant 28AA Customer

- S1.1 The categories referred to in part (b) of the definition of Relevant 28AA Customer are set out at paragraphs S1.2-S1.5 below.

Domestic Customer Identified in Scheme Year 6

- S1.2 Where the Domestic Customer is Identified in Scheme Year 6, that Domestic Customer is a Relevant 28AA Customer for the period commencing on the date the Obligated Licensee is required to comply with paragraph 28AA.1 of standard condition 28AA and ending on 31 March 2019.

Domestic Customer Identified in Scheme Year 7

- S1.3 Where the Domestic Customer is Identified in Scheme Year 7, that Domestic Customer is a Relevant 28AA Customer from the beginning of the period of Scheme Year 7 that remains following the date for compliance with paragraph 28AA.1 of standard condition 28AA, and remains a Relevant 28AA Customer until the earlier of:
- (a) the end of the First Subsequent Scheme Year; or
 - (b) condition 28AA ceasing to have effect as specified at paragraph 28AA.17 of standard condition 28AA.

Domestic Customer Identified in the First Subsequent Scheme Year

- S1.4 Where the Domestic Customer is Identified in the First Subsequent Scheme Year, that Domestic Customer is a Relevant 28AA Customer from the beginning of the period of the First Subsequent Scheme Year that remains following the date for compliance with paragraph 28AA.1 of standard condition 28AA, and remains a Relevant 28AA Customer until the time that condition 28AA ceases to have effect as specified at paragraph 28AA.17 of standard condition 28AA.

Domestic Customer Identified in the Second Subsequent Scheme Year

- S1.5 Where the Domestic Customer is Identified in the Second Subsequent Scheme Year, that Domestic Customer is a Relevant 28AA Customer from the beginning of the period of the Second Subsequent Scheme Year that remains following the date for compliance with paragraph 28AA.1 of standard condition 28AA, and remains a Relevant 28AA Customer until the time that condition 28AA ceases to have effect as specified at paragraph 28AA.17 of standard condition 28AA.

Condition 28AD. Regulation of charges for Domestic Customers supplied under certain Domestic Supply Contracts

Requirement to adhere to a Charge Restriction

- 28AD.1 In respect of each Relevant 28AD Customer and in accordance with the requirements of this condition, the licensee must ensure that at all times the aggregate amount of all Charges for Supply Activities applicable to each Relevant 28AD Customer does not exceed the Relevant Maximum Charge within each 28AD Charge Restriction Period.
- 28AD.2 Unless a direction has been issued by the Authority pursuant to paragraph 28AD.32 in order to comply with 28AD.1, the licensee must ensure that for each of its Tariffs the aggregate Charges for Supply Activities applicable to any Relevant 28AD Customer at any consumption level (x kWh) in respect of a 28AD Charge Restriction Period do not exceed the Relevant Maximum Charge.

Compliance in respect of Single-Register Tariffs

- 28AD.3 For all Single-Register Tariffs, compliance with the Charge Restriction will be assessed against the Relevant Maximum Charge determined on the basis of the Benchmark Metering Arrangement values for Single-Rate Metering Arrangements. The licensee must ensure that each of its Multi-tier Tariffs complies with the Charge Restriction for all possible divisions of consumption between the different months within the 28AD Charge Restriction Period.

Compliance in respect of Multi-Register Tariffs

- 28AD.4 For all Multi-Register Tariffs, compliance with the Charge Restriction will be assessed against the Relevant Maximum Charge determined on the basis of the Benchmark Metering Arrangement values for Multi-Register Metering Arrangements.

Scope of condition 28AD

- 28AD.5 This condition 28AD does not apply in relation to:
- (a) a Domestic Customer in respect of any periods of time during which that Domestic Customer benefits from the requirements of condition 28A; or
 - (b) a Tariff chosen by a Domestic Customer in respect of which the Authority has issued a direction to the licensee under paragraph 28AD.25

to the effect that this condition 28AD does not apply to that Tariff by reason of section 3(2)(b) of the Act.

Determination of Relevant Maximum Charge

28AD.6 For each Benchmark Metering Arrangement (denoted l), Payment Method (denoted p), 28AD Charge Restriction Period of length t months (denoted j), and for each of the 14 Charge Restriction Regions (denoted i), the Relevant Maximum Charge for a given level of consumption x shall be determined by reference to the Benchmark Maximum Charges applicable during a Charge Restriction Period at two Benchmark Annual Consumption Levels denoted below as nil and m , as follows:

$$ChargeMax_{i,j,l,p}(x) = \left[ChargeMax_{i,j,l,p}(nil) \times \frac{t}{12} \right] + \left[\frac{ChargeMax_{i,j,l,p}(m) - ChargeMax_{i,j,l,p}(nil)}{m} \times x \right]$$

ChargeMax(m) and ChargeMax(nil) are defined as described in paragraph 28AD.7.

Calculation of the Benchmark Maximum Charges for 28AD Charge Restriction Periods

28AD.7 For each 28AD Charge Restriction Period, the Authority will calculate the Benchmark Maximum Charge for each:

- (a) Benchmark Annual Consumption Level;
- (b) Charge Restriction Region;
- (c) Benchmark Metering Arrangement; and
- (d) Payment Method

in accordance with the following formula:

$$ChargeMax_{i,j,k,l,p} = (WC_{i,j,k,l} + NC_{i,j,k,l} + PC_{i,j,k,l} + OC_{j,k,l} + PA_{i,j,k,l,p} + E_{i,j,k,l,p} + H_{i,j,k,l,p})$$

where (the following units all being in pounds sterling):

$ChargeMax_{i,j,k,l,p}$	means the Benchmark Maximum Charge in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , and Payment Method p ;
$WC_{i,j,k,l}$	means the Wholesale Cost Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , calculated in accordance with paragraph 28AD.8;
$NC_{i,j,k,l}$	means the Network Cost Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , determined in accordance with paragraph 28AD.9;
$PC_{i,j,k,l}$	means the Policy Cost Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , calculated in accordance with paragraph 28AD.10;
$OC_{j,k,l}$	means the Operating Cost Allowance in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , calculated in accordance with paragraph 28AD.11;
$PA_{i,j,k,l,p}$	means the Payment Method Adjustment in Charge Restriction Region i , in Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , for Payment Method p calculated in accordance with paragraph 28AD.12;
$E_{i,j,k,l,p}$	means the Earnings Before Interest and Tax Allowance in Charge Restriction Region i , in Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , for Payment Method p , calculated in accordance with paragraph 28AD.13;
$H_{i,j,k,l,p}$	means the Headroom Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , for Payment Method p calculated in accordance with paragraph 28AD.14.

Wholesale cost allowance

28AD.8 For the purposes of paragraph 28AD.7, the Wholesale Cost Allowance in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l* shall be the sum of the Direct Fuel Cost Component and the Capacity Market Cost Component and, subject to paragraphs 28AD.15 and 28AD.16 below, calculated in accordance with the methodology and data sources set out in Annex 2. The value of the Wholesale Cost Allowance at nil consumption will be zero.

Network Cost Allowance

28AD.9 For the purposes of paragraph 28AD.7, the Network Cost Allowance in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l* shall be calculated based on the data within the published charging statements of the Network Companies and, subject to paragraph 28AD.15 and 28AD.16 below, in accordance with the methodology and data sources set out in Annex 3. The day:night split used for calculating network charges shall be 58:42, unless the Authority issues a direction pursuant to paragraph 28AD.38 amending the percentages in paragraph 28A.21, in which case the new peak and off-peak consumption levels specified for all Economy 7 Tariffs shall also be used for calculating network charges.

Policy Cost Allowance

28AD.10 For the purposes of paragraph 28AD.7, the Policy Cost Allowance in 28AD Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, is the cost of the environmental and social schemes set out in Annex 4 and, subject to paragraphs 28AD.15 and 28AD.16, calculated in accordance with the methodology and data sources set out in Annex 4.

Operating cost allowance

28AD.11 For the purposes of paragraph 28AD.7, the Operating Cost Allowance in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l* shall be calculated as follows:

$$OC_{j,k,l} = (OC_{0,k,l} \times \frac{CPIH_j}{CPIH_0}) + SMNCC_{j,k}$$

where:

$OC_{0,k,l}$ means the Baseline Value for the Operating Cost Allowance at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , as published by the Authority by way of a statement in Writing pursuant to paragraph 28AD.17;

$CPIH_j$ means the value of the consumer prices index including owner occupiers' housing costs, series ID: L522 (the "CPIH Index"), published by the Office for National Statistics. The value used shall be chosen as follows:

- For the First Charge Restriction Period the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Jun\ j-1}$$

- For a Subsequent Charge Restriction Period beginning on 1 April of each year and ending on 30 September of the same calendar year, the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Dec\ j-1}$$

- For a Subsequent Charge Restriction Period beginning on 1 October of each year and ending on 31 March of the subsequent calendar year, the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Jun\ j-1}$$

- For the Final Charge Restriction Period the value of $CPIH_j$ shall be calculated as:

$$CPIH_j = CPIH_{Jun\ j-1}$$

$CPIH_0$ is the Initial Value of the CPIH Index, as published by the Authority by way of a statement in Writing pursuant to paragraph 28AD.17.

$SMNCC_{j,k}$ is the value of the Smart Metering Net Cost Change in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , comprising:

- the Smart Metering Pass-Through Net Cost Change, which the Authority will, subject to paragraph 28AD.15 and 28AD.16 below, determine and publish semi-annually in accordance with paragraph 28AD.19 and in the format set out in Annex 5; and
- the Smart Metering Non-Pass-Through Net Cost Change, which the Authority will publish in the format set out in Annex 5 and:
 - following consultation, re-publish in the format set out in Annex 5 following a review of the Smart Metering Non-Pass-Through Net Cost Change which the Authority will undertake during the course of 2019, such re-publication to take effect for the 28AD Charge Restriction Period starting on 1 October 2019; and;
 - otherwise, and subject to paragraphs 28AD.15 and 28AD.16 below, re-publish in the format set out in Annex 5 where it appears to the Authority that it is necessary to do so, such re-publication not to take effect before the first day of the 28AD Charge Restriction Period immediately following the date on which it is published.

Payment Method Adjustment

28AD.12 For the purposes of paragraph 28AD.7, the Payment Method Adjustment in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l and for Payment Method p shall be calculated as follows:

$$PA_{i,j,k,l,p} = \left(PAAC_{0,p} \times \frac{CPIH_j}{CPIH_0} \right) + [PAP_{l,p} \times (WC_{i,j,k,l} + NC_{i,j,k,l} + PC_{i,j,k,l} + OC_{j,k,l})]$$

where:

$PAAC_{0,p}$ means the Baseline Value for the Payment Method Adjustment Additional Cost for Payment Method p , as published by the Authority by way of a statement in Writing pursuant to paragraph

28AD.17 or, from time to time and following consultation, and in relation to the Baseline Value for Fully-Interoperable Smart Prepayment only, re-published by the Authority by way of a statement in Writing where it appears to the Authority that it is necessary to amend the Baseline Value for Fully-Interoperable Smart Prepayment.

$PAP_{l,p}$ means the Baseline Value for the Payment Method Adjustment Percentage, for Benchmark Metering Arrangement l , for Payment Method p , as published by the Authority by way of a statement in Writing pursuant to paragraph 28AD.17 or, from time to time and following consultation, and in relation to the Baseline Value for Fully-Interoperable Smart Prepayment only, re-published by the Authority by way of a statement in Writing where it appears to the Authority that it is necessary to amend the Baseline Value for Fully-Interoperable Smart Prepayment.

$CPIH_j$ has the meaning given to in paragraph 28AD.11.

$CPIH_0$ has the meaning given to in paragraph 28AD.11.

Earnings Before Interest and Tax Allowance

28AD.13 For the purposes of paragraph 28AD.7, the Earnings Before Interest and Tax Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , for Payment Method p , shall be calculated as follows:

$$E_{i,j,k,l,p} = EBIT \times (WC_{i,j,k,l} + NC_{i,j,k,l} + PC_{i,j,k,l} + OC_{j,k,l} + PA_{i,j,k,l,p})$$

where:

$EBIT$ means the Baseline Value for the Earnings Before Interest and Tax Margin Percentage, as published by the Authority by way of a statement in Writing pursuant to paragraph 28AD.17.

Headroom

28AD.14 For the purposes of paragraph 28AD.7, the Headroom Allowance in Charge Restriction Region i , in 28AD Charge Restriction Period j , at Benchmark Annual Consumption Level k , for Benchmark Metering Arrangement l , for Payment Method p , shall be calculated as follows:

$$H_{i,j,k,l,p} = HAP \times (WC_{i,j,k,l} + PC_{i,j,k,l} + OC_{j,k,l} + PA_{i,j,k,l,p} + E_{i,j,k,l,p})$$

where:

- | | | |
|--|-------------------------------|--|
| | <i>HAP</i> | means the Baseline Value for the Headroom Allowance Percentage, as published by the Authority by way of a statement in Writing pursuant to paragraph 28AD.17. |
| | <i>PA_{i,j,k,l,p}</i> | means the Payment Method Adjustment in Charge Restriction Region <i>i</i> , in 28AD Charge Restriction Period <i>j</i> , at Benchmark Annual Consumption Level <i>k</i> , for Benchmark Metering Arrangement <i>l</i> , for Payment Method <i>p</i> calculated in accordance with paragraph 28AD.12. |

Data sources

28AD.15 If the external data sources referred to in Annexes 2, 3, 4 or 5 are no longer available, the Authority may replace the data sources set out in Annexes 2, 3, 4 or 5 (as applicable) with any suitable successor data sources. If the Authority cannot identify a suitable successor data source, it may, following consultation, either replace the data with an alternative data source, or amend the form of the model to reflect the change in data availability.

28AD.16 The Authority may from time to time, and following consultation, amend the methodology set out in Annex 2, Annex 3, Annex 4 or Annex 5 by way of a statement in Writing, where the Authority considers that either:

- (a) there has been a significant and unanticipated change of circumstances such that Annex 2, Annex 3, Annex 4 or Annex 5 no longer reflects an efficient level of any of the Wholesale Cost Allowance, Network Cost Allowance, Policy Cost Allowance or Smart Metering Net Cost Change; or
- (b) there is a typographical or mathematical error in any of Annex 2, Annex 3, Annex 4 or Annex 5 such that an amendment is necessary in order to ensure the proper functioning of the relevant methodology.

Determination and publication of Benchmark Maximum Charges and other values

28AD.17 No later than 1 January 2019, the Authority will publish by way of a statement in Writing the Baseline Values and the Initial Value of the CPIH Index, calculated in the format specified in Annex 1.

28AD.18 No later than 1 January 2019, the Authority will:

- (a) determine the Benchmark Maximum Charges which shall apply for the First Charge Restriction Period *j* by calculating such values in accordance with paragraph 28AD.7; and
- (b) publish such Benchmark Maximum Charges so calculated in the format specified in Annex 6.

28AD.19 For each Subsequent Charge Restriction Period, subject to paragraphs 28AD.20 and 28AD.21, no later than the fifth Working Day of February in relation to a forthcoming 28AD Charge Restriction Period *j* starting on 1 April, or no later than the fifth Working Day of August in relation to a forthcoming 28AD Charge Restriction Period *j* starting on 1 October, the Authority will:

- (a) determine the updated Benchmark Maximum Charges which shall apply for any forthcoming 28AD Charge Restriction Period *j* by calculating such values in accordance with paragraph 28AD.7; and
- (b) publish such Benchmark Maximum Charges so calculated in the format specified in Annex 6.

28AD.20 If the information that is required to calculate one or more of the values referred to at paragraph 28AD.18(a) or paragraph 28AD.19(a) is unavailable at the time of the calculation, the Authority may use an alternative source to set the values to determine the Benchmark Maximum Charges. In that event, the Authority will publish the alternative value(s) it has used to determine the Benchmark Maximum Charges at the same time as publishing the Benchmark Maximum Charges.

28AD.21 If, owing to a technical issue, the Authority is unable to publish the Benchmark Maximum Charges or the values referred to at paragraph 28AD.18(a) or paragraph 28AD.19(a) within the timetable set out in paragraph 28AD.18 or paragraph 28AD.19, it will publish such Benchmark Maximum Charges or values without delay following resolution of the technical issue.

Duration of the Charge Restriction

28AD.22 This condition will come into force on 1 January 2019 and, subject to this condition automatically ceasing to have effect pursuant to paragraph 28AD.23, will cease to have effect at 23:59 on 31 December 2023.

28AD.23 This condition will automatically cease to have effect 23:59 on 31 December of:

- (a) the year 2020, unless in that calendar year the Secretary of State publishes a statement to the effect set out at section 8(1) of the Act;
- (b) the year 2021, unless in that calendar year the Secretary of State publishes a statement to the effect set out at section 8(2) of the Act; or
- (c) the year 2022, unless in that calendar year the Secretary of State publishes a statement to the effect set out at section 8(3) of the Act.

Direction for the purposes of section 3(2)(b) of the Act (Renewable Tariff Exemption)

28AD.24 The licensee may apply to the Authority to request a direction that a particular Tariff complies with section 3(2)(b) of the Act such that this condition 28AD does not apply pursuant to paragraph 28AD.5.

28AD.25 The Authority may issue a direction following an application made pursuant to paragraph 28AD.24 where the licensee can provide evidence to the reasonable satisfaction of the Authority that all of the following conditions are met:

- (a) the Domestic Customer has chosen an Evergreen Supply Contract;
- (b) the Tariff relating to that Evergreen Supply Contract supports the generation of electricity from renewable sources to an extent that is materially greater than that which may otherwise be brought about as a result of subsidies, obligations or other mandatory mechanisms; and
- (c) the cost to the licensee of supplying electricity by virtue of the particular Tariff relating to that Evergreen Supply Contract is materially greater than the Relevant Maximum Charge for reasons that are directly attributable to the support that Tariff provides to the generation of electricity from renewable sources.

28AD.26 The Authority may refuse to issue a direction pursuant to paragraph 28AD.25 where it is not satisfied that the materially greater cost referred to at paragraph 28AD.25(c) reflects an efficient level of the costs associated with that licensee's supply of electricity by virtue of the particular Tariff.

28AD.27 The licensee must comply at all times with the terms of any direction issued pursuant to paragraph 28AD.25.

- 28AD.28 The licensee must ensure that any information provided to the Authority pursuant to paragraph 28AD.24, 28AD.25, and/or 28AD.29 is accurate, and must immediately notify the Authority upon becoming aware that any such information is false and/or misleading.
- 28AD.29 The licensee must give the Authority any information that it reasonably requests about the licensee's compliance with any direction issued pursuant to paragraph 28AD.25 as soon as reasonably practicable after receiving a request.
- 28AD.30 The Authority may at any time revoke any direction issued pursuant to paragraph 28AD.25 where:
- (a) it appears to the Authority that any information provided by the licensee pursuant to paragraph 28AD.25 is inaccurate; and
 - (b) the licensee is unable promptly to provide information which the Authority considers is evidence to its reasonable satisfaction that each of the conditions set out at paragraphs 28AD.25(a)-(c) are met.
- 28AD.31 The Authority may issue a direction pursuant to paragraph 28AD.25 in accordance with guidance issued by the Authority from time to time.

Direction for alternative compliance assessment

- 28AD.32 The licensee may apply to the Authority to request a direction concerning the compliance of a particular Tariff with the Charge Restriction where, due to the structure of the relevant Tariff, the Charges for Supply Activities at certain consumption levels would exceed the Relevant Maximum Charge, but the licensee can provide evidence to the reasonable satisfaction of the Authority that it is unlikely that Relevant 28AD Customers subject to such Tariff will have a consumption level which would cause them to incur Charges for Supply Activities in excess of the Relevant Maximum Charge.
- 28AD.33 If the Authority has issued a direction to the licensee pursuant to paragraph 28AD.32, the licensee must comply with such direction and must determine, at the end of each 28AD Charge Restriction Period, whether any Relevant 28AD Customer has in fact incurred Charges for Supply Activities in excess of the Relevant Maximum Charge. If the licensee determines that this is the case, the licensee must:
- (a) inform the Authority in Writing without delay; and

- (b) pay a rebate to, or credit the account of, each such Relevant 28AD Customer equal to the difference between the Charges for Supply Activities actually incurred (or, if the Tariff is a Multi-Register Tariff, the difference between the Charges for Supply Activities calculated on the basis of the Assumed Consumption Split) by Relevant 28AD Customers and the Relevant Maximum Charge within 30 days of the end of the relevant 28AD Charge Restriction Period.

Assumed Consumption Split where the Tariff varies by time of consumption or purpose (Multi-Register Tariffs)

- 28AD.34 For the purpose of assessing compliance of Multi-Register Tariffs with the Charge Restriction pursuant to paragraph 28A.4 in calculating the aggregate amount of all Charges for Supply Activities, consumption in different periods will be weighted using an Assumed Consumption Split determined in accordance with paragraph 28A.21.
- 28AD.35 For the purpose of the review to be carried out pursuant to paragraph 28AD.33, in calculating the Charges for Supply Activities incurred by Relevant 28AD Customers on any given Tariff, consumption in different peak and off-peak periods will be weighted using an Assumed Consumption Split determined in accordance with paragraph 28A.21.
- 28AD.36 The Assumed Consumption Splits shall apply across Great Britain, reflect annual consumption patterns, and be determined as follows:
- (a) in respect of each Economy 7 Tariff, off-peak and peak consumption levels of 42% and 58%, respectively, shall be the Assumed Consumption Split, subject to any direction from the Authority issued pursuant to paragraph 28AD.38;
 - (b) in respect of each Multi-Register Tariff (other than an Economy 7 Tariff), the Assumed Consumption Split shall be based on historic consumption data or, in the absence of historic data, on a reasonable estimate of the average consumption split, subject to any direction from the Authority issued pursuant to paragraph 28AD.38.
- 28AD.37 In respect of each Multi-Register Tariff (other than an Economy 7 Tariff), the licensee must:

- (a) notify the Authority in Writing of the Assumed Consumption Split with accompanying relevant data relating to the historic consumption of their customers:
 - (i). for the First Charge Restriction Period, on the date on which this condition will come into force for the purposes of paragraph 28AD.22; and
 - (ii). for each 28AD Charge Restriction Period that is not the First Charge Restriction Period:
 - A. no less than three months before the beginning of each relevant 28AD Charge Restriction Period, or on the date on which this condition will come into force for the purposes of paragraph 28AD.22 (whichever is later); or
 - B. if a Tariff is capable of being entered into for the first time at a date after the beginning of a 28AD Charge Restriction Period, two months before that date; and
- (b) when historic data are not available in relation to a particular Tariff, the licensee must:
 - (i). notify the Authority as per paragraph 28A.2228A.22(a) its forecast of the average consumption split relevant to that Tariff (which shall be used as the Assumed Consumption Split), as well as evidence supporting this forecast, and
 - (ii). no later than three months after the last day of each 28AD Charge Restriction Period, notify in Writing to the Authority the actual average consumption usage split for that Tariff in the previous 28AD Charge Restriction Period.

28AD.38 The licensee must comply with any direction which the Authority may issue, having first sought representations from the licensee (to which the Authority will have regard), to use an alternative Assumed Consumption Split in relation to one or more Multi-Register Tariffs. This Assumed Consumption Split shall be notified:

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- (a) in relation to a Multi-Register Tariff capable of being entered into for the first time at a date after the beginning of a 28AD Charge Restriction Period, no later than one month before the launch of that Tariff; and
- (b) in relation to any other Multi-Register Tariff, no later than the date on which the Benchmark Maximum Charges are published pursuant to paragraph 28AD.19.

28AD.39 The licensee must comply with any direction which the Authority may issue, after consultation with the licensee, to pay a rebate to Relevant 28AD Customers if, due to a discrepancy between the forecast and actual average consumption splits referred to in paragraph 28A.22(b), Relevant 28AD Customers either individually or collectively incurred Charges for Supply Activities materially in excess of the Relevant Maximum Charge.

Definitions for condition

28AD.40 In this condition:

‘Act’ means the Domestic Gas and Electricity (Tariff Cap) Act 2018 (as amended from time to time);

‘Assumed Consumption Split’ means the assumed percentage consumption split between each rate relevant to a Multi-Register Metering Arrangement, determined pursuant to paragraph 28A.21;

‘Baseline Value’ means the values as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out at Annex 1. These are the values of the Baseline Value for the Operating Cost Allowance, the Headroom Allowance Percentage, the Earnings Before Interest and Tax Margin Percentage, the Payment Method Adjustment Additional Cost and Payment Method Adjustment Percentage;

‘Benchmark Annual Consumption Levels’ means the benchmark annual consumption levels nil kWh and m kWh, where m shall respectively take the following values:

For Single-Register Metering Arrangements
 $m = 3,100$ kWh;

For Multi-Register Metering Arrangements
 $m = 4,200$ kWh.

‘Benchmark Maximum Charge’ means one of 168 benchmark maximum charge values (in pounds sterling and exclusive of value-added tax), which are unique to a specific Benchmark Annual Consumption Level, Charge Restriction Region, Benchmark Metering Arrangement and Payment Method, and are updated on a semi-annual basis by the Authority pursuant to paragraphs 28AD.18 to 28AD.19;

‘Benchmark Metering Arrangement’ means either a Single-Rate Metering Arrangement or a Multi-Register Metering Arrangement, to be used for the purpose of this licence condition pursuant to 28A.3 and 28A.4; for the purposes of applying Annexes 1 to 6 when determining the Benchmark Maximum Charge pursuant to paragraph 28AD.7, means using: (a) the values set out in the rows or columns (as applicable) headed ‘Single-Rate Metering Arrangement’ for determining the Benchmark Maximum Charge for Single-Rate Metering Arrangements; and (b) the values set out in the rows or

columns (as applicable) headed ‘Multi-Register Metering Arrangements’ for determining the Benchmark Maximum Charge for Multi-Register Metering Arrangements;

‘**Charge Restriction**’ means the obligation set out in paragraph 28AD.1;

‘**28AD Charge Restriction Period**’ means a period, between 1 January 2019 and 31 December 2023, either:

- the First Charge Restriction Period;
- a Subsequent Charge Restriction Period beginning on 1 April of each year and ending on 30 September of the same calendar year; or
- a Subsequent Charge Restriction Period beginning on 1 October of each year and ending on 31 March of the subsequent calendar year; or
- the Final Charge Restriction Period

‘**Capacity Market Cost Component**’ means the component of the Wholesale Cost Allowance that is calculated to reflect suppliers’ costs in relation to the capacity market scheme, in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, and which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with the methodology set out at Annex 2;

‘**Charge Restriction Region**’ means a Distribution Services Area;

‘**Charges for Supply Activities**’ has the meaning given to that term in standard condition 22A;

‘**CPIH Index**’ has the meaning given to it at paragraph 28AD.11;

‘**28AD Default Fixed Term Contract**’ means any type or part of a Domestic Supply Contract or any other contractual arrangement which may apply or be deemed to apply to a Domestic Customer, including by virtue of any law or enactment (“other contractual arrangement”) which:

- (a) contains any terms and conditions which apply for a fixed term period; and

- (b) comes into effect automatically or at the discretion of the licensee after the expiry or termination of any type or part of a previous Domestic Supply Contract or, where applicable, other contractual arrangement (“previous contract”) either:
 - (i) by virtue of the terms and conditions provided for in that previous contract (and, for the purposes of this definition, it is irrelevant whether or not the Domestic Customer originally agreed to any terms and conditions which purported to permit that automatic effect or discretion of the licensee); or
 - (ii) otherwise by the operation of the law or any provision of an enactment;

‘Direct Fuel Cost Component’ means the component of the Wholesale Cost Allowance that is calculated to reflect the cost of wholesale electricity purchases in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, and which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with the methodology set out in Annex 2;

‘Earnings Before Interest and Tax Allowance’ means the amount to reflect a return on capital, calculated by applying the Earnings Before Interest and Tax Margin Percentage by the sum of the Wholesale Cost Allowance, the Network Cost Allowance, the Policy Cost Allowance, the Operating Cost Allowance and the Payment Method Adjustment, in Charge Restriction Region *i*, Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, for Payment Method *p*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with paragraph 28AD.13;

‘Earnings Before Interest and Tax Margin Percentage’ means the value, expressed as a percentage as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out in Annex 1, by which the Wholesale Cost Allowance, the Network Cost Allowance, the Policy Cost Allowance, the Operating Cost Allowance and the Payment Method Adjustment is to be multiplied in order to calculate the Earnings Before Interest and Tax Allowance;

‘Economy 7 Tariff’ means a Tariff whereby a Domestic Customer is charged on the basis of two separate Unit Rates, where in each period of 24 hours the peak electricity consumption level is recorded during 17 ‘day/normal’ hours and the off-peak electricity consumption level is recorded during seven ‘night/low’ hours;

‘First Charge Restriction Period’ means the period beginning on 1 January 2019 and ending on 31 March 2019;

‘Final Charge Restriction Period’ means the period beginning on 1 October 2023 and ending on 31 December 2023;

‘Fully-Interoperable Smart Prepayment’ means a Payment Method whereby a Domestic Customer pays the licensee for Charges for Supply Activities through a Prepayment Meter which falls within the definition of Excluded Smart Meter (as defined in condition 28A);

‘Headroom Allowance’ means the amount which is additional to the Wholesale Cost Allowance, the Network Cost Allowance, the Policy Cost Allowance, the Operating Cost Allowance, the Payment Method Adjustment and the Earnings Before Interest and Tax Allowance, in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, for Payment Method *p*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with paragraph 28AD.14;

‘Headroom Allowance Percentage’ means the value, expressed as a percentage as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out in Annex 1, by which the Wholesale Cost Allowance, the Policy Cost Allowance, the Operating Cost Allowance, the Payment Method Adjustment and the Earnings Before Interest and Tax Allowance is to be multiplied in order to calculate the Headroom Allowance;

‘Initial Value of the CPIH Index’ means the initial value of the consumer prices index including owner occupiers’ housing costs, series ID: L522, as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out at Annex 1;

‘Metering Arrangement’ means, for the purpose of this licence condition, using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer is charged either on the basis of a Multi-Register Metering Arrangement or on the basis of a Single-Register Metering Arrangement;

‘Multi-tier Metering Arrangement’ means using an Electricity Meter for the purpose of a Tariff whereby a Domestic Customer is charged on the basis of a Unit Rate which varies according to the Domestic Customer’s electricity consumption over a defined period of time;

‘Multi-tier Tariff’ means a Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-tier Metering Arrangement;

‘Multi-Register Metering Arrangement’ means using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer’s electricity consumption at certain times, or for certain purposes (for example, heating), or both, is separately recorded - on one or more registers - and includes any contractual arrangement whereby the Domestic Customer is charged on the basis of Time of Use Rates (regardless of the metering equipment employed);

‘Multi-Register Tariff’ means a Tariff whereby a Domestic Customer incurs Charges for Supply Activities on the basis of a Multi-Register Metering Arrangement;

‘Network Company’ means any person holding a licence granted under section 6(1)(b) or 6(1)(c) of the Electricity Act 1989;

‘Network Cost Allowance’ means the amount calculated to reflect the costs associated with transmission, distribution and balancing charges in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with the methodology set out at Annex 3;

‘Operating Cost Allowance’ means the amount calculated to reflect an efficient level of the costs associated with suppliers’ own internal operating costs (including a normal level of profit) in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with paragraph 28AD.11;

‘Other Payment Method’ means any Payment Method that is not Standard Credit or Fully-Interoperable Smart Prepayment;

‘Payment Method’ means:

- (a) in relation to any Relevant 28AD Customer that is not a Relevant 28AD Warm Home Discount Customer, the method by which that Relevant 28AD Customer pays for Charges for Supply Activities, being either Standard Credit, Fully-Interoperable Smart Prepayment or Other Payment Method; or

(b) in relation to a Relevant 28AD Warm Home Discount Customer, Other Payment Method;

‘Payment Method Adjustment’ means the amount calculated to reflect any additional costs associated with the Payment Method *p*, in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with paragraph 28AD.12;

‘Payment Method Adjustment Additional Cost’ means the amount of additional administrative costs allocated to each Payment Method *p*, as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out in Annex 1;

‘Payment Method Adjustment Percentage’ means the amount of additional bad debt and working capital costs allocated to the Payment Method *p*, for Benchmark Metering Arrangement *l*, expressed as a percentage as specified by the Authority by publishing a statement in Writing in accordance with paragraph 28AD.17 and in the format set out in Annex 1;

‘Policy Cost Allowance’ means the amount calculated to reflect the costs associated with electricity suppliers’ environmental and social obligations in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with the methodology set out at Annex 4;

‘Relevant 28AD Customer’ means a Domestic Customer supplied by virtue of the Electricity Supply Licence held by the licensee and which is subject to an Evergreen Supply Contract, a Deemed Contract or a 28AD Default Fixed Term Contract;

‘Relevant 28AD Warm Home Discount Customer’ means a Relevant 28AD Customer which falls into at least one of the categories listed at Annex 7 to this condition 28AD;

‘Relevant Maximum Charge’ means for each Relevant 28AD Customer the maximum charge amount (in pounds sterling, excluding value added tax) for any consumption level, calculated in accordance with paragraph 28AD.6;

‘Single-Rate Metering Arrangement’ means using one or more Electricity Meters for the purpose of a Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a single Unit Rate;

‘Single-Register Metering Arrangement’ means any Metering Arrangement which is not a Multi-Register Metering Arrangement, and includes a Single-Rate Metering Arrangement (regardless of the metering equipment employed) and a Multi-Tier Metering Arrangement in which the Unit Rate does not vary according to the time of use};

‘Single-Register Tariff’ means a Tariff whereby a Domestic Customer is required to pay for the Charges for Supply Activities on the basis of a Single-Register Metering Arrangement;

‘Smart Metering Net Cost Change’ means the value calculated in accordance with the methodology set out at Annex 5, for the periods and within the timeframes specified in this condition 28AD, which is used to update the level of the Operating Cost Allowance and which is comprised of the Smart Metering Pass-Through Net Cost Change and the Smart Metering Non-Pass-Through Net Cost Change;

‘Smart Metering Non-Pass Through Net Cost Change’ means the amounts that the licensee is required to pay in connection with a Smart Metering System as set out in Annex 5 and which are not otherwise Smart Metering Pass-Through Net Cost Change;

‘Smart Metering Pass-Through Net Cost Change’ means:

- (a) an amount calculated to reflect the costs (if any) that the licensee is required to pay by way of charging statements for service charges to Smart DCC Ltd in accordance with condition 19 of the Smart Meter Communication Licence;
- (b) an amount calculated to reflect the costs (if any) that the licensee is required to pay to meet the capital costs and reasonably incurred costs of Smart Metering Communications Body Limited (trading as Smart Energy GB), being the body corporate carrying out the role of consumer engagement in relation to Smart Metering Systems, in accordance with condition 45.24; and
- (c) an amount calculated to reflect the costs (if any) that the licensee is required to pay in connection with the administration of the Smart Metering Installation Code of Practices, such administration being required pursuant to conditions 41 and 42,

in each case adjusted in the manner set out in Annex 5;

‘Standard Credit’ means a Payment Method whereby a Domestic Customer pays the licensee for Charges for Supply Activities, where electricity is not supplied through a Prepayment Meter, and such payment is not drawn automatically from a Domestic Customer’s bank account by reason of a direct debit authorisation or otherwise;

‘Subsequent Charge Restriction Period’ means any 28AD Charge Restriction Period which is not the First Charge Restriction Period;

‘Tariff’ means a Tariff in respect of any Domestic Supply Contract or Deemed Contract with a Relevant 28AD Customer;

‘Wholesale Cost Allowance’ means the amount calculated to reflect the cost of wholesale electricity in Charge Restriction Region *i*, in 28AD Charge Restriction Period *j*, at Benchmark Annual Consumption Level *k*, for Benchmark Metering Arrangement *l*, the components of which are the Direct Fuel Cost Component and the Capacity Market Component, and which the Authority is to calculate for the periods and within the timeframes specified in this condition 28AD in accordance with the methodology set out at Annex 2.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 1 – Format for publishing Baseline Values and Initial Value of the CPIH Index

Baseline Values

Operating Cost Allowance

	Single-Rate Metering Arrangement (benchmark consumption per annum, k)		Multi-Register Metering Arrangement (benchmark consumption per annum, k)	
	Nil kWh	m (3,100 kWh)	Nil kWh	m (4,200kWh)
$OC_{0,k,l}$				

Headroom Allowance Percentage

HAP	
-----	--

Earnings Before Interest and Tax Margin Percentage

EBIT	
------	--

Payment Method Adjustment Percentage (PAP)

Payment Method p	Single-Rate Metering Arrangement	Multi-Register Metering Arrangement
Standard Credit		
Fully-Interoperable Smart Prepayment		
Other Payment Method		

Payment Method Adjustment Additional Cost

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Payment Method p	PAAC _{0, p}
Standard Credit	
Fully-Interoperable Smart Prepayment	
Other Payment Method	

Initial Value of the CPIH Index

CPIH ₀	
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Annex 2 – Methodology for determining the Wholesale Cost Allowance

.xlsx file available at <https://www.ofgem.gov.uk/publications-and-updates/default-tariff-cap-decision-overview>

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 3 – Methodology for determining the Network Cost Allowance

.xlsx file available at <https://www.ofgem.gov.uk/publications-and-updates/default-tariff-cap-decision-overview>

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 4 – Methodology for determining the Policy Cost Allowance

.xlsx file available at <https://www.ofgem.gov.uk/publications-and-updates/default-tariff-cap-decision-overview>

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 5 – Methodology for determining the Smart Metering Net Cost Change

.xlsx file available at <https://www.ofgem.gov.uk/publications-and-updates/default-tariff-cap-decision-overview>

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Annex 6 – Format for the publication of Benchmark Maximum Charges

Standard Credit

Region, <i>i</i>	Single-Rate Metering Arrangement (benchmark consumption per annum, <i>k</i>)		Multi-Register Metering Arrangement (benchmark consumption per annum, <i>k</i>)	
	Nil kWh	<i>m</i> (3,100kWh)	Nil kWh	<i>m</i> (4,200kWh)
North West				
Northern				
Yorkshire				
Northern Scotland				
Southern				
Southern Scotland				
N Wales and Mersey				
London				
South East				
Eastern				
East Midlands				
Midlands				
Southern Western				
South Wales				

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Other Payment Method

Region, <i>i</i>	Single-Rate Metering Arrangement (benchmark consumption per annum, <i>k</i>)		Multi-Register Metering Arrangement (benchmark consumption per annum, <i>k</i>)	
	Nil kWh	<i>m</i> (3,100kWh)	Nil kWh	<i>m</i> (4,200kWh)
North West				
Northern				
Yorkshire				
Northern Scotland				
Southern				
Southern Scotland				
N Wales and Mersey				
London				
South East				
Eastern				
East Midlands				
Midlands				
Southern Western				
South Wales				

Fully-Interoperable Smart Prepayment

Region, <i>i</i>	Single-Rate Metering Arrangement (benchmark consumption per annum, <i>k</i>)		Multi-Register Metering Arrangement (benchmark consumption per annum, <i>k</i>)	
	Nil kWh	<i>m</i> (3,100kWh)	Nil kWh	<i>m</i> (4,200kWh)
North West				
Northern				
Yorkshire				
Northern Scotland				
Southern				
Southern Scotland				
N Wales and Mersey				
London				
South East				
Eastern				
East Midlands				
Midlands				
Southern Western				
South Wales				

Annex 7 - Categories of Relevant 28AD Warm Home Discount Customers

A7.1 The categories referred to in the definition of Relevant 28AD Warm Home Discount Customer are set out at paragraphs A7.2-A7.4 below.

Relevant 28AD Customer Identified in Scheme Year 6

A7.2 Where the Relevant 28AD Customer was Identified in Scheme Year 6, that Relevant 28AD Customer is a Relevant 28AD Warm Home Discount Customer.

Relevant 28AD Customer Identified in Scheme Year 7

A7.3 Where the Relevant 28AD Customer was Identified in Scheme Year 7, that Relevant 28AD Customer is a Relevant 28AD Warm Home Discount Customer.

Relevant 28AD Customer Identified in Scheme Year 8

A7.4 Where the Relevant 28AD Customer was Identified in Scheme Year 8, that Relevant 28AD Customer is a Relevant 28AD Warm Home Discount Customer.

Definitions for Annex 7

A7.5 In this Annex 7:

“**Identified**” means

- (a) In respect of Scheme Year 8, either:
 - (i) the licensee has received a notice under regulation 6(1) of the Regulations which specifies the Relevant 28AD Customer;
 - (ii) the licensee has determined that the Relevant 28AD Customer is to receive the prescribed rebate (as defined in the Regulations) pursuant to Chapter 2 of the Regulations; or
 - (iii) the Relevant 28AD Customer has received the prescribed rebate from the licensee (as defined in the Regulations);
- (b) In respect of Scheme Year 6 and Scheme Year 7, where the Relevant 28AD Customer has received the prescribed rebate from the licensee (as defined in the Regulations);

“**Regulations**” means the Warm Home Discount Regulations 2011 (SI 2011/1033) (as amended from time to time);

“**Scheme Year 6**” has the meaning given to that term in paragraph 2 of the Regulations, being the period from 23 July 2016 to 31 May 2017;

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“**Scheme Year 7**” has the meaning given to that term in paragraph 2 of the Regulations, being the period from 1 June 2017 to 31 March 2018;

“**Scheme Year 8**” has the meaning given to that term in paragraph 2 of the Regulations, being the period from 15 August 2018 to 31 March 2019.

Condition 28B. Warrants relating to Pre-payment Meters and other supplier actions to recover debts

Prohibitions on exercising a warrant and recovering costs

- 28B.1 The licensee must not exercise a Relevant Warrant (or otherwise exercise a statutory power which would give rise to the grounds for obtaining a Relevant Warrant) in respect of a Domestic Customer's premises where such action would be severely traumatic to that Domestic Customer due to an existing vulnerability which relates to their mental capacity and/or psychological state and would be made significantly worse by the experience.
- 28B.2 The licensee must not charge a Domestic Customer in respect of any costs associated with a Relevant Warrant where:
- (a) that Domestic Customer has a vulnerability which has significantly impaired their ability to engage with the licensee or a Representative in relation to the recovery of a Relevant Payment; or
 - (b) that Domestic Customer has a severe financial vulnerability which would be made worse by charging them any costs associated with a Relevant Warrant.

Cap on warrant costs

- 28B.3 Subject to 28B.3A, where the licensee or any Affiliated Licensee obtains and/or exercises one or more Relevant Warrants in respect of particular Domestic Premises of a particular Domestic Customer, the total amount of charges they recover (or seek to recover) at any time from the same Domestic Customer in relation to any costs associated with those Relevant Warrants and incurred within the Specified Period, must not exceed the Specified Amount (and, for the avoidance of doubt, no additional costs that were incurred within the Specified Period may be recovered during any other period of time).
- 28B.3A Where the licensee or any Affiliated Licensee obtains and/or exercises one or more Relevant Warrants in respect of more than one Domestic Premises of the same Domestic Customer, paragraph 28B.3 applies separately to each of those Domestic Premises.

Proportionality principle for debt recovery activities

- 28B.4 The licensee must only exercise a Relevant Warrant where such action would be proportionate in the context of the amount of the Outstanding Charges.
- 28B.5 In relation to the recovery of Outstanding Charges, Other Outstanding Charges or any other debt ('the charges') from a Domestic Customer, the licensee must ensure that:

- (a) any action it or a Representative takes (including, but not limited to, the exercise of statutory powers); and
- (b) the costs which they seek to recover from that Domestic Customer as a result, are proportionate in the context of the amount of the charges.

28B.6 Paragraph 28B.5 does not apply in relation to a Transfer Objection.

Duration of the restrictions

28B.7 Paragraphs 28B.1 to 28B.4 will cease to have effect on 31 December 2020 unless the Authority specifies a later date by publishing a statement in Writing.

28B.8 The power to specify a later date in paragraph 28B.7 may be exercised by the Authority on more than one occasion (before, on, or after the expiry of any later date specified by the Authority).

Application to white label tariffs

28B.9 In this licence condition any reference to “premises” covers the premises of Domestic Customers supplied under or by virtue of the licence held by the licensee, including where those premises are subject to Tariffs which use the brand name of a person that does not hold a Gas Supply Licence and/or an Electricity Supply Licence.

Definitions for condition

28B.10 For the purposes of this condition:

“Relevant Warrant” means:

- (a) a warrant pursuant to paragraph 23(2)(c) of Schedule 2B to the Gas Act 1986 for the purposes of paragraph 7(3)(a) of Schedule 2B to the Gas Act 1986;
- (b) a warrant pursuant to paragraph 7(4) of Schedule 6 to the Electricity Act 1989; and
- (c) any other type of warrant specified or described by the Authority by publishing a statement in writing (or by issuing a direction to the licensee), following consultation.

“Specified Amount” means £150 or such higher amount as may be designated by the Authority from time to time by publishing a statement in Writing.

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“Specified Period” means twelve months or such other (shorter or longer) period which may be specified by the Authority by publishing a statement in writing (or by issuing a direction to the licensee), following consultation.

“Transfer Objection” means to prevent a Proposed Supplier Transfer on grounds permitted by standard condition 14.

“Relevant Payment” has the meaning given in paragraph 7(1A) of Schedule 2B to the Gas Act 1986 and paragraph 2(1A) of Schedule 6 to the Electricity Act 1989.

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Conditions 29 to 30 (Not used)

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Standard conditions 31 and 32:

Domestic Customer information

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Conditions 31 to 31D – Not Used

Condition 31E. Overarching requirements

31E.1-31E.9 (Not used)

Provision of information regarding inclusion or exclusion of value added tax

31E.10 Where the licensee provides a Domestic Customer with any information about the Charges for the Supply of Electricity or any other type of charge or fee (the “Applicable Charges”), the licensee must inform the Domestic Customer of whether the Applicable Charges include or exclude value added tax.

31E.11 – 31E.15 (Not used)

Guidance

31E.16 The licensee must have regard to any guidance on standard condition 31E (including in respect of definitions which appear in standard condition 1) which, following consultation (which may be conducted before this condition takes effect), the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

31E.17 The licensee is not required to comply with standard condition 31E to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 31F. Encouraging and enabling engagement

Consumer engagement objective

31F.1 In complying with paragraphs 31F.3 to 31F.5, the licensee must act in a manner which is designed to promote positive engagement by encouraging each Domestic Customer (as appropriate to the circumstance) to:

- (a) consider switching Tariff or Electricity Supplier; and/or
- (b) understand and manage the costs associated with that Domestic Customer's Tariff and the electricity that Domestic Customer consumes.

31F.2 In complying with paragraphs 31F.3 and 31F.4 the licensee must take into account:

- (a) that Domestic Customer's characteristics and current Tariff's features; and
- (b) where appropriate, that Domestic Customer's preferences.

Continuing to make informed tariff and consumption choices

31F.3 The licensee must ensure that each Domestic Customer is provided with information, services and/or tools in a Form and at a frequency sufficient to enable that Domestic Customer to:

- (a) understand the key features of their Tariff, including any charges, fees or payments; and
- (b) make informed choices in when, and how much, electricity that Domestic Customer consumes, including prompting that Domestic Customer to consider ways to be more efficient and/or flexible in how and when that Domestic Customer consumes electricity.

Comparing and switching tariff and supplier

31F.4 The licensee must ensure that each Domestic Customer is provided with information in a Form and at a frequency that is sufficient to enable that Domestic Customer to understand that they can switch Tariff and Electricity Supplier, and may benefit from doing so, including financially.

Providing prompts to engage

31F.5 The licensee must ensure that:

- (a) it seeks to identify each Domestic Customer's Key Prompt Points; and

- (i) on each of those occasions where a Key Prompt Point is identified, the licensee considers whether it would be appropriate, in line with the objective set out in paragraph 31F.1(a), to provide that Domestic Customer with the Switching Information, regardless of whether the Switching Information has already been provided in accordance with paragraph 31F.5(c); and
- (b) it provides the Switching Information on all the following Notices:
 - (i) any Domestic Statement of Renewal Terms;
 - (ii) any Relevant Contract Change Notice;
 - (iii) any Notice required under paragraph 22D.9; and
 - (iv) any Notice required by paragraph 23.2; and
- (c) on at least one occasion in any 12 month period it provides each Domestic Customer with the Switching Information, where the Switching Information has not been provided in accordance with paragraphs 31F.5(a) or 31F.5(b) in that 12 month period.

Cheapest Tariff Message

31F.6 The licensee must display an alternative Cheapest Tariff Message, containing a message to the effect that the Domestic Customer is already subject to the Relevant Cheapest Tariff and/or Alternative Cheapest Tariff, in circumstances where:

- (a) the licensee only has one Tariff (or, where there are any Affiliate Electricity Licensees, the licensee and any Affiliate Electricity Licensees only have one Tariff); and/or
- (b) the Domestic Customer is already subject to the licensee's (or, where there are any Affiliate Electricity Licensees, the licensee's and any Affiliate Electricity Licensee's) Relevant Cheapest Tariff and/or Alternative Cheapest Tariff (as applicable).

Estimated Annual Costs

31F.7 Where Estimated Annual Costs are provided to a Domestic Customer by the licensee or any Representative (either by virtue of a standard condition or on a voluntary basis), the licensee must provide (and take all reasonable steps to ensure that any Representative provides) a brief statement (in Writing where the Estimated Annual Cost are provided in Writing or orally where the Estimated Annual Cost are provided orally) explaining what is included in the Estimated Annual Costs, including, but not limited to, value added tax, what it is and what it can be used for, and outlining any assumptions that have been made in its calculation.

31F.8 Where the licensee provides a Domestic Customer with any information about their Estimated Annual Costs in respect of an Evergreen Supply Contract, the licensee must provide the Domestic Customer with a statement to the effect

that the nature of the contract means that the Charges for the Supply of Electricity may be increased by the licensee in the future.

31F.9 Where the Estimated Annual Cost is communicated at Key Prompt Points, it must be displayed separately in respect of electricity and gas.

“About Your Tariff” Label

31F.10 The licensee must prepare an “About Your Tariff” Label which must be provided to each Domestic Customer in accordance with paragraph 31F.5 and standard condition 31H.4 which:

- (a) contains any information that Domestic Customer may require should that Domestic Customer wish to compare Tariffs across the retail market; and
- (b) must be provided in a consolidated way and be easily distinguishable from the rest of the communication.

Tariff Information Label

31F.11 The licensee must prepare a Tariff Information Label for each of their Operational Tariffs which consists of a clear and comprehensible list of key features of that Tariff, in a table or other user friendly and consolidated format, to allow easy comparison with the key features of all the licensee’s other Operational Tariffs.

- (a) If any Relevant Person requests a copy of any Tariff Information Label, the licensee must provide a Written copy to that person free of charge within 5 days after the day the request was received or as soon as reasonably practicable thereafter.
- (b) If the licensee or any Affiliate Licensees have a Website, the licensee must publish each Tariff Information Label on that Website in a position that is capable of easily being accessed by any person and which does not require a person to input any information apart from their address or postcode.

Provision of Tariff Information Label and Estimated Annual Costs at the same time as Principal Terms

31F.12 Where the licensee or any Representative is required by any standard condition to provide information about all the Principal Terms, the licensee must take (and ensure that any Representative takes) all reasonable steps to communicate the following information to the Domestic Customer:

- (a) the Tariff Information Label or, in the case of oral communications, the information contained in the Tariff Information Label (in respect of any Domestic Supply Contract offered to the Domestic Customer); and

- (b) the Domestic Customer's Estimated Annual Costs (in respect of any Domestic Supply Contract offered to the Domestic Customer).

Information about efficient use of electricity

31F.13 The licensee must maintain:

- (a) information about the efficient use of electricity to enable a Domestic Customer to make an informed judgment about measures to improve the efficiency with which they use the electricity supplied to their Domestic Premises; and
- (b) information about sources from which a Domestic Customer may obtain additional information or assistance about measures to improve the efficiency with which they use the electricity supplied to their Domestic Premises, including information:
 - (i) that is publicly available about financial assistance towards the cost of the measures available from government; or
 - (ii) that is available through bodies in receipt of financial assistance from government in connection with measures to promote the efficient use of energy.

31F.14 The licensee must provide the information referred to in paragraph 31F.13 to a Domestic Customer:

- (a) free of charge if they request that information;
- (b) by operating a telephone information service that provides that information free of charge; and
- (c) by publishing that information on and making it readily accessible from its Website (if it has one).

Bespoke Heating System Arrangements

31F.15 The licensee must take all reasonable steps to ensure that Domestic Customers which are subject to a Bespoke Heating System Arrangement are not at a significant disadvantage to other Domestic Customers when they:

- (a) seek to evaluate the benefits and costs of the Tariff that applies to their Bespoke Heating System Arrangement; and
- (b) consider changing their Tariff or supplier.

Definitions

31F.16 For the purposes of this condition:

“Bespoke Heating System Arrangement” is a contractual arrangement (or, where applicable, part of a contractual arrangement) in respect of a Domestic Supply Contract or a Deemed Contract which only relates to an Electrical Heating System.

“Cheapest Tariff Message” means a statement informing the Domestic Customer of:

- (a) the Exact Tariff Name of the Tariff which is the Relevant Cheapest Tariff;
- (b) the Estimated Annual Savings for the Relevant Cheapest Tariff;
- (c) the Exact Tariff Name of the Tariff which is the Alternative Cheapest Tariff; and
- (d) the Estimated Annual Savings for the Alternative Cheapest Tariff.

“Electrical Heating System” means any space and/or water heating equipment that solely uses one or more electrical circuits that are solely used for that equipment.

“Key Prompt Points” means points in time at which a Domestic Customer is likely to want to consider, or could benefit from considering, their options relating to switching Tariff.

“Operational” means any Tariff which is available to Domestic Customers or which still applies to any Domestic Customers (including, but not limited to, Live Evergreen Tariffs, Closed Fixed Term Tariffs, and Dead Tariffs).

“Switching Information” means all of the following information provided at one time:

- (a) that Domestic Customer’s Cheapest Tariff Message;
- (b) that Domestic Customer’s Estimated Annual Costs; and
- (c) that Domestic Customer’s “About Your Tariff” Label.

Guidance

31F.17 The licensee must have regard to any guidance on SLC 31F (including in respect of definitions which appear in standard condition 1) which, following consultation, the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

31F.18 The licensee is not required to comply with standard condition 31F to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 31G. Assistance and advice information

31G.1 The licensee must ensure that each Domestic Customer is provided with information in a Form and at a frequency that is sufficient to enable that Domestic Customer to quickly and easily understand how to:

- (a) identify and contact each Relevant Party about a problem, question or any other request for assistance. This includes queries, complaints, disputes or emergencies; and
- (b) seek impartial advice from Citizens Advice consumer service.

31G.2 The licensee must ensure that each Domestic Customer is provided, as appropriate in the circumstances, with information in a Form and at a frequency that is sufficient to enable that Domestic Customer to quickly and easily understand:

- (a) what their rights are as regards to the means of dispute settlement available in the event of a dispute, including how to identify and contact the Relevant Ombudsman for the circumstances; and
- (b) how to access appropriate assistance and advice. This includes information about:
 - (i) debt prevention and management;
 - (ii) improving energy efficiency, including management of electricity consumption and associated costs; and
 - (iii) social, financial and energy efficiency programmes.

31G.3 In complying with paragraphs 31G.1 and 31G.2 the licensee must take into account:

- (a) that Domestic Customer's characteristics and current Tariff's features; and
- (b) where appropriate, that Domestic Customer's preferences.

Energy Consumer Guidance

31G.4 The licensee must publish the latest version of the Energy Consumer Guidance and the Concise Guidance on its website within 28 days of the date on which that version is published by Citizens Advice or Citizens Advice Scotland.

31G.5 The licensee must inform each of its Domestic Customers how the Energy Consumer Guidance and the Concise Guidance can be accessed by:

- (a) providing each new Domestic Customer whose premises it supplies with electricity under a Contract or a Deemed Contract with this information when the licensee first begins to supply electricity to the Domestic

Customer's premises or, in the case of a Deemed Contract, becomes aware that it is doing so; and

- (b) providing this information to a Domestic Customer as soon as reasonably practicable after they request it.

31G.6 The licensee must provide a copy of the Concise Guidance to each of its Domestic Customers annually.

Dispute settlement

31G.7 The licensee must provide to each of its Domestic Customers information concerning that Domestic Customer's rights as regards the means of dispute settlement available to them in the event of a dispute with the licensee by providing that information on any relevant Promotional Materials sent to the Domestic Customer and on or with each Bill or statement of account sent to each Domestic Customer in relation to Charges or annually if the licensee has not sent such a Bill or statement of account to them.

Other information to be sent at least annually

31G.8 The licensee must inform each of its Domestic Customers of their Supply Number annually if the licensee has not sent a Bill or statement of account to them.

31G.9 The licensee must inform each of its Domestic Customers:

- (a) that Citizens Advice consumer service can assist in providing information and advice to Domestic Customers; and
- (b) how to contact Citizens Advice and Citizens Advice Scotland;

by providing that information annually if the licensee has not sent a Bill or statement of account to them.

Definitions

31G.10 For the purposes of this condition:

"Concise Guidance" means the concise guidance published by Citizens Advice or Citizens Advice Scotland under section 19A of the Consumers, Estate Agents and Redress Act 2007.

"Energy Consumer Guidance" means the energy consumer guidance published by Citizens Advice or Citizens Advice Scotland under section 19A of the Consumers, Estate Agents and Redress Act 2007.

"Relevant Party" means the licensee, their Representatives and the Licensed Distributor (via the Licensed Distributor's Enquiry Service).

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Guidance

31G.11 The licensee must have regard to any guidance on SLC 31G (including in respect of definitions which appear in standard condition 1) which, following consultation, the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

31G.12 The licensee is not required to comply with standard condition 31G to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 31H. Relevant Billing Information, Bills and statements of account

Provision of Relevant Billing Information, Bills and statements of account

31H.1 The licensee must ensure that each Domestic Customer is provided with Relevant Billing Information, and where relevant Bills or statements of account, in a Form and at a frequency that is sufficient to enable that Domestic Customer to understand and manage the costs associated with their Tariff and the electricity they consume.

31H.2 In complying with paragraph 31H.1 the licensee must take into account:

- (a) that Domestic Customer's characteristics and current Tariff's features; and
- (b) where appropriate, that Domestic Customer's preferences.

31H.3 Bills and statements of account must either:

- (a) be provided in a Form that allows the Domestic Customer to easily retain a copy; or
- (b) be made easily available to the Domestic Customer for reference.

Information to be provided on Bills and statements of account

31H.4 The licensee must provide the following information in Writing on every Domestic Customer's Bill or statement of account:

- (a) that Domestic Customer's Supply Number;
- (b) subject to paragraph 31H.6, a comparison of the Domestic Customer's electricity consumption for the period covered by the Bill or statement of account, with the Domestic Customer's electricity consumption for the corresponding period in the previous year (for the purposes of this condition, the "corresponding period");
- (c) a clearly visible, Optical Label containing each of the Relevant Data Items for Electricity in such a manner that, when scanned with a Relevant Device (in conjunction with a separate software program or otherwise), the Relevant Data Items for Electricity are loaded on to the Relevant Device in accordance with the Specified Sequence without any requirement for the Domestic Customer to input further information into the Device; where the Domestic Customer is supplied with electricity and gas under a Dual Fuel Account, the licensee may use a single Optical Label containing the Relevant Data Items for Gas and the Relevant Data Items for Electricity in accordance with the Specified Sequence; data items other than Relevant Data Items may be

included in the Optical Label provided they do not interfere with the Specified Sequence or with the ability of a Relevant Device to scan, access or load any of the Relevant Data Items in accordance with this provision;

- (d) an “About Your Tariff” Label; and
- (e) information about dispute settlement in line with requirements in paragraph 31G.7 of standard condition 31G.

31H.5 The licensee must inform each of its Domestic Customers:

- (a) that Citizens Advice consumer service can assist in providing information and advice to Domestic Customers; and
- (b) how to contact Citizens Advice and Citizens Advice Scotland,

by providing that information on or with each Bill or statement of account sent to each Domestic Customer in relation to Charges.

31H.6 The requirement in sub-paragraph 31H.4 (b) only applies if the licensee has been contracted to supply electricity to the same Domestic Customer at the same Domestic Premises throughout the period:

- (a) commencing with the start of the corresponding period; and
- (b) ending with the end of the period to which the Bill or statement of account relates.

31H.7 The requirement in sub-paragraph 31H.4 (c) does not apply:

- (a) to licensees who supply electricity to fewer than 50,000 Domestic Customers or such other number as may from time to time be directed by the Authority;
- (b) in respect of final Bills; or
- (c) in respect of Bills or statements of account that include Charges for the Supply of Electricity corresponding to more than one MPAN.

31H.8 The licensee must make it clear on the Bill or statement of account whether any estimates of the Domestic Customer’s electricity consumption have been used in producing the information.

Terms of Domestic Supply Contracts

31H.9 The licensee must ensure that the terms and conditions of each Domestic Supply Contract and Deemed Contract comply with the provisions of standard condition 31H.

31H.10 The licensee must ensure that each Domestic Supply Contract and Deemed Contract contains terms and conditions which reflect the effect of:

- (a) any requirement of standard condition 31H which could reasonably be considered as:
 - (i) giving a particular Domestic Customer a right to receive or do something in any circumstances;
 - (ii) giving a particular Domestic Customer a right to avoid being subject to something in any circumstances; and
 - (iii) providing for a specific form of protection for a particular Domestic Customer in any circumstances; and
- (b) any provisions standard condition 31H specified in directions, which following consultation, the Authority may issue and may from time to time revise (following further consultation).

Other relevant requirements

31H.11 The licensee must also comply with any other requirements in the Electricity Supply Licence that may apply to billing practices, including (but not limited to) standard conditions 21B and 21BA.

Definitions

31H.12 “**Relevant Billing Information**” means any information a Domestic Customer may need to understand and manage the costs associated with their Tariff and the electricity they consume. This includes (either collectively or at differing frequencies, as appropriate to that Domestic Customer and the circumstance):

- (a) any charges, fees or payments to or from that Domestic Customer’s account, and what is owed to or by the licensee;
- (b) how any charges, fees or payments are calculated; and
- (c) when and how that Domestic Customer needs to make any payments or will have payments deducted, leaving reasonable time for that Domestic Customer to arrange payment before it falls due.

Guidance

31H.13 The licensee must have regard to any guidance on SLC 31H (including in respect of definitions which appear in standard condition 1) which, following consultation, the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

31H.14 The licensee is not required to comply with standard condition 31H to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 31I. Contract changes information (notifications of price increases, disadvantageous unilateral variations and end of fixed term contracts)

31I.1 The licensee must ensure that each Domestic Customer is provided with a Notice prior to the event of:

- (a) a Disadvantageous Unilateral Variation;
- (b) an increase in the Charge(s) for the Supply of Electricity to a Domestic Premises (including by making any reduction in the amount of a Discount that is applied to a Unit Rate or Standing Charge); and
- (c) the end of a Fixed Term Supply Contract.

31I.2 Notices under paragraph 31I.1 must:

- (a) be provided in a Form and at an appropriate time that is designed to prompt that Domestic Customer to make an informed choice in light of the proposed Change(s), leaving reasonable time for that Domestic Customer to avoid any Change(s) before they take effect; and
- (b) contain information which is sufficient to enable that Domestic Customer to understand:
 - (i) the Change(s), including the main reason(s) for the Change(s);
 - (ii) any potential implications (including any financial implications) of the Change(s) for that Domestic Customer if no action is taken;
 - (iii) when the Change(s) take effect; and
 - (iv) that Domestic Customer's rights and available options relevant to the Change(s).

31I.3 In complying with paragraphs 31I.1 and 31I.2 the licensee must take into account:

- (a) that Domestic Customer's characteristics; and
- (b) where appropriate, that Domestic Customer's preferences.

Notices in the event of Disadvantageous Unilateral Variations or increases in Charge(s) for the Supply of Electricity

31I.4 The Notice referred to in paragraphs 31I.1(a) and (b) (a "Relevant Contract Change Notice"):

- (a) must inform the Domestic Customer that they may end the Domestic Supply Contract if the increase in the Charges for the Supply of Electricity or Disadvantageous Unilateral Variation is unacceptable to

them by changing their Electricity Supplier or entering into a new contract with their Electricity Supplier;

- (b) must include a statement to the effect that value added tax (at the applicable rate) is included in any Charges for the Supply of Electricity and any other charges which are subject to value added tax and that this may be different to the way such charges are displayed on a Bill or statement of account; and
- (c) must display the Charges for the Supply of Electricity or any other charges inclusive of value added tax at the applicable rate.

31L.5 A Relevant Contract Change Notice:

- (a) must be provided to the Domestic Customer separately from any other document (including, but not limited to, a Bill, statement of account, or marketing material), with the exception of a Relevant Contract Change Notice for gas, as defined in standard condition 1 of the Gas Supply Licence; and
- (b) may be provided as a Combined Relevant Contract Change Notice where the Domestic Customer is subject to a Dual Fuel Account.

Domestic Statement of Renewal Terms

31L.6 The Notice referred to in paragraph 31L.1(c) (a “Domestic Statement of Renewal Terms”) must include:

- (a) a statement explaining that if the Domestic Customer does not change supplier or does not expressly agree a new Evergreen Supply Contract, a new Fixed Term Supply Contract or a further fixed term period for a Fixed Term Supply Contract by the date the fixed term period of the existing Fixed Term Supply Contract is due to end, the Domestic Customer will become subject to either the Relevant Cheapest Evergreen Tariff or a Relevant Fixed Term Default Tariff, as applicable;
- (b) information about how and when the Domestic Customer may terminate their Fixed Term Supply Contract without being charged a Termination Fee and a statement explaining the effect of paragraphs 24.8 to 24.12 of standard condition 24;
- (c) changes to the Principal Terms including:
 - (i) the Domestic Customer’s current Principal Terms (or alternatively an explanation of how that Domestic Customer can access their current Principal Terms);
 - (ii) the Principal Terms that would apply if the Domestic Customer becomes subject to either the Relevant Cheapest Evergreen Tariff or a Relevant Fixed Term Default Tariff, as applicable, by virtue of paragraph 22C.7 of standard condition 22C; and

- (iii) where a Notice is also being provided to the Domestic Customer pursuant to paragraph 22C.5(a) of standard condition 22C, the Principal Terms that would apply if the Domestic Customer agrees a further fixed term period for an existing Fixed Term Supply Contract; and
- (d) the new Estimated Annual Costs and Tariff Information Label with the new Principal Terms, as required by paragraph 31F.12.

31I.7 A Domestic Statement of Renewal Terms must be provided to the Domestic Customer separately from any other document (including, but not limited to, a Bill, statement of account, or marketing material).

Definitions

31I.8 For the purposes of this licence condition:

“the Change” means the events set out in paragraph 31I.1 – Disadvantageous Unilateral Variations, increases in Charge(s) for the Supply of Electricity to a Domestic Premises (including by making any reduction in the amount of a Discount that is applied to a Unit Rate or Standing Charge) and the end of a Fixed Term Supply Contract.

“Combined Relevant Contract Change Notice” is a Notice that meets the requirements of a Relevant Contract Change Notice for both gas and electricity in a single document.

Guidance

31I.9 The licensee must have regard to any guidance on standard condition 31I (including in respect of definitions which appear in standard condition 1) which, following consultation, the Authority may issue and may from time to time revise (following further consultation).

Exception to compliance with condition

31I.10 The licensee is not required to comply with standard condition 31I to such extent and subject to such conditions as the Authority may from time to time direct.

Condition 32. Reporting on performance

- 32.1 The licensee must provide the Authority and Citizens Advice and Citizens Advice Scotland with information specified by the Authority relating to matters that it reasonably considers are relevant to the licensee's dealings with its Domestic Customers.
- 32.2 The information referred to in paragraph 32.1 may, in particular, include information about:
- (a) the number of the licensee's Domestic Customers using each method of payment for Charges for the Supply of Electricity;
 - (b) failures by the licensee's Domestic Customers to pay Charges for the Supply of Electricity by the date on which the payment was due;
 - (c) Disconnections carried out by the licensee;
 - (d) the provision by the licensee of energy efficiency information; and
 - (e) the services offered by the licensee to Domestic Customers on its Priority Services Register and the number of Domestic Customers who are listed on that register.
- 32.3 The information provided by the licensee under paragraph 32.1 must be in the form of a statistical record having such content and being presented in such a format and at such intervals of time as the Authority may from time to time direct following consultation with the licensee and Citizens Advice and Citizens Advice Scotland.

Condition 32A. Power to direct suppliers to test consumer engagement measures

Power of direction

- 32A.1 For any purposes connected with the Authority's consideration of measures or behaviours which may impact on consumer engagement ('consumer engagement measures'), the licensee must comply with a direction issued by the Authority in respect of Relevant Matters for Standard Condition 32A.
- 32A.2 The licensee is not required to comply with a direction issued pursuant to 32A.1 unless the Authority has given the licensee at least 1 month's prior Notice.
- 32A.3 A direction issued under paragraph 32A.1 may include a requirement to comply with any instructions from the Authority or a third party agent appointed by the Authority for the purposes of conducting any test of consumer engagement measures.

Provision of information

- 32A.4 The licensee must provide the Authority (or such other person as specified by the Authority) with information specified by the Authority in relation to matters that it reasonably considers are relevant to:
- a) the Authority's consideration of whether to issue a direction pursuant to paragraph 32A.1; and/or
 - b) the licensee's compliance with any direction issued pursuant to paragraph 32A.1.
- 32A.5 The Authority may direct the licensee to comply with paragraph 32A.4 by providing to the Authority (or such other person as specified by the Authority) information:
- a) in a particular form or medium by a particular date;
 - b) in a particular form or medium at such reoccurring intervals of time as the Authority considers appropriate; and
 - c) of any description specified by the Authority, including any documents, accounts, estimates, returns, records or reports and data of any kind, whether or not prepared specifically at the request of the Authority.
- 32A.6 The licensee is not required to comply with paragraph 32A.4 if it could not be compelled to produce or give the information in evidence in civil proceedings before a court.

Compliance with this condition

- 32A.7 The licensee is not required to comply with paragraph 32A.1 until the Authority has published criteria for the selection of one or more licensees to conduct testing (including through Randomised Controlled Trials) of any consumer engagement measures.

Definitions for condition

- 32A.8 For the purposes of this condition:

‘Relevant Matters for Standard Condition 32A’ means any or all of the following:

- (a) a requirement to test or evaluate (including through a Randomised Controlled Trial, where the Authority considers it appropriate) any consumer engagement measures in a manner and time frame prescribed by the Authority (including on the basis of the Authority’s Specification for Testing), and to provide information to Domestic Customers in a manner and time frame specified by the Authority;
- (b) where appropriate, a requirement to submit an implementation plan to the Authority for approval;
- (c) a requirement not to comply with any licence conditions which are relevant to the subject matter of this condition to such extent and subject to such conditions as the Authority may direct; and
- (d) a requirement to provide the Authority (or such other person as specified by the Authority) with information relating to the results (and the underlying data) of the testing of any consumer engagement measures in the manner and time frame specified by the Authority.

‘Randomised Controlled Trial’ means a form of consumer research (which is statistically robust for measuring behavioural impact) for Domestic Customers which is for the purposes of testing one or more consumer engagement measures relevant to the subject matter of this licence condition and includes two or more randomly assigned customer groups from the pool of eligible participants (which may be determined and specified by the Authority) in circumstances where:

- a) at least one of those groups are not subject to the consumer engagement measure; and
- b) all of the customer groups are comparable (including on the basis of the randomised selection) but for the consumer engagement measure(s).

‘**Authority’s Specification for Testing**’ includes detail on some or all of the following:

Design

- the objective(s) of the testing;
- the details of the consumer engagement measure(s) (and any variants) to be tested;
- the testing methodology (including, but not limited to, the approach to randomisation and maximising the equivalence of groups and the proposed sample size);
- the testing of outcomes and how they will be measured;
- the proposed timetable;
- any planned piloting activity; and/or
- any supplementary research or follow up analysis to gain a better understanding of the behavioural impact.

Implementation

- the identification of third parties who will be involved in delivering the proposed specification for testing and clarity around roles and responsibilities;
- the details of any proposed supplier-initiated activity that might have an impact on the testing;
- proposed approach for monitoring (including possible arrangements for independent moderation) and quality assurance; and/or
- the approach to dealing with ethics and consumer protection issues, including how any possible consumer detriment will be identified, monitored and addressed should any issue be identified.

Analysis and evaluation of the results

- criteria and approach to evaluate and analyse the results of the testing; and/or
- data to be shared, consideration of format, precise content, file types and data security.

Duration of condition

32A.9 Paragraphs 32A.1 to 32A.8 will cease to have effect at 24:00 on 31 December 2022 unless, following consultation, the Authority specifies a later date by publishing a statement in Writing.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

32A.10 The power to specify a later date in paragraph 32A.9 may be exercised by the Authority on more than one occasion (before, on, or after the expiry of any later date specified by the Authority).

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

SECTION C: STANDARD CONDITIONS FOR ALL SUPPLIERS

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Standard conditions 33 to 34:
Feed-in tariff arrangements

Condition 33. Feed-in Tariffs

Application of Schedule A

- 33.1. Where the licensee is a Mandatory FIT Licensee, it shall comply with the provisions of Part 1 of Schedule A with effect on and after 1st April 2010.
- 33.2. Where the licensee is a Voluntary FIT Licensee, it shall comply with the provisions of Part 2 of Schedule A with effect on and after 1st April 2010.
- 33.3. The licensee shall comply with Part 3 of Schedule A (FIT obligations applicable to all licensees) with effect on and after 1st April 2010.

FIT Payments by the Licensee to FIT Generators

- 33.4. Where the licensee is either a Mandatory FIT Licensee or a Voluntary FIT Licensee, it shall make FIT Payments to FIT Generators or Nominated Recipients in accordance with the provisions of Schedule A.

Compliance and Sanctions

- 33.5. The provisions of this Section C and Schedule A to Standard Condition 33 of this licence are “relevant conditions” for the purposes of section 25(8) of the Act and a non-complying licensee shall be subject to the enforcement powers of the Gas and Electricity Markets Authority under the Act.

Condition 34: Implementation of Feed-in Tariffs

- 34.1. The licensee shall take such steps and do such things as are within its power in relation to any consequential amendments to the Codes as are or may be necessary or appropriate in order to give full and timely effect to the modifications to this Licence made by the Secretary of State pursuant to section 41(1) of the Energy Act 2008.
- 34.2. The licensee shall cooperate with all other Electricity Suppliers, and such other persons as the Authority may determine, to contribute to the full and timely implementation of feed-in tariffs.
- 34.3. If the licensee becomes aware of any conflict between its compliance with the provisions of Standard Condition 33 and Schedule A and its compliance with any other condition of this licence or any Code, document or agreement to which the licensee is obliged to be or become a party pursuant to this licence, the licensee shall forthwith give written notice of such conflict to the Authority and shall comply with any direction of the Authority in relation to the same, which direction may only be made following consultation with the licensee and such persons as the Authority deems appropriate.

SCHEDULE A TO STANDARD CONDITION 33 OF THE ELECTRICITY SUPPLY LICENCE

DEFINITIONS AND INTERPRETATION

“Accredited FIT Installation”	means an Eligible Installation which the Authority has entered onto the Central FIT Register in accordance with the FIT Order;
“Annual FIT Payment Rates Tables”	means the tables of FIT Payment rates to be published annually by the Authority in accordance with the FIT Order and Part 1, clause 3.4 of and Annex 4 to this Schedule A;
“Cancellation of Export Payment Opt Out Notification”	means a notice in writing from a FIT Generator to a FIT Licensee in terms of which the FIT Generator elects to resume receipt of Export Payments from a date specified therein;
“Central FIT Register”	means the register kept and maintained by the Authority for the purpose of recording details of FIT Generators, Accredited FIT Installations and other such matters relating to the FIT Scheme;
“Commissioned”	<p>means, in relation to an Eligible Installation, that:</p> <ul style="list-style-type: none"> (a) such procedures and tests have been completed as constitute, at the time they are undertaken, the usual industry standards and practices for commissioning that type of installation such that it is capable of operating at its Declared Net Capacity (assuming that the relevant Eligible Low-Carbon Energy Source was available to it without interruption or limitation); and (b) the installation is connected to Plant such that the whole of its maximum output could be used in a permitted way; <p>For this purpose:</p> <ul style="list-style-type: none"> (1) the maximum output of an installation is the amount of electricity that it would generate if operated at its Declared Net Capacity; and (2) electricity is used in a permitted way if it is:

	<ul style="list-style-type: none"> (i) consumed by the FIT Generator or (if different) the operator of the installation, or by persons to whom it is supplied by the FIT Generator; or (ii) Exported.
“Complaints Procedure”	means the procedure available to a FIT Generator in the event it has a complaint about any action taken by a FIT Licensee in relation to the FIT Scheme;
“Confirmation Date”	means, in relation to an Eligible Installation, the date on which the FIT Generator is entered onto the Central FIT Register by the Authority, such that the Eligible Installation becomes an Accredited FIT Installation;
“Connected Person”	in relation to a FIT Generator or a Nominated Recipient, means any person connected to that person within the meaning of section 1122 of the Corporation Tax Act 2010;
“Declared Net Capacity”	means the maximum capacity at which an installation can be operated for a sustained period without causing damage to it (assuming the source of power used by it to generate electricity was available to it without interruption) less the amount of electricity that is consumed by the Plant;
“Deemed Export”	<p>means Export from an Accredited FIT Installation which is deemed to be a percentage of the equivalent Generation Meter Reading for the same Accredited FIT Installation and period in cases where:</p> <ul style="list-style-type: none"> (a) it is not possible or practical to measure the Export by way of Export Meter Readings; and (b) the FIT Order provides for the determination by the Secretary of State of the percentage or a methodology for determining it;
“Deemed Export Reading”	means the data by reference to which the FIT Licensee may calculate the Export Payment as

	regards the Deemed Export of an Accredited FIT Installation;
“EA08”	means the Energy Act 2008;
“Eligibility Date”	<p>means the date as regards a particular Eligible Installation from which eligibility for FIT Payments commences which, unless the FIT Order provides otherwise, shall be-</p> <p>(a) for an installation Commissioned before 15th January 2016 and with an Application Receipt Date before 15th January 2016, the later of-</p> <ul style="list-style-type: none"> (i) the Application Receipt Date; (ii) the date on which the installation is Commissioned; or (iii) 1st April 2010; <p>(b) for an installation with an Application Receipt Date on or after 8th February 2016, the later of -</p> <ul style="list-style-type: none"> (i) the Application Receipt Date; or (ii) the first day of the first Tariff Period within which the installation Qualifies for Accreditation; <p>(c) for an installation to which neither paragraph (a) nor paragraph (b) applies, the date specified in the FIT Order.</p> <p>For the purposes of this definition, “Application Receipt Date” means, as applicable, the date of-</p> <ul style="list-style-type: none"> (i) receipt by the Authority of a FIT Generator’s written request for ROO-FIT Accreditation in a form acceptable to the Authority; or (ii) receipt by a FIT Licensee of a FIT Generator’s written request for MCS-

Certified Registration, accompanied by an MCS Certificate for the installation.

“Eligibility Period”	means the maximum period during which a FIT Generator can receive FIT Payments for a particular Eligible Installation, as set out in the table at Annex 1;
“Eligible Installation”	means any Plant on a Site which is capable of Small-scale Low-carbon Generation: and except as provided otherwise in the FIT Order all such Plant on the same Site which is capable of generating electricity from the same type of Eligible Low-carbon Energy Source is to be treated as a single Eligible Installation;
“Eligible Low-carbon Energy Source”	<p>means the following sources of energy or technology:</p> <ul style="list-style-type: none"> (a) anaerobic digestion, as defined in the FIT Order; (b) hydro generating station, as defined in the FIT Order; (c) combined heat and power with an electrical capacity of 2kW or less; (d) solar photovoltaic; (e) wind;
“Energy Efficiency Requirement”	is to be interpreted in accordance with paragraphs 4 to 7 of Annex 5;
“Export”	means the flow of electricity from an Eligible Installation onto a distribution system or transmission system and, if the FIT Licensee so elects, accounted for in settlement in accordance with the BSC, and Export used as a verb shall be construed accordingly;
“Export Meter”	means a meter which measures the quantity of Export which, if registered pursuant to the BSC, such registration is to be the responsibility of the FIT Licensee;

“Export Meter Reading”	means the measure by an Export Meter of the amount of Export;
“Export Payment”	means the sum paid to the FIT Generator or Nominated Recipient, as applicable, by a FIT Licensee, for FIT Export in any period, calculated by reference to the Export Tariff and Export Meter Reading or Deemed Export Reading;
“Export Payment Opt Out Notification”	means a notice in writing from a FIT Generator to a FIT Licensee in terms of which the FIT Generator opts out of receiving Export Payments from a date specified therein;
“Export Tariff”	means the payment rate per kilowatt hour for FIT Export from an Accredited FIT Installation;
“Extension”	means a modification to an Accredited FIT Installation to increase its Total Installed Capacity from the same type of Eligible Low-carbon Energy Source, and Extend as a verb shall be construed accordingly;
“FIT Export”	means Export or Deemed Export from an Accredited FIT Installation in relation to which a FIT Generator has requested to receive Export Payments in accordance with Part 1, clause 7.1;
“FIT Generator”	means: <ul style="list-style-type: none"> (a) in relation to an Accredited FIT Installation, the person identified as the Owner in the Central FIT Register; and (b) in relation to any other Eligible Installation, the Owner, whether or not that person is also operating or intending to operate the Eligible Installation;
“FIT Licensee”	means the collective term for Mandatory FIT Licensees and Voluntary FIT Licensees;
“FIT Notification”	means the notification to be submitted to the Authority annually by each licensee under Part 3, clause 2;

“FIT Order”	means the Feed-in Tariffs Order 2012 (including any amendments to that Order);
“FIT Payments”	means, as applicable, Generation Payments and/or Export Payments;
“FIT Scheme”	means the scheme for feed-in tariffs introduced in accordance with sections 41 to 43 EA08, as set out in Standard Condition 33 of the Electricity Supply Licence (including this Schedule A, Parts 1 to 3 and Annexes 1 to 5) and the FIT Order;
“FIT Year”	means the year commencing on 1 st April and ending on 31 st March numbered sequentially from FIT Year 1 (being 1 st April 2010 to 31 st March 2011);
“Generation Meter”	means a meter which measures the quantity of electricity generated by an Accredited FIT Installation, for which the FIT Generator is responsible;
“Generation Payment”	means the sum paid to the FIT Generator or Nominated Recipient, as applicable, by a FIT Licensee, for the electricity generated by Accredited FIT Installations in any period, calculated by reference to the Generation Tariff and Generation Meter Readings;
“Generation Meter Reading”	means the measure by a Generation Meter of the gross amount of electricity generated by an Accredited FIT Installation;
“Generation Tariff”	means the payment rate per kilowatt hour of electricity generated by an Accredited FIT Installation;
“Insolvency Event”	means an event or circumstance referred to in paragraph 1(f) of Schedule 2 on Revocation of the Electricity Supply Licence;
“Levelisation Payment”	means a payment required to be made by a FIT Licensee to the Authority or by the Authority to the FIT Licensee in accordance with the Levelisation Process as determined in the FIT Order;

“Levelisation Process”	means the process by which the total cost of the FIT Scheme is allocated between licensees in proportion to the size of their share in the electricity supply market of Great Britain, as determined in accordance with the FIT Order;
“Mandatory FIT Licensee”	<p>means a licensee which either:</p> <ul style="list-style-type: none"> (a) supplies electricity to at least 250,000 domestic customers; or (b) together with its Affiliates jointly supplies electricity to at least 250,000 domestic customers, <p>as at 31 December before the start of each FIT Year; and effective on and from the 1 April of the current FIT Year;</p>
“MCS Certificate”	means an Eligible Installation using an MCS- FIT technology which meets the standards set out in Schedule A1 of the FIT Order.
“MCS-certified Installation”	means an Eligible Installation using an MCS-FIT technology which meets the standards set out in Schedule A1 of the FIT Order.;
“MCS-certified Registration”	means the process whereby an Eligible Installation confirmed as an MCS-certified Installation is entered onto the Central FIT Register by the Authority;
“MCS or equivalent”	means the Microgeneration Certification Scheme or equivalent schemes accredited in accordance with EN 45011(2) or EN ISO/IEC 17065:2012(3) which certify microgeneration products and installers in accordance with consistent standards;

**“MCS-FIT
Technology**

means the following Eligible Low-carbon Energy Sources for which MCS-certified Registration is required:

- (a) solar photovoltaic with a declared net capacity of 50kW or less;
- (b) wind with a declared net capacity of 50kW or less;
- (c) hydro generating station with a declared net capacity of 50kW or less (in relation to Eligible Installations with an Eligibility Date before 1st December 2012 only);
- (d) combined heat and power with an electrical capacity of 2kW or less;

**“Metering
Legislation”**

means:

- (a) Schedule 7 to the Electricity Act 1989;
- (b) The Meters (Approval of Pattern or Construction and Manner of Installation) Regulations 1998 (S.I. 1998/1565);
- (c) The Meters (Certification) Regulations 1998 (S.I. 1998/1566);
- (d) The Electricity (Approval of Pattern or Construction and Installation and Certification) (Amendment) Regulations 2002 (S.I. 2002/3129) ;
- (e) The Measuring Instruments (EC Requirements) (Electrical Energy Meters) Regulations 1995 (S.I. 1995/2607);
- (f) The Measuring Instruments (EC Requirements) (Electrical Energy Meters) (Amendment) Regulations 2002 (S.I. 2002/3082);

	(g) The Measuring Instruments (Active Electrical Energy Meters) Regulations 2006 (S.I. 2006/1679);
“Migrated ROO Generator”	means a generator whose installation was accredited under the ROO as at 1 st April 2010 and has subsequently become an Accredited FIT Installation;
“Nominated Recipient”	means a person appointed by a FIT Generator to receive FIT Payments in respect of an Accredited FIT Installation owned by that FIT Generator and recorded as such on the Central FIT Register;
“Owner”	means, in relation to any Plant which is the subject of a hire purchase agreement, a conditional sale agreement or any agreement of a similar nature, the person in possession of the Plant under that agreement, and in all other contexts it shall bear its ordinary meaning, and Owned as a verb shall be construed accordingly;
“Part 1”	means Part 1 of Schedule A to Standard Condition 33 of the Electricity Supply Licence;
“Part 2”	means Part 2 of Schedule A to Standard Condition 33 of the Electricity Supply Licence;
“Part 3”	means Part 3 of Schedule A to Standard Condition 33 of the Electricity Supply Licence;
“Plant”	means any equipment, apparatus or appliance;
“Principal FIT Licensee Terms”	means the principal terms, to be included in the Statement of FIT Terms, which relate to the obligations which a FIT Generator must satisfy in order to receive FIT Payments from a FIT Licensee;
“Principal Generator Terms”	means the principal terms, to be included in the Statement of FIT Terms, which relate both to FIT Payments and the protection of FIT Generators;
“Qualifies for Accreditation”	means, in relation to an Eligible Installation and a Tariff Period, that an installation qualifies for accreditation if in a Tariff Period the total installed capacity (measured in megawatts) applied for by that installation, when included in the aggregate total installed capacity of

	applications for accreditation or for preliminary accreditation in that period in respect of that particular description of installation, is less than or equal to the relevant limit set out in the Table in Schedule 1A;
“Quarterly Solar Tariff Table”	means the table of Generation Tariffs for solar photovoltaic Eligible Installations to be published by the Authority in accordance with the FIT Order and Part 1, clause 3.4 of and Annexes 3 and 5 to this Schedule A for FIT year 4 to 7 th February 2016 in FIT year 6;
“Reduction”	means a modification to an Eligible Installation to decrease its Total Installed Capacity;
“ROO”	means collectively the Renewables Obligation Order 2009 and Renewables Obligation (Scotland) Order 2009 (including any amendments to those Orders or re-enactments of the renewables obligation upon revocation of those Orders);
“ROO-FIT Accreditation”	means the process of accreditation pursuant to the FIT Order to be undertaken in respect of an Eligible Installation not using an MCS-FIT Technology;
“Site”	is to be interpreted in accordance with Part 1, clause 4.3 and the FIT Order;
“Small-scale Low-carbon Generation”	means the generation of electricity, by any Plant: <ul style="list-style-type: none"> (a) which, in generating electricity, relies wholly or mainly on an Eligible Low-carbon Energy Source; and (b) the Total Installed Capacity of which does not exceed the Specified Maximum Capacity;
“Solar Deployment Period”	has the meaning given in Annex 3, paragraph 1;
“Solar Tariff Period”	has the meaning given in Annex 3, paragraph 1;

“Specified Maximum Capacity”	means the maximum capacity specified in the FIT Order;
“Statement of FIT Terms”	means the statement of terms and conditions agreed between the FIT Licensee and FIT Generator in relation to participation in the FIT Scheme;
“Switching”	means the process involved when a FIT Generator elects to change its FIT Licensee, and Switch used as a verb shall be construed accordingly;
“Tariff Code”	means a code allocated to each Accredited FIT Installation by the Authority to enable identification of the FIT Payment rates applying to that installation;
	in relation to –
“Tariff Date”	<p>(a) an Eligible Installation for which the method of determining the Tariff Date is specified in the FIT Order means the date as determined in the FIT Order;</p> <p>(b) an Eligible Installation whose Eligibility Date is before 15th January 2016 means the Eligibility Date; or</p> <p>(c) an Eligible Installation whose Eligibility Date is on or after 8th February 2016, means the first day of the first Tariff Period within which the installation Qualifies for Accreditation;</p>
“Tariff Period”	<p>means—</p> <p>(a) in respect of a combined heat and power installation, one of the following periods—</p> <p>(i) the period beginning on 1st April 2017 and ending on 30th September 2017; or</p> <p>(ii) any subsequent period of 6 months beginning on 1st October or 1st April; or</p> <p>(b) in all other cases, one of the following periods—</p>

- (i) the period beginning on 8th February 2016 and ending on 31st March 2016;
- (ii) the period of 3 months beginning on 1st April 2016; or
- (iii) any subsequent period of 3 months beginning on 1st July, 1st October, 1st January or 1st April;

“Total Installed Capacity”

means the maximum capacity at which an Eligible Installation could be operated for a sustained period without causing damage to it (assuming the Eligible Low-carbon Energy Source was available to it without interruption), a declaration of which is submitted as part of the processes of ROO-FIT Accreditation and MCS-certified Registration;

“Transfer Date”

means the date upon which a FIT Generator is deemed to have Switched in relation to an Accredited FIT Installation;

“Voluntary FIT Licensee”

means a licensee which is not a Mandatory FIT Licensee but which voluntarily elects to participate in making FIT Payments under the FIT Scheme.

PART 1 - MANDATORY FIT LICENSEES

A BASIC PRINCIPLES

1. Application of Part 1

- 1.1 This Part 1 shall apply where the licensee is a Mandatory FIT Licensee.
- 1.2 A Mandatory FIT Licensee is required to publish its status as a Mandatory FIT Licensee such that this information is easily accessible to the public.

2. To whom obligation is owed

- 2.1 The Mandatory FIT Licensee shall, subject to the terms of the FIT Scheme, be obliged to accept a request for FIT Payments from a FIT Generator as regards an Accredited FIT Installation:

- 2.1.1 which occupies a Site in relation to which that Mandatory FIT Licensee is the Relevant Electricity Supplier; or
- 2.1.2 which occupies a Site-
 - (a) in relation to which that Mandatory FIT Licensee is not the Relevant Electricity Supplier; and
 - (b) which is supplied by an Electricity Supplier which is not itself a Mandatory FIT Licensee; or
- 2.1.3 which occupies a Site which does not receive a supply of electricity from any Electricity Supplier.
- 2.2 A Mandatory FIT Licensee may accept a request for FIT Payments from a FIT Generator as regards any other Accredited FIT Installation.

3. Payment of FIT

- 3.1 The Mandatory FIT Licensee shall be obliged to make FIT Payments as regards an Accredited FIT Installation only in the event the following conditions are satisfied:
 - 3.1.1 The Mandatory FIT Licensee is satisfied that the FIT Generator is not registered on the Central FIT Register as being in receipt of FIT Payments from another FIT Licensee as regards that Accredited FIT Installation;
 - 3.1.2 the Mandatory FIT Licensee must have access to or have received from the FIT Generator or Nominated Recipient the Generation Meter Readings, Export Meter Readings or Deemed Export Readings required, as applicable, in order to calculate the FIT Payments and the meters from which such readings are taken must comply with the provisions of the Metering Legislation;
 - 3.1.3 the FIT Generator must not also be registered to benefit from the ROO as regards the Accredited FIT Installation in relation to which it is seeking FIT Payments; and
 - 3.1.4 the FIT Generator must have agreed a Statement of FIT Terms with the Mandatory FIT Licensee.
- 3.2 In the event Part 1, clause 3.1 is satisfied, the Mandatory FIT Licensee shall be required as regards making FIT Payments to:
 - 3.2.1 calculate FIT Payments as accruing from the Eligibility Date of an Accredited FIT Installation or from the Transfer Date, as applicable;
 - 3.2.2 commence FIT Payments to the FIT Generator or Nominated Recipient from the next payment cycle occurring after the later of the:
 - (a) Confirmation Date; or

- (b) date on which the Statement of FIT Terms is agreed between the FIT Generator and Mandatory FIT Licensee,

which shall include FIT Payments which may have accrued since the Eligibility Date or the Transfer Date, as applicable, such retrospective accrual to be limited as regards a Migrated ROO Generator to a period of no more than 6 months between its Eligibility Date and Confirmation Date;

- 3.2.3 ensure such FIT Payment is attributable to a period within the Eligibility Period of the Accredited FIT Installation;
- 3.2.4 ensure a process is implemented to regulate how data from FIT Generators as regards Generation Meter Readings, Export Meter Readings and Deemed Export Readings, as applicable, is to be provided and managed and communicate this to FIT Generators;
- 3.2.5 make FIT Payments no less than quarterly, except insofar as otherwise agreed in the Statement of FIT Terms;
- 3.2.6 take all reasonable steps to:
- (a) review on receipt the reasonableness of any Generation Meter Readings and Export Meter Readings provided by a FIT Generator or Nominated Recipient as regards an Accredited FIT Installation, in accordance with expected tolerances by reference to relevant Total Installed Capacity and Eligible Low-carbon Energy Source;
- (b) verify at least once every 2 years the Generation Meter Readings and Export Meter Readings provided by a FIT Generator or Nominated Recipient, and any Deemed Export Readings, taking due account of guidance from the Authority.

- 3.3 The Mandatory FIT Licensee shall make FIT Payments in accordance with the Tariff Code and other information recorded in the Central FIT Register, at the rates determined in accordance with the following table:

<i>Type of Eligible Installation</i>	<i>Period in respect of which FIT Payments are made</i>	<i>Tariff Date of the Eligible Installation</i>	<i>Applicable FIT Payment Rates</i>
Solar photovoltaic	FIT Year 1 or 2	Any	The rates determined in accordance with the FIT payment rate table which was in force at the time the electricity was generated or exported.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

	FIT Year 3	1 st April 2010 to 31 st January 2013	The rates set out in Tables 1 and 3 of Annex 2.
		1 st February 2013 to 31 st March 2013	Generation Tariff: The rates set out in the table referred to in paragraph 5 of Annex 2. Export Tariff: The rates set out in Table 3 of Annex 2.
	FIT Year 4 and subsequent FIT Years	Any date during the FIT Year in respect of which the FIT Payments are made	Generation Tariff: The rates set out in the Quarterly Solar Tariff Table published by the Authority for the Solar Tariff Period in which the Tariff Date of the Eligible Installation falls (subject to paragraph 2 of Annex 3). Export Tariff: The rates set out in the Annual FIT Payment Rates Tables published by the Authority for the FIT Year in respect of which the FIT Payments are made.
		Any date before the start of the FIT Year in respect of which the FIT Payments are made	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for the FIT Year in respect of which the FIT Payments are made.
Anaerobic digestion Hydro generation station Combined heat and power	FIT Year 1 or 2	Any	The rates determined in accordance with the FIT payment rate table which was in force at the time the electricity was generated or exported.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Wind	FIT Year 3	Any	The rates set out in Tables 2 and 3 of Annex 2.
	FIT Year 4 and subsequent FIT Years	Any	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for the FIT Year in respect of which the FIT Payments are made, or where the Authority has published any amendments to those tables, in accordance with those amendments.

Table 2

FIT Payment Rates in respect of electricity generated or exported in FIT Year 6

<i>Type of Eligible Installation</i>	<i>Tariff Date of the Eligible Installation</i>	<i>Applicable FIT Payment Rates</i>
Solar photovoltaic	1 April 2010 to 31 March 2015	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for FIT Year 6.
	1 April 2015 to 14 January 2016	Generation Tariff: The rates set out in the Quarterly Solar Tariff Table published by the Authority for the Solar Tariff Period in which the Tariff Date of the Eligible Installation falls Export Tariff: The rates set out in the Annual FIT Payment Rates Tables published by the Authority for FIT Year 6
	8 February 2016 to 31 March 2016	The rates set out in Table 1 in Annex 4A.
Anaerobic digestion Hydro generating station Combined heat and power Wind	1 April 2010 to 14 January 2016	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for the FIT Year in respect of which the FIT Payments are made, or where the Authority has published any amendments to those tables, in accordance with those amendments.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

	8 February 2016 to 31 March 2016	The rates set out in Table 1 in Annex 4A.
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Table 3

FIT Payment Rates in respect of electricity generated or exported in FIT Year 7 and subsequent FIT Years

<i>Type of Eligible Installation</i>	<i>Tariff Date of the Eligible Installation</i>	<i>Applicable FIT Payment Rates</i>
Any	1 April 2010 to 14 January 2016	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for FIT Year 7
	8 February 2016 to 31 March 2016	The rates set out in Table 1 in Annex 4A.
	Any date on or after 1 April 2016 but before the start of the FIT Year in respect of which the payments are made	The rates set out in the Annual FIT Payment Rates Tables published by the Authority for the FIT Year in respect of which the FIT Payments are made.
	Any date during the FIT Year in respect of which the payments are made	Generation Tariff: the rates set out in the Quarterly Tariff Table published by the Authority for the Tariff Period in which the Tariff Date of the installation falls. Export tariff: the rates set out in the Annual FIT Payment Rates Tables published by the Authority in respect of which the FIT Payments are made.

3.4 The FIT Payment rates tables referred to in the fourth column of the table is clause 3.3, which shall be published by the Authority in accordance with the FIT Order, are as follows-

- 3.4.1 the Quarterly Solar Tariff Table setting out the Generation Tariffs to apply, for the FIT Year in which the Tariff Date of the installation falls, to solar photovoltaic Eligible Installations with a Tariff Date in the Solar Tariff Period to which the table relates;
- 3.4.2 the Annual FIT Payment Rates Tables setting out the following FIT payment rates to apply for the FIT Year to which the tables relate-
 - (a) the Generation Tariffs for all Eligible Installations with a Tariff Date before the start of that FIT Year;

- (b) the Generation Tariffs for Eligible Installations, other than solar photovoltaic installations, with a Tariff Date in that FIT Year (subject to any mid-year amendment of the Generation Tariffs for those installations under Part 3, Chapter 4 of Annex 4); and
 - (c) the Export Tariffs for all Eligible Installations with a Tariff Date that falls within or before the FIT year to which the Annual FIT Payment Rate Table refers.
- 3.4.3 the Quarterly Tariff Table setting out the Generation Tariffs and Export Tariffs to apply for the Tariff Period in which the Tariff Date of the installation falls.
- 3.5 Annex 3 contains provision about the Quarterly Solar Tariff Table.
- 3.6 Annex 4 contains provision about the Annual FIT Payment Rates Tables.
- 3.6A. Annex 4A contains provision about the Quarterly Tariff Table.
- 3.7 Annex 5 contains additional provision about Generation Tariffs for solar photovoltaic Eligible Installations.
- 3.8 In clauses 3.3 to 3.7 and in Annexes 2 to 5, references to installations using a particular type of Eligible Low-Carbon Energy Source are to be treated as excluding any such installations with a Declared Net Capacity of 50kW or less Commissioned on or before 14th July 2009 and accredited under the ROO on or before 31st March 2010.
- 3.9 The FIT Payments made by the Mandatory FIT Licensee shall be such that:
 - 3.9.1 the Generation Payment shall be available to all FIT Generators with Accredited FIT Installations;
 - 3.9.2 the Export Payment shall be available only to FIT Generators with Accredited FIT Installations which have the necessary Plant to Export electricity and have requested to receive Export Payments in accordance with Part 1, clause 7.1.

B TREATMENT OF FIT GENERATORS AND ACCREDITED FIT INSTALLATIONS

4. MCS-certified Registration

- 4.1 The Mandatory FIT Licensee shall take all reasonable steps to support the process of MCS-certified Registration.
- 4.2 When the Mandatory FIT Licensee receives a request for FIT Payments from a FIT Generator using an MCS-FIT Technology, the Mandatory FIT Licensee shall not submit details of that FIT Generator to the Authority for the purposes of entry onto the Central FIT Register until it has first –

- 4.2.1 confirmed that the request relates to an MCS-certified Installation;
- 4.2.2 determined the Site of the installation in accordance with clause 4.3; and
- 4.2.3 obtained sufficient information, if the request relates to a solar photovoltaic installation, to determine –
 - (a) whether the Energy Efficiency Requirement applies in relation to the installation and, if so, whether that requirement is satisfied; and
 - (b) whether paragraph 9 of Annex 5 applies in relation to the installation.
- 4.3 Where the Mandatory FIT Licensee is required to determine the Site of an installation, it shall do so in accordance with the same principles, set out in the FIT Order, as the Authority is to apply in determining the Site of an installation when carrying out ROO-FIT Accreditation.
- 4.4 When the Mandatory FIT Licensee has confirmed and determined the matters referred to in Part 1, clause 4.2, the Mandatory FIT Licensee shall submit to the Authority such information on the FIT Generator as is required by the process of MCS-certified Registration for entry of the FIT Generator and the relevant Eligible Installation onto the Central FIT Register.
- 4.5 As soon as reasonably practicable after receiving notification from the Authority of the Confirmation Date (subject to the requirement of Part 1, clause 6.1), the Mandatory FIT Licensee shall provide the FIT Generator with a Statement of FIT Terms to review and seek agreement.
- 4.6 A Mandatory FIT Licensee shall not be required to support MCS-certified Registration as regards a Migrated ROO Generator using an MCS-FIT Technology and shall not make any FIT Payments to that Migrated ROO Generator until the provisions of Part 1, clauses 3.1 and 3.2 are satisfied.

5. ROO-FIT Accreditation

- 5.1 The Mandatory FIT Licensee shall not be responsible for ROO-FIT Accreditation.
- 5.2 When the Mandatory FIT Licensee receives a request for FIT Payments from a FIT Generator whose circumstances are such that ROO-FIT Accreditation is appropriate for participation in the FIT Scheme, the Mandatory FIT Licensee shall refer that FIT Generator to the Authority which shall undertake ROO-FIT Accreditation.
- 5.3 When the Mandatory FIT Licensee receives a request for FIT Payments from a FIT Generator which demonstrates its ROO-FIT Accreditation is complete, the Mandatory FIT Licensee shall submit to the Authority such information on the FIT Generator as is required for entry to the Central FIT Register.

5.4 As soon as reasonably practicable after receiving notification from the Authority of the Confirmation Date (subject to the requirement of Part 1, clause 6.1), the Mandatory FIT Licensee shall provide the FIT Generator with a Statement of FIT Terms to review and seek agreement.

5.5 The Mandatory FIT Licensee shall not be obliged to make FIT Payments to the FIT Generator until the provisions of Part 1, clauses 3.1 and 3.2 are satisfied.

6. Statement of FIT Terms

6.1 The Mandatory FIT Licensee shall take all reasonable steps to agree in writing a Statement of FIT Terms with a FIT Generator as regards an Accredited FIT Installation within ten working days of the Confirmation Date, such agreement not to be unreasonably withheld.

6.2 The Mandatory FIT Licensee shall ensure that the Statement of FIT Terms incorporates as a minimum the Principal Generator Terms detailed in Part 1, clause 6.3 and the Principal FIT Licensee Terms detailed in Part 1, clause 6.4, in accordance with any guidance issued by the Authority.

6.3 The Principal Generator Terms shall include:

6.3.1 obligations relevant to FIT Payments, including:

- (a) Tariff Code;
- (b) Confirmation Date;
- (c) Eligibility Date and Eligibility Period;
- (d) Tariff Date;
- (e) the Generation Tariff applying at the Confirmation Date;
- (f) the Export Tariff applying at the Confirmation Date (where applicable) and how to elect to receive Export Payments;
- (g) frequency of FIT Payment;
- (h) data on which calculation of FIT Payments shall be based and the process by which such data is to be provided;
- (i) the consequences of ceasing to be eligible for FIT Payments;
- (j) and any other term that may reasonably be considered to significantly affect the evaluation by the FIT Generator of the arrangement under which FIT Payments shall be made by the Mandatory FIT Licensee; and

6.3.2 obligations relevant to the protection of the FIT Generator to which the Mandatory FIT Licensee shall be obliged to adhere, including:

- (a) a description of the Complaints Procedure and a stated duty to participate in the Complaints Procedure on disputes in relation to compliance with obligations under the FIT Scheme;
- (b) a duty not to discriminate without objective justification in terms of changing Relevant Electricity Supplier or the prices for supply and other charges as between FIT Generators and other parties to whom electricity is supplied by the Mandatory FIT Licensee;
- (c) a description of the process of Switching and a stated duty to participate as required to facilitate the Switching of a FIT Generator;
- (d) a duty not to impose any obligations on a FIT Generator which are additional to, or more onerous than those that are necessary to enable the Mandatory FIT Licensee to meet its obligations under the FIT Scheme;
- (e) a duty to fulfil obligations under the FIT Scheme efficiently and expeditiously;
- (f) a term setting out the termination rights which permit the FIT Generator to withdraw from the FIT Scheme or Switch;
- (g) a term identifying the risks to a FIT Generator of failure to adhere to the Statement of FIT Terms, for example following failure to provide the required data in a timely fashion and as regards suspension and recoupment of FIT Payments.

6.4 The Principal FIT Licensee Terms shall include:

- 6.4.1 a term explaining that FIT Payments shall be made by reference to data in the Central FIT Register;
- 6.4.2 a term identifying the FIT Generator's obligations as regards providing information, declarations and evidence to the Mandatory FIT Licensee and the Authority (as well as any consents required for the purposes of data protection) as required for the administration of the FIT Scheme;
- 6.4.3 a term requiring the FIT Generator to inform the Mandatory FIT Licensee as soon as reasonably possible in the event there is a change in ownership of an Accredited FIT Installation;
- 6.4.4 a term requiring the FIT Generator to inform the Mandatory FIT Licensee as soon as reasonably possible of Extensions or Reductions to an Accredited FIT Installation;
- 6.4.5 a term setting out the circumstances and procedures for changing the Nominated Recipient on the Central FIT Register;

- 6.4.6 a term explaining meter ownership and responsibilities, including as regards access to the property of the FIT Generator if required for inspection, testing and (in the case of the Export Meter) maintenance and if appropriate replacement.
- 6.4.7 a term requiring the FIT generator to retain the following information for the period of 1 year-
 - (a) all meter readings taken from or supplied by the FIT generator, including the Generation Meter Readings or Export Meter Readings supplied to the Mandatory FIT Licensee as part of the request for FIT payments in respect of the FIT installation; and
 - (b) details of all FIT payments made to the FIT generator throughout the period.
- 6.5 In the event the Central FIT Register is amended by the Authority to correct an error or to reflect any change in circumstances relevant to the content of the Statement of FIT Terms, for example, the Extension of an Accredited FIT Installation, the Mandatory FIT Licensee shall revise the Statement of FIT Terms as required and an amended version shall be supplied to the FIT Generator.
- 6.6 The Mandatory FIT Licensee shall be required to take due account of guidance issued by the Authority as regards the content and the form of the Statement of FIT Terms but can agree terms more favourable to the FIT Generator if so desired;
- 6.7 In addition to what is stipulated in the Statement of FIT Terms, the Mandatory FIT Licensee shall have the following specific duties as regards FIT Generators in the context of the FIT Scheme:
 - 6.7.1 when providing information to a FIT Generator (whether in writing, by electronic display or orally) in relation to the FIT Scheme, the Mandatory FIT Licensee shall take all reasonable steps to ensure it:
 - (a) is complete and accurate;
 - (b) is capable of being easily understood by the FIT Generator;
 - (c) does not mislead the FIT Generator; and
 - (d) is otherwise fair, transparent, appropriate and delivered in a professional manner both in terms of content and in terms of how it is presented (with more important information being given appropriate prominence);
 - 6.7.2 when making FIT Payments to a FIT Generator or Nominated Recipient, the Mandatory FIT Licensee shall ensure that the

Statement of FIT Terms by reference to which it does so does not materially discriminate without objective justification between one group of FIT Generators and any other such group;

- 6.7.3 the Mandatory FIT Licensee shall notify FIT Generators and Nominated Recipients to which it makes FIT Payments as soon as reasonably possible at the occurrence of an Insolvency Event.
- 6.8 To the extent a FIT Generator falls into the definition of Customer, Domestic Customer or Micro-business Consumer under the Electricity Supply Licence, participation in the FIT Scheme and involvement in Small-scale Low-carbon Generation shall have no effect on the rights and obligations resulting from that status under Sections A and B of the Electricity Supply Licence.
- 6.9 By 1st October 2013, the Mandatory FIT Licensee shall modify the Principal FIT Licensee Terms of each FIT Generator to whom (or to whose nominated recipient) it makes FIT payments by including the requirements set out in Part 1 clause 6.4.7.

7. Export

- 7.1 Where a FIT Generator's request for FIT Payments includes a request for Export Payments, in addition to the requirements of Part 1, clause 3 above, the Mandatory FIT Licensee shall be obliged to purchase FIT Export from the Accredited FIT Installation by offering an amount equivalent to the appropriate Export Payments.
- 7.2 Where payments have commenced in accordance with Part 1, clause 7.1 above, the Mandatory FIT Licensee remain obliged to make Export Payments until the earlier of termination in accordance with the FIT Scheme or the receipt of an Export Payment Opt Out Notification from the FIT Generator.
- 7.3 The Mandatory FIT Licensee shall act in accordance with an Export Payment Opt Out Notification received from a FIT Generator and cease to apply the FIT Scheme to such Export unless:
 - 7.3.1 it is due to take effect within one year of a request for Export Payments from the FIT Generator;
 - 7.3.2 it requires the Mandatory FIT Licensee to act retrospectively; or
 - 7.3.3 it receives a Cancellation of Export Payment Opt Out Notification.
- 7.4 A Cancellation of Export Payment Opt Out Notification shall not take effect if;
 - 7.4.1 it is due to take effect within one year of receipt of an Export Payment Opt Out Notification; or
 - 7.4.2 it requires the Mandatory FIT Licensee to act retrospectively.

- 7.5 The Mandatory FIT Licensee shall remain obliged to make Generation Payments, as appropriate, to FIT Generators irrespective of whether they request Export Payments pursuant to Part 1, clause 7.1.
- 7.6 The Mandatory FIT Licensee may calculate the Export Payment for FIT Export by reference either to:
- 7.6.1 Export Meter Readings; or
 - 7.6.2 Deemed Export Readings, as regards Deemed Export only.

7A. Continuity of FIT Payments for accredited FIT installations following licence revocation etc

- 7A.1 If the Authority gives a continuity of FIT payments direction to a Mandatory FIT Licensee in respect of an Accredited FIT Installation, the Mandatory FIT Licensee shall be under an obligation to-
- (a) make FIT Payments as regards electricity generated or exported by the Accredited FIT Installation; and
 - (b) determine the date from which such payments are to be made in accordance with the matters to be taken into account set out by the Authority in the continuity of payments direction.
- 7A.2 In paragraph 1, “continuity of FIT payments direction” has the same meaning as in article 24A of the FIT Order.

7B. Treatment of other installations not yet accredited following licence revocation

- 7B.1 Where, following the events described in article 24B(1) (a) and (b) of the FIT Order, a FIT generator has notified a Mandatory FIT Licensee that its request for MCS-certified registration of an eligible installation is to be deemed to have been made to that Licensee, that Licensee must treat that request as though it had been made to it.

C ADMINISTRATION, ERROR AND ABUSE OF SCHEME

8. Reducing, recouping and withholding FIT Payments

- 8.1 The Mandatory FIT Licensee shall take all reasonable steps to ensure any FIT Payments it has made to a FIT Generator or Nominated Recipient reflect only that to which that FIT Generator or Nominated Recipient is entitled, but is not required to take such steps in respect of any loss of entitlement to payments that may arise by virtue of Part 8A of the FIT Order.

8.2 The Mandatory FIT Licensee may, subject to clause 8.2A, and must if directed by the Authority under article 35 or 35ZA of the FIT Order, reduce or withhold FIT Payments from a FIT Generator or take steps to recoup FIT Payments:

8.2.1 when it is identified (either through notification from the Authority or following an internal review by the Mandatory FIT Licensee) that there has been an error by the:

- (a) Mandatory FIT Licensee; or
- (b) FIT Generator; or
- (c) Authority,

which has led that Mandatory FIT Licensee to make FIT Payments in excess of entitlement;

8.2.2 when the Authority has established that a FIT Generator has received FIT payments to which it is not entitled and has noted this fact in the Central FIT Register,

except that it is not required to take steps to recoup incorrect FIT Payments made by another FIT Licensee.

8.2A The Mandatory FIT Licensee may not reduce, withhold or recoup FIT payments under clause 8.2 in respect of any loss of entitlement to payments that may arise by virtue of Part 8A of the FIT Order, unless directed by the Authority under article 35ZA of the FIT Order.

8.3 Where the Authority informs the Mandatory FIT Licensee that the accreditation of an installation as an Accredited FIT Installation has been suspended or withdrawn, the Mandatory FIT Licensee shall not make any further FIT Payments in respect of that installation until such time as notified by the Authority that the suspension has ended or the withdrawal has been revoked, except insofar as otherwise directed by the Authority to make a reduced FIT Payment.

8.4 The Mandatory FIT Licensee must comply with any direction by the Authority as to the making of FIT payments in respect of an installation, in the event that the Authority ends the suspension or revokes the withdrawal of the installation's accreditation as an Accredited FIT Installation.

9. Audit of Scheme

9.1 The Mandatory FIT Licensee shall take all reasonable steps actively to reduce error and combat abuse of the Scheme, taking into account any guidance issued by the Authority.

9.2 The Mandatory FIT Licensee shall, in particular, take all reasonable steps in making FIT Payments to a FIT Generator or Nominated Recipient to ensure that:

- 9.2.1 such payments are consistent with the information on the Central FIT Register;
 - 9.2.2 it notifies the Authority expeditiously of any information of which it becomes aware which relates to data contained on the Central FIT Register and necessitates an update;
 - 9.2.3 any FIT Generator or Nominated Recipient to which it makes FIT Payments is actively required to comply with its obligations as set out in the Statement of FIT Terms.
- 9.3 The Mandatory FIT Licensee shall promptly notify the Authority of any suspected abuse of the FIT Scheme by FIT Generators, providing detail of:
 - 9.3.1 reasons for suspicion;
 - 9.3.2 any action it has taken or intends to take pursuant to Part 1, clauses 8.1 and 8.2, as regards making FIT Payments to a FIT Generator.
- 10. Modifications to an Accredited FIT Installation etc.**
- 10.1 On receiving information from a FIT Generator as regards an Extension or Reduction to an Accredited FIT Installation, the Mandatory FIT Licensee shall:
 - 10.1.1 notify the Authority;
 - 10.1.2 provide such information as is required by the Authority to assess whether any Extension causes the Accredited FIT Installation to exceed the Specified Maximum Capacity and to update the Central FIT Register as regards such modifications.
- 10.2 On notification from the Authority that the Central FIT Register has been updated to reflect the new information, the Mandatory FIT Licensee shall:
 - 10.2.1 unless clause 10.2.2 applies:
 - (a) treat the modified Accredited FIT Installation for the purposes of calculating FIT Payments in accordance with the updated Central FIT Register and any instruction which may be issued by the Authority; and
 - (b) amend the Statement of FIT Terms as required and provide a copy to the FIT Generator;
 - 10.2.2 if the Specified Maximum Capacity is exceeded, cease making FIT Payments.
- 10.3 In the event that the output of separate Accredited FIT Installations is not being separately measured, in calculating FIT Payments the Mandatory FIT

Licensee shall pro-rate the amount of electricity generated or Exported by reference to the Total Installed Capacity of each Accredited FIT Installation.

- 10.3A In the event that the output of an accredited FIT installation and an installation that is not accredited is not being separately measured, in calculating FIT Payments, the Mandatory FIT Licensee shall pro-rate the amount of electricity generated or exported by reference to the Total Installed Capacity of the accredited FIT installation.

- 10.4 In the event a FIT Generator increases Small-scale Low-carbon Generation at a Site using an Eligible Low-carbon Energy Source different to that used in the existing Accredited FIT Installation Owned by the same FIT Generator, the Mandatory FIT Licensee shall treat this as a separate Accredited FIT Installation.

11. Change of status

- 11.1 In the event a Mandatory FIT Licensee ceases to have Mandatory FIT Licensee status, it shall be required subject to clauses 11.2 and 11.3 to:

11.1.1 continue its participation in the FIT Scheme as a Mandatory FIT Licensee until the later of:

- (a) its next FIT Notification;
- (b) the end of the FIT Year in which its status altered;
- (c) the expiry of the period of at least 6 weeks' notice, required by clause 11.1.2 below;

11.1.2 notify in writing its change in status and consequences of that to the FIT Generators to which it makes FIT Payments allowing a notice period of at least 6 weeks.

- 11.2 Clause 11.3 applies to a licensee which-

11.2.1 was a Mandatory FIT Licensee immediately before 1st August 2012; but

11.2.2 is not within the definition of "Mandatory FIT Licensee" as amended with effect from 1st August 2012; and

11.2.3 gave notice to the Authority in writing by 30th September 2012 that it wished to-

- (a) cease to be a FIT Licensee; or
- (b) become a Voluntary FIT Licensee instead of a Mandatory FIT Licensee.

- 11.3 Where this clause applies to a licensee-

- 11.3.1 clause 11.1 shall not apply to it;
- 11.3.2 it shall be required to continue to participate in the FIT Scheme as a Mandatory FIT Licensee until the expiry of the notice period required by clause 11.3.3;
- 11.3.3 it must notify in writing its change of status and the consequences of that to the FIT Generators to which it makes FIT Payments allowing a notice period of at least 6 weeks; and
- 11.3.4 upon the expiry of that notice period it shall cease to be a FIT Licensee, or become a Voluntary FIT Licensee, as the case may be.

PART 2 – VOLUNTARY FIT LICENSEES

A BASIC PRINCIPLES

1. Application of Part 2

- 1.1 This Part 2 shall apply where the licensee is a Voluntary FIT Licensee.
- 1.2 A licensee must give notice to the Authority of its decision to act as a Voluntary FIT Licensee before it may participate in the FIT Scheme.
- 1.3 The Voluntary FIT Licensee is required to publish its status as a Voluntary FIT Licensee such that this information is easily accessible to the public.

2. To whom obligation is owed

- 2.1 The Voluntary FIT Licensee shall, subject to the terms of this FIT Scheme, be obliged to accept a request for FIT Payments from a FIT Generator as regards an Accredited FIT Installation with Total Installed Capacity of 50kW or less which occupies a Site to which the Voluntary FIT Licensee is the Relevant Electricity Supplier and as regards which the FIT Generator is also a Customer of that Voluntary FIT Licensee at that Site.
- 2.2 The Voluntary FIT Licensee shall not be obliged to make the FIT Scheme available to any FIT Generator falling outside the category in Part 2, clause 2.1 above but may elect to do so.
- 2.3 Where an event described in article 24A(1)(a) of the FIT Order has happened and a FIT generator has made a request to the Voluntary FIT Licensee to transfer to that Licensee for FIT payments in respect of its accredited FIT installation, the Voluntary FIT Licensee shall, within 10 working days of receiving the request, confirm in writing to the FIT generator whether or not it accepts that request.
- 2.4 Where, following the events described in article 24B(1)(a) and (b) of the FIT Order, a FIT generator has made a request to the Voluntary FIT Licensee that its request for MCS-certified Registration be treated as having been made to that Licensee, the Voluntary FIT Licensee shall, within 10 working days of

receiving the request, confirm in writing to the FIT generator whether or not it accepts that request.

3. Applicability of principles in Part 1 to Voluntary FIT Licensees

3.1 The Voluntary FIT Licensee shall be bound by the obligations set down in Part 1, clauses 3 to 10, the necessary changes having been made to adjust for the differing context, as regards:

- 3.1.1 FIT Generators falling into the category listed in Part 2, clause 2.1 which it is obliged to accept as a result of electing to become a Voluntary FIT Licensee;
- 3.1.2 FIT Generators which it elects to accept into the FIT Scheme pursuant to Part 2, clause 2.2;
- 3.1.3 FIT generators in respect of which it elects to accept requests following an event described in article 24A(1)(a) or 24B(1)(b) of the FIT Order.

4. Change of status

4.1 In the event a Voluntary FIT Licensee elects no longer to participate in the FIT Scheme as a Voluntary FIT Licensee, it shall:

- 4.1.1 notify the Authority and comply with any instructions provided;
- 4.1.2 be required to continue its existing obligations as a Voluntary FIT Licensee under the FIT Scheme until the later of:
 - (a) its next FIT Notification;
 - (b) the end of the FIT Year in which the notification required by Part 2, clause 4.1.1 is made;
 - (c) the expiry of the period of at least 6 weeks' notice, required by Part 2, clause 4.1.3;
- 4.1.3 notify in writing its change in status and the consequences of that to the FIT Generators to which it makes FIT Payments, allowing a notice period of at least 6 weeks.

PART 3 – ALL LICENSEES

1. Application of Part 3

1.1 This Part 3 shall apply to all licensees.

2. Change of status

2.1 The licensee shall submit a FIT Notification to the Authority on or before 14th February in each year.

2.2 The FIT Notification shall state whether, in the following FIT Year, the licensee is to be-

2.2.1 a Mandatory FIT Licensee (by reference to its status as at 31st December of the preceding calendar year);

2.2.2 a Voluntary FIT Licensee; or

2.2.3 neither a Mandatory FIT Licensee nor a Voluntary FIT Licensee.

3. Levelisation Process

3.1 The licensee shall participate in the Levelisation Process as set out in the FIT Order, in accordance with the Authority's instructions, and:

3.1.1 cooperate with the Authority to provide such information as is required by it for the efficient administration of the Levelisation Process;

3.1.2 make Levelisation Payments in accordance with the Authority's instructions.

4. Insolvency Event

4.1 The licensee shall be obliged to take all reasonable steps to notify the Authority at the occurrence of an Insolvency Event.

5. Provision of information to Authority

5.1 The licensee shall be obliged to provide in a timely and practical format information reasonably required by the Authority in accordance with the FIT Order and pursuant to obligations arising from the FIT Scheme.

5.2 The licensee shall be obliged to retain documents relating to the FIT Scheme for a period of 5 years or such other period as the Authority may direct.

5.3 The documents referred to above shall include the following information in such form as directed from time to time by the Authority –

5.3.1 All meter readings taken from or supplied by FIT generators, including where applicable-

(a) the Generation Meter Readings or Export Meter Readings supplied to the licensee as part of the request for FIT payments in respect of each FIT installation; or

(b) Deemed Export Readings made in respect of the installation by the licensee;

5.3.2 Details of all FIT payments made to FIT generators throughout the operation of the FIT scheme; and

- 5.3.3 All FIT generators' written requests for MCS-certified registration (whether or not that request was accepted) and the date of each request.

6. Modification

- 6.1 Modifications to the provisions of the FIT Scheme set out in these Standard Licence Conditions shall be made in accordance with the provisions of section 42 EA08 insofar as such modifications fall within the scope of section 41 EA08.

7. In the event of inconsistency

- 7.1 In the event of inconsistency between any provision of this Schedule and any Order issued by the Secretary of State under sections 41 to 43 EA08, the latter shall prevail.
- 7.2 Where a licensee reasonably considers that complying with any Order by the Secretary of State under sections 41 to 43 EA08 will require it to act in a manner which is inconsistent with any provision of its Electricity Supply Licence, the licensee shall, without delay, inform the Authority and Secretary of State of such inconsistency.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

ANNEX 1

ELIGIBILITY PERIOD TABLE

<i>Installation</i>	<i>Eligible Low-Carbon Energy Source</i>		
	<i>Solar Photovoltaic</i>	<i>Combined heat and power with an electrical capacity of 2kW or less</i>	<i>All other Eligible Low-carbon Energy Sources</i>
Eligible Installations Commissioned on or after 1 April 2010	If the Eligibility Date is before 1 August 2012, 25 years commencing on the Eligibility Date. If the Eligibility Date is on or after 1 August 2012, 20 years commencing on the Eligibility Date.	10 years commencing on the Eligibility Date	20 years commencing on the Eligibility Date
Eligible Installations Commissioned during the period commencing on 15 July 2009 and ending on 31 March 2010 which have not received accreditation under the ROO	25 years commencing on 1 April 2010	10 years commencing on 1 April 2010	20 years commencing on 1 April 2010
Eligible Installations with a declared net capacity of 50kW or less Commissioned during the period commencing on 15 July 2009 and ending on 31 March 2010 which have received accreditation under the ROO	25 years commencing on 1 April 2010		20 years commencing on 1 April 2010
Eligible Installations with a declared net capacity greater than 50kW Commissioned during the period commencing on 15 July 2009 and ending on 31 March 2010 which were previously accredited under the ROO and which have an Eligibility Date of 1 April 2010	24 years and 6 months commencing on 1 April 2010		19 years and 6 months commencing on 1 April 2010
Eligible Installations with a declared net capacity greater than 50kW Commissioned during the period commencing on 15 July 2009 and ending on 31 March 2010 which were previously accredited under the ROO and which have an Eligibility Date of 1 April 2011	23 years and 6 months commencing on 1 April 2011		18 years and 6 months commencing on 1 April 2011
Eligible Installations with a declared net capacity of 50kW or less Commissioned on or before 14 July 2009 and accredited under the ROO on or before 31 March 2010	the period commencing on 1 April 2010 and ending on 31 March 2027		the period commencing on 1 April 2010 and ending on 31 March 2027

ANNEX 2

FIT PAYMENT RATES FOR ELECTRICITY GENERATED OR EXPORTED IN FIT YEAR 3

1. The FIT payment rates in Tables 1 to 3 apply in respect of electricity generated or exported in FIT Year 3.
2. The FIT payment rate for an Accredited FIT Installation of a description specified in the first column of one of Tables 1 to 3 and with a Tariff Date specified in the second column is the applicable rate specified in the corresponding entry in the third column.
3. All FIT payment rates in Tables 1 to 3 are pence per kilowatt hour at 2012/13 values.
4. In Table 2, the conditional date referred to in the entries in the second column for certain descriptions of installations applies only where the European Commission gives state aid approval on or before 31 March 2013 for the higher rate specified in the entry and in such case the conditional date is the later of (a) 1 December 2012, or (b) the date on which the approval is given. If state aid approval is not given on or before 31 March 2013, the lower rate specified in the entry applies throughout FIT Year 3.
5. The Generation Tariffs for electricity generated in FIT Year 3 by solar photovoltaic installations with a Tariff Date from 1 February to 31 March 2013, are the rates set out in the table published by the Authority for the period 1 February to 30 April 2013 pursuant to articles 13(2) of the Feed-in Tariffs (Specified Maximum Capacity and Functions) Order 2010.

Table 1 – Generation tariffs for solar photovoltaic Eligible Installations with a Tariff Date on or before 31 January 2013

<i>Description</i>	<i>Period in which Tariff Date falls</i>	<i>Tariff</i>
Solar photovoltaic with total installed capacity of 4kW or less, where attached to or wired to provide electricity to a new building before first occupation	1 April 2010 to 2 March 2012	39.60
	3 March 2012 to 31 March 2012	21.00
	1 April 2012 to 31 July 2012	Higher rate 21.00 Middle rate 16.80 Lower rate 9.00
	1 August 2012 to 31 October 2012	Higher rate 16.00 Middle rate 14.40 Lower rate 7.10
	1 November 2012 to 31 January 2013	Higher rate 15.44 Middle rate 13.90 Lower rate 7.10
	1 April 2010 to 2 March 2012	45.40

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Solar photovoltaic with total installed capacity of 4kW or less, where attached to or wired to provide electricity to a building which is already occupied	3 March 2012 to 31 March 2012	21.00
	1 April 2012 to 31 July 2012	Higher rate 21.00 Middle rate 16.80 Lower rate 9.00
	1 August 2012 to 31 October 2012	Higher rate 16.00 Middle rate 14.40 Lower rate 7.10
	1 November 2012 to 31 January 2013	Higher rate 15.44 Middle rate 13.90 Lower rate 7.10
	1 April 2010 to 2 March 2012	39.60
	3 March 2012 to 31 March 2012	16.80
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 4kW but not exceeding 10kW	1 April 2012 to 31 July 2012	Higher rate 16.80 Middle rate 13.40 Lower rate 9.00
	1 August 2012 to 31 October 2012	Higher rate 14.50 Middle rate 13.05 Lower rate 7.10
	1 November 2012 to 31 January 2013	Higher rate 13.99 Middle rate 12.59 Lower rate 7.10
	1 April 2010 to 2 March 2012	34.50
	3 March 2012 to 31 March 2012	15.20
	1 April 2012 to 31 July 2012	Higher rate 15.20 Middle rate 12.20 Lower rate 9.00
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10kW but not exceeding 50kW	1 August 2012 to 31 October 2012	Higher rate 13.50 Middle rate 12.15 Lower rate 7.10

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	1 November 2012 to 31 January 2013	Higher rate 13.03 Middle rate 11.73 Lower rate 7.10
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50kW but not exceeding 100kW	1 April 2010 to 31 July 2011	34.50
	1 August 2011 to 2 March 2012	19.90
	3 March 2012 to 31 March 2012	12.90
	1 April 2012 to 31 July 2012	Higher rate 12.90 Middle rate 10.30 Lower rate 9.00
	1 August 2012 to 31 January 2013	Higher rate 11.50 Middle rate 10.35 Lower rate 7.10
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 100kW but not exceeding 150kW	1 April 2010 to 31 July 2011	32.20
	1 August 2011 to 2 March 2012	19.90
	3 March 2012 to 31 March 2012	12.90
	1 April 2012 to 31 July 2012	Higher rate 12.90 Middle rate 10.30 Lower rate 9.00
	1 August 2012 to 31 January 2013	Higher rate 11.50 Middle rate 10.35 Lower rate 7.10
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 150kW but not exceeding 250kW	1 April 2010 to 31 July 2011	32.20
	1 August 2011 to 2 March 2012	15.70
	3 March 2012 to 31 March 2012	12.90
	1 April 2012 to 31 July 2012	Higher rate 12.90 Middle rate 10.30 Lower rate 9.00
	1 August 2012 to 31 January 2013	Higher rate 11.00 Middle rate 9.90 Lower rate 7.10
Solar photovoltaic (other than stand-alone) with total	1 April 2010 to 31 July 2011	32.20
	1 August 2011 to 31 July 2012	8.90

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installed capacity greater than 250kW	1 August 2012 to 31 January 2013	7.10
Stand-alone (autonomous) solar photovoltaic (not attached to a building and not wired to provide electricity to an occupied building)	1 April 2010 to 31 July 2011	32.20
	1 August 2011 to 31 July 2012	8.90
	1 August 2012 to 31 January 2013	7.10

Table 2 – Generation tariffs for other Eligible Installations with a Tariff Date on or before 31 March 2013

<i>Description</i>	<i>Period in which Tariff Date falls</i>	<i>Tariff</i>
Anaerobic digestion with total installed capacity of 250kW or less	1 April 2010 to 29 September 2011	12.70
	30 September 2011 to 31 March 2013	14.70
Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW	1 April 2010 to 29 September 2011	12.70
	30 September 2011 to 31 March 2013	13.60
Anaerobic digestion with total installed capacity greater than 500kW	1 April 2010 to 30 November 2012	9.90
	1 December 2012 to 31 March 2013	8.96
Hydro generating station with total installed capacity of 15kW or less	1 April 2010 to 30 November 2012	21.90
	1 December 2012 to 31 March 2013	21.00
Hydro generating station with total installed capacity greater than 15kW but not exceeding 100kW	1 April 2010 to 31 March 2013	19.60
Hydro generating station with total installed capacity greater than 100kW but not exceeding 500kW	before the Conditional Date	12.10
	on or after the Conditional Date	15.50
Hydro generating station with total installed capacity greater than 500kW but not exceeding 2MW	1 April 2010 to 31 March 2013	12.10
Hydro generating station with total installed capacity greater than 2MW	1 April 2010 to 30 November 2012	4.90
	1 December 2012 to 31 March 2013	4.48
Wind with total installed capacity of 1.5kW or less	1 April 2010 to 31 March 2012	37.90
	1 April 2012 to 30 November 2012	35.80
	1 December 2012 to 31 March 2013	21.00
Wind with total installed capacity greater than 1.5kW but not exceeding 15kW	1 April 2010 to 31 March 2012	29.30
	1 April 2012 to 30 November 2012	28.00
	1 December 2012 to 31 March 2013	21.00
Wind with total installed capacity greater than 15kW but not exceeding 100kW	1 April 2010 to 31 March 2012	26.50
	1 April 2012 to 30 November 2012	25.40
	1 December 2012 to 31 March 2013	21.00
Wind with total installed capacity greater than 100kW but not exceeding 500kW	1 April 2010 to 30 November 2012	20.60
	1 December 2012 to 31 March 2013	17.50
Wind with total installed capacity greater than 500kW but not exceeding 1.5MW	1 April 2010 to 30 November 2012	10.40
	1 December 2012 to 31 March 2013	9.50
Wind with total installed capacity greater than 1.5MW	1 April 2010 to 30 November 2012	4.90
	1 December 2012 to 31 March 2013	4.48
Combined Heat and Power with total installed electrical capacity of 2kW or less (tariff only available for 30,000 units)	before the Conditional Date	11.00
	on or after the Conditional Date	12.50
Eligible Installations with a declared net capacity of 50kW or less Commissioned on	1 April 2010 to 31 March 2013	9.90

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or before 14 July 2009 and accredited under the ROO on or before 31 March 2010		
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Table 3 – Export Tariffs

<i>Description</i>	<i>Period in which Tariff Date falls</i>	<i>Tariff</i>
Solar photovoltaic Eligible Installations	1 April 2010 to 31 July 2012	3.20
	on or after 1 August 2012	4.50
All other Eligible Installations	1 April 2010 to 30 November 2012	3.20
	on or after 1 December 2012	4.50

ANNEX 3

FIT PAYMENT RATES FOR SOLAR PHOTOVOLTAIC ELIGIBLE INSTALLATIONS WITH A TARIFF DATE ON OR AFTER 1 APRIL 2013

Interpretation

1. “Solar Tariff Period” means one of the periods specified in the first column of the following table; and “Solar Deployment Period”, in relation to a Solar Tariff Period specified in the first column of the following table, means the period specified in the corresponding entry in the second column.

Solar Tariff Period	Solar Deployment Period
1 August 2012 to 31 October 2012	
1 November 2012 to 31 January 2013	
1 February 2013 to 30 April 2013	
1 May 2013 to 30 June 2013	1 November 2012 to 31 January 2013
1 July 2013 to 30 September 2013	1 February 2013 to 31 March 2013
1 October to 31 December (in 2013, 2014 and 2015)	The preceding 1 April to 30 June
1 January to 31 March (in 2014 and 2015)	The preceding 1 July to 30 September
1 April to 30 June (in 2014 and 2015)	The preceding 1 October to 31 December
1 July to 30 September (in 2014 and 2015)	The preceding 1 January to 31 March
1 January 2016 to 7 February 2016	1 July 2015 to 30 September 2015

- 1A. Subject to paragraphs 1C and 1D, for the purposes of this Annex, “stand-alone solar photovoltaic” means-
- (a) for all Solar Deployment Periods and Solar Tariff Periods occurring before 1 July 2015, a solar photovoltaic installation which is not wired to provide electricity to a building;
 - (b) for the 1 July to 30 September 2015 Solar Deployment Period and all subsequent Solar Deployment Periods and Solar Tariff Periods, a solar-photovoltaic installation which either-
 - (i) is not wired to provide electricity to a building; or
 - (ii) is an installation with a Total Installed Capacity greater than 250kW which is wired to provide electricity to a building or buildings where at least one of the conditions in paragraph 1B apply.
- 1B. The conditions in this paragraph are that the maximum amount of electricity that could be-
- (a) conveyed by one or more import connections to; or
 - (b) used by any Plant wired to,
- any building or buildings to which the solar photovoltaic installation is wired to provide electricity is, in each case, less than the qualifying amount.
- In this paragraph-
- “building” means a roofed construction having walls;
- “import connection” means a connection to a transmission system or distribution system (within the meaning of section 4(4) of the Electricity Act 1989) for the purpose of enabling electricity to be conveyed from that system; and

“qualifying amount” means an amount equivalent to 10% of the solar photovoltaic installation’s Declared Net Capacity.

- 1C. A solar photovoltaic installation with an Eligibility Date on or after 1 July 2015 shall not be considered to be stand-alone solar photovoltaic if it is an extension to an existing Accredited FIT Installation which is not stand-alone solar photovoltaic.
- 1D. A solar photovoltaic installation wired to provide electricity to a building which was granted preliminary accreditation by the Authority in accordance with Article 9 of the FIT Order which has-
 - (a) a Total Installed Capacity greater than 250kW, and
 - (b) a Tariff Date before 1 July 2015,

shall be considered not to be stand-alone solar photovoltaic.

Installations with a Tariff Date in April 2013

2. For Eligible Installations with a Tariff Date from 1 April 2013 to 30 April 2013, the Generation Tariff applicable in relation to electricity generated in FIT Year 4 is the rate set out in the table referred to in paragraph 5 of Annex 2.

Contents of the Quarterly Solar Tariff Table

3. The following paragraphs apply in relation to Eligible Installations with a Tariff Date from 1 May 2013 to 7 February 2016.
4. The Quarterly Solar Tariff Table shall set out the Generation Tariffs which are to apply, in relation to electricity generated in the FIT Year in which the Tariff Date falls, to Eligible Installations of each of the following descriptions with a Tariff Date in the Solar Tariff Period to which the table relates-
 - Solar photovoltaic with total installed capacity not exceeding 4kW, wired to provide electricity to a new building;
 - Solar photovoltaic with total installed capacity not exceeding 4kW, wired to provide electricity to a existing building;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 4kW but not exceeding 10kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10kW but not exceeding 50kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50kW but not exceeding 100kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 100kW but not exceeding 150kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 150kW but not exceeding 250kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250kW;

- Stand-alone solar photovoltaic.
5. The Quarterly Solar Tariff Table shall specify-
 - (a) a higher rate, middle rate and lower rate for the first to seventh descriptions of installations in paragraph 4; and
 - (b) a standard rate for the eighth and ninth descriptions of installations in paragraph 4.
 6. Where a higher rate, middle rate and lower rate are to be specified for a description of Eligible Installation-
 - (a) the higher rate shall be determined in accordance with paragraphs 9 to 22;
 - (b) the middle rate shall be 90% of the higher rate unless that is less than the lower rate, in which case it shall be equal to the lower rate; and
 - (c) the lower rate shall be equal to the standard rate for solar photovoltaic installations (other than stand-alone) with total installed capacity greater than 250kW for that Solar Tariff Period.
 7. Where only a standard rate is to be specified for a description of Eligible Installation, that rate shall be determined in accordance with paragraphs 9 and 16 to 22.
 8. FIT payment rates in the Quarterly Solar Tariff Table shall be expressed as pence per kilowatt hour and calculated to two decimal places.

Determination of higher rate and standard rate

9. The higher rate or standard rate for a description of Eligible Installation shall, subject to paragraphs 19 to 22, be the higher rate or standard rate applying to installations of that description with a Tariff Date in the preceding Solar Tariff Period, minus the relevant percentage of that rate (the “degression rate”) determined in accordance with the following paragraphs.
 - (a) Installations with up to and including 10kW total installed capacity (other than stand-alone installations)
10. Paragraph 11 applies to the following descriptions of Eligible Installations –
 - Solar photovoltaic with total installed capacity of 4kW or less, wired to provide electricity to a new building;
 - Solar photovoltaic with total installed capacity of 4kW or less, wired to provide electricity to an existing building;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 4kW but not exceeding 10kW.
11. Where the aggregate capacity of all solar photovoltaic installations with a Declared Net Capacity of 10kW or less deployed in the relevant Solar Deployment Period is within a range specified in the first column of the following table, the degression rate

for each description of installation to which this paragraph applies is the rate specified in the corresponding entry in the second column:

<i>Aggregate Declared Net Capacity of all solar photovoltaic installations with a Declared Net Capacity of 10kW or less deployed in the Solar Deployment Period</i>	<i>Degression rate</i>
Not more than 100MW	nil
More than 100MW but not more than 200MW	3.5%
More than 200MW but not more than 250MW	7.0%
More than 250MW but not more than 300MW	14.0%
More than 300MW	28.0%

12. For the purposes of paragraph 11, the aggregate Declared Net Capacity of solar photovoltaic installations with a Declared Net Capacity of 10kW or less deployed in a Solar Deployment Period shall—
 - (a) for the Solar Deployment Periods before the 1 January to 31 March 2015 Solar Deployment Period, be taken to be the amount determined and published by the Secretary of State under paragraph 2(a) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.
 - (b) for the 1 January to 31 March 2015 and any subsequent Solar Deployment Period, be taken to be the amount determined and published by the Secretary of State under paragraph 2A(a) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.
 - (c) Installations with more than 10kW and up to and including 50kW total installed capacity (other than stand-alone installations).
13. Paragraph 14 applies to the following description of Eligible Installations –
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10kW but not exceeding 50kW.
14. Where the aggregate Declared Net Capacity of all solar photovoltaic installations with a Declared Net Capacity of more than 10kW but not more than 50kW deployed in the relevant Solar Deployment Period is within a range specified in the first column of the following table, the degression rate for the description of installations to which this paragraph applies is the rate specified in the corresponding entry in the second column:

<i>Aggregate Declared Net Capacity of all solar photovoltaic installations with a Declared Net Capacity of more than 10kW but not more than 50kW deployed in the Solar Deployment Period</i>	<i>Degression rate</i>
Not more than 50MW	nil
More than 50MW but not more than 100MW	3.5%

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More than 100MW but not more than 150MW	7.0%
More than 150MW but not more than 200MW	14.0%
More than 200MW	28.0%

15. For the purposes of paragraph 14, the aggregate Declared Net Capacity of solar photovoltaic installations with a Declared Net Capacity of more than 10kW but not more than 50kW deployed in a Solar Deployment Period shall—

- (a) for the Solar Deployment Periods before the 1 January to 31 March 2015 Solar Deployment Period, be taken to be the amount determined and published by the Secretary of State under paragraph 2(b) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.
- (b) for the 1 January to 31 March 2015 and any subsequent Solar Deployment Period, be taken to be the amount determined and published by the Secretary of State under paragraph 2A(b) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.
- (c) Installations with more than 50kW total installed capacity and stand-alone installations

16. Paragraph 17 applies to the following descriptions of Eligible Installations –

- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50kW but not exceeding 100kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 100kW but not exceeding 150kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 150kW but not exceeding 250kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250kW
- Stand-alone solar photovoltaic (not wired to provide electricity to a building)

17. For the Solar Deployment Periods before the 1 January to 31 March 2015 Solar Deployment Period, where the aggregate Total Installed Capacity of solar photovoltaic installations with a Declared Net Capacity of more than 50kW deployed in the relevant Solar Deployment Period is within a range specified in the first column of the following table, the degression rate for each description of installation to which this paragraph applies is the rate specified in the corresponding entry in the second column:

<i>Aggregate Total Installed Capacity of solar photovoltaic installations with a Declared Net Capacity of more than 50kW deployed in Solar Deployment Period</i>	<i>Degression rate</i>
Not more than 50MW	nil

More than 50MW but not more than 100MW	3.5%
More than 100MW but not more than 150MW	7.0%
More than 150MW but not more than 200MW	14.0%
More than 200MW	28.0%

18. For the purposes of paragraph 17, the aggregate Total Installed Capacity of solar photovoltaic installations deployed in a Solar Deployment Period with a Declared Net Capacity of more than 50kW shall be taken to be the total of the amounts determined and published by the Secretary of State under paragraph 2(c)(i) and 2(c)(ii) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.

(d) Installations with more than 50kW total installed capacity (other than stand-alone installations)

- 18A. Paragraph 18B applies to the following descriptions of Eligible Installations—

- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50kW but not exceeding 100kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 100kW but not exceeding 150kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 150kW but not exceeding 250kW
- Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250kW

- 18B. For the 1 January to 31 March 2015 and any subsequent Solar Deployment Period, where the aggregate Total Installed Capacity of solar photovoltaic installations (other than stand-alone) with a Declared Net Capacity of more than 50kW deployed in the relevant Solar Deployment Period is within a range specified in the first column of the following table, the degression rate for each description of installation to which this paragraph applies is the rate specified in the corresponding entry in the second column:

<i>Aggregate Total Installed Capacity of solar photovoltaic installations (other than stand-alone) with a Declared Net Capacity of more than 50kW deployed in the Solar Deployment Period</i>	<i>Degression rate</i>
Not more than 32.5MW	nil
More than 32.5MW but not more than 65MW	3.5%
More than 65MW but not more than 97.5MW	7.0%
More than 97.5MW but not more than 130MW	14.0%
More than 130MW	28.0%

- 18C. For the purposes of paragraph 18B, the aggregate Total Installed Capacity of solar photovoltaic installations (other than stand-alone) deployed in a Solar Deployment Period with a Declared Net Capacity of more than 50kW shall be taken to be the amount determined and published by the Secretary of State under paragraph 2A(c) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.

- (e) Stand-alone installations

- 18D. Paragraph 18E applies to the following descriptions of Eligible Installations:

- Stand-alone solar photovoltaic.

- 18E. For the 1 January to 31 March 2015 and any subsequent Solar Deployment Period, where the aggregate capacity of stand-alone solar photovoltaic installations deployed in the relevant Solar Deployment Period is within a range specified in the first column of the following table, the degression rate for stand-alone solar photovoltaic installations is the rate specified in the corresponding entry in the second column:

<i>Aggregate capacity of stand-alone solar photovoltaic installations deployed in the Solar Deployment Period</i>	<i>Degression rate</i>
Not more than 17.5MW	nil
More than 17.5MW but not more than 35MW	3.5%
More than 35MW but not more than 52.5MW	7.0%
More than 52.5MW but not more than 70MW	14.0%
More than 70MW	28.0%

- 18F. For the purposes of paragraph 18E, the aggregate capacity of stand-alone solar photovoltaic installations deployed in a Solar Deployment Period shall be taken to be the amount determined and published by the Secretary of State under paragraph 2A(d) of Schedule 2 to the FIT Order in relation to that Solar Deployment Period.

Degression for installations with a Tariff Date in the Solar Tariff Period from 1 July to 30 September 2013

19. In relation to the Quarterly Solar Tariff Table setting out the FIT Payment Rates to apply to installations with a Tariff Date in the Solar Tariff Period from 1 July to 30 September 2013, paragraphs 11, 14 and 17 are to apply as if each of the aggregate capacities in the tables in those paragraphs was two-thirds of the amount specified, rounded up to the nearest megawatt.

Adjustments to tariffs

20. The higher rate or standard rate for the Generation Tariff for a description of Eligible Installation with a Tariff Date in the Solar Tariff Period from 1 May to 30 June 2013 or any subsequent Solar Tariff Period shall not exceed a rate 3.5% less than the higher rate or standard rate which applied to installations of that description (or the most nearly corresponding description in Table 1 in Annex 2) with a Tariff Date three Solar Tariff Periods previously.
21. Paragraph 22 applies if, upon applying paragraphs 9 to 20 –
- (a) the higher rate for installations (other than stand-alone) with Total Installed Capacity greater than 10kW but not exceeding 50kW would be more than the higher rate for installations (other than stand-alone) with Total Installed Capacity greater than 4kW but not exceeding 10kW; or
 - (b) the higher rate for installations (other than stand-alone) with Total Installed Capacity –
 - (i) greater than 50kW but not exceeding 100kW;
 - (ii) greater than 100kW but not exceeding 150kW; or
 - (iii) greater than 150kW but not exceeding 250kW,

would be more than the higher rate (after any adjustment under paragraph 20) for installations (other than stand-alone) with total installed capacity greater than 10kW but not exceeding 50kW; or

(c) the rate for -

(i) installations (other than stand-alone) with Total Installed Capacity greater than 250kW, or

(ii) stand-alone installations,

would be more than the higher rate (after any adjustment under paragraph 20) for installations (other than stand-alone) with Total Installed Capacity greater than 150kW but not exceeding 250kW.

22. Where this paragraph applies, the higher rate or standard rate for installations of the first-mentioned descriptions in sub-paragraph (a), (b) or (c) of paragraph 21 shall be reduced so that it is equal to the higher rate for installations of the second-mentioned description in that sub-paragraph.

ANNEX 4

ANNUAL FIT PAYMENT RATE TABLES FOR FIT YEAR 4 (2013-14) AND SUBSEQUENT YEARS

PART 1

General

Interpretation

1. In this Annex –

“**existing installation**” means an Eligible Installation with a Tariff Date before 15th January 2016;

“**relevant FIT Year**”, in relation to Annual FIT Payment Rate Tables, means the FIT Year in relation to which those tables apply; and

“**RPI**” means the percentage increase or decrease in the Retail Price Index over the 12 month period ending on 31st December immediately before the start of the relevant FIT Year.

Contents of the Tables

2. The Annual FIT Payment Rate Tables for a FIT Year shall set out –
- (b) the Generation Tariffs which are to apply in the relevant FIT Year to existing installations; and
 - (c) the Export Tariffs which are to apply in the relevant FIT Year to all Eligible Installations.
3. FIT payment rates in the Annual FIT Payment Rate Tables –
- (a) for FIT Year 4, are to be determined in accordance with Part 2 of this Annex; and
 - (b) for FIT Year 5 and subsequent FIT Years, are to be determined in accordance with Part 3 of this Annex.
4. FIT payment rates in the Annual FIT Payment Rate Tables shall be expressed as pence per kilowatt hour and calculated to two decimal places.

PART 2

FIT Payment Rates for FIT Year 4 (2013-14)

5. The Generation Tariff for electricity generated in FIT Year 4 by a new installation other than a solar photovoltaic installation shall, subject to paragraphs 6 and 7, be the rate applying in FIT Year 3 to an installation of the same description with a Tariff Date of 31 March 2013, adjusted by RPI.

6. The Generation Tariff for electricity generated in FIT Year 4 by a new installation of a description in the first column of the following table shall be the rate set out in the corresponding entry in the second column, adjusted by RPI.

<i>Description of installation</i>	<i>Generation Tariff (subject to adjustment by RPI)</i>
Wind with total installed capacity greater than 1.5MW	4.03 p/kWh
Hydro generating station with total installed capacity greater than 2MW	3.13 p/kWh

7. If –

- (a) under Table 2 in Annex 2, different Generation Tariffs for a description of installation are specified as applying in FIT Year 3 to installations of that description with a Tariff Date before, and to installations with a Tariff Date on or after, the Conditional Date; and
- (b) the Conditional Date does not occur during FIT Year 3, but occurs during FIT Year 4,

the Generation Tariff for electricity generated in FIT Year 4 by a new installation of that description shall be –

- (i) for a new installation with a Tariff Date before the Conditional Date, the lower of the rates specified in Table 2 in Annex 2 for installations of that description, adjusted by RPI; and
- (ii) for a new installation with a Tariff Date on or after the Conditional Date, the higher of the rates specified in Table 2 in Annex 2 for installations of that description, adjusted by RPI.

8. The Generation Tariff for electricity generated in FIT Year 4 by an existing installation shall be –

- (a) for –
 - (i) existing installations other than solar photovoltaic installations, and
 - (ii) solar photovoltaic installations except those referred to in sub-paragraph (b),

the rate applying in FIT Year 3 adjusted by RPI; and

- (b) for solar photovoltaic installations with a Tariff Date between 1 November 2012 and 31 March 2013, the rate applying in FIT Year 3 without any adjustment.

9. The Export Tariff for electricity exported in FIT Year 4 –

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- (a) from an existing installation, shall be the rate applying in FIT Year 3 adjusted by RPI; and
- (b) from a new installation, shall be the rate applying in FIT Year 3 to an installation of the same description with a Tariff Date of 31 March 2013, adjusted by RPI.

PART 3

FIT Payment Rates for FIT Year 5 (2014-15) and subsequent FIT Years

Chapter 2

Generation Tariffs for existing installations

28. The Generation Tariff for electricity generated by an existing installation in the relevant FIT Year shall be-
- (a) for-
 - (i) existing installations other than solar photovoltaic installations, and
 - (ii) solar photovoltaic installations except those referred to in sub-paragraph (b),the rate applying in the preceding FIT Year, adjusted by RPI;
 - (b) for solar photovoltaic installations with a Tariff Date between 1 January and 31 March in the preceding FIT Year, the rate applying in the preceding FIT Year without any adjustment.

Chapter 3

Export Tariffs

29. The Export Tariff for electricity exported in the relevant FIT Year –
- (a) from an existing installation, shall be the rate applying in the preceding FIT Year adjusted by RPI; and

ANNEX 4A

FIT PAYMENT RATES FOR ELECTRICITY GENERATED OR EXPORTED BY ELIGIBLE INSTALLATIONS WITH A TARIFF DATE ON OR AFTER 8 FEBRUARY 2016

Introduction

1. The availability of all generation tariffs in this Annex, including all those to be set out with adjustments in the Quarterly Tariff Tables, is subject to Part 3 of the FIT Order (accreditation and matters relating to accreditation).

2. In this Annex:

“Applied for” means applications for accreditation and for preliminary accreditation as defined in Article 8B of the FIT Order;

“Deployment Period” means, in respect of a Tariff Period –

- (a) for the Tariff Period beginning on 1st April 2016, the period from 8th February 2016 to 31st March 2016; and
- (b) for all later Tariff Periods, the period equivalent to the preceding Tariff Period”;

“Existing installation” means an eligible installation with a tariff date before the start of the relevant FIT year;

“New installation” means an eligible installation with a tariff date in the relevant FIT year;

“Quarterly Tariff Table” means the table of Generation Tariffs for Eligible Installations to be published by the Authority in accordance with the FIT Order and Part 1 clause 3.4 of and Annex 4A and 5 to this Schedule for FIT year 7 and subsequent FIT years;

“Relevant Period in FIT year 6” means the period from 8th February 2016 to 31st March 2016;

“RPI” has the definition set out in Annex 4;

“Stand-alone solar photovoltaic” has the definition set out in clause 1A to Annex 3.

3. All rates in Tables 1 and 2ZA, 2ZB, 2A, 2B and 2C are in pence per kilowatt hour.

PART 1

GENERATION TARIFF FOR ELIGIBLE INSTALLATIONS WITH A TARIFF DATE IN
THE RELEVANT PERIOD IN FIT YEAR 6

- 4. The generation tariff rates in Table 1 apply in respect of electricity generated in the Relevant Period in FIT year 6 by installations with a Tariff Date between 8th February 2016 and 31st March 2016.
- 5. The generation tariff rate for an Accredited FIT Installation of a description specified in the first column of Table 1 is the applicable rate specified in the second column.

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Table 1- Generation tariffs for Eligible Installations with a Tariff Date that falls in the Relevant Period in FIT year 6

<i>Description</i>	<i>Tariff</i>
Anaerobic digestion with total installed capacity of 250kW or less	9.12
Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW	8.42
Anaerobic digestion with total installed capacity greater than 500kW	8.68
Combined Heat and Power with total installed capacity of 2kW or less	13.45
Hydro generating station with total installed capacity of 100kW or less	8.54
Hydro generating station with total installed capacity greater than 100kW but not exceeding 500kW	6.14
Hydro generating station with total installed capacity greater than 500kW but not exceeding 2 MW	6.14
Hydro generating station with total installed capacity greater than 2 MW	4.43
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 kW or less	Higher rate 4.39
	Middle rate 3.95
	Lower rate 0.87
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 kW but not exceeding 50kW	Higher rate 4.59
	Middle rate 4.13
	Lower rate 0.87
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 kW but not exceeding 250kW	Higher rate 2.70
	Middle rate 2.43
	Lower rate 0.87
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250 kW but not exceeding 1 MW	2.27
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 1 MW	0.87
Stand-alone solar photovoltaic	0.87
Wind with total installed capacity of 50kW or less	8.53
Wind with total installed capacity greater than 50kW but not exceeding 100 kW	8.53
Wind with total installed capacity greater than 100 kW but not exceeding 41.5 MW	5.46
Wind with total installed capacity exceeding 1.5MW	0.86

PART 2

**GENERATION TARIFF RATES FOR ELIGIBLE INSTALLATIONS WITH A
TARIFF DATE ON OR AFTER 1 APRIL 2016.**

6. The following paragraphs apply to eligible installations with a tariff date on or after 1st April 2016.
7. For anaerobic digestion installations with a Tariff Date during FIT Year 7, the generation tariff will be that as specified for such installations in Table 1, subject to adjustments as provided for in paragraph 15 and following.
8. For combined heat and power installations with a Tariff Date during FIT Year 7, the generation tariff shall be that as specified for such installations in Table 1, adjusted at the end of each FIT year by RPI.

8A For anaerobic digestion installations, and combined heat and power installations, with a Tariff Date during FIT Year 8 the Generation Tariffs are those set out in Table 2ZA, adjusted as provided for in paragraph 15 and following, and published in the Quarterly Tariff Table.

Table 2ZA: Generation Tariffs for certain installations with a Tariff Date between 1 April 2017 and 31 March 2018.

	1 April to 30 June 2017	1 July to 30 September 2017	1 October to 31 December 2017	1 January 2017 to 31 March 2018
Anaerobic digestion with total installed capacity of 250kW or less	6.93	6.88	6.83	6.78
Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW	6.56	6.51	6.47	6.43
Anaerobic digestion with total installed capacity greater than 500kW	2.49	2.45	2.42	2.38
Combined Heat and Power with total installed capacity of 2kW or less	13.95		13.95	

8B For anaerobic digestion installations, and combined heat and power installations, with a Tariff Date during FIT Year 9, the Generation Tariffs are those set out in Table 2ZB, adjusted—

- a) at the end of FIT Year 8 by RPI; and
- b) as provided for in paragraph 15 and following,

and published in the Quarterly Tariff Table.

Table 2ZB: Generation Tariffs for certain installations with a Tariff Date between 1 April 2018 and 31 March 2019.

	1 April to 30 June 2018	1 July to 30 September 2018	1 October to 31 December 2018	1 January 2019 to 31 March 2019

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Anaerobic digestion with total installed capacity of 250kW or less	6.73	6.68	6.63	6.58
Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW	6.38	6.34	6.30	6.25
Anaerobic digestion with total installed capacity greater than 500kW	2.35	2.31	2.27	2.24
Combined Heat and Power with total installed capacity of 2kW or less	13.95		13.95	

9. For all other eligible installations, the generation tariffs are those set out in Tables 2A to 2C, adjusted-

(a) at the end of each FIT year by RPI; and

(b) as provided for in paragraph 15 and following,

and published in the Quarterly Tariff Table.

Table 2A: Generation Tariffs for installations with a Tariff Date between 1 April 2016 and 31 March 2017.

	<u>1 April to 30 June 2016</u>	<u>1 July to 30 September 2016</u>	<u>1 October to 31 December 2016</u>	<u>1 January to 31 March 2017</u>
Hydro generating station with total installed capacity of 100kW or less	8.53	8.51	8.50	8.48
Hydro generating station with total installed capacity greater than 100Kw but not exceeding 500Kw	6.14	6.13	6.12	6.11
Hydro generating station with total installed capacity greater than 500Kw but not exceeding 2 MW	6.14	6.13	6.12	6.11
Hydro generating station with total installed capacity greater than 2 MW	4.43	4.43	4.43	4.43
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 Kw or less	4.32	4.25	4.18	4.11
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 Kw but not exceeding 50Kw	4.53	4.46	4.39	4.32
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 Kw but not exceeding 250Kw	2.64	2.58	2.51	2.45

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Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250 Kw but not exceeding 1 MW	2.21	2.15	2.09	2.03
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 1 MW	0.82	0.76	0.70	0.64
Stand-alone solar photovoltaic	0.82	0.76	0.70	0.64
Wind with total installed capacity of 50Kw or less	8.46	8.39	8.33	8.26
Wind with total installed capacity greater than 50kW but not exceeding 100 kW	8.46	8.46	8.33	8.26
Wind with total installed capacity greater than 100kW but not exceeding 1.5 MW	5.43	5.43	5.37	5.34
Wind with total installed capacity exceeding 1.5MW	0.85	0.85	0.83	0.82

Table 2B: Generation Tariffs for installations with a Tariff Date between 1 April 2017 and 31 March 2018.

	<u>1 April to 30 June 2017</u>	<u>1 July to 30 September 2017</u>	<u>1 October to 31 December 2017</u>	<u>1 January to 31 March 2018</u>
Hydro generating station with total installed capacity of 100kW or less	8.46	8.45	8.43	8.42
Hydro generating station with total installed capacity greater than 100Kw but not exceeding 500Kw	6.11	6.10	6.09	6.09
Hydro generating station with total installed capacity greater than 500Kw but not exceeding 2 MW	6.11	6.10	6.09	6.09
Hydro generating station with total installed capacity greater than 2 MW	4.43	4.43	4.43	4.43
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 Kw or less	4.04	3.97	3.90	3.83
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 Kw but not exceeding 50Kw	4.25	4.19	4.12	4.05
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 Kw but not exceeding 250Kw	2.39	2.33	2.27	2.20
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250 Kw but not exceeding 1 MW	1.97	1.91	1.85	1.78
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 1 MW	0.58	0.52	0.46	0.41
Stand-alone solar photovoltaic	0.58	0.52	0.46	0.41
Wind with total installed capacity of 50Kw or less	8.19	8.13	8.06	7.99
Wind with total installed capacity greater than 50Kw but not exceeding 100 kW	8.19	8.13	8.06	7.99

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Wind with total installed capacity greater than 100 kW but not exceeding 1.5 MW	5.32	5.29	5.26	5.23
Wind with total installed capacity exceeding 1.5MW	0.81	0.79	0.78	0.77

Table 2C: Generation Tariffs for installations with a Tariff Date between 1 April 2018 and 31 March 2019.

	<u>1 April to 30 June 2018</u>	<u>1 July to 30 September 2018</u>	<u>1 October to 31 December 2018</u>	<u>1 January to 31 March 2019</u>
Hydro generating station with total installed capacity of 100kW or less	8.40	8.39	8.37	8.35
Hydro generating station with total installed capacity greater than 100Kw but not exceeding 500Kw	6.08	6.07	6.06	6.06
Hydro generating station with total installed capacity greater than 500Kw but not exceeding 2 MW	6.08	6.07	6.06	6.06
Hydro generating station with total installed capacity greater than 2 MW	4.43	4.43	4.43	4.43
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 Kw or less	3.76	3.69	3.62	3.55
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 Kw but not exceeding 50Kw	3.98	3.91	3.85	3.78
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 Kw but not exceeding 250Kw	2.14	2.08	2.02	1.96
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250 Kw but not exceeding 1 MW	1.72	1.66	1.60	1.54
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 1 MW	0.35	0.29	0.23	0.17
Stand-alone solar photovoltaic	0.35	0.29	0.23	0.17
Wind with total installed capacity of 50Kw or less	7.93	7.86	7.79	7.73
Wind with total installed capacity greater than 50Kw but not exceeding 100 kW	7.93	7.86	7.79	7.73
Wind with total installed capacity greater than 100 kW but not exceeding 1.5 MW	5.2	5.17	5.14	5.12
Wind with total installed capacity exceeding 1.5MW	0.76	0.75	0.74	0.73

10. The Quarterly Tariff Table, as published in accordance with article 16(3) of the FIT Order, shall set out the adjusted Generation Tariffs which are to apply in relation to electricity generated in the relevant Tariff Period, to eligible installations of each of the following descriptions with a Tariff Date falling in the Tariff Period –

- Anaerobic digestion with total installed capacity of 250kW or less;

- Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW;
 - Anaerobic digestion with total installed capacity greater than 500kW;
 - Combined Heat and Power with total installed capacity of 2kW or less;
 - Hydro generating station with total installed capacity of 100kW or less;
 - Hydro generating station with total installed capacity greater than 100kW but not exceeding 500kW;
 - Hydro generating station with total installed capacity greater than 500kW but not exceeding 2 MW;
 - Hydro generating station with total installed capacity greater than 2 MW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity of 10kW or less;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 Kw but not exceeding 50kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 kW but not exceeding 250kW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 250kW but not exceeding 1 MW;
 - Solar photovoltaic (other than stand-alone) with total installed capacity greater than 1 MW;
 - Stand-alone solar photovoltaic;
 - Wind with total installed capacity of 50kW or less;
 - Wind with total installed capacity greater than 50kW but not exceeding 100 kW;
 - Wind with total installed capacity greater than 100kW but not exceeding 1.5 MW;
 - Wind with total installed capacity exceeding 1.5MW.
11. The Quarterly Tariff Table shall specify the adjusted Generation Tariff rate for each Tariff Period, expressed in pence per kilowatt hour and calculated to two decimal places.
12. The Quarterly Tariff Table shall specify –

- (a) a higher rate, middle rate and lower rate for those descriptions of installation for which a higher, middle and lower rate is set out in Table 1 and
 - (b) a standard rate for all other descriptions of installations.
13. Where a higher rate, middle rate and lower rate are to be specified for a description of installation –
- (a) the higher rate shall be determined in accordance with paragraph 15;
 - (b) the middle rate shall be 90% of the higher rate, unless that is less than the lower rate, in which case it will be equal to the lower rate; and
 - (c) the lower rate shall be equal to the standard rate specified for the generation tariff for solar photovoltaic installations (other than stand-alone) with total installed capacity greater than 1MW.
14. Where only a standard rate is to be specified for a description of Eligible Installation, that rate shall be determined in accordance with paragraph 15.
15. Where, in any Tariff Period, the aggregate total installed capacity (measured in megawatts) applied for in respect of a particular description of Eligible Installation exceeds the limit set out in each cell in Table 3A, 3B, 3C or 3D, then the tariff or tariffs for installations falling within that description for the following Tariff Period, and for each subsequent tariff period will be reduced by 10%.
16. Whenever paragraph 15 applies, the tariffs set out in Tables 2ZA, 2ZB, 2A, 2B and, as the case may be, 2C, for the following Tariff Period and all subsequent Tariff Periods shall be adjusted accordingly.

Table 3A – Table showing application thresholds (aggregate Total Installed Capacity in Megawatts) to trigger contingent degression for each Deployment Period between 1 January 2016 and 31 December 2016

	1 January to 31 March 2016	1 April to 30 June 2016	1 July to 30 September 2016	1 October to 31 December 2016
Anaerobic digestion installations	5.8	5.0	5.0	5.0
Hydro generating stations with total installed capacity of 100 kW or less	1.1	1.1	1.2	1.2
Hydro generating stations with total installed capacity greater than 100 kW	6.1	6.2	6.3	6.3

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Solar photovoltaic (other than stand-alone) with total installed capacity of 10kW or less	48.4	49.6	50.6	51.7
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 kW but not exceeding 50Kw	16.5	17.0	17.4	17.8
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50kW	14.1	14.5	14.9	15.4
Stand-alone solar photovoltaic	5.0	5.0	5.0	5.0
Wind with total installed capacity of 50kW or less	5.6	5.6	5.5	5.5
Wind with total installed capacity greater than 50 kW but not exceeding 100 kW	0.3	0.3	0.3	0.3
Wind with total installed capacity greater than 100 kW but not exceeding 1500 kW	6.8	6.7	6.6	6.5
Wind with total installed capacity greater than 1500kW	5.0	5.0	5.0	5.0

Table 3B – Table showing deployment thresholds (aggregate Total Installed Capacity in Megawatts) to trigger contingent degression for each Deployment Period between 1 January and 31 December 2017

	1 January to 31 March 2017	1 April to 30 June 2017	1 July to 30 September 2017	1 October to 31 December 2017
Anaerobic digestion installations	5.0	5.0	5.0	5.0
Hydro generating stations with total installed capacity of 100 kW or less	1.2	1.3	1.3	1.3
Hydro generating stations with total installed capacity of more than 100 kW	6.4	6.4	6.4	6.4
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 kW or less	52.8	53.8	54.2	55.9
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 kW but not exceeding 50Kw	18.2	18.6	18.7	19.4
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 kW	15.8	16.2	16.4	17.1
Stand-alone solar photovoltaic	5.0	5.0	5.0	5.0
Wind with total installed capacity of 50 kW or less	5.6	5.5	5.5	5.4
Wind with total installed capacity greater than 50 kW but not exceeding 100 kW	0.3	0.3	0.3	0.3
Wind with total installed capacity greater than 100 kW but not exceeding 1500 kW	6.4	6.3	6.2	6.1
Wind with total installed capacity greater than 1500kW	5.0	5.0	5.0	5.0

Table 3C – Table showing deployment thresholds (aggregate Total Installed Capacity) in Megawatts) to trigger contingent degression for each Deployment Period between 1 January 2018 and 31 March 2019

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	1 January to 31 March 2018	1 April to 30 June 2018	1 July to 30 September 2018	1 October to 31 December 2018	1 January to 31 March 2019
Anaerobic digestion installations	5.0	5.0	5.0	5.0	5.0
Hydro generating stations with total installed capacity of 100 kW or less	1.3	1.3	1.4	1.4	1.4
Hydro generating stations with total installed capacity of more than 100 kW	6.4	6.4	6.4	6.3	6.3
Solar photovoltaic (other than stand-alone) with total installed capacity of 10 kW or less	57.0	58.0	59.1	60.1	61.1
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 10 kW but not exceeding 50Kw	19.8	20.3	20.7	21.1	21.5
Solar photovoltaic (other than stand-alone) with total installed capacity greater than 50 kW	17.6	18.0	18.5	19.0	19.4
Stand-alone solar photovoltaic	5.0	5.0	5.0	5.0	5.0
Wind with total installed capacity of 50 kW or less	5.5	5.4	5.4	5.3	5.4
Wind with total installed capacity greater than 50 kW but not exceeding 100 kW	0.3	0.3	0.3	0.3	0.3
Wind with total installed capacity greater than 100 kW but not exceeding 1500 kW	6.1	5.9	5.8	5.7	5.7
Wind with total installed capacity greater than 1500kW	5.0	5.0	5.0	5.0	5.0

Table 3D – Table showing application thresholds (aggregate Total Installed Capacity in Megawatts) to trigger contingent depression for combined heat and power installations

	1 April to 30 September 2017	1 October 2017 to 31 March 2018	1 April to 30 September 2018	1 October 2018 to 31 March 2019
Combined Heat and Power with total installed capacity of 2kW or less	5.0	5.0	5.0	5.0

17. For the purposes of paragraph 15 and Tables 3A to 3D, the aggregate Total Installed Capacity of megawatts applied for in respect of a particular description of an Eligible Installation shall for any Tariff Period, be taken to be the number determined and published by the Authority in accordance with Schedule 2 to the FIT Order for the corresponding Deployment Period.
18. Where article 8C of the FIT Order applies in respect of megawatts applied for in respect of a particular description of an Eligible Installation and the Authority increases the limit in accordance with the formula set out in that article, the corresponding limit set out in Table 3A, 3B, 3C or as the case may be Table 3D, is to be adjusted accordingly.

19. The Generation Tariffs for electricity generated by an existing installation in FIT Year 8 except as otherwise provided in paragraph 19A and subsequent years shall be-

(a) for existing installations with a Tariff Date between 1st January and 31st March in the preceding FIT Year, the rate applying in the preceding FIT Year without any adjustment; and

(b) for all other existing installations, the rate applying in the preceding FIT year, adjusted by RPI.

19.A In FIT Year 8, the Generation Tariffs for electricity generated by existing anaerobic digestion installations, and existing combined heat and power installations, with a Tariff Date on or after 1st April 2016 and before 1st April 2017 are those set out in Table 4—

Table 4 – Generation tariffs for certain anaerobic digestion installations and combined heat and power installations

	Tariff date in the period 1 April to 30 June 2016	Tariff date in the period 1 July to 30 September 2016	Tariff date in the period 1 October to 31 December 2016	Tariff date in the period 1 January to 31 March 2017
Anaerobic digestion with total installed capacity of 250kW or less	8.52	7.66	6.90	6.06
Anaerobic digestion with total installed capacity greater than 250kW but not exceeding 500kW	7.86	7.08	6.37	5.59
Anaerobic digestion with total installed capacity greater than 500kW	8.10	7.29	6.56	5.76
Combined Heat and Power with total installed capacity of 2kW or less	13.95	13.95	13.95	13.61

PART 3

EXPORT TARIFF

20. The Export Tariff for installations with a tariff date in the Relevant Period in FIT year 6 and in FIT year 7 for electricity exported in the Relevant Period in FIT year 6 and in FIT year 7 –

(a) for an Eligible Installation with a Tariff Date in the Relevant Period in FIT year 6, shall be the same rate applying in FIT year 6 adjusted by RPI;

(b) for an Eligible Installation with a Tariff Date in FIT year 7, shall be the rate applying in the previous FIT year, adjusted by RPI.

21. The Export Tariff for electricity exported in FIT year 8 and in subsequent years –

(a) for an existing installation shall be the rate applying in the preceding FIT year, adjusted by RPI;

(b) for a new installation, shall be the same as the rate applying in the preceding FIT year to an existing installation of the same description with a tariff date in the preceding FIT year, adjusted by RPI.

ANNEX 5

GENERATION TARIFFS FOR SOLAR PHOTOVOLTAIC INSTALLATIONS: APPLICATION OF HIGHER, MIDDLE AND LOWER RATES

1. This Annex applies in relation to solar photovoltaic Eligible Installations which are of a description, and have a Tariff Date, for which higher, middle and lower rates of Generation Tariffs are specified in the applicable FIT Payment rate table.
2. In this paragraph and the following paragraphs –
 - 2.1 the expressions “asset rating”, “dwelling” and “energy performance certificate” –
 - (a) in relation to a building in England or Wales, have the same meanings as in the Energy Performance of Buildings (England and Wales) Regulations 2012;
 - (b) in relation to a building in Scotland, have the same meanings as in the Energy Performance of Buildings (Scotland) Regulations 2008, subject to paragraph 7;
 - 2.2 the following expressions have the same meanings as in the FIT Order –

“community energy installation”;

“school installation”;
 - 2.3 “energy efficiency rating” means an asset rating which is described on an energy performance certificate as an energy efficiency rating;
 - 2.4 “rating band” means the band to which an asset rating is assigned, as shown on an energy performance certificate;
 - 2.5 “relevant building” means a roofed construction having walls, for which energy is used to condition the indoor climate, other than such a building for which an energy performance certificate cannot be issued; and a reference to a relevant building includes a reference to part of such a building which has been designed or altered to be used separately;

- 2.6 “relevant installation” means a solar photovoltaic Eligible Installation described in paragraph 1.
3. The lower rate applies to a relevant installation if-
- 3.1 the Energy Efficiency Requirement applies in relation to that installation; and
- 3.2 on the date on which the installation was Commissioned, that requirement is not satisfied.
4. The Energy Efficiency Requirement applies in relation to a relevant installation if that installation is wired to provide electricity to one or more relevant buildings.
5. Where the Energy Efficiency Requirement applies in relation to a relevant installation, it is satisfied if an appropriate EPC for a relevant building to which the installation is wired as mentioned in paragraph 4 certifies that the building has a qualifying asset rating.
6. For the purposes of paragraph 5-
- 6.1 an appropriate EPC, in relation to a relevant building to which a relevant installation is wired as mentioned in paragraph 4, is an energy performance certificate for that building which –
- (a) was issued before, but not more than 10 years before, the the date on which the installation was Commissioned; and
- (b) was, on the the date on which the installation was Commissioned, the most recently issued energy performance certificate for that building; and
- 6.2 a qualifying asset rating is-
- (a) for a dwelling, an energy efficiency rating of band D or better;
- (b) for a building which is not a dwelling-
- (i) an asset rating of band D or better, unless sub-paragraph (ii) applies; or
- (ii) an asset rating of band G or better , if the relevant installation is a community energy installation or school installation and, in the case of an installation with a declared net capacity of 50kW or less, has been pre-registered in accordance with the FIT Order..
7. If a recommendations report accompanying an appropriate EPC for a relevant building in Scotland which is not a dwelling specifies what the asset rating of the building would be if it was calculated according to the methodology by

which asset ratings for buildings of that kind are calculated in England and Wales (an “England and Wales equivalent asset rating”), then for the purposes of paragraph 6.2 the asset rating of the building is its England and Wales equivalent asset rating.

8. The middle rate applies to a relevant installation from its Tariff Date if-
 - 8.1 either-
 - (a) the Energy Efficiency Requirement does not apply in relation to the installation; or
 - (b) the Energy Efficiency Requirement applies in relation to the installation, and, on the date on which the installation was Commissioned, that requirement is satisfied; and
 - 8.2 paragraph 9 applies.
9. This paragraph applies if, at the date on which a request for accreditation of the relevant installation is received by the Authority or a FIT Licensee-
 - 9.1 the FIT Generator for the relevant installation and any persons who are Connected Persons in relation to the FIT Generator (taken together) are, or have applied to be, the FIT Generator or Nominated Recipient for 25 or more other solar photovoltaic Eligible Installations on different Sites; or
 - 9.2 the Nominated Recipient for the relevant installation (if there is one) and any persons who are Connected Persons in relation to the Nominated Recipient (taken together) are, or have applied to be, the FIT Generator or Nominated Recipient for 25 or more other solar photovoltaic Eligible Installations on different Sites.
10. Where notice is given to the Authority or a FIT Licensee of a change to the identity of the FIT Generator, or the nomination of a new Nominated Recipient, the middle rate applies to the relevant installation from the date on which the change took place or the nomination takes effect if-
 - 10.1 the higher rate previously applied to the installation; and
 - 10.2 paragraph 11 applies.
11. This paragraph applies if, at the date on which notice of such a change or nomination is received by the Authority or a FIT Licensee, the new FIT Generator or Nominated Recipient (as the case may be) and any persons who are Connected Persons in relation to that person (taken together) are, or have applied to be, the FIT Generator or Nominated Recipient for 25 or more other solar photovoltaic Eligible Installations on different Sites.

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12. The higher rate applies to a relevant installation if neither the lower rate nor the middle rate applies to it.
13. The tariff applying to a relevant installation cannot change-
 - 13.1 from the lower rate to the middle rate or the higher rate; or
 - 13.2 from the middle rate to the higher rate.

Condition 35: Central Charge Database

Establishment and maintenance

- 35.1 The licensee must, under the Retail Energy CodeMaster Registration Agreement, in conjunction and co-operation with all persons that are Authorised by an Electricity Supply Licence to supply electricity:
- (a) establish by 1 October 2012, or procure the establishment of by 1 October 2012(~~n~~Not used); and
- (b) subsequently maintain, or procure the subsequent maintenance of, a database (the “**Central Charge Database**”) capable of recording, storing and otherwise processing such data as is necessary to facilitate, in accordance with the provisions of the Green Deal Arrangements Agreement, the establishment and administration of Green Deal Plans (~~from 14 January 2013~~) and the collection and remittance of Green Deal Charges (~~from 1 March 2013~~).
- 35.2 In performance of the obligation in paragraph 35.1 the licensee must also, for the period between 1 October 2012 and 1 March 2013, facilitate activities for the testing of business processes associated with the Central Charge Database, as appropriate to meet that obligation(Not used).
- 35.3 The data for the purposes of paragraph 35.1 shall include:
- (a) in relation to each Green Deal Premises, at least the following:
- (i) an alphanumeric unique identification for the relevant Green Deal Plan;
 - (ii) the postcode;
 - (iii) the Meter Point Administration Number core (being the final 13 digits of that number);
 - (iv) the EPC UPRN and EPC Reference Number;
 - (v) the name and address of the person who is to be treated as the bill payer for the purposes of Chapter 1 of Part 1 of the Energy Act in the circumstances referred to in regulation 6 of the Green Deal Regulations;
 - (vi) the identity of the Green Deal Provider and, if different, the person who is designated under the Green Deal Arrangements Agreement to receive information relating to the remittance of Green Deal Charges;
 - (vii) financial details of the Green Deal Plan, including the amount of Green Deal Charge to be collected, the date Green Deal Charges will commence and the date that the final Green Deal Charge is expected to be payable under the Green Deal Plan; and

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- (viii) the Green Deal Electricity Savings, the Green Deal Gas Savings and the Green Deal Other Fuel Savings;
- (b) the bank account details of the relevant Green Deal Provider or its nominee or assignee for the remittance of the Green Deal Charges;
- (c) bank account details of the relevant Green Deal Licensee for the receipt of payments reclaimed from Green Deal Providers; and
- (d) other such details as the licensee thinks is reasonably necessary for the efficient and accurate establishment and administration of Green Deal Plans and collection and remittance of Green Deal Charges.

Sharing information

35.4 The licensee must, in conjunction and co-operation with all persons that are Authorised by an Electricity Supply Licence to supply electricity, and in pursuance of its obligation under paragraph 35.1(b), share information collected and otherwise processed in connection with the establishment or administration of a Green Deal Plan or energy plan:

- (a) to any person identified in the Green Deal Arrangements Agreement as being a person entitled to receive such data, in accordance with that agreement; and
- (b) to any person identified in the ~~Master Registration Agreement~~ Retail Energy Code -as being entitled to access and view such data, in accordance with that agreement.

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Condition 36: Green Deal obligations

Application of this condition

- 36.1 This condition applies ~~from 28 January 2013 and~~ where the licensee is a Green Deal Licensee.

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Green Deal Charge collection and remittance

- 36.2 The licensee must collect Green Deal Charges from Green Deal Bill Payers and remit such payments to Green Deal Providers (or their nominees or assignees), in accordance with this condition and the Green Deal Arrangements Agreement ~~from 1 March 2013~~.
- 36.3 Where Green Deal Bill Payers pay Charges for the Supply of Electricity by way of Prepayment Meter, the obligation in paragraph 36.2 shall be replaced with an obligation to make payments to Green Deal Providers for Green Deal Charges that are due but which have not been collected by the licensee, in accordance with this condition and the Green Deal Arrangements Agreement.
- 36.4 Where there is no Contract or Deemed Contract for the supply of electricity to Green Deal Premises, the licensee must, when it collects Green Deal Charges from the Green Deal Bill Payer, explain to him or her in Writing that such collection is made pursuant to s1(6) of the Energy Act 2011 and the conditions of this licence.
- 36.5 The licensee must provide the Authority with Information specified by the Authority in relation to matters that it reasonably considers are relevant to:
- (a) the costs incurred by the licensee in relation to the collection and remittance of Green Deal Charges to Green Deal Providers;
 - (b) any differences in the Charges for the Supply of Electricity which apply to Customers at Green Deal Premises and Customers at premises which are not Green Deal Premises; and
 - (c) any differences in the Charges for the Supply of Electricity resulting from the use of different payment methods for Green Deal Charges.
- 36.6 The Authority may direct the licensee to comply with paragraph 36.5 by providing Information to the Authority:
- (a) in a particular form by a particular date; or
 - (b) in a particular form at such reoccurring intervals of time as the Authority considers appropriate.
- 36.7 The licensee is not required to comply with paragraph 36.5 if it could not be compelled to produce or give the Information in evidence in civil proceedings before a court.

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Terms and conditions

- 36.8 Where the premises are Green Deal Premises then, in addition to the other requirements in this licence, each Contract and Deemed Contract must include a term explaining:
- (a) the licensee's obligation under paragraph 36.2; and
 - (b) that the licensee will only collect Green Deal Charges from the Customer that become payable after the date the supply of electricity by the licensee began (or, where the Customer was a Green Deal Bill Payer prior to being a Customer, a term explaining that the licensee will continue to collect Green Deal Charges).
- 36.9 The licensee must include a term in each Non-Domestic Supply Contract or Deemed Contract with a Green Deal Bill Payer who is a Non-Domestic Customer to provide that if that Contract or Deemed Contract is brought to an end, then:
- (a) he or she remains liable for all Non-Domestic Outstanding Charges that are Green Deal Charges which were payable during the period which starts with the date that Contract was entered into (or, where applicable, the date that Deemed Contract began) and ends with the date on which that Contract or Deemed Contract ends; and
 - (b) where, after the Contract or Deemed Contract has ended, he or she is still the Green Deal Bill Payer for the purposes of the Green Deal Regulations, he or she will still remain liable under the Green Deal Regulations for the Green Deal Charges.

Payment method and frequency of billing

- 36.10 Where a Green Deal Bill Payer who is a Customer chooses or has chosen a method of payment for Charges for the Supply of Electricity, the licensee must use the same method of payment for the collection of Green Deal Charges from that Customer.
- 36.11 Where a Green Deal Bill Payer who is not a Customer requests receipt of Bills before paying Green Deal Charges, the licensee must send that Green Deal Bill Payer a Bill in relation to his Green Deal Charges at intervals of not more than 3 months.

Direct Debit payments

- 36.12 Where:
- (a) a Customer who is a Green Deal Bill Payer pays Charges by way of regular direct debit payments of a fixed amount (which amount may be varied from time to time in accordance with the relevant Supply Contract);
 - (b) the licensee receives a request from that Customer to reduce its direct debit payments under the Contract (or Deemed Contract, as the case may be) by an amount equivalent to the Net Electricity Savings; and

- (c) such request is made within thirty (30) days of the Premises becoming Green Deal Premises,

the licensee must comply with such request by dividing the Net Electricity Savings by the number of direct debit payments to be made by the Customer in a 12 month period and deducting the resulting amount from each direct debit payment.

- 36.13 Paragraph 36.12 is without prejudice to the licensee's obligations in respect of a Domestic Customer by virtue of standard condition 27.

Interpretation

- 36.14 For the purposes of this condition "**Net Electricity Savings**" means the amount by which the Green Deal Electricity Savings (or, where available to the licensee, the Green Deal Electricity Savings as adjusted for the relevant Customer) exceeds the total annual Green Deal Charges (based on the daily amount of Green Deal Charges known to the licensee at the time).

Condition 37: Green Deal information requirements

Application of this condition

- 37.1 This condition applies ~~from 28 January 2013 and~~ where the licensee is a Green Deal Licensee.

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Bills and statements of account

- 37.2 The licensee must, on each Bill and statement of account sent to a Green Deal Bill Payer who is a Domestic Customer:
- (a) specify:
 - (i) the relevant Green Deal Charge payable together with its associated Unique Identifier, on the same side of the page where the relevant Charges for the Supply of Electricity are specified; or
 - (ii) the aggregate Green Deal Charge payable, on the same side of the page where the relevant Charges for the Supply of Electricity are specified and (elsewhere) each Green Deal Charge together with its associated Unique Identifier;
 - (b) notify that Green Deal Bill Payer, on the same side of the page where the Green Deal Charge is specified, that he or she is liable to pay Green Deal Charges and that financial savings on consumption from gas or other fuel sources may arise under bills for those sources;
 - (c) where paragraph 37.3 applies, specify the Charges for the Supply of Gas on the same side of the page where the Charges for the Supply of Electricity are specified; and
 - (d) include details of where that Green Deal Bill Payer can find impartial advice and information about his or her Green Deal Plan(s) (and such details shall include a telephone number and website address for the provider of such impartial advice).
- 37.3 This paragraph applies where:
- (a) the licensee supplies a Green Deal Payer with electricity;
 - (b) the licensee or an Affiliate also supplies that Green Deal Bill Payer with gas under a Gas Supply Licence; and
 - (c) that Green Deal Bill Payer is treated by the licensee, and where appropriate, the Affiliate, as being supplied under a single account for both the supply of electricity and gas.
- 37.4 The licensee must, on each Bill and statement of account sent to a Green Deal Bill Payer who is a Non-Domestic Customer:

- (a) specify the relevant Green Deal Charge payable together with its associated Unique Identifier, on the same Bill or statement of account where the relevant Charges for the Supply of Electricity are specified;
- (b) notify that Green Deal Bill Payer that he or she is liable to pay Green Deal Charges and that financial savings on consumption from gas or other fuel sources may arise under bills for those sources; and
- (c) includes details of where that Green Deal Bill Payer can find impartial advice and information about his or her Green Deal Plan(s) (and such details shall include a telephone number and website address for the provider of such impartial advice).

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37.5 The licensee must, for each Green Deal Bill Payer that pays Charges by regular direct debit payments of a fixed amount (which amount may be varied from time to time in accordance with the relevant Contract or, as the case may be, the relevant Green Deal Plan), send a statement of account to that Green Deal Bill Payer at intervals of not more than 7 months.

37.6 The licensee must, for each Green Deal Bill Payer that pays Charges through a Prepayment Meter send a notification to that Green Deal Bill Payer at intervals of not more than 6 months:

- (a) informing him that the premises are Green Deal premises;
- (b) informing him of the weekly amount of his Green Deal Charges;
- (c) setting out information on how Green Deal Charges are collected through Prepayment Meters; and
- (d) including details of where he can find impartial advice and information about his Green Deal Plan(s) (and such details shall include a telephone number and website address for the provider of such impartial advice).

Green Deal Annual Statements

37.7 The licensee must, from 1 March 2013, provide the following information in writing to every Green Deal Bill Payer who is a Domestic Customer once in every 12 month period, on the same side of a page (hereafter referred to as a “Green Deal Annual Statement”):

- (a) an estimate of the total annual Green Deal Charges based on the daily amount of Green Deal Charges known to the licensee at the time the relevant Green Deal Annual Statement is prepared, and taking into account any forthcoming expiry of the Green Deal Plan that the licensee is aware of;
- (b) the Domestic Customer’s Estimated Annual Costs based on the quantity of electricity supplied to the Domestic Customer’s Premises during the previous 12 months;

- (c) where paragraph 37.3 applies, the Domestic Customer's Gas Estimated Annual Costs, based on the quantity of gas supplied to the Domestic Customer's Premises during the previous 12 months;
- (d) the Green Deal Electricity Savings, the Green Deal Gas Savings and the Green Deal Other Fuel Savings (except, in each case, where the amount is zero); and
- (e) details of where the Customer can find impartial advice and information about its Green Deal Plan(s) (and such details shall include a telephone number and website address for the provider of such impartial advice).

37.8 Where there is more than one Green Deal Plan for an Electricity Account Number and the Green Deal Bill Payer is a Domestic Customer, each Annual Statement must include the information in sub-paragraph 37.7(a) for each Green Deal Plan and identify each Green Deal Plan with the Unique Identifier.

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Notice of Green Deal Charge arrears

37.9 Subject to paragraph 37.10, if a Green Deal Bill Payer either pays Charges following receipt of a Bill or by way of regular payments of a fixed amount (which amount may be varied from time to time in accordance with the relevant Contract or, as the case may be, the relevant Green Deal Plan):

- (a) and is required to have paid at least two Green Deal Charges to the licensee by a particular time;
- (b) the total sum paid under the Green Deal Plan to the licensee is less than the total sum that is required to have been paid to the licensee before that time; and
- (c) the amount of the shortfall is no less than the sum of the last two Green Deal Charges which he is required to have made before that time,

the licensee must within 14 days of a second consecutive Green Deal Charge not being paid to the licensee give that Green Deal Bill Payer a Green Deal Arrears Notice unless a Green Deal Arrears Notice has already been given in the last 6 months, and, after the giving of a Green Deal Arrears Notice, the licensee must give further Green Deal Arrears Notices at intervals of not more than 6 months until such time as the provisions in sub-paragraphs 37.9(a), (b) and (c) cease to apply.

37.10 Paragraph 37.9 shall not apply if either:

- (a) a binding decision of the Green Deal Ombudsman or a judgment (including any order or decree) of a court in Great Britain has been given in relation to that Green Deal Plan before the relevant time under paragraph 37.9 and there is a sum still to be paid under that final decision or judgement by the Green Deal Bill Payer; or
- (b) the:
 - (i) Green Deal Bill Payer has ceased to be liable to pay the electricity bills for the premises accruing from time to time; and

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- (ii) Green Deal Licensee agrees with the Green Deal Provider under the Green Deal Arrangements Agreement that the Green Deal Licensee is no longer responsible for recovering Green Deal Charge Arrears from that person.

37.11 Where the licensee gives a Green Deal Bill Payer a Green Deal Arrears Notice under paragraph 37.9 and the Green Deal Arrears Notice is incorporated within another Written notice given to that Green Deal Bill Payer, the Green Deal Arrears Notice must be given adequate prominence.

37.12 Where the licensee gives a Green Deal Bill Payer a Green Deal Arrears Notice under paragraph 37.9 the licensee must:

- (a) subject to paragraph 27.12A, include a copy of:
 - (i) the current arrears information sheet prepared by the Office of Fair Trading under Section 86A of the Consumer Credit Act 1974 together with an explanation of how the information applies in the case of Green Deal Charge arrears; or
 - (ii) the current Green Deal Charges arrears information sheet prepared by the Secretary of State; and
- (b) inform the Green Deal Bill Payer that the Green Deal Bill Payer may request a breakdown of the arrears and, if so requested, the licensee must provide such a breakdown within 15 working days of the request.

37.12A Sub-paragraph 37.12(a)(i) shall cease to have effect on 31 December 2013.

Sharing information

37.13 The licensee must share information collected and otherwise processed in connection with the establishment or administration of a Green Deal Plan or energy plan:

- (a) to any person identified in the Green Deal Arrangements Agreement as being a person entitled to receive such data, in accordance with that agreement;
- (b) as is reasonably required and requested by a Relevant Ombudsman; and
- (c) as is reasonably required and requested by the Secretary of State.

Interpretation

37.14 For the purposes of this condition:

“**Data Transfer Catalogue Specification**” has the meaning given in the Retail Energy Code Master Registration Agreement.

“**Electricity Account Number**” has the meaning given in the Data Transfer Catalogue Specification.

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“Gas Estimated Annual Costs”	is to be interpreted in accordance with the definition of Estimated Annual Costs contained in standard condition 1 of the Gas Supply Licence.
“Gas Supply Licence”	means a gas supply licence granted or treated as granted under section 7A(1) of the Gas Act 1986.
“Green Deal Annual Statement”	is to be interpreted in accordance with paragraph 37.7.
“Green Deal Arrears Notice”	<p>means a Notice that contains:</p> <ul style="list-style-type: none"> (a) a form of wording to the effect that the notice is given because the Green Deal Bill Payer is behind with his payments of Green Deal Charges to the licensee; (b) a form of wording encouraging the Green Deal Bill Payer to discuss the state of his account with the licensee; (c) the date and the licensee’s name and relevant telephone number, postal address and email address; (d) details of where that Customer can find impartial advice and information about its Green Deal Plan(s) (and such details shall include a telephone number and website address for the provider of such impartial advice); (e) -information identifying the relevant Green Deal Plan; (f) the amount of the Green Deal Charges arrears which gave rise to the duty to give the Notice; and (g) the amount of any Green Deal Charges arrears which are still outstanding and which were the subject of any previous Notice.
“Unique Identifier”	means the unique identification referred to in paragraph 2(a)(i) of standard condition 35.

Condition 38: Green Deal Arrangements Agreement

Application of this condition

- 38.1 This condition applies ~~from 1 October 2012 and~~ where the licensee is a Green Deal Licensee.

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Licensee's obligation

- 38.2 The licensee, in conjunction and co-operation with all other Green Deal Licensees must ensure the Green Deal Arrangements Agreement remains an agreement that confirms to the requirements of:

- (a) paragraph 38.3 in respect of its contractual constitution; and
- (b) paragraph 38.4 in respect of its contents.

Constitution of the GDAA

- 38.3 The GDAA must be an agreement made between:

- (a) on the one part, all Green Deal Licensees; and
- (b) on the other part:
 - (i) all Green Deal Providers; and
 - (ii) such other persons as are, for Green Deal payment and remittance purposes or continuity purposes, appropriate parties to the agreement.

Contents of the GDAA

- 38.4 The GDAA must comprise:

- (a) provisions to facilitate, and procedures and practices to be followed by a Green Deal Licensee, in relation to the collection of Green Deal Charges from Green Deal Bill Payers and the remittance of such payments to Green Deal Providers (or their nominees or assignees);
- (b) provisions to facilitate the operation of the agency and trustee relationship between Green Deal Licensee and Green Deal Providers for the collection of Green Deal Charges from Green Deal Bill Payers and the remittance of such payments to Green Deal Providers (or their nominees or assignees);
- (c) provisions to facilitate, and procedures and practices to be followed by the parties, for the entry of data relating to Green Deal Plans onto the Central Charge Database and validation of such data;
- (d) provisions to facilitate, and procedures and practices to be followed by the parties, for the provision of information relating to Green Deal Charges;

- (e) provisions enabling payments to be made (either directly or indirectly) to the Licensee in connection with it discharging its functions under Section 1(6) of the Energy Act 2011 and in connection with Green Deal Payments, as calculated with the approval of the Secretary of State;
- (f) provisions for the resolution of disputes arising under the GDAA and between parties to the GDAA;
- (g) procedures for the Amendment of such provisions of the GDAA including procedures which only allow amendment of the GDAA with the Authority's and/or the Secretary of State's prior approval;
- (h) provisions that set out its objective; and
- (i) other such matters as may be appropriate, having regard to the purposes that the GDAA is a document designed to facilitate achievement of recovery, holding and remittance of Green Deal Charges pursuant to s1(6) of the Energy Act 2011.

Interpretation

- 38.5 For the purposes of this condition, "**Amendment**" must be read in accordance with the meaning given to the term "modification" in section 111 of the Act, and any related expressions are to be read accordingly.

Condition 38A. Offtaker of Last Resort

Power Purchase Agreement Scheme – Participation by Licensed Electricity Suppliers

38A.1 Where the Authority issues an OLR Notice to the licensee and:

- (a) the licensee is a Mandatory Licensed Supplier, it must submit an OLR Auction Bid to the Authority in respect of each Backstop Power Purchase Agreement (**BPPA**) enclosed with the OLR Notice;
- (b) the licensee is a Voluntary Licensed Supplier, it may submit an OLR Auction Bid to the Authority in respect of any BPPA enclosed with the OLR Notice.

38A.2 Where the licensee is a Mandatory Licensed Supplier, it is not required to comply with paragraph 38A.1(a) where the Authority has, after issuing the OLR Notice, issued a notice to the licensee in accordance with the PPA Scheme Regulations informing it that no BPPA is to be entered into in respect of the electricity generator.

38A.3 Where the licensee submits an OLR Auction Bid it may not subsequently withdraw, amend or revoke that OLR Auction Bid.

OLR Notice

38A.4 An OLR Notice is a notice given by the Authority to the licensee (and all other licensed electricity suppliers) in accordance with the PPA Scheme Regulations which will:

- (a) specify the form and manner in which, and date by which, an OLR Auction Bid is to be submitted to the Authority; and
- (b) be accompanied by:
 - (i) a copy of each BPPA (incorporating the Project Information Schedule), received by the Authority from the electricity generator, that the electricity generator is seeking to enter into; and
 - (ii) all of the other Project Information received by the Authority from the electricity generator but excluding the Statement of Confirmation.

OLR Auction Bid

38A.5 The licensee must in respect of each BPPA for which it submits an OLR Auction Bid ('the applicable BPPA'):

- (a) submit the OLR Auction Bid in the form and manner specified, and by the date specified, in the OLR Notice;
- (b) confirm in its OLR Auction Bid whether or not it has an Additional BM Unit registered in its name for the GSP Group to which the generating station is connected;

- (c) enclose two copies of the applicable BPPA which are signed by (or for and on behalf of) the licensee but are not dated;
- (d) where the licensee is a Voluntary OLR Licensee, specify whether or not the OLR Auction Bid may be considered, in accordance with the PPA Scheme Regulations, by the Authority as a reserve bid; and
- (e) specify the Management Fee it will apply in respect of the applicable BPPA should the Authority determine under the relevant BPPA Auction that the licensee's bid is the successful bid, or (as the case may be) the successful reserve bid, for the BPPA.

Status of OLR Auction Bid

38A.6 An OLR Auction Bid constitutes an offer by the licensee to enter into the applicable BPPA in the event the Authority determines, under the relevant BPPA Auction, the licensee's bid to be the successful bid or (as the case may be) the successful reserve bid.

38A.7 Where an OLR Auction Bid is submitted by:

- (a) a Voluntary OLR Licensee and the licensee specifies that the OLR Auction Bid:
 - (i) may not be considered by the Authority as a reserve bid, the OLR Auction Bid shall be capable of acceptance by the electricity generator at any time prior to the date which is 4 Working Days after the close of the auction in respect of the applicable BPPA;
 - (ii) may be considered by the Authority as a reserve bid, the OLR Auction Bid shall be capable of acceptance by the electricity generator at any time prior to the date which is 10 Working Days after the commencement date of the applicable BPPA entered into between the electricity generator and the licensed electricity supplier that submitted the bid determined by the Authority (under the relevant BPPA Auction) as the successful bid;
- (b) a Mandatory OLR Licensee, the OLR Auction Bid shall be capable of acceptance by the electricity generator at any time prior to the date which is 10 Working Days after the commencement date of the applicable BPPA entered into between the electricity generator and the licensed electricity supplier that submitted the bid determined by the Authority (under the relevant BPPA Auction) as the successful bid.

Power Purchase Agreement Scheme – Participation by Electricity Generators

38A.8 For the purposes of this standard condition 38A, an electricity generator is eligible to enter into a BPPA under the Power Purchase Agreement Scheme in respect of a generating station (or a proportion of the capacity of a generating station) where:

- (a) the generating station is an Eligible Generating Station;
- (b) the generating station is located in Great Britain, in the territorial sea adjacent to Great Britain, or in a renewable energy zone designated as such under the Energy Act 2004;

- (c) the electricity generator is, in respect of the generating station, a party to a Relevant Contract:
 - (i) which was entered into prior to any OLR Closure Date that is applicable in respect of the generating station; and
 - (ii) in respect of which the Contract Commencement Date has occurred on or before the date the electricity generator submitted its expression of interest to the Authority;
- (d) the generating station (or the relevant proportion of the capacity of the generating station) is not subject to an existing BPPA (unless the existing BPPA is due to expire or terminate on or before the Preferred BPPA Commencement Date of any new BPPA in respect of the generating station);
- (e) the electricity generator has not (and no previous party to the Relevant Contract described in paragraph 38A.8(c) has) previously withdrawn an expression of interest to enter into a BPPA in respect of the generating station after the date on which it (or the previous party to the Relevant Contract described in paragraph 38A.8(c)) submitted to the Authority a Statement of Confirmation in respect of that expression of interest;
- (f) the electricity generator has not (and no previous party to the Relevant Contract described in paragraph 38A.8(c) has) failed to enter into a BPPA in respect of the generating station within 3 Working Days of receiving from the Authority, under and in accordance with the PPA Scheme Regulations, a copy of the BPPA signed by a licensed electricity supplier;
- (g) the electricity generator has not (and no previous party to the Relevant Contract described in paragraph 38A.8(c) has), failed to comply with:
 - (i) a notice given by the Authority under and in accordance with the PPA Scheme Regulations requiring the submission of further Project Information; or
 - (ii) a notice given by the Authority under and in accordance with the PPA Scheme Regulations where the Authority has identified an error or omission in any Project Information submitted by the electricity generator (or the previous party to the Relevant Contract described in paragraph 38A.8(c)),
 in each case within 5 days of the date on which the relevant notice was given;
- (h) subject to the application of paragraph 38A.25, any BPPA previously entered into in respect of the generating station (whether by the electricity generator or any previous party to the Relevant Contract described in paragraph 38A.8(c)) has not been terminated by a licensed electricity supplier in exercise of a termination right under the BPPA in favour of the licensed electricity supplier; and
- (i) the electricity generator is:

- (i) where the Final Installed Capacity of the generating station is less than 100MW, seeking to enter into a BPPA for the total amount of the Final Installed Capacity;
- (ii) where the Final Installed Capacity of the generating station is equal to or more than 100MW, seeking to enter into a BPPA for at least 50% of the Final Installed Capacity.

38A.9 Where the Authority is required under and in accordance with the PPA Scheme Regulations to determine whether an electricity generator is eligible to participate in the Power Purchase Agreement Scheme, in assessing whether the requirements set out in:

- (a) paragraphs 38A.8(c) and 38A.8(i) are met, the Authority's assessment shall be made by reference to information provided to the Authority by the CFD Counterparty;
- (b) paragraphs 38A.8(a), 38A.8(b) and 38A.8(d) to 38A.8(i) are met, the Authority's assessment shall be made by reference to information provided to or held by the Authority for the purposes of the Power Purchase Agreement Scheme.

Generator's Expression of Interest

38A.10 Paragraph 38A.11 applies where an electricity generator has, in the form and manner specified by the Authority, submitted an expression of interest to the Authority which:

- (a) states that the electricity generator is seeking to enter into a BPPA under the Power Purchase Agreement Scheme;
- (b) specifies the generating station in respect of which the electricity generator is seeking to enter into a BPPA;
- (c) states that the electricity generator is eligible to enter into a BPPA in respect of the specified generating station; and
- (d) provides the following information:
 - (i) the full name of the electricity generator (and, if applicable, the jurisdiction of its registration and registration number);
 - (ii) the Final Installed Capacity of the generating station;
 - (iii) where the electricity generator is seeking a BPPA in respect of a proportion of the Final Installed Capacity, the total amount of capacity (expressed in MW) for which a BPPA is sought;
 - (iv) the location and GSP Group of, and technology used by, the generating station; and
 - (v) the agreement number of the Relevant Contract to which the generating station is subject.

38A.11 Where this paragraph applies the licensee will, by such means as the Authority may from time to time specify:

- (a) be notified by the Authority, under and in accordance with the PPA Scheme Regulations, of the electricity generator's expression of interest; and
- (b) receive a copy of the information referred to in paragraph 38A.10(d) and any of the Project Information (but excluding the Statement of Confirmation) that the electricity generator may also have provided with its expression of interest.

Project Information

38A.12 Project Information, in respect of an expression of interest, comprises:

- (a) a statement of the number of BPPAs the electricity generator is seeking to enter into in respect of the generating station;
- (b) a completed copy of the Project Information Schedule for each BPPA the electricity generator is seeking to enter into, which Project Information Schedule must:
 - (i) where it is submitted to the Authority by the electricity generator less than 5 Working Days after the submission of the expression of interest, include a Preferred BPPA Commencement Date that is a date which is between 26 and 45 Working Days after the date the electricity generator submits the expression of interest;
 - (ii) where it is submitted to the Authority by the electricity generator 5 or more Working Days after the submission of the expression of interest, include a Preferred BPPA Commencement Date that is a date which is between 22 and 41 Working Days after the date the electricity generator submits the Statement of Confirmation;
- (c) information in respect of any other matter, as may be specified from time to time by the Authority, which is required from the electricity generator for the purposes of entering into a BPPA under the Power Purchase Agreement Scheme; and
- (d) a Statement of Confirmation.

Provision of Information to the Authority

38A.13 Paragraph 38A.14 applies where:

- (a) following receipt of a notice referred to in paragraph 38A.11(a) or an OLR Notice; and
- (b) prior to the date by which an OLR Auction Bid is to be submitted to the Authority, the licensee becomes aware of any information that leads it to believe that the electricity generator is not eligible to enter into a BPPA under the Power Purchase Agreement Scheme in respect of the generating station for which that notice is issued.

- 38A.14 Where this paragraph applies the licensee must take all reasonable steps to:
- (a) notify the Authority that it has become aware of such information as is referred to in paragraph 38A.13; and
 - (b) send a copy of that information to the Authority,
- as soon as reasonably practicable prior to the date by which an OLR Auction Bid is to be submitted to the Authority.

Entering into a BPPA

- 38A.15 Paragraphs 38A.16 and 38A.17 apply where the licensee is notified by the Authority that its OLR Auction Bid is determined as the successful bid (or the successful reserve bid).
- 38A.16 Where this paragraph applies and the licensee does not, within 3 Working Days of receiving the notification referred to in paragraph 38A.15, receive from the electricity generator a copy of the applicable BPPA signed and dated by the electricity generator, the licensee must as soon as reasonably practicable notify the Authority that the applicable BPPA has not been entered into.
- 38A.17 Where this paragraph applies and the licensee receives from the electricity generator a copy of the applicable BPPA signed and dated by the electricity generator, the licensee must within 3 Working Days of receiving that copy of the applicable BPPA:
- (a) notify the Authority that the BPPA has been entered into and of the commencement date of the BPPA; and
 - (b) submit to the Authority the evidence referred to in paragraph 38A.18.
- 38A.18 The evidence referred to in this paragraph is evidence that the licensee:
- (a) meets the required credit rating under the BPPA; or
 - (b) has provided the credit support required under the BPPA, which evidence must be:
 - (i) proof that the licensee (or any person acting on its behalf) has deposited cash in the electricity generator's nominated bank account; or
 - (ii) a copy of the letter of credit or parent company guarantee (as the case may be) provided by the licensee to the electricity generator under the BPPA.

Termination of a BPPA

- 38A.19 Paragraph 38A.20 applies where:
- (a) the licensee is a party to a BPPA; and
 - (b) either party to the BPPA serves on the other party a Termination Notice (or purported Termination Notice) under the BPPA.

38A.20 Where this paragraph applies the licensee must, in each case as soon as reasonably practicable:

- (a) give notice to the Authority that a Termination Notice (or purported Termination Notice) has been served under the BPPA;
- (b) send a copy of the Termination Notice (or purported Termination Notice) to the Authority;
- (c) where the validity of such a Termination Notice served is disputed by the recipient, give notice to the Authority of:
 - (i) the grounds for termination given by the party serving the Termination Notice;
 - (ii) the date on which the Termination Notice is stated to take effect; and
 - (iii) the nature of the dispute;
- (d) where:
 - (i) the recipient of the Termination Notice is the electricity generator; and
 - (ii) that electricity generator serves a claim form on the licensee disputing the licensee's right to terminate the BPPA,give notice to the Authority that it has been served with the claim form; and
- (e) where the licensee has in accordance with paragraph 38A.20(c) or 38A.20(d) notified the Authority of a dispute, give notice to the Authority of the outcome of that dispute.

Disputes Regarding Termination of a BPPA

38A.21 Where the licensee is a party to a BPPA, the licensee must ensure it does not commit a breach of the BPPA which results in the termination of that BPPA by the counterparty to that BPPA.

38A.22 Paragraph 38A.23 applies where the Authority has:

- (a) received a notice pursuant to paragraph 38A.20(a) stating that the licensee has served a Termination Notice under a BPPA; and
- (b) not received a notice pursuant to paragraph 38A.20(d) in respect of that BPPA (the 'old BPPA').

38A.23 Where this paragraph applies the Authority shall determine that the information held by, or provided to, it does not support an electricity generator's statement that it meets the requirement set out in paragraph 38A.8(h) in respect of the generating station which is the subject of the old BPPA.

38A.24 Paragraph 38A.25 applies where the Authority has received:

- (a) a notice pursuant to paragraph 38A.20(a) stating that the licensee has served a Termination Notice under a BPPA; and
- (b) a notice pursuant to paragraph 38A.20(d) in respect of that BPPA (the ‘old BPPA’).

38A.25 Where this paragraph applies the Authority shall determine, unless and until such date as it is notified of a court judgment, which is a final judgment, in favour of the licensee upholding the right of the licensee to terminate the BPPA, that the information held by, or provided to, it supports an electricity generator’s statement that it has met the requirement set out in paragraph 38A.8(h), in respect of the generating station which is the subject of the old BPPA.

38A.26 For the purposes of paragraph 38A.25, a court judgment is a final judgment:

- (a) if the judgment has not been appealed against, at the end of the period for bringing an appeal; or
- (b) if the judgment is appealed, the appeal has been disposed of by virtue of it:
 - (i) being determined and the period within which any further appeal may be brought having expired; or
 - (ii) being abandoned or otherwise ceasing to have effect.

Mandatory OLR Licensee

38A.27 The licensee shall be a “**Mandatory Licensed Supplier**” in respect of any OLR Year where it receives a notice from the Authority, given under and in accordance with the PPA Scheme Regulations, stating that the licensee is required to participate in all auctions which take place in a particular OLR Year on the basis that it meets the relevant criterion set out in paragraph 38A.28 (a **Mandatory Notice**).

38A.28 The relevant criterion is that the total amount of electricity supplied by the licensee, or by the licensee and any Affiliate of the licensee, to Customers in the Applicable OLR Year is equal to or greater than 6% of the total amount of electricity supplied by all licensed electricity suppliers to Customers in the Applicable OLR Year.

38A.29 Where the licensee receives a Mandatory Notice and does not already have an Additional BM Unit registered in its name for every GSP Group, it must within 20 Working Days of that Mandatory Notice commence all necessary steps to ensure that an Additional BM Unit is registered in its name for every GSP Group.

BPPA Terms and Conditions

38A.30 Each BPPA to be entered into under the Power Purchase Agreement Scheme shall incorporate all of the terms and conditions determined by the Secretary of State in accordance with the PPA Scheme Regulations which shall (as a minimum):

- (a) provide for automatic expiry of the BPPA on the first anniversary of its commencement date; and

(b) include terms and conditions:

- (i) setting out the methodology to be applied for calculating the price to be paid to the electricity generator on a £/MWh basis for contracted electrical output (other than any excess output). That methodology shall provide for the price to be calculated by applying a discount to the market reference price which applies under the Relevant Contract to which the relevant generating station is subject with the discount being calculated by applying an indexation formula to a specified initial discount;
- (ii) providing for monthly payments to the electricity generator for the contracted electrical output of the generating station sold and delivered (as evidenced by metering equipment at the generating station) by the electricity generator under the BPPA with the price for such output (excluding excess output) being calculated in accordance with the methodology set out in the BPPA;
- (iii) setting out the circumstances in which the buyer of the contracted electrical output is obliged to provide credit support to the electricity generator, the nature of such credit support and the method for calculating the amount of such credit support;
- (iv) requiring the electricity generator to install and maintain metering equipment at the generating station for the purposes of measuring the contracted electrical output and, where the generating station is or will be connected to a distribution network, requiring the buyer of the contracted electrical output to register the metering equipment;
- (v) comprising warranties by each party to the BPPA, including warranties that it has in place policies designed to prevent the occurrence of bribery and corrupt conduct, and obligations regarding compliance with those policies;
- (vi) obliging each party to the BPPA to comply with applicable laws;
- (vii) for the provision by the electricity generator to the buyer of the contracted electrical output no less than monthly of forecasts of the likely future availability of the generating station;
- (viii) requiring the electricity generator to notify the buyer of the contracted electrical output of periods in which maintenance of the generating station is planned;
- (ix) setting out the circumstances in which the BPPA may be terminated by a party prior to its automatic expiry; and
- (x) providing a mechanism for the resolution of disputes.

OLR Levelisation Process

38A.31 The licensee must:

- (a) participate in the levelisation process set out in Part 3 of the PPA Scheme Regulations;
- (b) cooperate with the Authority to provide such information as is required by the Authority under and in accordance with the PPA Scheme Regulations for the efficient administration of the OLR levelisation account; and
- (c) make such levelisation payments as it is required to make into the OLR levelisation account pursuant to Part 3 of the PPA Scheme Regulations,

in accordance with the Authority's instructions.

Interpretation and Definitions

38A.32 In this Condition, unless the context requires otherwise, any reference to a 'generating station' shall be read as a reference to:

- (a) where the whole of the generating station is subject to a Relevant Contract, the whole of the generating station; and
- (b) where only a part of the generating station is subject to a Relevant Contract, that part of the generating station that is subject to the Relevant Contract.

38A.33 In this Condition, a Statement of Confirmation means a statement signed by a director of the electricity generator which:

- (a) declares that the Project Information submitted to the Authority by the electricity generator in respect of the electricity generator's expression of interest to enter into a BPPA is complete, true and accurate;
- (b) confirms that the electricity generator meets the warranties set out in, and can comply with the terms of, each BPPA it is seeking to enter into and in respect of which it has submitted a completed Project Information Schedule;
- (c) declares that the electricity generator will provide, where requested to do so by a licensed electricity supplier and within a reasonable period of time, such information as may be reasonably required by the electricity supplier for the purposes of enabling the electricity supplier to carry out its due diligence work prior to submitting an OLR Auction Bid; and
- (d) confirms that the electricity generator understands that should it withdraw its expression of interest in respect of the generating station at any time following the submission of the statement or fail to enter into the applicable BPPA within 3 Working Days of receiving from the Authority a copy signed (but undated) by a

licensed electricity supplier, it will no longer be eligible to participate in the Power Purchase Agreement Scheme in respect of the generating station.

38A.34 In this Condition:

Additional BM Unit has the meaning given to it in the Balancing and Settlement Code on the date this standard condition 38A takes effect.

Applicable OLR Year means:

- (a) for the purposes of determining whether the licensee is required to participate in all auctions which take place in the OLR Year starting on 1 April 2015, the twelve month period beginning on 1 October 2013 and ending on 30 September 2014; and
- (b) for the purposes of determining whether the licensee is required to participate in all auctions which take place in any other OLR Year, the twelve month period beginning on 1 April and ending on 31 March immediately preceding the date of the Mandatory Notice.

Backstop Power Purchase Agreement (BPPA) means an agreement for the sale and purchase of electricity and associated renewables benefits for the period and incorporating the terms referred to in paragraph 38A.30.

BPPA Auction means, in respect of a BPPA, the auction held by the Authority in order to determine the successful and reserve bids for that BPPA.

CFD Counterparty means the person or persons designated as a counterparty for contracts for difference in accordance with section 7 of the Energy Act 2013.

Contract Commencement Date means, in respect of a Relevant Contract, the start date of the period in respect of which the obligations relating to payments to be made under that Relevant Contract first take effect.

Eligible Generating Station has the same meaning given to “eligible generating station” in paragraph 1 of the Schedule to the Contracts for Difference (Definition of Eligible Generator) Regulations 2014, but excludes a generating station of the

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	type described in paragraphs 1(d) and 1(f) of that Schedule.
SME Technical Specification	means the document set out in Schedule 9 of the Smart Energy Code.
Final Installed Capacity	means the final installed capacity (expressed in MW) of the generating station as notified by the electricity generator to the CFD Counterparty.
GSP Group	has the meaning given to it in the Balancing and Settlement Code on the date this standard condition 38A takes effect.
Management Fee	means, in respect of any BPPA, the fee which the licensee will charge for entering into and managing that BPPA, which fee shall be expressed as an amount (whether negative or positive) for each MWh of electricity purchased under that BPPA.
Mandatory Licensed Supplier	has the meaning given to it in paragraph 38A.27.
OLR Auction Bid	means an offer to enter into a BPPA in respect of a generating station (or a proportion of the capacity of the generating station), submitted by the licensee, or where the context requires by any other licensed supplier, in accordance with this standard condition 38A.
OLR Closure Date	means, in respect of an Eligible Generating Station of a particular technology, the date (if any) published by the Secretary of State in accordance with Regulation 3(4)(b) of the PPA Scheme Regulations.
OLR levelisation account	has the meaning given to it in the PPA Scheme Regulations.
OLR Notice	has the meaning given to it in paragraph 38A.4.
OLR Year	means a period of 12 months commencing on 1 April and ending on the next 31 March.
Power Purchase Agreement Scheme	means the scheme established pursuant to sections 50 and 51 of the Energy Act 2013.
PPA Scheme Regulations	means the Power Purchase Agreement Scheme Regulations 2014.

Preferred BPPA Commencement Date	means, in respect of any BPPA, the date specified (or, where the context requires, to be specified) as the preferred commencement date in the Project Information Schedule to that BPPA (being a date which satisfies the requirements of paragraph 38.12(b)).
Project Information	has the meaning given to it in paragraph 38A.12.
Project Information Schedule	in respect of any BPPA means the schedule to such a BPPA which is entitled 'Project Information'.
Relevant Contract	means: <ul style="list-style-type: none"> (a) a contract for difference entered into by an electricity generator pursuant to chapter 2 of Part 2 of the Energy Act 2013; or (b) an investment contract entered into by an electricity generator pursuant to chapter 4 of Part 2 of the Energy Act 2013.
Termination Notice	means a notice given under and in accordance with a BPPA which provides for the BPPA to be terminated prior to the expiry date of the BPPA.
Voluntary Licensed Supplier	means a licensed electricity supplier that is not a Mandatory Licensed Supplier.
Working Day	has the meaning given to it in the PPA Scheme Regulations.

Condition 39: Smart Metering System – Roll-out, Installation and Maintenance

PART A - ROLL-OUT DUTY AND EXCEPTIONS TO THE DUTY

The roll-out duty

- 39.1 The licensee must take all reasonable steps to ensure that a Smart Metering System is installed on or before 31 December 2020 at each Domestic Premises or Designated Premises in respect of which it is the Relevant Electricity Supplier.
- 39.2 The requirement in paragraph 39.1 is subject to paragraphs 39.3, 39.4, 39.5 and 39.6.

Exception for Domestic and Designated Premises – Current Transformer Meters

- 39.3 The requirement in paragraph 39.1 does not apply in respect of any Domestic Premises or Designated Premises at which either:
- (a) the existing Electricity Meter is a Current Transformer Electricity Meter; or
 - (b) any New Electricity Meter or Replacement Electricity Meter installed or arranged to be installed by the licensee is a Current Transformer Electricity Meter,

and where in either case:

- (c) that Current Transformer Electricity Meter meets any requirements which apply to it by virtue of paragraph 12.24 or 12.26 of standard condition 12 (Matters relating to Electricity Meters).

Exception for Designated Premises - Advanced Meter Arrangements

- 39.4 The requirement in paragraph 39.1 does not apply in respect of any Designated Premises:
- (a) where:
 - (i) the licensee or any other person has, prior to the Relevant Date, made arrangements for an Advanced Meter to be installed at that Designated Premises; and
 - (ii) the obligation under those arrangements to install an Advanced Meter is to be satisfied by a date which is also prior to the Relevant Date; and
 - (b) where either:
 - (i) the Relevant Date has not yet occurred; or
 - (ii) the Relevant Date has occurred and the Electricity Meter installed at the Designated Premises is an Advanced Meter that was installed prior to that date.

Exception for Designated Premises - Advanced Meter Contract

- 39.5 The requirement in paragraph 39.1 does not apply in respect of any Designated Premises:
- (a) where:
 - (i) the licensee or any other person has, prior to 6 April 2016, entered into a contract with the Customer at the Designated Premises to install or arrange the installation of an Advanced Meter at that Designated Premises; and
 - (ii) the obligation under that contract is for the Advanced Meter to be installed prior to 1 January 2021; and
 - (b) whether either:
 - (i) 1 January 2021 has not yet occurred; or
 - (ii) 1 January 2021 has occurred and the Electricity Meter installed at the Designated Premises is the Advanced Meter that was first installed, pursuant to the contract, prior to that date.

Exception for Designated Premises – Non-Domestic, Non-Micro Business Consumers

- 39.6 The requirement in paragraph 39.1 does not apply in respect of any Designated Premises:
- (a) that are Designated Premises of a Non-MB Consumer; and
 - (b) where:
 - (i) the licensee or any other person has either made arrangements for an Advanced Meter to be installed at the Designated Premises or entered into a contract with the Non-MB Consumer to install or arrange the installation of an Advanced Meter at the Designated Premises;
 - (ii) the licensee has communicated, in plain and intelligible language, the Relevant Information to the Non-MB Consumer; and
 - (iii) the Non-MB Consumer has chosen not to have a Smart Metering System installed at the Designated Premises.

Part B - DUTY IN RELATION TO REPLACEMENT METERS AND NEW CONNECTIONS AND Exceptions from the Duty

The duty in relation to replacement meters and new connections

- 39.7 The licensee must take all reasonable steps to ensure that at each Domestic Premises or Designated Premises in respect of which:

- (a) it is the Relevant Electricity Supplier, any Replacement Electricity Meter which is installed or is arranged to be installed forms part of a Smart Metering System;
- (b) it is to be the first Relevant Electricity Supplier, any New Electricity Meter which is installed or is arranged to be installed forms part of a Smart Metering System.

39.8 The requirement in paragraph paragraph 39.7 is subject to paragraphs 39.10, 39.11, 39.12 and 39.13.

39.9 The requirement in paragraph paragraph 39.7 and paragraphs 39.10, 39.11, 39.12 and 39.13 apply only with effect from any date specified by the Secretary of State in a direction issued to the licensee in accordance with this paragraph.

Exception for Domestic and Designated Premises – Current Transformer Meters

39.10 The requirement in paragraph 39.7 does not apply in respect of any Domestic Premises or Designated Premises at which either:

- (a) the existing Electricity Meter is a Current Transformer Electricity Meter; or
- (b) any New Electricity Meter or Replacement Electricity Meter installed or arranged to be installed by the licensee is a Current Transformer Electricity Meter,

and where in either case:

- (c) that Current Transformer Electricity Meter meets any requirements which apply to it by virtue of paragraph 12.24 or 12.26 of standard condition 12 (Matters relating to Electricity Meters).

Exception for Designated Premises - Advanced Meter Arrangements

39.11 The requirement in paragraph 39.7 does not apply in respect of any Designated Premises where:

- (a) the licensee or any other person has, prior to the Relevant Date, made arrangements for an Advanced Meter to be installed at that Designated Premises;
- (b) the obligation under those arrangements to install an Advanced Meter is to be satisfied by a date which is also prior to the Relevant Date; and
- (c) the Relevant Date has not yet occurred.

Exception for Designated Premises – Advanced Meter Contract

39.12 The requirement in paragraph 39.7 does not apply in respect of any Designated Premises:

- (a) where:

- (i) the licensee or any other person has, prior to 6 April 2016, entered into a contract with the Customer at the Designated Premises to install or arrange the installation of an Advanced Meter at that Designated Premises; and
 - (ii) the obligation under that contract is for the Advanced Meter to be installed prior to 1 January 2021; and
- (b) where both:
 - (i) the Advanced Meter has not yet been installed at the Designated Premises pursuant to the contract; and
 - (ii) 1 January 2021 has not yet occurred.

Exception for Designated Premises – Non-Domestic, Non-Micro Business Consumers

39.13 The requirement in paragraph 39.7 does not apply in respect of any Designated Premises:

- (a) that are Designated Premises of a Non-MB Consumer; and
- (b) where:
 - (i) the licensee or any other person has either made arrangements for an Advanced Meter to be installed at the Designated Premises or entered into a contract with the Non-MB Consumer to install or arrange the installation of an Advanced Meter at the Designated Premises;
 - (ii) the licensee has communicated, in plain and intelligible language, the Relevant Information to the Non-MB Consumer;
 - (iii) the Non-MB Consumer has chosen not to have, or where there is installed at the Designated Premises a Smart Metering System has chosen not to continue to have, a Smart Metering System installed at the Designated Premises; and
 - (iv) the Replacement Electricity Meter or New Electricity Meter installed or arranged to be installed at the Designated Premises is an Advanced Meter.

PART C – DUTIES AFTER INSTALLATION AND DEFINITIONS

The duties after installation maintenance and replacement of Smart Metering Systems

39.14 Paragraphs 39.15 to 39.20 apply to the licensee in respect of each Domestic Premises and Designated Premises at which:

- (a) it is the Relevant Electricity Supplier; and
- (b) there is installed a Smart Metering System,

(the **relevant premises**).

Maintenance of Smart Metering Systems

39.15 Where this paragraph applies, the licensee must take all reasonable steps to ensure that the Smart Metering System at the relevant premises is maintained so that at all times it satisfies the requirements in the Metering Equipment Section of a Version of the SME Technical Specification which is:

- (a) within its Maintenance Validity Period;
- (b) the same Version in respect of all devices (but excluding for this purpose a Communications Hub) comprised within that Smart Metering System;
- (c) where the Smart Metering System at the premises includes a Communications Hub, Compatible with the Version of the CH Technical Specification in accordance with which that Communications Hub is maintained by the DCC;
- (d) where there is installed at the relevant premises a PPMID, Compatible with the Version of the PPMID Technical Specification in accordance with which that PPMID is maintained by the licensee;
- (e) where there is installed at the relevant premises a HCALCS, Compatible with the Version of the HCALCS Technical Specification in accordance with which that HCALCS is maintained by the licensee; and
- (f) where there is provided at the relevant premises an IHD, Compatible with the Version of the IHD Technical Specification in accordance with which that IHD is maintained by the licensee during the period which it is required to be maintained.

39.16 The requirement in paragraph 39.15 is subject to paragraphs 39.17, 39.19 and 39.20.

39.17 Where on any given date a Smart Metering System is maintained by the licensee such that it satisfies the requirements in the Metering Equipment Section of a particular Version of the SME Technical Specification, the licensee must take all reasonable steps to ensure that the Smart Metering System is not subsequently maintained by the licensee so as to satisfy the requirements of an earlier Version (as indicated by a lower Principal Version number and/or a lower Sub-Version number) of the SME Technical Specification notwithstanding that any such earlier Version may otherwise meet the requirements of paragraph 39.15.

Replacement of Smart Metering Systems

39.18 Paragraph 39.19 applies where:

- (a) paragraph 39.15 applies to the licensee in respect of a Smart Metering System at relevant premises;
- (b) the licensee removes or arranges to remove that Smart Metering System from the relevant premises in its entirety; and

- (c) the licensee installs or arranges to be installed at the relevant premises, by way of replacement (whether in accordance with the requirement of paragraph 39.7(a) or otherwise), a new Smart Metering System.

39.19 Where this paragraph applies the licensee must ensure that any Smart Metering System that is installed or arranged to be installed by it at the relevant premises, by way of replacement, satisfies the requirements in the Metering Equipment Section of a Version of the SME Technical Specification which is:

- (a) the same Version in respect of all devices (but excluding for this purpose a Communications Hub) comprised in the Smart Metering System; and
- (b) compliant with paragraph 39.20.

39.20 A Version of the SME Technical Specification is compliant with this paragraph where it is not earlier than the latest Version in accordance with which the licensee was required to maintain the Smart Metering System previously installed at the relevant premises by virtue of paragraph 39.17 (and, for these purposes, an earlier Version of the SME Technical Specification is indicated by a lower Principal Version and/or Sub-Version number, and a later Version by a higher Principal Version and/or Sub-Version number).

Exception

39.21 The requirement in paragraph 39.15 does not apply in respect of any part of a Smart Metering System which consists of a Communications Hub.

Definitions

39.22 For the purposes of this condition:

Advanced Meter	means an Electricity Meter which satisfies the definition of 'advanced meter' in paragraph 12.19 of standard condition 12 (Matters relating to Electricity Meters) but which does not form part of a Smart Metering System.
Domestic Energy Premises	means premises which (with respect to the supply of electricity) are Domestic Premises, or (with respect to the supply of gas) satisfy the definition of 'Domestic Premises' at standard condition 6 of the Gas Supply Licence.
Energy Supplier	means the holder of an Electricity Supply Licence and/or a Gas Supply Licence.
Large Energy Supplier	means an Energy Supplier which is not a Small Energy Supplier.
Micro Business Energy Consumer	means a consumer of electricity or gas (or both) that is a Micro Business Consumer in accordance with:

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- (a) the meaning given to the term Micro Business Consumer in standard condition 7A (Supply to Micro Business Consumers); or
- (b) the meaning given to the term Micro Business Consumer in standard condition 7A of the Gas Supply Licence.

New Electricity Meter	means the Electricity Meter that is the first Electricity Meter to be installed or arranged to be installed at the relevant premises.
Non-MB Consumer	means a Non-Domestic Customer that is not a Micro Business Energy Consumer.
Relevant Date	means: <ul style="list-style-type: none"> (a) where the licensee is a Large Energy Supplier, 28 April 2017; and (b) where the licensee is a Small Energy Supplier, 17 August 2017, or (in either case) such later date as the Secretary of State may direct.
Relevant Information	means information, which shall be accurate, comprehensive and not misleading, on the factors the Non-MB Consumer should reasonably take into account in deciding whether to have an Advanced Meter or a Smart Metering System installed at the Designated Premises.
Replacement Electricity Meter	means an Electricity Meter that replaces an Electricity Meter previously installed at the relevant premises.
Small Energy Supplier	means an Energy Supplier which supplied (whether with electricity, or gas, or both) fewer than 250,000 (two hundred and fifty thousand) Domestic Energy Premises on 15 February 2015.

Condition 40: Provision of an In-Home Display

The general duty

- 40.1 The licensee must, where it installs or arranges for the installation of a Smart Metering System at any Domestic Premises on or after the Smart Metering Designated Date, ensure that it:
- (a) provides to the Domestic Customer at the premises complete and accurate information, which does not mislead the Domestic Customer, concerning the availability and benefits of an In-Home Display;
 - (b) communicates that information in plain and intelligible language;
 - (c) offers the Domestic Customer the opportunity to have an In-Home Display provided at the Domestic Premises on or after the HAN Date;
 - (d) where the Domestic Customer accepts the offer, provides an In-Home Display at the premises, on or as soon as reasonably practicable after the HAN Date, and
 - (e) where it provides an In-Home Display pursuant to sub-paragraph (d), provides an In-Home Display that is of a type that is capable of being connected, through the HAN to the Smart Metering System at the premises, at the Specified Frequency Band, unless it would be technically impracticable for an In-Home Display provided at the premises to be so connected (and for the purposes of this sub-paragraph (e) it shall be considered to be technically impracticable for an In-Home Display provided at the premises to be so connected where any such connection cannot be made without the installation of additional equipment or the relocation of any part of the Smart Metering System at the premises).
- 40.2 The requirement in paragraph 40.1 is subject to paragraph 40.5 and 40.9(a).

Duty in relation to the Offer of an In-Home Display

- 40.3 This paragraph has effect from 1 July 2016 and applies where:
- (a) pursuant to its obligation in paragraph 40.1, the licensee offers the Domestic Customer the opportunity to have an In-Home Display provided at the Domestic Premises; and
 - (b) prior to the Domestic Customer accepting or rejecting the opportunity to have an In-Home Display provided, the licensee also offers the Domestic Customer the opportunity to have provided to him an alternative to an In-Home Display.
- 40.4 Where paragraph 40.3 applies the licensee must:
- not make it a condition of the offer referred to in paragraph 40.3(b) that in order to accept it the Domestic Customer must reject, or may not accept, the Licensee's offer, made pursuant to paragraph 40.1(c); and

ensure that the licensee's offer to provide an alternative to an In-Home Display does not in any way detract from, or otherwise undermine, the licensee's offer, made in accordance with and pursuant to paragraph 40.1(c).

Exception to the general duty – Existing In-Home Display

- 40.5 The licensee is not required to comply with paragraph 40.1 if a device has been provided by any person at the Domestic Premises which on the date on which the Smart Metering System is installed at the Domestic Premises constitutes an In-Home Display.

The duty on request of Domestic Customers

- 40.6 Where paragraph 40.7 applies, the licensee must:

- (a) take all reasonable steps to provide, at Domestic Premises in respect of which it is the Relevant Electricity Supplier, an In-Home Display; and
- (b) where it provides an In-Home Display pursuant to sub-paragraph (a), ensure that the In-Home Display it provides is of a type that is capable of being connected, through the HAN to the Smart Metering System at the premises, at the Specified Frequency Band, unless it would be technically impracticable for an In-Home Display provided at the premises to be so connected (and for the purposes of this sub-paragraph (b) it shall be considered to be technically impracticable for an In-Home Display provided at the premises to be so connected where any such connection cannot be made without the installation of additional equipment or the relocation of any part of the Smart Metering System at the premises).

- 40.7 This paragraph applies where:

- (a) the Domestic Customer at premises in respect of which the licensee is the Relevant Electricity Supplier makes a request for the licensee to provide an In-Home Display within the Relevant Period; and
- (b) prior to that request an In-Home Display has not been provided at the premises.

- 40.8 The requirement in paragraph 40.6 is subject to paragraphs 40.6 and 40.9(a) and 40.17.

Exception to the general duty and the duty on request- Derogation from the Secretary of State

- 40.9 Where the Secretary of State gives a direction to the licensee under paragraph 40.10, the licensee:

- (a) is not required to comply with paragraphs 40.1 and 40.6 to such extent and subject to such conditions as specified in the direction; and
- (b) must submit to the Secretary of State the evidence specified in the direction by the Relevant Date.

- 40.10 The Secretary of State may give a direction to the licensee under this paragraph where the licensee submits, on or before 30 September 2016, an application to the Secretary of State for a derogation from the requirements in paragraphs 40.1 and 40.6.
- 40.11 Paragraph 40.12 applies where:
- (a) the licensee is notified of a Proposed Supplier Transfer in respect of the premises of a Domestic Customer for which it is the Relevant Electricity Supplier; and
 - (b) the licensee has provided to that Domestic Customer an alternative to an In-Home Display pursuant to and in accordance with a direction given by the Secretary of State under paragraph 40.10.
- 40.12 Where this paragraph applies, the licensee must ensure that it offers, as soon as reasonably practicable after receiving the notification referred to in paragraph 40.11(a), the Domestic Customer the opportunity to have an In-Home Display provided at the Domestic Premises.

The duty during the Relevant Period on and after provision of an IHD

- 40.13 The licensee must take all reasonable steps to ensure that, at each Domestic Premises in respect of which it is the Relevant Electricity Supplier and at which an In-Home Display has been provided, the In-Home Display is at all times during the Relevant Period maintained so that it satisfies the requirements of a Version of the IHD Technical Specification which is:
- (a) within its Maintenance Validity Period; and
 - (b) where there is installed at the relevant premises a Smart Metering System:
 - (i) Compatible with the Version of the SME Technical Specification which includes the Metering Equipment Section in accordance with which that Smart Metering System is maintained by the licensee; and
 - (ii) where the Smart Metering System includes a Communications Hub, Compatible with the Version of the CH Technical Specification in accordance with which that Communications Hub is maintained by the DCC.
- 40.14 The requirement in paragraph 40.13 is subject to paragraph 40.15 and 40.18.
- 40.15 Where on any given date during the Relevant Period an In-Home Display is maintained by the licensee such that it satisfies the requirements of a particular Version of the IHD Technical Specification, the licensee must take all reasonable steps to ensure that the In-Home Display is not subsequently maintained by the licensee so as to satisfy the requirements of an earlier Version (as indicated by a lower Principal Version number and/or a lower Sub-Version number) of the IHD Technical Specification notwithstanding that any such earlier Version may otherwise meet the requirements of paragraph 40.13.

The duty to deal with IHD faults

40.16 Where:

- (a) the licensee is notified that there is a fault in an In-Home Display provided at a Domestic Premises in respect of which it is the Relevant Electricity Supplier;
- (b) the consequence of the fault is that the In-Home Display no longer satisfies the minimum requirements of any Version of the IHD Technical Specification with which it is required to be maintained in accordance with paragraph 40.13;
- (c) the Smart Metering System at the Domestic Premises was installed:
 - (i) on or after the Smart Metering Designated Date; and
 - (ii) no more than 12 months prior to the date on which the licensee is notified of the fault; and
- (d) the licensee is in its reasonable opinion satisfied that the fault in the In-Home Display is not due to a failure by the Domestic Customer to take all reasonable steps to keep the In-Home Display in good working order,

the licensee must take all reasonable steps to repair or replace the faulty In-Home Display.

40.17 The requirement in paragraph 40.16 is subject to paragraph 40.18.

Exceptions

40.18 Paragraphs 40.6, 40.13 and 40.16 apply:

- (a) in all cases in respect of any Domestic Premises at which the licensee installed or arranged for the installation of the Smart Metering System; and
- (b) in any other case, only from such date and to such extent as specified in a direction issued by the Secretary of State under this sub-paragraph.

Definitions

40.19 For the purposes of this condition:

Relevant Date means the date specified, in the direction given to the licensee by the Secretary of State under paragraph 40.9, as the date by which the licensee must submit to the Secretary of State the evidence specified in the direction.

Relevant Period

means, in respect of a Smart Metering System installed on or after the Smart Metering Designated Date:

- (a) the period which commences on the date on which the Smart Metering System is installed at the Domestic Premises and ends 12 months after that date; or
- (b) where the HAN Date is a date that is later than the Installation Date of the Smart Metering System, the period which commences on the HAN Date and ends 12 months after that date."

Condition 41: Smart Metering Installation and Installation Code of Practice – Domestic Customers

Application

- 41.1 This Condition applies only where the licensee supplies or offers to supply electricity to Domestic Premises.

The Objectives and the duty to achieve them

- 41.2 The objectives of this Condition (the Objectives) are to ensure that:
- (a) the licensee and any Representative provides and maintains a standard of service which helps to ensure that Domestic Customers' experience of the installation of Smart Metering Systems at their premises meets their reasonable expectations;
 - (b) all activities undertaken by the licensee and any Representative in relation to the installation of Smart Metering Systems are conducted in a fair, transparent, appropriate and professional manner;
 - (c) Domestic Customers are given information about, and during, the installation of Smart Metering Systems which:
 - (i) is complete and accurate;
 - (ii) does not mislead them; and
 - (iii) informs them about the benefits of Smart Metering Systems and about what to expect in relation to the installation process; and
 - (d) Domestic Customers are not subject to unwelcome Marketing during any visit to their premises for the purposes of installing Smart Metering Systems.
- 41.3 The licensee shall take all reasonable steps:
- (a) to secure the achievement of the Objectives; and
 - (b) to avoid doing anything which jeopardises its ability to achieve the Objectives.

The Domestic Installation Code

- 41.4 The steps that the licensee must take to secure the achievement of the Objectives include, without limitation, taking such steps and doing such things as are within its power to ensure that the Retail Energy Code contains provisions to be known as the Domestic Installation Code of Practice (Domestic Installation Code):-
- ~~(a) together with all other licensed electricity and gas suppliers of Domestic Premises; and~~
 - ~~(b) by no later than one month after this Condition takes effect (or such later date as the Authority may direct) (the relevant period);~~

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~~prepare and submit for approval to the Authority a document to be known as the Domestic Installation Code of Practice (Domestic Installation Code).~~

41.5 ~~(Not used).~~

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- ~~(a) the licensee, together with all other licensed electricity and gas suppliers of Domestic Premises, does not submit a Domestic Installation Code to the Authority within the relevant period; or~~
- ~~(b) the Authority does not approve the Domestic Installation Code submitted to it, the Authority may:~~
- ~~(c) designate a Domestic Installation Code which shall apply for the purposes of this Condition; or~~
- ~~(d) in the case of sub paragraph (b), issue a direction requiring the licensee and all other licensed electricity and gas suppliers of Domestic Premises to make such modifications to the Domestic Installation Code which has been submitted to it as are specified in the direction.~~

Content of the Domestic Installation Code

- 41.6 The Domestic Installation Code must include provisions which set minimum standards of service for the installation, and activities relating to the installation, of Smart Metering Systems at Domestic Premises.
- 41.7 The Domestic Installation Code must include provisions which ensure that any costs that the licensee seeks to recover from Customers in relation to:
 - (a) the provision, configuration, installation or operation of the Smart Metering System at Domestic Premises;
 - (b) the replacement, modification or reconfiguration of the Smart Metering System at Domestic Premises in accordance with the duty at paragraph 39.14 of Condition 39 (Smart Metering System – Roll-out, Installation and Maintenance); or
 - (c) the provision of a replacement In-Home Display at Domestic Premises in accordance with the duty at paragraph 40.7 or paragraph 40.9 of Condition 40 (Provision of an In-Home Display),may be recovered from a Domestic Customer only in the circumstances described in either paragraph 41.8 or 41.9.
- 41.8 The circumstances described in this paragraph are that costs are borne by the licensee's Domestic Customers generally as an increment of charges for electricity supplied to them.
- 41.9 The circumstances described in this paragraph are that:

- (a) the Smart Metering System installed at Domestic Premises exceeds the minimum requirements of every Version of the SME or IHD Technical Specification which is within its Installation Validity Period and at the date of installation ('enhanced equipment');
- (b) the Domestic Customer at the premises has first been given the option of having installed at his premises a Smart Metering System which does not exceed such the minimum requirements; and
- (c) the Domestic Customer has, prior to the Smart Metering System being installed, expressly requested the installation of enhanced equipment.

41.10 The Domestic Installation Code must include provisions which will ensure that the licensee and its Representatives will:

- (a) not make any Sales Transaction during a visit to install a Smart Metering System at any Domestic Premises;
- (b) not undertake any Marketing during a visit to install a Smart Metering System unless the Domestic Customer has, in advance of the date of the installation visit, given his explicit consent to Marketing being undertaken during the installation visit; and
- (c) cease any Marketing being undertaken in compliance with sub-paragraph (b) immediately upon a request to do so made by the Domestic Customer or any member of his household.

41.11 The Domestic Installation Code must make provisions relating to the establishment and implementation of practices, procedures and arrangements by which the licensee and its Representatives will:

- (a) take all reasonable steps to ensure that no undue inconvenience is caused to Domestic Customers or other occupants of Domestic Premises as result of any visit made to Domestic Premises for the purposes of installing a Smart Metering System;
- (b) provide for Domestic Customers to be given complete and accurate information and advice, which is in plain and intelligible language and which does not mislead them, about:
 - (i) the installation, purpose, features and advantages of Smart Metering Systems;
 - (ii) the use of Smart Metering Systems, including such information as will assist Domestic Customers to make informed judgments about the way in which they can improve the efficiency with which they use the electricity and/or gas supplied to them; and
 - (iii) sources from which Domestic Customers may obtain additional and impartial information or assistance about improving the efficiency with which they use the electricity and/or gas supplied to them;

- (c) identify and meet the needs of specific Domestic Customer groups – including in particular Domestic Customers (or occupants of the Domestic Premises) who are of Pensionable Age, disabled or chronically sick – in relation to the installation (and provision of information relating to the installation) of Smart Metering Systems;
- (d) notify Domestic Customers of the Domestic Installation Code, of the provisions set out in it and of the licensee’s obligations under it; and
- (e) retain, and produce to the Authority when requested to do so, documentary evidence sufficient to demonstrate (where given) a Domestic Customer’s consent to Marketing being undertaken during the installation visit.

41.12 The Domestic Installation Code may make further provision in relation to any matters that are designed to secure the achievement of the Objectives.

41.13 The Domestic Installation Code may provide for particular provisions, as specified in it, not to apply in such cases or circumstances as are specified or described in the Domestic Installation Code.

41.14 ~~(Not used). The Domestic Installation Code must set out procedures for its review and revision which shall, as a minimum, provide for:~~

~~(a) the making of proposals by:~~

~~(i) the licensee and any other licensed electricity or gas supplier of Domestic Premises; and~~

~~(ii) Citizens Advice and Citizens Advice Scotland;~~

~~for revisions to the Domestic Installation Code;~~

~~(b) a requirement to obtain the approval of the Authority to proposed revisions to the Domestic Installation Code; and~~

~~(c) the Authority;~~

~~(i) at any time to require the licensee, together with all other licensed electricity and gas suppliers of Domestic Premises, to review such features of the Domestic Installation Code as it may specify (‘the specified features’);~~

~~(ii) following such a review, to issue a direction requiring the licensee and all other licensed electricity and gas suppliers of Domestic Premises to make such modifications to any of the specified features as it may direct.~~

Consultation on the Domestic Installation Code

41.15 ~~(Not used). The licensee must:~~

~~(a) before submitting the Domestic Installation Code or any proposed revisions to it to the Authority for its approval; and~~

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~~(b) in carrying out any review of the Domestic Installation Code, consult with, and consider any representations made by, Citizens Advice and Citizens Advice Scotland and any other person or body likely to be affected.~~

Compliance with the Domestic Installation Code

41.16 The licensee must take such steps and do such things as are within its power to comply with the Domestic Installation Code ~~approved or designated (as the case may be) by the Authority.~~

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41.17 The licensee must maintain a record of its performance against and compliance with the requirements of the Domestic Installation Code.

Review of the Domestic Installation Code

41.18 The licensee must from time to time:

- (a) take steps to obtain the views of Domestic Customers about the licensee's and its Representatives' activities and conduct relating to the installation of Smart Metering Systems; and
- (b) together with all other licensed electricity and gas suppliers of Domestic Premises, review the Domestic Installation Code and the manner in which it has been operated with a view to determining, taking into account views received, whether any revisions should be made to it.

41.19 ~~(Not used) Where, within 30 working days of the licensee submitting to the Authority the proposed revisions to the Domestic Installation Code, the Authority has not given:~~

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- ~~(a) its approval in Writing to the proposed revisions;~~
- ~~(b) Notice to the licensee that it is withholding approval; or~~
- ~~(c) Notice to the licensee that it is unable to reach a decision on whether to approve or withhold approval within the 30 working day period but that it will aim to do so within the timescale set out in the Notice,~~

~~the proposed revisions submitted to the Authority shall be treated as having been approved by the Authority and incorporated into the Domestic Installation Code.~~

41.20 ~~(Not used) As soon as practicable following the Authority's approval or designation of the Domestic Installation Code (including following any revision to it), the licensee must take steps to ensure that an up-to-date copy of the approved or designated (as the case may be) Domestic Installation Code is:~~

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- ~~(a) sent to the Authority and Citizens Advice and Citizens Advice Scotland; and~~
- ~~(b) made readily accessible, including by being published on and made readily accessible from its Website (if it has one).~~

- 41.21 The licensee shall give, free of charge and as soon as practicable following the request, a copy of the most up-to-date approved or designated (as the case may be) Domestic Installation Code to any person that requests it.

Interpretation and Definitions

- 41.22 In this Condition, any reference to Smart Metering System shall be read as incorporating a reference to:

- (a) any part of that system; and
- (b) an In-Home Display.

- 41.23 In this condition any reference to 'installation' shall be read:

- (a) in the context of a Smart Metering System, as incorporating a reference to the establishment of the HAN and the making of a connection to the SM WAN at Domestic Premises, and the words 'install', 'installed' and 'installing' shall be construed accordingly;
- (b) in the context of an In-Home Display, as incorporating a reference to the provision of that In-Home Display, and the words 'install', 'installed' and 'installing' shall be construed accordingly.

- 41.24 For the purposes of this Condition:

Marketing means any activities of the licensee or any of its Representatives that:

- (a) take place with the simultaneous physical presence of:
 - (i) the licensee or the Representative; and
 - (ii) a Domestic Customer or any member of his household; and
- (b) entail verbal communication with the Domestic Customer or any member of his household with the intention or effect of:
 - (i) promoting the provision by the licensee or any other named person of any goods and services; or
 - (ii) promoting the availability to the Domestic Customer or member of his household of any goods or services specifically designed for or tailored to his requirements,

but for these purposes:

- (a) 'promoting' shall be taken to exclude the activity of agreeing or arranging, at the request of the Domestic

Customer or a member of his household, any further communication with that person, at a date later than the date of the installation visit, in relation to the provision by the licensee or any other named person of any goods or services; and

- (b) 'goods or services' shall be taken to exclude any goods or services which are to be provided free of charge.

Sales Transaction means any transaction, whether in writing or otherwise, which involves a Domestic Customer or any member of his household:

- (a) paying a sum of money to any person in respect of the provision of goods or services; or
- (b) entering into a contract to pay a sum of money to any person in respect of the provision of goods or services.

Condition 42: Smart Metering Installation and Installation Code of Practice – Micro Business Consumers

The Objectives and the duty to achieve them

42.1 The objectives of this Condition (the **Objectives**) are to ensure that:

- (a) the licensee and any Representative provides and maintains a standard of service which helps to ensure that Micro Business Consumers' experience of the installation of Smart Metering Systems at their premises meets their reasonable expectations;
- (b) all activities undertaken by the licensee and any Representative in relation to the installation of Smart Metering Systems are conducted in a fair, transparent, appropriate and professional manner; and
- (c) Micro Business Consumers are provided with information about, or during, the installation of Smart Metering Systems which:
 - (i) is complete and accurate;
 - (ii) does not mislead them; and
 - (iii) informs them about the benefits of the Smart Metering Systems and about what to expect in relation to the installation process.

42.2 The licensee shall take all reasonable steps:

- (a) to secure the achievement of the Objectives; and
- (b) to avoid doing anything which jeopardises its ability to achieve the Objectives.

The Installation Code

42.3 The steps that the licensee must take to secure the achievement of the Objectives include, without limitation, taking such steps and doing such things as are within its power to ensure that the Retail Energy Code contains provisions to be known as the Installation Code of Practice (**Installation Code**):-

- ~~(a) together with all other licensed electricity and gas suppliers; and~~
 - ~~(b) by no later than one month after this Condition takes effect (or such later date as the Authority may direct) (the **relevant period**);~~
- ~~prepare and submit for approval to the Authority a document to be known as the Installation Code of Practice (**Installation Code**).~~

42.4 ~~(Not used).~~

- ~~(a) the licensee, together with all other licensed electricity and gas suppliers, does not submit an Installation Code to the Authority within the relevant period; or~~

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~~(b) the Authority does not approve the Installation Code submitted to it,~~

~~the Authority may:~~

~~(i) designate an Installation Code which shall apply for the purposes of this Condition; or~~

~~(ii) in the case of sub-paragraph (b), issue a direction requiring the licensee and all other licensed electricity and gas suppliers to make such modifications to the Installation Code which has been submitted to it as are specified in the direction.~~

Content of the Installation Code

42.5 The Installation Code must make provision relating to the establishment and implementation of practices, procedures and arrangements by which the licensee and its Representatives will:

- (a) take all reasonable steps to ensure that no undue inconvenience is caused to Micro Business Consumers as a result of any visit made to their premises for the purposes of installing Smart Metering Systems; and
- (b) provide for Micro Business Consumers to be given complete and accurate information and advice, which is in plain and intelligible language and which does not mislead them, about:
 - (i) the installation, purpose, features and advantages of Smart Metering Systems;
 - (ii) the use of Smart Metering Systems, including such information as will assist Micro Business Consumers to make informed judgements about the way in which they can improve the efficiency with which they use the electricity and/or gas supplied to them; and
 - (iii) sources from which Micro Business Consumers may obtain additional information or assistance about improving the efficiency with which they use the electricity and/or gas supplied to them.

42.6 The Installation Code may make further provision in relation to any matters that are designed to secure the achievement of the Objectives.

42.7 The Installation Code may provide for particular provisions, as specified in it, not to apply in such cases or circumstances as are specified or described in the Installation Code.

42.8 ~~(Not used). The Installation Code must set out procedures for its review and revision which shall, as a minimum, provide for:~~

~~(a) the making of proposals by:~~

~~(i) the licensee and any other licensed electricity or gas supplier; and~~

~~(ii) Citizens Advice and Citizens Advice Scotland,~~

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~~for revisions to the Installation Code;~~

~~(b) a requirement to obtain the approval of the Authority to proposed revisions to the Installation Code; and~~

~~(c) the Authority;~~

~~(i) at any time to require the licensee, together with all other licensed electricity and gas suppliers, to review such features of the Installation Code as it may specify ('the specified features');~~

~~(ii) following such a review, to issue a direction requiring the licensee and all other licensed electricity and gas suppliers to make such modifications to any of the specified features as it may direct.~~

Consultation on the Installation Code

42.9 ~~(Not used). The licensee must:~~

~~(a) before submitting the Installation Code or any proposed revisions to it to the Authority for its approval; and~~

~~(b) in carrying out any review of the Installation Code;~~

~~consult with, and consider any representations made by, Citizens Advice and Citizens Advice Scotland and any other person or body likely to be affected.~~

Compliance with the Installation Code

42.10 The licensee must take such steps and do such things as are within its power to comply with the Installation Code ~~approved or designated (as the case may be) by the Authority.~~

42.11 The licensee must maintain a record of its performance against and compliance with the requirements of the Installation Code.

Review of the Installation Code

42.12 The licensee must from time to time:

(a) take steps to obtain the views of Micro Business Consumers about the licensee's and its Representatives' activities and conduct relating to the installation of Smart Metering Systems; and

(b) together with all other licensed electricity and gas suppliers, review the Installation Code and the manner in which it has been operated with a view to determining, taking into account views received, whether any revisions should be made to it.

42.13 ~~(Not used). Where, within 30 working days of the licensee submitting to the Authority the proposed revisions to the Installation Code, the Authority has not given:~~

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- ~~(a) its approval in Writing to the proposed revisions;~~
 - ~~(b) Notice to the licensee that it is withholding approval; or~~
 - ~~(c) Notice to the licensee that it is unable to reach a decision on whether to approve or withhold approval within the 30 working day period but that it will aim to do so within the timescales specified in the Notice;~~
- ~~the proposed revisions submitted to the Authority shall be treated as having been approved by the Authority and incorporated into the Installation Code.~~
- 42.14 (Not used). ~~As soon as practicable following the Authority's approval or designation of the Installation Code (including following any revision to it), the licensee must take steps to ensure that an up-to-date copy of the approved or designated (as the case may be) Installation Code is:~~
- ~~(a) sent to the Authority and the Citizens Advice and Citizens Advice Scotland; and~~
 - ~~(b) made readily accessible, including by being published on and made readily accessible from its Website (if it has one).~~
- 42.15 The licensee shall give, free of charge and as soon as practicable following the request, a copy of the most up-to-date approved or designated (as the case may be) Installation Code to any person that requests it.

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Interpretation and Definitions

42.16 In this Condition:

- (a) any reference to Smart Metering System shall be read as incorporating a reference to any part of that system; and
- (b) in the context of a Smart Metering System any reference to 'installation' shall be read as incorporating a reference to the establishment of the HAN and the making of a connection to the SM WAN at Designated Premises of Micro Business Consumers, and the words 'install', 'installed' and 'installing' shall be construed accordingly.

42.17 For the purposes of this Condition:

Micro Business Consumer	has the meaning given to it in standard condition 7A (Supply to Micro Business Consumers).
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Condition 43: Roll-out Reporting and Provision of Information to the Secretary of State

Introduction

- 43.1 This condition provides for the Secretary of State to be able to obtain from the licensee information in respect of matters relating to the provision, installation, operation, maintenance, and use of meters.

Purposes

- 43.2 The purposes of this condition are to ensure that the Secretary of State may obtain such information as he may reasonably require to enable him, from time to time, to:
- (a) examine and assess the readiness of the licensee to comply with any Relevant SMS Condition;
 - (b) monitor and review the steps taken, or to be taken, by the licensee to:
 - (i) install, arrange for the installation of, or provide (as the case may be) Smart Metering Systems and In-Home Displays in accordance with the requirements of any Relevant SMS Condition;
 - (ii) promote awareness and understanding by Energy Consumers of the use of Smart Metering Systems and In-Home Displays (and information that may be obtained through them);
 - (c) evaluate:
 - (i) the scope and effectiveness of the licensee's consumer engagement activities; and
 - (ii) the implementation of the Consumer Engagement Plan;
 - (d) identify and evaluate the costs associated with, and benefits attributable to, the provision, installation, operation, maintenance, and use of Smart Metering Systems and In-Home Displays, including in particular:
 - (i) energy savings made as a result of Energy Consumers being able to better manage their energy consumption and expenditure;
 - (ii) cost savings and improvements in services resulting from changes made to energy industry activities and procedures;
 - (e) decide whether or when there may be a need for him to exercise any of his powers under any Relevant SMS Condition or section 88 of the Energy Act 2008; and
 - (f) publish information in respect of the matters set out in paragraphs (a) – (e).

Information Request

- 43.3 The Secretary of State may, for the purposes of this condition, from time to time issue a request for Information to be provided to him (an **Information Request**).
- 43.4 An Information Request (or any part of it) may be addressed to the licensee alone, to all Electricity Suppliers or to a category of Electricity Suppliers.
- 43.5 An Information Request may in particular, where the licensee supplies electricity to at least 250,000 Domestic Customers, require the licensee to provide:
- (a) on an annual basis (and at such other times as may be specified in the Information Request) Information in respect of:
 - (i) its proposals, plans and projections for meeting its duties in relation to the provision, installation, operation, and maintenance of Smart Metering Systems and In-Home Displays; and
 - (ii) its progress against the proposals, plans and projections included in the previous year's roll-out report,(together the **roll-out report**); and
 - (b) for such periods and at such frequency as may be specified in the Information Request, Information which sets out the licensee's progress against the proposals, plans and projections included in its latest roll-out report (the **monitoring report**).
- 43.6 An Information Request may in particular, where the licensee supplies electricity to fewer than 250,000 Domestic Customers or supplies electricity only to Non-Domestic Customers, require it to provide on an annual basis (and at such other times as may be specified in the Information Request) Information in respect of its proposals for and progress towards meeting its duties in relation to the provision, installation, operation, and maintenance of Smart Metering Systems and In-Home Displays (the **progress report**).
- 43.7 An Information Request may in particular specify:
- (a) the type and nature of Information to be provided, including in particular the type and nature of Information to be provided in a roll-out report, monitoring report, and progress report;
 - (b) that the Information is to be accompanied by such supporting documents or data as may be described;
 - (c) that all or some of the specified Information must continue to be provided at the intervals specified until such data as specified or until the Secretary of State issues a subsequent Information Request to the licensee or the category of Electricity Suppliers of which it is a member; and
 - (d) the form and manner in which, and the date by which, the specified Information is to be provided.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

- 43.8 The licensee must comply with an Information Request addressed to it or to a category of Electricity Suppliers of which it is a member.
- 43.9 The licensee must ensure that the Information it provides in response to an Information Request is complete and accurate.
- 43.10 The licensee is not required under this condition to provide any Information which it could not be compelled to produce or give in evidence in civil proceedings before a court.

Cessation

- 43.11 This condition shall cease to apply to the licensee from the date which is 12 months after the date specified in paragraph 39.1 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).

Interpretation and Definitions

- 43.12 In this condition:

Consumer Engagement Plan	has the meaning given to it in standard condition 45 (Smart Metering Consumer Engagement).
Energy Consumer	means a consumer of gas or electricity.
Information	includes information in any form or medium and of any description specified by the Secretary of State and includes any documents, accounts, estimates, returns, records or reports and data of any kind, whether or not prepared specifically at the request of the Secretary of State.
Relevant SMS Condition	means any condition of this licence which imposes obligations or contains provisions in respect of any matter relating to the provision, installation, operation, maintenance, or use of a Smart Metering System or an In-Home Display.

Condition 44: Roll-out Reporting, Setting and Achieving Annual Milestones, and Provision of Information to the Authority

Introduction

44.1 This condition provides for:

- (a) the licensee to be required to prepare and provide to the Authority a Roll-out Plan, to report against the Roll-out Plan, and to set and achieve Annual Milestones, and
- (b) the Authority to be able to obtain from the licensee information in respect of matters relating to the provision, installation, operation, maintenance and use of Smart Metering Systems, In-Home Displays and Relevant Electricity Meters and the licensee's achievement of the Annual Milestones.

Purposes

44.2 The purposes of this condition are to:

- (a) provide that the Authority may require the licensee to prepare a Roll-out Plan which includes Annual Milestones;
- (b) require the licensee to achieve the Annual Milestones set out in the Roll-out Plan; and
- (c) ensure that the Authority may obtain such information as it may reasonably require to enable it, from time to time, to:
 - (i) examine and assess the readiness of the licensee to comply with any Relevant SMS Condition;
 - (ii) monitor and review the steps taken or to be taken by the licensee to install, arrange for the installation of, or provide (as the case may be) Smart Metering Systems, In-Home Displays and Relevant Electricity Meters in accordance with the requirements of any Relevant SMS Condition; and
 - (iii) monitor the licensee's achievement of the Annual Milestones set out in the Roll-out Plan.

Roll-out Plan and Progress Reports

44.3 Where directed by the Authority, the licensee must prepare and submit to the Authority, by a date specified in a direction issued by the Authority, a document (the **Roll-out Plan**) which contains:

- (a) where the licensee is required to provide a roll-out report to the Secretary of State under standard condition 43 (Roll-out Reporting and Provision of Information to the Secretary of State), such parts of the roll-out report as are specified in the direction;

- (b) Annual Milestones, set by the licensee for such period as may be specified in the direction, for the purposes of the licensee complying with its duties in relation to the provision, installation, operation, maintenance and use of Smart Metering Systems, In-Home Displays and Relevant Electricity Meters;
- (c) a detailed explanation of the licensee's reasons, which reasons must be duly justified, for the Annual Milestones set by it; and
- (d) such other Information as may be specified in the direction.

44.4 The licensee must achieve the Annual Milestones set out in its Roll-out Plan.

44.5 For the purposes of paragraph 44.4, the licensee shall be considered to have achieved an Annual Milestone set out in its Roll-out Plan where, by the end of the calendar year to which that Annual Milestone relates, it has reached at least 95% (or such lower percentage as may be specified in any direction issued to the licensee by the Authority) of that Annual Milestone.

44.6 The licensee may submit a revised Roll-out Plan to the Authority only:

- (a) on, or in the seven days immediately following, each anniversary, occurring prior to 31 March 2018, of the date specified in the direction issued by the Authority in accordance with paragraph 44.3; or
- (b) where in response to a request from the licensee, the Authority has agreed that it may submit a revised Roll-out Plan.

44.7 Where, in accordance with paragraph 44.6, the licensee submits a revised Roll-out Plan, it must also set out its reasons, which reasons must be duly justified, for making the revisions together with such supporting Information as may be required by the Authority.

44.8 The licensee must prepare and submit to the Authority, in accordance with any direction issued to the licensee by the Authority and for such periods and at such frequency as may be specified in the direction, a report (the **Progress Report**) which sets out the licensee's progress and performance against the Roll-out Plan.

44.9 The licensee must publish its Roll-out Plan and any Progress Report, or such Information contained in the Roll-out Plan and any Progress Report, where it is directed to do so by the Authority.

Directions

44.10 The licensee must comply with any directions the Authority may issue for the purposes of this condition and which are addressed to it or to a category of Electricity Suppliers of which it is a member.

44.11 A direction issued by the Authority may, in particular, specify:

- (a) the format of the Roll-out Plan and the Progress Report;

- (b) the form and manner in which the Annual Milestones need to be included in the Roll-out Plan;
- (c) the Information the licensee must include in the Progress Report, which may, where the licensee is required to provide a roll-out report to the Secretary of State under standard condition 43 (Roll-out Reporting and Provision of Information to the Secretary of State), include such parts of the roll-out report as are specified in the direction;
- (d) requirements in relation to the submission of the Roll-out Plan and Progress Reports to the Authority; and
- (e) the manner and method by which any specified Information from the Roll-out Plan and any Progress Report must be published by the licensee.

Information Request

- 44.12 Where the licensee receives a request for Information from the Authority for the purposes of this condition (an **Information Request**), it must provide that Information to the Authority within the time and in the form requested.
- 44.13 An Information Request issued by the Authority may in particular specify the type and nature of Information to be provided by the licensee, including in particular in respect of:
- (a) the readiness of the licensee to comply with any Relevant SMS Condition; and
 - (b) the steps taken or to be taken by the licensee to install, arrange for the installation of, or provide (as the case may be) Smart Metering Systems, In-Home Displays and Relevant Electricity Meters in accordance with the requirements of any Relevant SMS Condition.
- 44.14 The licensee must ensure that the Information it provides in response to an Information Request is complete and accurate.
- 44.15 The licensee is not required under this condition to provide any Information which it could not be compelled to produce or give in evidence in civil proceedings before a court.

Cessation

- 44.16 This condition shall cease to apply to the licensee from the date which is 12 months after the date specified in paragraph 39.1 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).

Interpretation

- 44.17 In this condition:

Annual Milestone	means a percentage of the total number of Domestic Premises and Designated Premises:
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- (a) in respect of which the licensee is the Relevant Electricity Supplier; and
- (b) at which a Smart Metering System or Relevant Electricity Meter is to be installed by the end of each calendar year falling within the period specified in a direction issued by the Authority under paragraph 44.3.

Progress Report

means a report submitted in accordance with paragraph 44.8.

Relevant Electricity Meter

means any Electricity Meter installed or arranged to be installed by the licensee pursuant to paragraphs 39.3, 39.4, 39.5, 39.7, 39.11, 39.12 or 39.13 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).

Relevant SMS Condition

means any condition of this licence which imposes obligations or contains provisions in respect of any matter relating to the provision, installation, operation maintenance or use of a Smart Metering System or an In-Home Display.

Roll-out Plan

means a plan submitted in accordance with paragraph 44.3, as it may be revised in accordance with paragraph 44.6.

Condition 45: Smart Metering Consumer Engagement

Introduction

- 45.1 This condition provides for holders of electricity and gas supply licences to be required to establish, support and monitor the work of a body which will carry out the role of consumer engagement in relation to Smart Metering Systems.

Application

- 45.2 This condition shall:
- (a) apply to the licensee only to the extent specified in paragraph 45.3; and
 - (b) cease to apply from such date as is specified in a direction issued by the Secretary of State.

- 45.3 Where the licensee is:

- (a) a Relevant Supplier, it is required to comply with Part A;
- (b) a Small Domestic Supplier, it is required to comply with Part B;
- (c) a Large Non-Domestic Supplier, it is required to comply with Part C; and
- (d) a Small Non-Domestic Supplier, it is required to comply with Part D.

PART A. REQUIREMENTS APPLICABLE TO THE LICENSEE WHERE IT IS A RELEVANT SUPPLIER

Duty to establish the Central Delivery Body

- 45.4 The licensee, together with all other Relevant Suppliers, must take such steps and do such things as are within its power:
- (a) to establish, by no later than 30 June 2013, a body corporate to carry out the role of consumer engagement in relation to Smart Metering Systems (referred to in this condition as the **Central Delivery Body**);
 - (b) to provide that the Central Delivery Body is constituted and governed so as to ensure that it:
 - (i) has the characteristics set out at Part A1;
 - (ii) has the objectives set out at Part A2;
 - (iii) procures the advice of experts as set out at Part A3; and
 - (iv) fulfils the functions set out at Part A4;
 - (c) to provide for the costs of the Central Delivery Body to be met as set out at Part A5, Part C and Part D.

Part A1. Characteristics of the Central Delivery Body

Constitution

45.5 The articles of association of the Central Delivery Body must as a minimum provide that:

- (a) it is a not-for-profit body;
- (b) its board of directions (the **Board**) is at all times constituted so as to reflect the provisions of paragraph 45.6;
- (c) the Secretary of State has a right of veto in respect of the appointment of any person as the Chairman of the Board;
- (d) any person nominated by and representing either:
 - (i) the Secretary of State; or
 - (ii) all Network Operators,

is entitled to attend, but not vote at, a meeting of the Board;

- (e) the Board will exercise independent judgment and reach its decisions in accordance with the requirements of paragraph 45.8;
- (f) its business shall be (and shall be limited to):
 - (i) achieving the objectives set out at Part A2;
 - (ii) achieving those objectives by taking such steps as are reasonably necessary and appropriate for carrying out the activities set out at Parts A3 and A4.

Composition of the Board

45.6 The Board shall comprise:

- (a) a Chairman appointed by the Relevant Suppliers;
- (b) six directors nominated by and representing Relevant Suppliers;
- (c) two directors nominated by and representing Small Domestic Suppliers;
- (d) one directors nominated by and representing Non-Domestic Suppliers;
- (e) one director nominated by and representing Non-Domestic-Only Suppliers; and
- (f) two directors nominated by Citizens Advice and Citizens Advice Scotland; and
- (g) two directors representing the interests of Energy Consumers.

- 45.7 The Chairman of the Board must be independent of any person or body that is represented by a director appointed in accordance with the provisions of paragraphs 45.6(b)-(g).

Decision-Making

- 45.8 A decision made by the Board must be made in accordance with a voting procedure which provides for:
- (a) each director, other than the Chairman, to exercise a single vote on each decision to be taken;
 - (b) decisions to be made by a simple majority; and
 - (c) the Chairman to be able to exercise a casting vote but only where the number of votes for and against a decision are equal.

Part A2. Objectives of the Central Delivery Body

Objectives

- 45.9 The objectives of the Central Delivery Body set out in paragraph 45.10 are to apply in respect of Energy Consumers at Domestic Energy Premises and Relevant Designated Premises.
- 45.10 The objectives of the Central Delivery Body are to:
- (a) build consumer confidence in the installation of Smart Metering Systems by gas and electricity suppliers;
 - (b) build consumer awareness and understanding of the use of Smart Metering Systems (and the information obtained through them);
 - (c) increase the willingness of Energy Consumers to use Smart Metering Systems to change their behaviour so as to enable them to reduce their consumption of energy; and
 - (d) in respect of Energy Consumers at Domestic Energy Premises only, assist those consumers with low incomes or prepayment meters, or consumers who may encounter additional barriers in being able to realise the benefits of Smart Metering Systems due to their particular circumstances or characteristics, to realise the benefits of Smart Metering Systems while continuing to maintain an adequate level of warmth and to meet their other energy needs.

Part A3. Arrangements for Obtaining Expert Advice

- 45.11 The Central Delivery Body shall establish arrangements for the purpose of ensuring that it is regularly provided with all appropriate advice that is:
- (a) concerned with the means by which it can most efficiently and effectively achieve its objectives; and

- (b) procured from such persons, as selected by it, who have widely recognised expertise in matters that are relevant to, and will assist in, the efficient and effective achievement of its objectives.

Part A4. Functions of the Central Delivery Body

45.12 The functions of the Central Delivery Body shall be to:

- (a) produce and maintain a plan for achieving its objectives (the **Consumer Engagement Plan**) which meets the requirements of paragraphs 45.13 to 45.16;
- (b) implement the Consumer Engagement Plan in accordance with paragraph 45.17;
- (c) develop and produce an annual budget for the delivery of the Consumer Engagement Plan which meets the requirements of paragraphs 45.18 and 45.19 (the **Annual Budget**);
- (d) produce a report on at least an annual basis (the Annual Report) which meets the requirements of paragraphs 45.20 and 45.21; and
- (e) publish the Consumer Engagement Plan, the Annual Budget and the Annual Report in accordance with paragraphs 45.22 and 45.23.

The Consumer Engagement Plan

45.13 The Consumer Engagement Plan must be in writing and must:

- (a) constitute a plan which is designed to ensure that the Central Delivery Body takes all appropriate steps to achieve its objectives;
- (b) describe the activities that the Central Delivery Body proposes to carry out for that purpose;
- (c) describe how the Central Delivery Body has taken into account the need to:
 - (i) co-ordinate its consumer engagement activities with consumer engagement activities undertaken by other parties in relation to Smart Metering Systems;
 - (ii) undertake a co-ordinated delivery of consumer engagement activities that reflects and takes into account the need to adopt different activities for the purpose of meeting the consumer engagement requirements of any class or classes of Energy Consumer, including Energy Consumers:
 - (A) paying by different payment methods;
 - (B) residing in different geographical areas;
 - (C) occupying different types of Domestic Energy Premises and Relevant Designated Premises; and

- (D) carrying on commercial activities at Relevant Designated Premises in respect of different sectors of the economy;
- (iii) provide additional assistance and consumer engagement activities that may be required by particular categories of Energy Consumers, including in particular Energy Consumers with low incomes or who may encounter additional barriers in being able to access the benefits of Smart Metering Systems due to their particular circumstances or characteristics; and
- (d) be designed to ensure that in carrying out its activities the Central Delivery Body does not restrict, distort or prevent competition in the supply of gas or electricity or in any commercial activities connected with Smart Metering Systems.

45.14 The Consumer Engagement Plan must be produced by no later than 31 December 2013.

45.15 The Central Delivery Body must keep the Consumer Engagement Plan under review, and must:

- (a) make appropriate amendments to it from time to time so that it continues to be accurate, up to date, and fit for purpose; and
- (b) in particular produce an amended version of the Consumer Engagement Plan which satisfies the requirements of sub-paragraph (a) within the period that commences on [the date on which this sub-paragraph (b) first comes into force] and ends on [the date which is three months later].

45.16 In producing, and prior to making any subsequent amendment to, the Consumer Engagement Plan, the Central Delivery Body:

- (a) must seek the advice of, and have due regard to the advice given by, the persons with whom it has made arrangements in accordance with the provisions of paragraph 45.11; and
- (b) may consult with, and have regard to the representations of, any other interested parties.

45.17 The Central Delivery Body must take such steps and do such things as are within its power:

- (a) to implement the Consumer Engagement Plan in accordance with its terms (as amended from time to time); and
- (b) to do so in an efficient and cost-effective manner that achieves value for money in the performance of its activities.

Annual Budget

45.18 The Central Delivery Body must:

- (a) by 31 December 2013, develop and produce the first Annual Budget in respect of the calendar year commencing on 1 January 2014; and
- (b) thereafter by 31 December in each subsequent year, develop and produce an Annual Budget in respect of the calendar year which commences immediately following that date.

45.19 Each Annual Budget must comprise a detailed statement of the best estimate of the Central Delivery Body, made in good faith, of all costs that it expects to incur for the purpose of undertaking its activities during the calendar year to which that Annual Budget relates.

Annual Report

45.20 The Central Delivery Body must:

- (a) by 31 March 2014, produce the first Annual Report in respect of the calendar year that commenced on 1 January 2013; and
- (b) thereafter by 31 March in each subsequent year, produce an Annual Report in respect of the calendar year that commenced on 1 January in the previous year.

45.21 Each Annual Report must in particular:

- (a) report on:
 - (i) the Central Delivery Body's performance; and
 - (ii) the extent to which, in the opinion of the Board, the steps taken by the Central Delivery Body to implement the Consumer Engagement Plan (as applicable during the period to which the Annual Report relates) have contributed to the achievement of its objectives during the period to which the Annual Report relates; and
- (b) set out the expenditure of the Central Delivery Body during that period and report on the extent to which, in the opinion of the Board, such expenditure represents value for money.

Publication

45.22 The Central Delivery Body must ensure that up to date copies of:

- (a) the Consumer Engagement Plan;
- (b) the Annual Budget; and
- (c) the Annual Report,

are at all times made available by it in a form that is readily accessible to most Energy Consumers.

45.23 In complying with paragraph 45.22 the Central Delivery Body:

- (a) must exclude from each document, so far as practicable, any information which would or might seriously prejudice the interests of any individual or body to which it relates; and
- (b) may exclude from each document any information that would, or would be likely to, prejudice the commercial interests of any person (including the Central Delivery Body itself).

Part A5. Costs of the Central Delivery Body

45.24 The licensee must:

- (a) together with all other Relevant Suppliers, take such steps and do such things as are within its power to meet:
 - (i) the capital costs of establishing the Central Delivery Body; and
 - (ii) all costs, excluding Domestic Fixed Operating Costs, that are reasonably incurred by the Central Delivery Body in undertaking its activities in respect of Energy Consumers at Domestic Energy Premises and operating in an efficient and cost-effective manner that achieves value for money; and
- (b) together with all other Relevant Suppliers and Small Domestic Suppliers, take such steps and do such things as are within its power to meet all Domestic Fixed Operating Costs that are reasonably incurred by the Central Delivery Body operating in an efficient and cost-effective manner that achieves value for money.

45.25 For the purpose of meeting the requirements of paragraph 45.24, the licensee must, together with all other Relevant Suppliers and Small Domestic Suppliers, establish a mechanism to allocate:

- (a) between Relevant Suppliers the costs of the Central Delivery Body, as referred to in paragraph 45.24(a), on the basis of their respective shares of the markets for gas and electricity supply; and
- (b) between Relevant Suppliers and Small Domestic Suppliers the costs of the Central Delivery Body as referred to in paragraph 45.24(b), on the basis of their respective shares of the markets for gas and electricity supply to Domestic Premises.

Part A6. Other Duties of Relevant Suppliers

General Duty

45.26 The licensee, together with all other Relevant Suppliers, must take such steps and do such things as are within its power to ensure that:

- (a) the Central Delivery Body achieves its objectives; and

- (b) in achieving its objectives the Central Delivery Body acts in a manner which is transparent, impartial, cost-effective and represents value for money.

The Domestic Performance Management Framework

45.27 The licensee must, together with all other Relevant Suppliers, produce and maintain a performance framework (the **Domestic Performance Management Framework**) which meets the requirements of paragraphs 45.28 to 45.31.

45.28 The Domestic Performance Management Framework must:

- (a) set out the standards, including key performance indicators and targets, which have been determined by the Relevant Suppliers as standards against which they will measure the performance of the Central Delivery Body in achieving its objectives in respect of Energy Consumers at Domestic Energy Premises; and
- (b) include such provisions as will enable any person, including in particular the Relevant Suppliers, the Secretary of State and the Authority, to assess:
 - (i) the Central Delivery Body's performance against the specified standards;
 - (ii) the effectiveness of the steps taken by the Central Delivery Body for the purpose of achieving the relevant objectives; and
 - (iii) the extent to which the Central Delivery Body has, in accordance with paragraph 45.16, amended the Consumer Engagement Plan to take into account information received in respect of its performance.

45.29 The Domestic Performance Management Framework must be produced by no later than 31 December 2013.

45.30 The licensee, together with all other Relevant Suppliers must keep the Domestic Performance Management Framework under review, and must make appropriate amendments to it from time to time so that it continues to be accurate, up to date, and fit for purpose.

45.31 In determining the standard to be set out, and the other provisions to be included, in the Domestic Performance Management Framework, the licensee, together with all other Relevant Suppliers, must consult with and take into account the views of, and information provided by, relevant interested parties, including in particular the Secretary of State.

Co-operation

45.32 The licensee must:

- (a) co-operate with the Central Delivery Body for the purposes of enabling the Central Delivery Body to undertake its activities; and

- (b) take all reasonable steps to ensure that in carrying out its consumer engagement activities in relation to, and communicating with Energy Consumers about, Smart Metering Systems it does not act inconsistently with the activities of the Central Delivery Body.

PART B. REQUIREMENTS APPLICABLE TO THE LICENSEE WHERE IT IS A SMALL DOMESTIC SUPPLIER

45.33 The licensee must, together with all Relevant Suppliers and other Small Domestic Suppliers, take such steps and do such things as are within its power to:

- (a) meet the Domestic Fixed Operating Costs that are reasonably incurred by the Central Delivery Body operating in an efficient and cost-effective manner that achieves value for money; and
- (b) establish a mechanism to allocate between Relevant Suppliers and Small Domestic Suppliers the costs referred to in sub-paragraph (a), on the basis of their respective shares of the markets for gas and electricity supply to Domestic Premises.

45.34 The licensee must:

- (a) co-operate with:
 - (i) Relevant Suppliers for the purposes of ensuring the establishment of the Central Delivery Body in accordance with Part A1 of this condition; and
 - (ii) the Central Delivery Body for the purposes of enabling the Central Delivery Body to undertake its activities; and
- (b) take all reasonable steps to ensure that in carrying out its consumer engagement activities in relation to, and communicating with Energy Consumers about, Smart Metering Systems it does not act inconsistently with the activities of the Central Delivery Body.

PART C. REQUIREMENTS APPLICABLE TO THE LICENSEE WHERE IT IS A LARGE NON-DOMESTIC SUPPLIER

Costs of the Central Delivery Body

45.35 The licensee must:

- (a) together with all other Large Non-Domestic Suppliers, take such steps and do such things as are within its power to meet all costs, excluding Non-Domestic Fixed Operating Costs, that are reasonably incurred by the Central Delivery Body in undertaking its activities in respect of Energy Consumers at Relevant Designated Premises and operating in an efficient and cost-effective manner that achieves value for money; and
- (b) together with all other Large Non-Domestic Suppliers and Small Non-Domestic Suppliers, take such steps and do such things as are within its power

to meet all Non-Domestic Fixed Operating Costs that are reasonably incurred by the Central Delivery Body operating in an efficient and cost-effective manner that achieves value for money.

45.36 For the purpose of meeting the requirements of paragraph 45.35, the licensee must apply the mechanism specified in a direction issued by the Secretary of State for allocating:

- (a) between Large Non-Domestic Suppliers the costs of the Central Delivery Body, as referred to in paragraph 45.35(a); and
- (b) between Large Non-Domestic Suppliers and Small Non-Domestic Suppliers the costs of the Central Delivery Body as referred to in paragraph 45.35(b),

in each case on the basis of their respective shares of the markets for gas and electricity supply to Designated Energy Premises.

The Non-Domestic Performance Management Framework

45.37 The licensee must, together with all other Large Non-Domestic Suppliers, produce and maintain a performance framework (the **Non-Domestic Performance Management Framework**) which meets the requirements of paragraphs 45.38 to 45.41.

45.38 The Non-Domestic Performance Management Framework must:

- (a) set out the standards, including key performance indicators and targets, which have been determined by the Large Non-Domestic Suppliers as standards against which they will measure the performance of the Central Delivery Body in achieving its objectives in respect of Energy Consumers at Relevant Designated Premises; and
- (b) include such provisions as will enable any person, including in particular the Large Non-Domestic Suppliers, the Secretary of State and the Authority, to assess:
 - (i) the Central Delivery Body's performance against the specified standards;
 - (ii) the effectiveness of the steps taken by the Central Delivery Body for the purpose of achieving the relevant objectives; and
 - (iii) the extent to which the Central Delivery Body has, in accordance with paragraph 45.16, amended the Consumer Engagement Plan to take into account information received in respect of its performance.

45.39 The Non-Domestic Performance Management Framework must be produced within the period that commences on [the date on which this paragraph first comes into force] and ends on [the date which is three months later].

- 45.40 The licensee, together with all other Large Non-Domestic Suppliers must keep the Non-Domestic Performance Management Framework under review, and must make appropriate amendments to it from time to time so that it continues to be accurate, up to date, and fit for purpose.
- 45.41 In determining the standard to be set out, and the other provisions to be included, in the Non-Domestic Performance Management Framework, the licensee, together with all other Large Non-Domestic Suppliers, must consult with and take into account the views of, and information provided by, relevant interested parties, including in particular the Secretary of State.

Co-operation

- 45.42 The licensee must:
- (a) co-operate with the Central Delivery Body for the purposes of enabling the Central Delivery Body to undertake its activities; and
 - (b) take all reasonable steps to ensure that in carrying out its consumer engagement activities in relation to, and communicating with Energy Consumers about, Smart Metering Systems it does not act inconsistently with the activities of the Central Delivery Body.

PART D. REQUIREMENTS APPLICABLE TO THE LICENSEE WHERE IT IS A SMALL NON-DOMESTIC SUPPLIER

- 45.43 The licensee must, together with all Large Non-Domestic Suppliers and other Small Non-Domestic Suppliers, take such steps and do such things as are within its power to meet the Non-Domestic Fixed Operating Costs that are reasonably incurred by the Central Delivery Body operating in an efficient and cost-effective manner that achieves value for money.
- 45.44 For the purpose of meeting the requirements of paragraph 45.43, the licensee must apply the mechanism specified in a direction issued by the Secretary of State for allocating between Large Non-Domestic Suppliers and Small Non-Domestic Suppliers the costs of the Central Delivery Body on the basis of their respective shares of the markets for gas and electricity supply to Designated Energy Premises.
- 45.45 The licensee must:
- (a) co-operate with the Central Delivery Body for the purposes of enabling the Central Delivery Body to undertake its activities; and
 - (b) take all reasonable steps to ensure that in carrying out its consumer engagement activities in relation to, and communicating with Energy Consumers about, Smart Metering Systems it does not act inconsistently with the activities of the Central Delivery Body.";

PART E. INTERPRETATION AND DEFINITIONS

- 45.46 In this condition:

Designated Energy Premises	means premises which (with respect to the supply of electricity) are Designated Premises, or (with respect to the supply of gas) satisfy the definition of 'Designated Premises' at standard condition 1 of the Gas Supply Licence.
Domestic Energy Premises	means premises which (with respect to the supply of electricity) are Domestic Premises, or (with respect to the supply of gas) satisfy the definition of 'Domestic Premises' at standard condition 6 of the Gas Supply Licence.
Domestic Fixed Operating Costs	<p>means the costs of:</p> <ul style="list-style-type: none"> (a) renting and maintaining premises; (b) staff recruitment, salaries, and benefits; and (c) purchasing and maintaining office equipment, including IT and telephony equipment, <p>insofar as those costs relate to the activities carried out by the Central Delivery Body in respect of Energy Consumers at Domestic Energy Premises.</p>
Energy Consumer	means a consumer of gas or electricity (or both).
Energy Customer	means a person who is (with respect to the supply of electricity) a Customer, or (with respect to the supply of gas) a 'Customer' in accordance with the definition at standard condition 1 of the Gas Supply Licence.
Energy Meter Point	<p>means:</p> <ul style="list-style-type: none"> (a) in relation to the supply of electricity, a Metering Point as defined in the <u>Retail Energy Code Master Registration Agreement</u>; or

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- (b) in relation to the supply of gas, a Supply Meter Point as defined in the Uniform Network Code.

Large Non-Domestic Supplier

means a gas or electricity supplier which supplies gas, or electricity, or both to Energy Consumers at Designated Energy Premises via more than 100,000 Energy Meter Points.

Micro Business Energy Consumer

means an Energy Consumer that is a Micro Business Consumer in accordance with:

- (a) the meaning given to the term Micro Business Consumer in standard condition 7A (Supply to Micro Business Consumers); or
- (b) the meaning given to the term Micro Business Consumer in standard condition 7A of the Gas Supply Licence.

Network Operator

means any person holding:

- (a) a licence granted under section 6(1)(b) or 6(1)(c) of the Electricity Act 1989; or
- (b) a licence granted under section 7 of the Gas Act 1986.

Non-Domestic Fixed Operating Costs

means the costs of:

- (a) renting and maintaining premises;
- (b) staff recruitment, salaries, and benefits; and
- (c) purchasing and maintaining office equipment, including IT and telephony equipment,

insofar as those costs relate to the activities carried out by the Central Delivery Body in respect of Energy

	Consumers at Designated Energy Premises.
Non-Domestic-Only Supplier	means a Non-Domestic Supplier which does not also supply gas or electricity to any Domestic Energy Premises.
Non-Domestic Supplier	means either a Large Non-Domestic Supplier or a Small Non-Domestic Supplier.
Relevant Designated Premises	means: <ul style="list-style-type: none"> (a) Designated Energy Premises at which the Energy Consumer is a Micro Business Energy Consumer; and (b) such additional categories of Designated Energy Premises as may be specified in a direction issued by the Secretary of State.
Relevant Supplier	means a gas or electricity supplier which: <ul style="list-style-type: none"> (a) is authorised by its licence to supply gas or electricity to Domestic Energy Premises; and (b) supplies either gas or electricity (or both) to more than 250,000 Energy Customers at Domestic Energy Premises.
Small Domestic Supplier	means a gas or electricity supplier which: <ul style="list-style-type: none"> (a) is authorised by its licence to supply gas or electricity to Domestic Energy Premises; and (b) supplies gas or electricity to, in each case, no more than 250,000 Energy Customers at Domestic Energy Premises.

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Small Non-Domestic Supplier	means a gas or electricity supplier which supplies gas or electricity (or both) to Designated Energy Premises and which is not a Large Non-Domestic Supplier.
Uniform Network Code	has the meaning given to it in accordance with the definition at standard condition 1 of the Gas Supply Licence.

Condition 46: Security Controls in Relation to Smart Metering Systems

Co-operation

- 46.1 This condition requires the licensee to maintain a high level of security in accordance with good industry practice in relation to all:
- (a) Smart Metering Systems installed at premises which are from time to time supplied by it with electricity;
 - (b) equipment used by it for the purpose of communicating with those Smart Metering Systems;
 - (c) associated software and ancillary devices; and
 - (d) related business processes,

excepting any Smart Metering Systems which fall within the scope of Condition 46A (Security Controls in Relation to Smart Metering Systems Enrolled with the DCC).

PART A. APPLICATION

- 46.2 This condition shall cease to have effect from any date specified by the Secretary of State in a direction issued to the licensee under this paragraph.

PART B. THE GENERAL DUTY TO ENSURE A SECURE SYSTEM

- 46.3 The licensee must take such steps and do such things as are within its power to provide that the Supplier End-to-End System is at all times Secure.
- 46.4 For the purposes of this condition, the **Supplier End-to-End System** comprises all of the equipment (together with any associated software and ancillary devices) which falls into one or more of the following categories:
- (a) equipment operated by or on behalf of the licensee for the purpose of enabling information to be communicated to or from Smart Metering Systems;
 - (b) equipment which is a part of any electronic communications network by means of which such communication takes place;
 - (c) equipment comprised within a Smart Metering System located at each premises that is from time to time supplied with electricity by the licensee; and
 - (d) but excluding any equipment comprised within a Smart Metering System which constitutes part of the Supplier and Enrolled Smart Metering System.
- 46.5 For the purposes of this condition, the Supplier End-to-End System is **Secure** if both the System and each individual element of it is designed, installed, operated and supported so as to ensure, to the Appropriate Standard, that it is not subject to the occurrence of a Security Incident.

- 46.6 For the purposes of this condition, a **Security Incident** is any event of interference with or misuse of the Supplier End-to-End System, or with any individual element of it, that (whether directly or indirectly):
- (a) causes any loss, theft or corruption of data;
 - (b) results in any other unauthorised access to data; or
 - (c) gives rise to any loss or interruption of electricity supply or to any other interference with the service provided to a Customer at any premises.

PART C. SPECIFIC DUTIES IN RELATION TO A SECURE SYSTEM

- 46.7 For the purpose of ensuring its compliance with the duty at Part B, the licensee must in particular:
- (a) comply with the following requirements of this Part C; and
 - (b) retain, and produce to the Secretary of State or the Authority when requested to do so, documentary evidence sufficient to demonstrate its compliance with the duty at Part B and, in particular, the requirements of this Part C.

Compliance with Standards

- 46.8 The licensee must take all reasonable steps to ensure that, by no later than the Specified Date, it complies with the following standards of the International Organisation for Standards with respect to the resilience, reliability and security of the Supplier End-to-End System;
- (a) ISO 27001:2005 (entitled *Information Technology-Security Techniques-Information Security Management Systems*); and
 - (b) any equivalent standard of the ISO that amends, replaces or supersedes that standard.

- 46.9 For the purposes of paragraph 46.8, the **Specified Date** is the date which falls 18 months after the date on which this condition comes into force.

Information Security Policy

- 46.10 The licensee must establish, maintain, and give effect to a policy (the **Information Security Policy**) which must:
- (a) be based on a risk assessment in relation to the security of the Supplier End-to-End System; and
 - (b) set out the manner in which the licensee will operate the Supplier End-to-End System in order to ensure its compliance with the duty at Part B.
- 46.11 The Information Security Policy must in particular make appropriate provision for:
- (a) measures to mitigate the risk of the occurrence of any Security Incident;

- (b) restricting access to the Supplier End-to-End System, and to the data communicated over or stored on any element of it, to those who need it and are authorised to obtain it;
 - (c) the effective management of any Security Incident; and
 - (d) appropriate business continuity and disaster recovery procedures.
- 46.12 The licensee must keep the Information Security Policy under review so as to ensure that it remains appropriate and up to date.
- 46.13 The licensee must ensure that the Information Security Policy, and each amendment made to it, is brought to the attention of and considered by appropriate members of its senior management team.
- 46.14 The licensee must:
- (a) commit adequate levels of resource, including a sufficient number of appropriately qualified individuals; and
 - (b) establish all appropriate physical and environmental security controls,
- to ensure that it at all times implements the Information Security Policy.

Audit

- 46.15 The licensee must:
- (a) by no later than six months after the date on which this condition comes into force; and
 - (b) at least once in each subsequent period of 12 months,
- ensure that a security audit of the Supplier End-to-End System is carried out, and has been completed, by a Competent Independent Organisation.
- 46.16 The licensee must ensure that any audit carried out for the purposes of paragraph 46.15:
- (a) includes an assessment of the licensee's compliance with the requirements of Part B and the other requirements of this Part C; and
 - (b) is documented in a report which:
 - (i) is produced by the auditors and addressed to the licensee;
 - (ii) is provided by the auditors to the licensee within one month of the completion of the audit; and
 - (iii) shall include any recommendations that the auditors consider it appropriate to make as to actions that the licensee should take in order to ensure its compliance with those requirements.

46.17 The licensee must ensure that:

- (a) each report prepared in accordance with paragraph 46.16(b) is considered by appropriate members of its senior management team within four weeks of the report being provided by the auditors to the licensee; and
- (b) it keeps a documentary record of the decisions made and actions taken by it in response to that report.

PART D. COMPLIANCE WITH DIRECTIONS

46.18 The Secretary of State may from time to time issue a direction addressed to the licensee which may require it to:

- (a) take (or refrain from taking) such steps as may be set out in the direction for the purposes of:
 - (i) establishing and maintaining a Secure Supplier End-to-End System for the purposes of any testing and trialling related to the installation or operation of Smart Metering Systems;
 - (ii) establishing and maintaining a Secure Supplier End-to-End System at all other times;
 - (iii) mitigating any known or anticipated risk to the security of the Supplier End-to-End System;
 - (iv) preventing any potential failure of security in the Supplier End-to-End System;
 - (v) remedying any actual failure of security in the Supplier End-to-End System;
 - (vi) preparing to address the consequences of any potential failure, or addressing the consequences of any actual failure, in the security of the Supplier End-to-End System;
- (b) do so by such a date as may be set out in the direction;
- (c) report to the Secretary of State or the Authority on the steps that it has taken or will take to comply with the direction;
- (d) produce documentary evidence sufficient to demonstrate its compliance with the direction.

46.19 Any direction issued under this Part D may be addressed to the licensee alone or to the licensee together with any one or more other Gas or Electricity Suppliers.

46.20 The licensee must comply with any direction issued under this Part D and addressed to it.

PART E. DEFINITIONS

46.21 For the purposes of this condition:

Appropriate Standard	means a high level of security that is in accordance with good industry practice within the energy industry in Great Britain, and is capable of verification as such by a Competent Independent Organisation.
Information Security Policy	has the meaning given in paragraph 46.10.
Competent Independent Organisation	<p>means a body which:</p> <ul style="list-style-type: none"> (a) is fully independent of the interests of the licensee; (b) is recognised as being qualified to conduct information security audits by virtue of: <ul style="list-style-type: none"> (i) employing one or more consultants who are members of the CESG Listed Adviser Scheme (CLAS), or any successor to that scheme; (ii) being accredited under the CESG CHECK (IT Health Check Service) Scheme, or any successor to that scheme; (iii) being approved as a provider of CTAS (CESG Tailored Assurance Service) assessments or any successor to those assessments; or (iv) any other membership, accreditation, approval, or similar form of validation that is substantially equivalent in its status and effect to one or more of the arrangements referred to at sub-paragraphs (i) to (iii), and (c) has engaged as its lead auditor, for the purposes of the security audit carried out in accordance with paragraph 46.15, an individual who is a member of CLAS or of any successor to or equivalent of that scheme.

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	For the purposes of this definition, CESG is the National Technical Authority for Information Assurance.
Secure	has the meaning given in paragraph 46.5.
Security Incident	has the meaning given in paragraph
Supplier and Enrolled Smart Metering System	has the meaning given in Condition 46A (Security Controls in Relation to Smart Metering Systems Enrolled with the DCC).
Supplier End-to-End System	has the meaning given in paragraph 46.4.

Condition 46A: Security Controls in Relation to Smart Metering Systems Enrolled with the DCC

The General Duty to Ensure the Security of the System

46A.1 This licensee must take all reasonable steps to ensure that the Supplier and Enrolled Smart Metering System is designed, developed, configured, tested, operated, maintained, decommissioned and disposed of in such a manner as to protect it from being Compromised.

Definitions

46A.2 For the purposes of this condition, the **Supplier and Enrolled Smart Metering System** shall comprise all of the equipment (together with any associated software and ancillary devices) which fall into one or more of the following categories:

- (a) the User Systems of the licensee that are used by it for the purposes of its User Role as Import Supplier;
- (b) any other systems relied upon by the licensee for the purpose of generating, initiating or processing communications sent to or received from the DCC by such User Systems;
- (c) any equipment which is:
 - (i) comprised within a Smart Metering System located at each premises that is from time to time supplied with electricity by the licensee; and
 - (ii) enrolled in accordance with the Enrolment Service,but excluding any communications hub provided by the DCC.

46A.3 For the purposes of this condition:

Compromised	means, in relation to the Supplier and Enrolled Smart Metering System, that the intended purpose or effective operation of that system is compromised by the occurrence of any event which has an adverse effect on the confidentiality, integrity or availability of the system or of any data that are stored on or communicated by means of it.
Enrolment Service	has the meaning given to it in the Smart Energy Code.
Import Supplier	has the meaning given to it in the Smart Energy Code.
User Role	has the meaning given to it in the Smart Energy Code.

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User Systems

has the meaning given to it in the Smart Energy Code on 10 February 2016."

Condition 47: Smart Metering – Matters Relating To Obtaining and Using Consumption Data

Application

- 47.1 Part A of this condition applies only in respect of each Domestic Premises (the **relevant premises**):
- (a) to which electricity is supplied through an Electricity Meter which forms part of a Smart Metering System; or
 - (b) to which electricity is supplied through a Remote Access Meter; and
 - (c) in respect of which the quantity of electricity supplied is measured by that Electricity Meter.
- 47.2 Part B of this condition applies only in respect of each Designated Premises at which the Customer is a Micro Business Consumer (the **micro business premises**):
- (a) to which electricity is supplied through an Electricity Meter which forms part of a Smart Metering System; or
 - (b) to which electricity is supplied through a Remote Access Meter; and
 - (c) in respect of which the quantity of electricity supplied is measured by that Electricity Meter.

PART A. APPLICATION TO RELEVANT PREMISES

Prohibition on obtaining consumption data

- 47.3 Subject to paragraphs 47.4 and 47.7, the licensee must not, in respect of any relevant premises, obtain any Electricity Consumption Data which relates to a period of less than one month.

Exception to Prohibition – Obtaining consumption data for periods of less than one month

- 47.4 Paragraph 47.3 does not apply where:
- (a) the Electricity Consumption Data that is obtained relates to a period of less than one month but not less than one day; and
 - (b) the requirements of either paragraph 47.5 or 47.6 are satisfied.
- 47.5 The requirements of this paragraph are that:
- (a) the licensee has given Notice to the Domestic Customer at the relevant premises informing the Domestic Customer:

- (i) that the licensee intends to obtain Electricity Consumption Data which relates to any one or more periods of a length referred to in paragraph 47.4(a);
 - (ii) of the purposes (which purposes must not include Marketing) for which the licensee may use that Electricity Consumption Data; and
 - (iii) that the Domestic Customer may at any time object to the licensee obtaining that Electricity Consumption Data and of the process by which he may do so; and
- (b) the Domestic Customer has either:
- (i) given explicit consent to the licensee obtaining that Electricity Consumption Data for the purposes set out in the Notice (and such consent has not been withdrawn); or
 - (ii) after at least seven days have elapsed from the date on which the Notice was given to him, not objected to the licensee obtaining that Electricity Consumption Data for the purposes set out in the Notice.

47.6 The requirements of this paragraph are that one of the following applies:

- (a) the licensee has reasonable grounds to suspect that there is an occurrence of theft or abstraction of electricity at the relevant premises, and it obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in paragraph 47.4(a) only for the purposes of investigating that suspected theft or abstraction;
- (b) the licensee obtains Electricity Consumption Data which relates to a single period of a length referred to in paragraph 47.4(a) only for the purposes of:
 - (i) verifying the quantity of electricity supplied to the relevant premises since the last date in respect of which the licensee obtained Electricity Consumption Data that was used for the purposes of sending a Bill or statement of account to the Domestic Customer (the **Billing Date**); and
 - (ii) calculating and sending an accurate and up to date Bill or statement of account (including a final Bill or statement of account) to the Domestic Customer in respect of the Charges for the Supply of Electricity to the relevant premises since the Billing Date;
- (c) the licensee obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in paragraph 47.4(a) only for the purposes of responding to an enquiry from or a complaint made by, or on behalf of, the Domestic Customer at the relevant premises and relating to the supply of electricity by the licensee to the relevant premises;
- (d) the licensee obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in paragraph 47.4(a), only following receipt of a request of the type referred to in, and for the purposes of

complying with the requirements of, paragraph 51.4(b) of standard condition 51 (Smart Metering – Customer Access to Consumption Data); or

- (e) the Electricity Meter forming part of the Smart Metering System or Remote Access Meter at the relevant premises is a Prepayment Meter, and the Electricity Consumption Data:
 - (i) is obtained only by virtue of the Remote Access Meter or Smart Metering System registering an advance payment made by the Domestic Customer through that Prepayment Meter; and
 - (ii) relates to a single period of a length referred to in paragraph 47.4(a) which corresponds to the period since the previous advance payment made by the Domestic Customer through that Prepayment Meter.

Exception to Prohibition – Obtaining consumption data for periods of less than a day

47.7 Paragraph 47.3 does not apply where:

- (a) the Electricity Consumption Data that is obtained relates to a period of less than one day; and
- (b) the requirements of either paragraph 47.8 or 47.9 are satisfied.

47.8 The requirements of this paragraph are that:

- (a) the licensee has given Notice to the Domestic Customer at the relevant premises informing the Domestic Customer:
 - (i) that the licensee intends to obtain Electricity Consumption Data which relates to any one or more periods of less than one day;
 - (ii) of the purposes for which the licensee may use that Electricity Consumption Data;
 - (iii) that the licensee requires the Domestic Customer's consent to obtain that Electricity Consumption Data; and
 - (iv) that where the Domestic Customer gives consent he may withdraw it at any time and of the process by which he may do so; and
- (b) the Domestic Customer has given his explicit consent to the licensee obtaining that Electricity Consumption Data for the purposes set out in the Notice and such consent has not been withdrawn.

47.9 The requirements of this paragraph are that:

- (a) where:
 - (i) there is a Remote Access Meter and the Authority has approved the Trial; or

- (ii) the Electricity Meter forms part of a Smart Metering System and the Secretary of State has approved (the **Trial**); and
- (b) the relevant premises fall within that category;
- (c) the licensee has given at least 14 days advance Notice to the Domestic Customer at the relevant premises informing the Domestic Customer:
 - (i) of the nature and purpose of the Trial;
 - (ii) that he may at any time object to being included in the Trial;
 - (iii) of the process by which the Domestic Customer may object; and
- (d) the Domestic Customer has not objected to being included in the Trial.

Prohibition on use of consumption data

47.10 Subject to paragraph 47.11, the licensee must not use Electricity Consumption Data obtained in respect of any relevant premises other than for any of the following purposes:

- (a) calculating and sending a Bill or statement of account to the Domestic Customer;
- (b) complying with a relevant condition or a relevant requirement;
- (c) where the requirements of paragraph 47.5 are satisfied, the purpose set out in the Notice given to the Domestic Customer under paragraph 47.5(a);
- (d) where any of the requirements of paragraph 47.6 are satisfied, the purpose for which the data was obtained in accordance with paragraph 47.6;
- (e) where the requirements of paragraph 47.8 are satisfied, the purpose set out in the Notice given to the Domestic Customer under paragraph 47.8(a);
- (f) where the requirements of paragraph 47.9 are satisfied, the purpose of the Trial.

Exception to prohibition on use of consumption data

47.11 The licensee may use Electricity Consumption Data for purposes other than the purposes specified in paragraph 47.10 where:

- (a) it has given at least seven days advance Notice informing the Domestic Customer that it intends to use Electricity Consumption Data for the purposes specified in the Notice; and
- (b) the requirements of paragraph 47.12 are satisfied.

47.12 The requirements of this paragraph are that:

- (a) where the Electricity Consumption Data relates to any period of less than one day, the Domestic Customer has given explicit consent for that Electricity Consumption Data to be used for the purposes specified in the Notice;
- (b) where the Notice given under paragraph 47.11(a) specifies that the licensee intends to use Electricity Consumption Data for Marketing, the Domestic Customer has given explicit consent for the Electricity Consumption Data to be used for Marketing; and
- (c) in all other cases, the Domestic Customer has not objected to the Electricity Consumption Data being used for the purposes specified in the Notice.

Maintaining records and informing customers

47.13 The licensee must, in respect of each of its Domestic Customers at relevant premises, at all times maintain an accurate and up to date record of:

- (a) the date of any Notice sent to the Domestic Customer under this condition and of the information contained in it;
- (b) the nature of the Domestic Customer's response (if any) to that Notice;
- (c) the time periods (by reference to length) in relation to which the licensee obtains or may obtain Electricity Consumption Data; and
- (d) where the licensee obtains Electricity Consumption Data by virtue of the requirements of paragraph 47.6(a) a statement setting out the reasons why such requirements are satisfied in the circumstances of the case.

47.14 The licensee must, in accordance with paragraph 47.15, inform the Domestic Customer in Writing of:

- (a) the time periods (by reference to length) in relation to which the licensee obtains or may obtain Electricity Consumption Data;
- (b) the purposes for which that Electricity Consumption Data is, or may be, used by the licensee; and
- (c) where any of the time periods is of less than one month, the Domestic Customer's right, if any, to object or withdraw consent (as the case may be) to the licensee obtaining or using (as the case may be) that Electricity Consumption Data.

47.15 The licensee shall inform the Domestic Customer of the matters set out in paragraph 47.14:

- (a) where it installs or arranges for the installation of the Remote Access Meter or Smart Metering System at the Domestic Premises, on, or at any time during the 14 days prior to, the date of installation or as soon as reasonably practicable after the date of installation; and

- (b) in all cases, at such intervals as are determined appropriate by the licensee for the purposes of ensuring that the Domestic Customer is regularly updated of such matters.

PART B. MICRO BUSINESS PREMISES

Prohibition on obtaining consumption data

47.16 Subject to paragraph 47.17, the licensee must not, in respect of any micro business premises, obtain any Electricity Consumption Data which relates to a period of less than one month.

Exception to prohibition on obtaining consumption data

47.17 Paragraph 47.16 does not apply where the requirements of paragraphs 47.17A or 47.17B are satisfied.

47.17A The requirements of this paragraph are:

- (a) the licensee has given at least seven days advance Notice to the Micro Business Consumer at the micro business premises informing the Micro Business Consumer:
 - (i) that the licensee intends to obtain Electricity Consumption Data which relates to any one or more periods of less than one month;
 - (ii) of the purposes for which the licensee may use that Electricity Consumption Data; and
 - (iii) that the Micro Business Consumer may at any time object to the licensee obtaining that Electricity Consumption Data and of the process by which he may do so; and
- (b)
 - (i) the Micro Business Consumer has not objected to the licensee obtaining that Electricity Consumption Data for the purposes set out in the Notice; or
 - (ii) the Micro Business Consumer has objected to the licensee obtaining Electricity Consumption data, which relates to any one or more periods of less than one month and the licensee is unable to remotely configure the Remote Access Meter to prevent that Electricity Consumption Data being automatically sent to the licensee or a third party, but as soon as reasonably practicable the licensee:
 - (1) takes all reasonable steps to prevent the third party passing that Electricity Consumption Data to the licensee or any other third party;
 - (2) takes all reasonable steps to ensure the third party permanently erases that Electricity Consumption Data; and

- (3) permanently erases any of that Electricity Consumption Data it has obtained.

47.17B The requirements of this paragraph are that the Electricity Consumption Data that is obtained relates to a period of less than one month but not less than one day, and that one of the following applies:

- (a) the licensee has reasonable grounds to suspect that there is an occurrence of theft or abstraction of electricity at the micro business premises, and it obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in this paragraph 47.17B only for the purposes of investigating that suspected theft or abstraction;
- (b) the licensee obtains Electricity Consumption Data which relates to a single period of a length referred to in this paragraph 47.17B only for the purposes of:
 - (i) verifying the quantity of electricity supplied to the micro business premises since the last date in respect of which the licensee obtained Electricity Consumption Data that was used for the purposes of sending a Bill or a statement of account to the Micro Business Consumer (the **Billing Date**); and
 - (ii) calculating and sending an accurate and up to date Bill or statement of account (including a final Bill or statement of account) to the Micro Business Consumer in respect of the Charges for the Supply of Electricity to the micro business premises since the Billing Date;
- (c) the licensee obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in this paragraph 47.17B only for the purposes of responding to an enquiry from or a complaint made by, or on behalf of, the Micro Business Consumer at the micro business premises and relating to the supply of electricity by the licensee to the micro business premises;
- (d) the licensee obtains Electricity Consumption Data which relates to any one or more periods of a length referred to in this paragraph 47.17B only following receipt of a request of the type referred to in, and for the purposes of complying with the requirement of, paragraph 51.12 of standard condition 51 (Smart Metering – Customer Access to Consumption Data); or

- (e) the Electricity Meter forming part of the Smart Metering System or Remote Access Meter at the micro business premises is a Prepayment Meter, and the Electricity Consumption Data:
 - (i) is obtained only by virtue of the Remote Access Meter or Smart Metering System registering an advance payment made by the Micro Business Consumer through that Prepayment Meter; and
 - (ii) relates to a single period of a length referred to in this paragraph 47.17B which corresponds to the period since the previous advance payment made by the Micro Business Consumer through that Prepayment Meter.

Use of consumption data

- 47.18 The licensee must not use Electricity Consumption Data obtained in respect of any micro business premises other than for any of the following purposes:
- (a) calculating and sending a Bill or statement of account to the Micro Business Consumer;
 - (b) complying with a relevant condition or a relevant requirement;
 - (c) where the requirements of paragraph 47.17A are satisfied, the purpose set out in the Notice given to the Micro Business Consumer under paragraph 47.17A;
 - (d) where any of the requirements of paragraph 47.17B are satisfied, the purpose for which the data was obtained in accordance with paragraph 47.17B.

Interpretation and Definitions

- 47.19 In this condition, any reference:
- (a) to Electricity Consumption Data being 'obtained' by the licensee shall be read as incorporating a reference to the licensee requesting any other person to obtain that Electricity Consumption Data on its behalf (and references to 'obtain' and 'obtaining' shall be construed accordingly);
 - (b) to the licensee obtaining Electricity Consumption Data which 'relates to' a specified period, shall be read as incorporating a reference to the licensee obtaining any data which would allow it to calculate Electricity Consumption Data in respect of that period (and references to Electricity Consumption Data being 'in relation to' a period of time shall be construed accordingly).

- 47.20 For the purposes of this condition:

Electricity Consumption Data	means, in respect of a relevant premises or a micro business premises, the quantity of electricity measured by the Electricity Meter as having been supplied to the relevant premises or the micro business premises.
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Marketing

means:

- (a) any activities of the licensee or its Representatives which are directed at or incidental to identifying and communicating with Domestic Customers for the purpose of promoting the provision of goods or services by any person and includes entering into contracts for the provision of goods or services with such customers;
- (b) the licensee or its representatives disclosing Electricity Consumption Data to any other person for the purposes of that person undertaking activities which are directed at or incidental to identifying and communicating with Domestic Customers for the purpose of promoting the provision of goods or services by any person, including the entering into contracts for the provision of goods or services with such customers,

but for these purposes ‘goods or services’ shall be taken to exclude the supply of electricity by the licensee.

Micro Business Consumer

has the meaning given to it in standard condition 7A (Supply to Micro Business Consumers).

Remote Access Meter

means an Electricity Meter that, either on its own or with an ancillary device:

- (a) provides measured Electricity Consumption Data for multiple time periods and is able to provide such data for periods of less than one month;
- (b) is able to provide the licensee with remote access to such data; and
- (c) is not a Smart Metering System or part of a Smart Metering System.

Trial

means proposals submitted by the licensee for obtaining Electricity Consumption Data which relates to any one or more periods of less than one day, in respect of a particular category of relevant premises and for a particular purpose (in each case as specified in the proposal), on a trial basis.

Condition 48: The Smart Energy Code

Party to the Code

- 48.1 The licensee must:
- (a) by no later than the Commencement Date, be a party to the Smart Energy Code; and
 - (b) thereafter remain a party to and comply with the Smart Energy Code.

Derogation

- 48.2 The Authority, following consultation with the licensee and where appropriate any other person likely to be materially affected and after having regard to any guidance issued by it in accordance with paragraph 48.3, may give a direction (“a derogation”) to the licensee that relieves it of its obligations under the Smart Energy Code in respect of such parts of the Smart Energy Code, to such extent, for such period of time and subject to such conditions as may be specified in the direction.
- 48.3 The Authority may issue, and may from time to time revise, guidance regarding the manner in which it will exercise its powers under paragraph 48.2.
- 48.4 The guidance issued in accordance with paragraph 48.3 may, in particular, set out:
- (a) the process for requesting the Authority to grant a derogation under paragraph 48.2;
 - (b) the type of information that is likely to be required by the Authority as part of that process; and
 - (c) the criteria the Authority would have regard to in considering whether and to what extent to exercise its power to give a direction under paragraph 48.2.

DCC User – Large Energy Suppliers

- 48.5 Paragraph 48.6 applies where the licensee:
- (a) is a party to the Smart Energy Code in accordance with paragraph 48.1;
 - (b) supplies electricity to Domestic Premises; and
 - (c) supplied, or together with its Affiliate Licensees jointly supplied, (whether with electricity, or gas, or both) at least 250,000 Domestic Energy Premises on 15 February 2015.
- 48.6 Where this paragraph applies, the licensee must become a DCC User by no later than 16 February 2017 (or such later date as may be specified in a direction issued by the Secretary of State under this paragraph).

DCC User – Other Energy Suppliers

48.7 Paragraph 48.8 applies where the licensee:

- (a) is a party to the Smart Energy Code in accordance with paragraph 48.1;
- (b) supplies electricity to Domestic Premises or Designated Premises; and
- (c) is not subject to the requirements of paragraph 48.6.

48.8 Where this paragraph applies and either:

- (b) the licensee supplies electricity to any Domestic Premises on or after 17 August 2017 (or such later date as may be specified in a direction issued by the Secretary of State under this paragraph); or
- (c) the licensee supplies electricity to any Designated Premises on or after 31 August 2018 (or such later date as may be specified in a direction issued by the Secretary of State under this paragraph),

the licensee must be a DCC User, except in the circumstances described in paragraph 48.9.

48.9 The requirement in paragraph 48.8 does not apply where:

- (a) the licence does not supply electricity to any Domestic Premises; and
- (b) each of the Designated Premises supplied with electricity by the licensee is a premises in respect of which:
 - (i) any one (or more) of paragraphs 39.3, 39.4, 39.5, or 39.6 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance) apply.
 - (ii) any one (or more) of paragraphs 39.10, 39.11, 39.12 or 39.13 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance) apply.

Interpretation

48.10 For the purposes of this Condition the licensee's obligation to comply with the Smart Energy Code is an obligation to comply with the provisions of the Smart Energy Code so far as they are applicable to the licensee.

Definitions

48.11 In this Condition:

Commencement Date means:

- (a) the date which is the SEC Designated Date; or
- (b) the date on which the licensee first starts to supply electricity to any Domestic Premises or Designated Premises under this licence,

whichever is the later.

"DCC User

means a User in the User Role of Import Supplier (where 'User', 'User Role' and 'Import Supplier' all have the meanings given to them from time to time in the Smart Energy Code).

Domestic Energy Premises

means premises which:

- (a) with respect to the supply of electricity, are Domestic Premises; or
- (b) with respect to the supply of gas, satisfy the definition of 'Domestic Premises' at standard condition 6 of the Gas Supply Licence.

SEC Designated Date

means the date the Smart Energy Code is designated by the Secretary of State in a direction given for the purposes of Condition 22 of the DCC Licence.

Condition 49: Smart Metering Systems and In-Home Displays – Operational Requirements

Application of Part A

49.1 Part A of this Condition applies to the licensee in respect of any Domestic Premises and any Designated Premises of Micro-Business Consumers at which:

- (a) it is the Relevant Electricity Supplier; and
 - (b) there is installed a Smart Metering System,
- (a **relevant premises**).

49.2 Part A of this Condition applies from:

- (a) the Effective Date in respect of any relevant premises where:
 - (i) the Installation Date of the Smart Metering System is a date after the Effective Date; or
 - (ii) the Installation Date of the Smart Metering System is a date on or prior to the Effective Date and the Smart Metering System is enrolled in accordance with the Enrolment Service; and
- (b) the date specified in paragraph 39.1 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance) in respect of any relevant premises which are Domestic Premises and where:
 - (i) the Installation Date of the Smart Metering System is a date on or prior to the Effective Date; and
 - (ii) the Smart Metering System is not enrolled in accordance with the Enrolment Service.

Application of Part B

49.3 Part B of this Condition applies to the licensee from the Effective Date in respect of any Domestic Premises at which:

- (a) it is the Relevant Electricity Supplier;
 - (b) there is installed a Smart Metering System; and
 - (c) the Installation Date of the Smart Metering System is a date on or after the Effective Date,
- (a **relevant IHD premises**).

Part A: Smart Metering Systems

Smart Metering System – Operational Requirement

49.4 In respect of each relevant premises, the licensee must take all reasonable steps to ensure that:

- (a) a connection is established that enables the exchange of information between the Smart Metering System at those premises and the licensee's Communications System (either directly to the licensee's Communications System or indirectly through the DCC's Communications System or another Communications System);
- (b) where the connection established in accordance with paragraph
 - (i) is not through the DCC's Communications System, it maintains that connection;
 - (ii) is through the DCC's Communications System, it does not act in a manner that compromises the maintenance of that connection;
- (c) the Smart Metering System is configured and where necessary the Alt HAN Services (or any other services of equivalent purpose and effect which have been acquired by the licensee) are utilised, so that:
 - (i) the HAN extends into at least one part of the relevant premises which:
 - (A) if the relevant premises is a Domestic Premises, is a part located within the main dwelling area of the premises;
 - (B) if the relevant premises is a Designated Premises of a Micro Business Consumer, is a part located within the main business area of the premises; and
 - (ii) where a connection is established in accordance with paragraph (d), the Smart Metering System, together where necessary with the Alt HAN Equipment (or other equipment of equivalent purpose and effect) which is being used by the licensee in respect of the relevant premises, enables the Customer Information referred to in paragraph (e) to be sent to the Relevant Consumer Device for the purposes referred to in paragraph (e);
- (d) on request of the Customer at the relevant premises, it both establishes and thereafter maintains a connection through the HAN Interfaces between the Smart Metering System and each

Relevant Consumer Device that is located within a part of the premises to which the HAN extends and is the subject of the request; and

- (e) the connection established in accordance with paragraph (d) enables that Customer to access (at any time and, in the case of the Domestic Customer, free of charge) by means of each Relevant Consumer Device, the Customer Information that:
 - (i) is capable of being stored in or held by the Smart Metering System (or any part of it); and
 - (ii) the Smart Metering System (or any part of it) is capable of sending to the Relevant Consumer Device.

49.5 Where, in respect of any premises, the licensee uses Alt HAN Equipment or any other equipment of equivalent purpose and effect for the purposes of paragraph 49.4(c), it shall ensure that it does not seek to recover costs from a Domestic Customer in relation to the provision, installation, operation, maintenance, modification, decommissioning or replacement of that equipment except to the extent that they are borne by the licensee's Domestic Customers generally as an increment of charges for electricity or gas supplied to them.

49.6 Where, in respect of any relevant premises, the licensee does not use Alt HAN Equipment but uses other equipment of equivalent purpose and effect for the purposes of paragraph 49.4(c), it shall ensure that:

- (a) the equipment that is being used by it does not interfere with the location, operation or maintenance of any Alt HAN Equipment which has previously been installed in respect of those premises;
- (b) neither that equipment nor any arrangements under which it is provided, installed, operated or maintained interfere with effective competition between Gas Suppliers and Electricity Suppliers or between persons engaged in commercial activities that are connected with the supply of gas or electricity; and
- (c) where it (or any Representative) is installing that equipment for the first time, it takes all reasonable steps to communicate to the Customer at those premises in plain and intelligible language a statement to the effect that if that Customer changes their Electricity Supplier they may not be able to receive the same services in respect of the functionality of the Smart Metering System installed at those premises without the installation of additional equipment.

49.7 The obligations in paragraph 49.4 are subject to paragraphs 49.8, 49.9, 49.12, 49.13, 49.15, 49.16, 49.17 and 49.18

Exception to SMS Operational Requirement – All Premises

Proactive Install and Leave

- 49.8 The obligations in paragraph 49.4 do not apply in respect of a relevant premises where:
- (a) the Smart Metering System at the relevant premises:
 - (i) is installed or arranged to be installed by the licensee; and
 - (ii) meets the requirements of a Version of the SME Technical Specification, other than a Version with a Principal Version number of 1;
 - (b) the Electricity Meter forming part of the Smart Metering System is:
 - (i) a New Electricity Meter; or
 - (ii) a Mandatory Replacement Electricity Meter; and
 - (c) the SM WAN Coverage Database indicates that the SM WAN is not (or will not be) available in respect of the relevant premises on the Installation Date of the Smart Metering System but will be available in respect of such premises on a date that is prior to 1 January 2021.
- 49.9 The exception in paragraph 49.8 applies only until the date from which the SM WAN is available in respect of the relevant premises (as indicated by the SM WAN Coverage Database).
- 49.10 Where the exception in paragraph 49.8 applies in respect of a relevant premises, the licensee shall not take the steps described in paragraph 49.4(c) in respect of that relevant premises unless it ascertains, at any time during the 30 days prior to the Applicable Date, that the SM WAN Coverage Database indicates that the SM WAN is (or will be) available in respect of the relevant premises on the Applicable Date.
- 49.11 Paragraph 49.12 applies where:
- (a) pursuant to paragraph 49.10 the licensee takes, or attempts to take, the steps described in paragraph 49.4(c) on the Applicable Date; and
 - (b) the SM WAN is not available in respect of the relevant premises on the Applicable Date.
- 49.12 Where this paragraph applies, the licensee must:
- (a) as soon as reasonably practicable, and in accordance with the relevant provisions of the Smart Energy Code, notify the DCC that the SM WAN was not available in respect of the relevant premises on the Applicable Date; and
 - (b) where it has not taken the steps described in paragraph 49.4(c) in respect of that relevant premises prior to the Notified Date, take all reasonable steps to fulfil the obligation at paragraph 49.4(c) in respect of the

relevant premises as soon as reasonably practicable after the Notified Date.

Reactive Install and Leave

49.13 The obligations in paragraph 49.4 do not apply in respect of a relevant premises where:

- (a) the Smart Metering System at the relevant premises:
 - (i) is installed or arranged to be installed by the licensee; and
 - (ii) meets the requirements of a Version of the SME Technical Specification, other than a Version with a Principal Version number of 1;
- (b) the licensee ascertains, at any time during the 30 days prior to the Installation Date of the Smart Metering System, that the SM WAN Coverage Database indicates that the SM WAN is (or will be) available in respect of the relevant premises on the Installation Date; and
- (c) the SM WAN is not available in respect of the relevant premises on the Installation Date.

49.14 Where the exception in paragraph 49.13 applies in respect of a relevant premises the licensee must, as soon as reasonably practicable and in accordance with the relevant provisions of the Smart Energy Code, notify the DCC that the SM WAN was not available in respect of the relevant premises on the Installation Date of the Smart Metering System.

49.15 The exception in paragraph 49.13 applies only until the Notified Date.

49.16 Where:

- (a) paragraph 49.13 applies in respect of a relevant premises; and
- (b) the licensee has not taken the steps described in paragraph 49.4(c) in respect of that relevant premises prior to the Notified Date,

the licensee must take all reasonable steps to fulfil the obligation at paragraph 49.4(c) in respect of the relevant premises as soon as reasonably practicable after the Notified Date.

Exception to SMS Operational Requirement – Premises of Micro-Business Consumers

49.17 The obligations in paragraph 49.4 do not apply in respect of a Designated Premises of a Micro-Business Consumer where the Smart Metering System at the premises is not enrolled in accordance with the Enrolment Service.

Exception to SMS Operational Requirement – Domestic Premises

49.18 Subject to paragraph 49.19, the obligations in paragraph 49.4 do not apply in respect of a Domestic Premises where:

- (a) the Smart Metering System at the premises was not installed or arranged to be installed by the licensee; or
- (b) the licensee replaces any apparatus forming part of the Smart Metering System pursuant to paragraph 50.9 of standard condition 50 (Smart Metering – Continuation of Arrangements on Change of Supplier).

49.19 The exceptions in paragraph 49.18 apply only until the earlier of:

- (a) the date that the Smart Metering System installed at the premises is enrolled in accordance with the Enrolment Service; or
- (b) the date specified in paragraph 39.1 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).

Customer Information

49.20 In this Condition, Customer Information is information which provides details of or relates to:

- (a) the quantity of electricity measured by the Electricity Meter as having been supplied by the licensee to the customer at the relevant premises;
- (b) where applicable, the quantity of electricity measured by the Export Meter as having been Exported from the relevant premises or an installation at the relevant premises onto a distribution system or transmission system;
- (c) Charges for the Supply of Electricity (including the standing charge (where applicable) and the unit rate (expressed where applicable in pence per kWh);
- (d) where the Electricity Meter forming part of the Smart Metering System is a Prepayment Meter:
 - (i) the amount of credit (by reference to sums of money) that at any given time remains available for use by the customer;
 - (ii) the amount of Outstanding Charges (if any and by reference to sums of money being recovered through calibration of the Prepayment Meter), the level of such Outstanding Charges, and the period within which such Outstanding Charges are to be recovered.

Part B: In-Home Displays

In-Home Display – Operational Requirement

49.21 The licensee must:

- (a) ensure that any In-Home Display provided by it, pursuant to its obligations in standard condition 40 (Provision of an In-Home Display), to a Domestic Customer at any relevant IHD premises is during the

Relevant Period configured to operate in such a manner as to comply with the requirement of paragraph 49.23;

- (b) take all reasonable steps to ensure that it both establishes and thereafter maintains a connection through the HAN between the Smart Metering System and any In-Home Display provided by it to the Domestic Customer at the relevant IHD premises that is located within a part of the premises to which the HAN extends; and
- (c) where the In-Home Display is of a type that is capable of being connected through the HAN to the Smart Metering System at the premises at the Specified Frequency Band, ensure that the connection is established and thereafter maintained at the Specified Frequency Band

49.22 The obligation in paragraph 49.21 is subject to paragraph 49.25.

49.23 Subject to paragraph 49.24, the requirement of this paragraph is that the Domestic Customer can, at any time during the Relevant Period and free of charge, access by means of the In-Home Display all information:

- (a) which is communicated to it from the Smart Metering System across the HAN; and
- (b) which the In-Home Display is required to be capable of displaying in accordance with the requirements of the Version of the IHD Technical Specification in accordance with which the In-Home Display is maintained pursuant to the requirements of paragraph 40.13 of standard condition 40 (Provision of an In-Home Display).

49.24 Except where the Electricity Meter forming part of the Smart Metering System is a Prepayment Meter, the In-Home Display need not be configured to operate so as to enable the Domestic Customer to access information which provides details of or relates to:

- (a) the amount of credit (by reference to a sum of money) that may be, or is, available to the Domestic Customer; or
- (b) Outstanding Charges.

Exception to IHD Operational Requirement

49.25 Where the Smart Metering System at the relevant IHD premises and new sub-paragraphs (a) and (b) as follows -

- (a) was not installed or arranged to be installed by the licensee, the obligation in paragraph 49.21 applies only from such date as is specified in a direction issued by the Secretary of State under this paragraph
- (b) was installed or arranged to be installed by the licensee, the obligation in paragraph 49.21 applies from the date the licensee is required to fulfil the obligations in paragraph 49.4(c).

Part C: Definitions

Definitions and Interpretation

49.26 In this Condition:

Alt HAN Equipment	means any equipment which: <ul style="list-style-type: none">(c) satisfies the definition of 'Alt HAN Equipment' in standard condition 55 (Smart Metering – The Alt HAN Arrangements); and(d) is installed and maintained at premises under and in accordance with the arrangements set out at Section Z of the Smart Energy Code.
Alt HAN Services	means any services which: <ul style="list-style-type: none">(a) satisfy the definition of 'Alt HAN Services' in standard condition 55 (Smart Metering – The Alt HAN Arrangements); and(b) are provided to the licensee under and in accordance with the arrangements set out at Section Z of the Smart Energy Code.
Applicable Date	means, in respect of a relevant premises, the date on which the licensee arranges to fulfil the obligation in paragraph 49.4(c).
Consumer Device	means either: <ul style="list-style-type: none">(a) an In-Home Display located at the relevant premises; or(b) any other device located at those premises which:<ul style="list-style-type: none">(i) is capable of providing the Customer with access (whether directly or indirectly)

	to Customer Information; and
	is capable of connecting through the HAN to a device forming part of the Smart Metering System, and that capability has been so enabled.
Customer Information	has the meaning given in paragraph 49.20.
Effective Date	means 14 July 2013.
Enrolment Service	means the service operated by the DCC pursuant to the requirements of paragraphs 17.14 and 17.15 of Part D of Condition 17 of the DCC Licence for the purposes of enrolling a Smart Metering System in accordance with the provisions of the Smart Energy Code.
Mandatory Replacement Electricity Meter	means an Electricity Meter that replaces an Electricity Meter previously installed at the relevant premises (the 'first meter') where the replacement of the first meter is required for the purposes of complying with any statutory requirement or any provision (other than a provision in standard condition 39) of an Electricity Supply Licence.
Micro-Business Consumer	has the meaning given to it in standard condition 7A (Supply to Micro Business Consumers).
New Electricity Meter	has the meaning given to it in standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).
Notified Date	means, in respect of a relevant premises, the date the licensee receives confirmation from the DCC that the SM WAN is available in respect of the relevant premises.
Relevant Consumer Device	means a Consumer Device to which devices forming part of the Smart Metering System are capable of being

connected by virtue of the technical capability and functionality of those devices.

Relevant Period

means:

- (a) the period which commences on the Installation Date of the Smart Metering System at the relevant IHD premises and ends 12 months after that date; or
- (b) where the HAN Date is later than the Installation Date of the Smart Metering System, the period which commences on the HAN Date and ends 12 months after that date.

Condition 50 Smart Metering - Continuation of Arrangements on Change of Supplier

Application

- 50.1 This Condition applies in respect of any Domestic Premises at which there is, at the date of a Supplier Transfer in respect of that Domestic Premises, a Smart Metering System installed (**relevant premises**).

Part A: Obligations on Old Supplier

Notification of Meter Asset Provider

- 50.2 This paragraph applies where:

- (a) the licensee is the Old Supplier in relation to a relevant premises; and
- (b) the New Supplier in relation to that relevant premises is not the Meter Asset Provider for all of the Relevant Apparatus at the relevant premises.

- 50.3 Where paragraph 50.2 applies the licensee must take all reasonable steps to send within 15 working days of the Supplier Transfer, or such other timescale agreed with the New Supplier or a Relevant Meter Asset Provider (as the case may be):

- (a) a Notice to the New Supplier giving:
 - (i) the name of each Relevant Meter Asset Provider;
 - (ii) except where such information is available to the New Supplier by virtue of the information sent to it in respect of the Supplier Transfer in accordance with any Industry Code, the contact details of each Relevant Meter Asset Provider; and
 - (iii) details of the Relevant Apparatus provided by each Relevant Meter Asset Provider,

(a MAP Notice);

- (b) a Notice to each Relevant Meter Asset Provider (other than the licensee) giving, in respect of the relevant premises:
 - (i) the date of the most recent Supplier Transfer;
 - (ii) the name of the New Supplier;
 - (iii) the Meter Point Administration Number core (being the final 13 digits of that number) of each Electricity Meter; and

- (iv) the serial number of, or any other information which identifies, the Relevant Apparatus provided by that Relevant Meter Asset Provider.

Part B: Obligations on New Supplier

Arrangements with the Meter Asset Provider

50.4 This paragraph applies where the licensee is the New Supplier in relation to a relevant premises, and it:

- (a) receives a MAP Notice from the Old Supplier; or
- (b) is contacted by a Relevant Meter Asset Provider about the Relevant Apparatus at the relevant premises.

50.5 Where:

- (a) paragraph 50.4 applies; and
- (b) the licensee does not have an existing agreement with the Relevant Meter Asset Provider for the provision of apparatus of the type identified in the MAP Notice, or by the Relevant Meter Asset Provider, as Relevant Apparatus,

the licensee must take all reasonable steps to ensure that by no later than 6 months from the date of the MAP Notice or from first being contacted by the Relevant Meter Asset Provider about the Relevant Apparatus (whichever is the earlier):

- (i) it has entered into an agreement with the Relevant Meter Asset Provider for the provision of apparatus of the type identified in the MAP Notice, or by the Relevant Meter Asset Provider, as Relevant Apparatus; and
- (ii) the agreement includes terms in relation to the provision of the Relevant Apparatus at the relevant premises.

50.6 Where:

- (a) paragraph 50.4 applies; and
- (b) the licensee has an existing agreement with the Relevant Meter Asset Provider for the provision of apparatus of the type identified in the MAP Notice, or by the Relevant Meter Asset Provider, as Relevant Apparatus, it must take all reasonable steps to ensure that by no later than one month from the date of the MAP Notice the existing agreement includes terms in relation to the provision of the Relevant Apparatus at the relevant premises.

Return of Apparatus

50.7 Where pursuant to either paragraph 50.5 or 50.6 (as applicable) the licensee is not able to agree terms with the Relevant Meter Asset Provider within the Specified Period it must:

- (a) return the Relevant Apparatus to the Relevant Meter Asset Provider; and
- (b) take all reasonable steps to do so by no later than 1 month after the expiry of the Specified Period .

50.8 Where paragraph 50.7 applies, the licensee must take all reasonable steps to ensure that any apparatus returned in accordance with that paragraph is, at the time of its return, in the same condition as it was when it was last used as Relevant Apparatus.

Replacement of SMS Apparatus

50.9 This paragraph applies where the licensee:

- (a) supplies electricity to at least 250,000 Domestic Customers;
- (b) is the New Supplier in relation to a relevant premises;
- (c) returns, or is taking steps to return, pursuant to the requirements of paragraph 50.7, any apparatus to a Meter Asset Provider; and
- (d) installs, or arranges the installation of, Replacement Apparatus.

50.10 Subject to paragraph 50.11, where paragraph 50.9 applies the licensee must take all reasonable steps to ensure that the Replacement Apparatus forms part of a Smart Metering System at the relevant premises at the time of its installation.

50.11 The requirement in paragraph 50.10 does not apply in respect of Replacement Apparatus which:

- (a) is an Electricity Meter; and
- (b) is able to operate only as a Prepayment Meter.

50.12 Paragraphs 50.9, 50.10 and 50.11 of this Condition cease to apply from the date specified in the direction issued by the Secretary of State to the licensee in accordance with paragraph 39.9 of standard condition 39 (Smart Metering System – Roll-out, Installation and Maintenance).

Definitions

50.13 In this Condition:

Meter Asset Provider means any person who owns, and leases to other persons, apparatus forming part of a

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	Smart Metering System but shall not include the holder of the DCC Licence.
New Supplier	means, in relation to a relevant premises, the Electricity Supplier that became the Relevant Electricity Supplier for the relevant premises by virtue of the most recent Supplier Transfer.
Old Supplier	means, in relation to a relevant premises, the Electricity Supplier that was, immediately prior to the most recent Supplier Transfer, the Relevant Electricity Supplier for the relevant premises.
Relevant Apparatus	means any apparatus forming part of the Smart Metering System at the relevant premises but shall exclude any Communications Hub that forms part of that Smart Metering System.
Relevant Meter Asset Provider	means a Meter Asset Provider of Relevant Apparatus.
Replacement Apparatus	means apparatus which is to replace any apparatus returned, or to be returned, pursuant to the requirements of paragraph 50.7, to a Meter Asset Provider.
Specified Period	means the period specified in paragraph 50.5(b) or 50.6(b) (whichever is applicable).
Supplier Transfer	has the meaning given to it in standard condition 14A"(Customer transfer).

Commented [A85]: Ref: 29a
Switching SCR

Condition 51. Smart Metering – Customer Access to Consumption Data

Application

- 51.1 Parts A and D of this Condition apply to the licensee in respect of any Domestic Premises at which:
- (a) it is the Relevant Electricity Supplier; and
 - (b) there is installed a Smart Metering System,
- (the **relevant premises**)
- 51.2 Parts B and D of this Condition apply to the licensee in respect of any Domestic Premises at which:
- (a) it is, pursuant to the Retail Energy Code Master Registration Agreement, registered as being responsible for a metering point at which there is Export (the **export supplier**); and
 - (b) there is installed a Smart Metering System,
- (the **relevant export premises**).
- 51.3 Parts C and D of this Condition apply to the licensee in respect of any Designated Premises and (to the extent to which they are not Designated Premises) any Micro Business Premises at which:
- (a) it is the Relevant Electricity Supplier; and
 - (b) there is installed a Smart Metering System,
- (the **relevant designated or micro business premises**).

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RCC SCR

PART A – OBLIGATIONS IN RESPECT OF RELEVANT PREMISES

Customer Access to Relevant Consumption Data

- 51.4 In respect of each relevant premises, the licensee must:
- (a) within a reasonable period of time after the Effective Date, notify the Domestic Customer at the premises that the licensee can, if requested, make available Relevant Consumption Data such that it can be accessed by the Domestic Customer in accordance with paragraph (b) below; and
 - (b) as soon as is reasonably practicable after receiving any request to do so from the Domestic Customer at those premises, make available (free of charge and in a readily understandable format) Relevant Consumption Data such that it can be accessed, at any time, by the Domestic Customer via:
 - (i) the internet; or
 - (ii) where the licensee does not provide access via the internet, a Consumer Device provided, free of charge, by the licensee to the Domestic Customer for the purposes of meeting the Domestic Customer's request.
- 51.5 The requirements of paragraph 51.4 are subject to paragraph 51.8.

Retention of Consumption Data

51.6 Paragraph 51.7 applies where:

- (a) the licensee makes available Relevant Consumption Data such that it can be accessed by the Domestic Customer in accordance with paragraph 51.4(b); and
- (b) the Smart Metering System (or any part of it) at the relevant premises does not retain consumption data for the Relevant Period.

51.7 Where this paragraph applies:

- (a) the licensee must establish arrangements which enable consumption data to be retained for the Relevant Period; and
- (b) until such date as consumption data is retained for the Relevant Period, the reference to 24 months in the definition of Relevant Consumption Data shall be construed as a reference to the period for which consumption data is retained.

Exception

51.8 The requirements in paragraph 51.4 do not apply:

- (a) where:
 - (i) either:
 - (A) the Smart Metering System at the relevant premises was not installed or arranged to be installed by the licensee; or
 - (B) the licensee replaces any apparatus forming part of the Smart Metering System pursuant to paragraph 50.9 of standard condition 50 (Smart Metering – Continuation of Arrangements on Change of Supplier); and
 - (ii) a connection that enables the exchange of information between the Smart Metering System and the licensee's Communications System has not at any time been established (whether directly to the licensee's Communications System or indirectly through the DCC's Communications System); or
- (b) where:
 - (i) the Smart Metering System at the relevant premises was installed or arranged to be installed by the licensee; and
 - (ii) the obligations in paragraph 49.4 of standard condition 49 (Smart Metering Systems and In-Home Displays – Operational Requirements) do not apply in respect of the relevant premises by virtue of the exception at either paragraph 49.8 or paragraph 49.13 of that standard condition.

PART B – OBLIGATIONS IN RESPECT OF RELEVANT EXPORT PREMISES

Access to Relevant Export Data

- 51.9 In respect of any relevant export premises at which the Smart Metering System measures the quantity of Export, the licensee must:
- (a) within a reasonable period of time after the Effective Date or the Export Date (whichever is the later), notify the Domestic Customer at the premises that the licensee can, if requested, make available Relevant Export Data such that it can be accessed by the Domestic Customer in accordance with paragraph (b) below; and
 - (b) as soon as is reasonably practicable after receiving any request to do so from the Domestic Customer at those premises, make available (in a readily understandable format) Relevant Export Data such that it can be accessed by the Domestic Customer.

51.10 The requirements of paragraph 51.9 are subject to paragraph 51.11.

Exception

- 51.11 The requirements in paragraph 51.9 do not apply where a connection that enables the exchange of information between the Smart Metering System at the relevant export premises and the licensee's Communications System has not at any time been established (whether directly and insert "to the licensee's Communications System or indirectly through the DCC's Communications System).

PART C – OBLIGATIONS IN RESPECT OF RELEVANT DESIGNATED OR MICRO BUSINESS PREMISES

- 51.12 In respect of each relevant designated or micro business premises, the licensee must, on request of the Customer at the premises, ensure that the Customer or that Customer's nominated agent has timely access to the half-hourly consumption data which is held by or stored in the Smart Metering System at such premises.
- 51.13 In the case of Smart Metering Systems at Non-Domestic Premises which are enrolled under the Smart Energy Code, the requirements of paragraph 51.12 shall only apply from the date (if any) on which the licensee becomes a DCC User (as defined in standard condition 54 (Enrolment of Smart Metering Systems)).
- 51.14 Paragraph 51.15 shall apply until the licensee becomes a DCC User (as defined in standard condition 54 (Enrolment of Smart Metering Systems)).
- 51.15 Before the licensee enters into a Non-Domestic Supply Contract, the licensee must take (and ensure that any Representative takes) all reasonable steps to:
- (a) ascertain whether a Smart Metering System enrolled under the Smart Energy Code is installed at the Non-Domestic Premises; and

- (b) where such a Smart Metering System is installed at the premises, communicate to the Customer in plain and intelligible language an explanation of the nature and effect of any potential variations to the services related to the functionality of such a Smart Metering System that might be to the disadvantage of the Customer and which would arise if the Customer enters into the contract.

PART D – DEFINITIONS

Definitions

51.16 In this Condition:

Consumer Device	means any device located at the relevant premises which: <ul style="list-style-type: none"> (a) is capable of providing the Domestic Customer with access (whether directly or indirectly) to Relevant Consumption Data; and (b) is capable of connecting through the HAN to a device forming part of the Smart Metering System at the relevant premises.
DCC	has the meaning given to it in standard condition 49 of this licence.
DCC Communications System	has the meaning given to it in standard condition 49 (Smart Metering Systems and In-Home Displays – Operational Requirements).
Effective Date	means: <ul style="list-style-type: none"> (a) in respect of any Domestic Premises which is a relevant premises on the date this Condition takes effect, the date this Condition takes effect; (b) in respect of any Domestic Premises which is a relevant premises only from a date after the date on which this Condition takes effect, the date on which that Domestic Premises first becomes a relevant premises.
Export	has the meaning given to it in Schedule A to standard condition 33 (Feed-in Tariffs).

Export Date	means the date on which the Smart Metering System installed at the relevant premises first starts to measure the quantity of Export.
Micro Business Consumer	has the meaning given to it in standard condition 7A (Supply to Micro Business Consumers).
Micro Business Premises	means premises at which the Customer is a Micro Business Consumer.
Relevant Export Data	<p>means, in respect of any relevant premises at which the Smart Metering System measures Export, detailed data as to the quantity of Export in each day, week, and month for the period:</p> <ul style="list-style-type: none"> (a) for which that data is held by, or stored in the Smart Metering System on the date on which the Domestic Customer accesses the data (b) starting from the date on which the Domestic Customer became the Customer at the premises and ending on the date on which the Domestic Customer accesses the data; (c) starting from the date on which the licensee became the export supplier and ending on the date on which the Domestic Customer accesses the data; or (d) starting from the date the Smart Metering System was installed at the relevant export premises and ending on the date on which the Domestic Customer accesses the data, <p>whichever is the shorter period on the date on which the Domestic Customer accesses the data.</p>
Relevant Period	means the period of 24 months prior to the date on which the Domestic Customer at the premises accesses consumption data.

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Condition 52: Smart Metering Systems – Requirements for Specified Optional Equipment Requirements on Installation

PPMID

- 52.1 This Condition applies only in respect of Prepayment Meter Interface Devices which form part of a SMETS2+ Smart Metering System.
- 52.2 Where the licensee is the Relevant Electricity Supplier at any Domestic Premises or Designated Premises at which there is installed a Prepayment Meter Interface Device, it must take all reasonable steps to ensure that the Prepayment Meter Interface Device installed at the premises is maintained so that at all times, where it is connected through the HAN to a device forming part of the SMETS2+ Smart Metering System at the premises, it satisfies the requirements of a Version of the PPMID Technical Specification which is:
- (a) a Version with a Principal Version number that is greater than 1;
 - (b) within its Maintenance Validity Period;
 - (c) the same Version in respect of all devices comprised within that Prepayment Meter Interface Device;
 - (d) Compatible with the Version of the SME Technical Specification which includes the Metering Equipment Section in accordance with which that SMETS2+ Smart Metering System is maintained by the licensee; and
 - (e) where the SMETS2+ Smart Metering System at the premises includes a Communications Hub, Compatible with the Version of the CH Technical Specification in accordance with which that Communications Hub is maintained by the DCC.
- 52.3 The requirement in paragraph 52.2 is subject to paragraph 52.4.
- 52.4 Where on any given date a Prepayment Meter Interface Device is maintained by the licensee such that it satisfies the requirements of a particular Version of the PPMID Technical Specification with a Principal Version number that is greater than 1, the licensee must take all reasonable steps to ensure that the Prepayment Meter Interface Device is not subsequently maintained by the licensee so as to satisfy the requirements of an earlier Version (as indicated by a lower Principal Version number and/or a lower Sub-Version number) of the PPMID Technical Specification notwithstanding that any such earlier Version may otherwise meet the requirements of paragraph 52.2.

HCALCS

- 52.5 Where the licensee is the Relevant Electricity Supplier at any Domestic Premises or Designated Premises at which there is installed a HAN Connected Auxiliary Load Control Switch, it must take all reasonable steps to ensure that the HAN Connected Auxiliary Load Control Switch installed at the premises is maintained so that at all times, where it is connected through the HAN to a device forming part of the SMETS2+

Smart Metering System at the premises, it satisfies the requirements of a Version of the HCALCS Technical Specification which is:

- (a) a Version with a Principal Version number that is greater than 1;
- (b) within its Maintenance Validity Period;
- (c) the same Version in respect of all devices comprised within that HAN Connected Auxiliary Load Control Switch;
- (d) Compatible with the Version of the SME Technical Specification which includes the Metering Equipment Section in accordance with which that SMETS2+ Smart Metering System is maintained by the licensee;
- (e) where the SMETS2+ Smart Metering System at the premises includes a Communications Hub, Compatible with the Version of the CH Technical Specification in accordance with which that Communications Hub is maintained by the DCC.

52.6 The requirement in paragraph 52.5 is subject to paragraph 52.7.

52.7 Where on any given date a HAN Connected Auxiliary Load Control Switch is maintained by the licensee such that it satisfies the requirements of a particular Version of the SME Technical Specification with a Principal Version number that is greater than 1, the licensee must take all reasonable steps to ensure that the HAN Connected Auxiliary Load Control Switch is not subsequently maintained by the licensee so as to satisfy the requirements of an earlier Version (as indicated by a lower Principal Version number and/or a lower Sub-Version number) of the HCALCS Technical Specification notwithstanding that any such earlier Version may otherwise meet the requirements of paragraph 52.5.

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Condition 53: Not Used

Condition 54. Enrolment of Smart Metering Systems

Application

54.1 This condition applies where the licensee is a DCC User.

Part A: SMETS1 SMART METERING SYSTEMS

General Duty – SMETS1 Smart Metering Systems

54.2 Paragraph 54.3 applies in respect of each Domestic Premises and Designated Premises at which:

- (a) the licensee is the Relevant Electricity Supplier;
- (b) there is a SMETS1 Smart Metering System that is not Enrolled; and
- (c) the SMETS1 Smart Metering System is not Eligible for Enrolment,

(the **relevant SMETS1 premises**).

54.3 Where this paragraph applies the licensee shall take all reasonable steps to facilitate the SMETS1 Smart Metering System at the relevant SMETS1 premises becoming Eligible for Enrolment as soon as is reasonably practicable.

Enrolment Duty - SMETS1 Smart Metering Systems Eligible for Enrolment

54.4 Paragraph 54.5 applies in respect of each Domestic Premises and Designated Premises at which:

- (a) the licensee is the Relevant Electricity Supplier;
- (b) there is a SMETS1 Smart Metering System that is not Enrolled; and
- (c) the SMETS1 Smart Metering System is Eligible for Enrolment,

(the **SMETS1 premises**).

54.5 Where this paragraph applies the licensee must take all reasonable steps to ensure that within 12 months (or such longer period as the Secretary of State may direct) of the Eligibility Date or the date the licensee became the Relevant Electricity Supplier for the SMETS1 premises (whichever is the later), the Electricity Meter and Communications Hub Function that form part of the SMETS1 Smart Metering System at the SMETS1 premises are Commissioned and the SMETS1 Smart Metering System Enrolled.

Duty relating to SMETS1 Smart Metering Systems that are not Enrolled

54.6 Paragraph 54.7 applies in respect of each Domestic Premises and Designated Premises at which:

- (a) the licensee is the Relevant Electricity Supplier; and
- (b) there is a SMETS1 Smart Metering System that is not Enrolled,

(the **non-enrolled SMETS1 premises**).

54.7 Where this paragraph applies the licensee must take all reasonable steps to ensure that a SMETS2+ Smart Metering System is installed on or before 31 December 2020 at the non-enrolled SMETS1 premises.

PART B: SMETS2+ SMART METERING SYSTEMS

Enrolment Duty – SMETS2+ Smart Metering Systems

54.8 Paragraph 54.9 applies in respect of each Domestic Premises and Designated Premises at which:

- (a) the licensee is the Relevant Electricity Supplier; and
- (b) there is a SMETS2+ Smart Metering System,

(the **SMETS2+ premises**).

54.9 Where this paragraph applies the licensee must take all reasonable steps to ensure that the Electricity Meter and Communications Hub Function that form part of the SMETS2+ Smart Metering System at the SMETS2+ premises are Commissioned and the SMETS2+ Smart Metering System Enrolled.

PART C: ENROLLED SMART METERING SYSTEMS

54.10 Paragraph 54.11 applies in respect of each Domestic Premises and Designated Premises at which:

- (a) the licensee is the Relevant Electricity Supplier;
- (b) there is a Smart Metering System; and
- (c) the Smart Metering System is Enrolled,

(the **smart metering premises**).

54.11 Where this paragraph applies the licensee must take all reasonable steps to ensure that at all times at which it is the Relevant Electricity Supplier at the smart metering premises any Electricity Meter and Communications Hub Function forming part of a Smart Metering System at the smart metering premises are Commissioned.

Part D: Definitions

54.12 In this Condition:

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Commissioned	has the meaning given to it from time to time in the Smart Energy Code.
Communications Hub Function	has the meaning given to it from time to time in the Smart Energy Code.
DCC User	means a User in the User Role of Import Supplier (where 'User', 'User Role' and 'Import Supplier' all have the meanings given to them from time to time in the Smart Energy Code).
Eligibility Date	means, in respect of a SMETS1 Smart Metering System at a SMETS1 premises, the date on which that SMETS1 Smart Metering System is first Eligible for Enrolment.
Eligible for Enrolment	means, in respect of any SMETS1 Smart Metering System, eligible to be Enrolled in accordance with the relevant provisions of the Smart Energy Code.

Condition 55. Smart Metering – The Alt HAN Arrangements

Introduction

55.1 This condition provides for specified holders of electricity and gas supply licences (acting collectively) to ensure the availability of services to facilitate the installation and operation of equipment that will enable the extension of the HAN at relevant premises such that it:

- (a) extends into a relevant part of the premises; and
- (b) enables communications to be made between the parts of one or more SMETS2+ Smart Metering Systems at those premises,

where one or both of those things cannot otherwise be achieved, after taking reasonable steps to do so, by means of equipment provided by the DCC in accordance with the Smart Energy Code.

Principal Obligations

55.2 Where the licensee is a Relevant Supplier it must, in conjunction and co-operation with all other Relevant Suppliers, ensure that:

- (a) the Alt HAN Activities are carried out; and
- (b) the Alt HAN Services are made available to all Relevant Suppliers and are provided on reasonable terms to any Relevant Supplier which has elected to acquire them.

55.3 For the purposes of paragraph 55.2(a), the Alt HAN Activities may be carried out by means of one of the following (or a combination of both of them):

- (a) those activities being undertaken by or on behalf of all Relevant Suppliers; and/or
- (b) the acquisition, by or on behalf of all Relevant Suppliers, of services which comprise or form a part of those activities.

55.4 For the purposes of paragraph 55.2(b), the Alt HAN Services may be made available and provided to a Relevant Supplier by means of one of the following (or a combination of them):

- (a) the acquisition of the capability to provide those services, and their provision to that Relevant Supplier, by or on behalf of all Relevant Suppliers;
- (b) the procurement, by or on behalf of all Relevant Suppliers, of the availability of those services from a third party, and their subsequent provision by that third party to the Relevant Supplier; and/or
- (c) the entering into, by or on behalf of all Relevant Suppliers, of such arrangements for the financing of the costs of the services as are reasonably required for the purposes of ensuring the availability and provision of those services.

55.5 Where the licensee is a Relevant Supplier it must:

- (a) take all reasonable steps to ensure that the Alt HAN Activities are carried out and the Alt HAN Services provided:
 - (i) by means of its participation in the arrangements set out at Section Z of the Smart Energy Code; and
 - (ii) in a manner that is both economic and efficient; and
- (b) ensure that the costs of the Alt HAN Activities and Alt HAN Services (by whatever means they are carried out, made available and provided) are recovered by means of the provisions for their recovery set out at Section Z of the Smart Energy Code.

Alt HAN Activities

55.6 For the purposes of this condition, the **Alt HAN Activities** means activities which:

- (a) are designed to determine which premises or groups of premises may benefit from the installation of Alt HAN Equipment;
- (b) involve the establishment and maintenance of a database of such premises;
- (c) are designed to establish which types of Alt HAN Equipment are likely to be the most cost-effective;
- (d) comprise the development, design, design assurance, prototype production, testing and configuration of Shared Solution Alt HAN Equipment;
- (e) comprise:
 - (i) the development, design and design assurance of Point-to-Point Alt HAN Equipment; and
 - (ii) to the extent to which it is economic and efficient to do so, the prototype production, testing and configuration of Point-to-Point Alt HAN Equipment;
- (f) may, for the purposes of paragraphs (a) to (e), involve the undertaking of pilot projects in relation to Alt HAN Equipment at premises;
- (g) involve the establishment and maintenance of a database which includes data in respect of:
 - (i) Alt HAN Equipment which has been installed at premises in accordance with the arrangements set out at Section Z of the Smart Energy Code, and of the MPANs and MPRNs associated with SMETS2+ Smart Metering Systems (whether already installed or to be installed) which are capable of using such equipment;
 - (ii) all other Alt HAN Equipment which has been installed at premises, and of the MPANs and MPRNs associated with

SMETS2+ Smart Metering Systems (whether already installed or to be installed) which are capable of using such equipment; and

- (iii) where Alt HAN Equipment of the type referred to in sub-paragraph (ii) has been installed at premises, whether, at any given time, an Energy Supplier has elected to use that equipment;
- (h) include such arrangements for the financing of the costs of any of the activities referred to in paragraphs (a) to (g) as are reasonably required for the purposes of ensuring the undertaking of, or acquiring of services which comprise or form part of, those activities; and
- (i) include the obtaining of such legal, managerial, technical, financial, risk management or other professional services as are reasonably required for the purpose of:
 - (i) undertaking, or acquiring services which comprise, any of the activities referred to in paragraphs (a) to (h); and
 - (ii) making available and providing the Alt HAN Services (including in particular the obtaining of services for the purpose of putting in place financing arrangements of the type referred to in paragraph 55.4(c)).

The Alt HAN Services

55.7 For the purposes of this condition, the **Alt HAN Services** means:

- (a) the manufacture, provision, installation, operation, maintenance, modification and decommissioning of Shared Solution Alt HAN Equipment;
- (b) to the extent to which it is economic and efficient to do so, the manufacture, provision, installation, operation, maintenance, modification and decommissioning of Point-to-Point Alt HAN Equipment;

- (c) the provision of information and support in relation to each of the services referred to in paragraphs (a) to (b).

The Alt HAN Equipment

55.8 For the purposes of this condition, the **Alt HAN Equipment** means such equipment and apparatus as would be required by a Relevant Supplier in order to ensure that, in relation to any SMETS2+ Smart Metering System at a Relevant Premises, the HAN:

- (a) extends into at least one part of that Relevant Premises as described in standard condition 49.4(c)(i) (Smart Metering System – Operational Requirement); and
- (b) permits communications to be made between the parts of one or more SMETS2+ Smart Metering Systems at that Relevant Premises,

where the Relevant Supplier would otherwise be unable, having taken all reasonable steps, to achieve either or both of those things by means of the equipment provided by the DCC in accordance with the Smart Energy Code.

Relevant Premises

55.9 For the purposes of this condition, **Relevant Premises** means, in respect of a Relevant Supplier, any premises:

- (a) at which it is the Relevant Electricity Supplier or Relevant Gas Supplier (as the case may be);
- (b) at which there is, or is to be, installed a SMETS2+ Smart Metering System; and
- (c) which is not Exempt Premises.

Exempt Premises

55.10 For the purposes of this condition, **Exempt Premises** means any premises specified in, or falling within a description of premises specified in, the Exempt Premises List.

55.11 Where the licensee is a Relevant Supplier, it must, in conjunction and co-operation with all other Relevant Suppliers, establish and maintain the Exempt Premises List in accordance with this condition.

Exempt Premises List

55.12 For the purposes of this condition, the **Exempt Premises List** means a list which specifies premises or descriptions of premises in respect of which, for one of the reasons specified in paragraph 55.13, the HAN need not either:

- (a) extend into at least one part of the premises as described in standard condition 49.4(c)(i) (Smart Metering System – Operational Requirement); or
- (b) permit communications to be made between the parts of a SMETS2+ Smart Metering System, or between part of a SMETS2+ Smart Metering System and another SMETS2+ Smart Metering System at the premises.

55.13 The reasons specified in this paragraph are that the HAN need not have the specified capabilities because:

- (a) it would be technically impracticable for it to do so; or
- (b) though technically practicable, it could only be achieved at disproportionate cost,

in either case in consequence of the physical or other characteristics of, or any other relevant consideration with respect to, the specified premises.

55.14 Where the licensee is a Relevant Supplier, it must, in conjunction and co-operation with all other Relevant Suppliers, and prior to making available or providing any Alt HAN Services:

- (a) provide a draft of the Exempt Premises List to the Secretary of State;
- (b) together with that draft, provide to the Secretary of State information as to:
 - (i) the different service levels capable of being achieved by Alt HAN Equipment of different types or qualities;
 - (ii) the respective costs of manufacturing, providing, installing, operating, maintaining, modifying and decommissioning such different types or qualities of Alt HAN Equipment;
- (c) provide to the Secretary of State all such further information related to the Alt HAN Activities or Alt HAN Services as she may request;
- (d) make such changes to the draft Exempt Premises List as the Secretary of State may (following consultation with such persons as she considers appropriate) direct; and
- (e) obtain the approval of the Secretary of State to the Exempt Premises List.

55.15 The Exempt Premises List shall be treated as established for the purposes of this condition only when it has been approved by the Secretary of State.

55.16 Where the licensee is a Relevant Supplier, it must, in conjunction and co-operation with all other Relevant Suppliers, by means of participation in the arrangements set out at Section Z of the Smart Energy Code:

- (a) review the Exempt Premises List at least once in each year following the Secretary of State's approval; and
- (b) propose to the Secretary of State such revisions of the Exempt Premises List (if any) as may be necessary or appropriate in the light of the review.

55.17 The Secretary of State may, whether after receiving proposed revisions from the Relevant Suppliers or at any other time, and following consultation with all

Relevant Suppliers and such other persons as she considers appropriate, revise the Exempt Premises List in such manner, with effect from such time, and to such extent as she may specify.

55.18 The Secretary of State may, by means of a direction in accordance with this paragraph issued to the Authority and all Relevant Suppliers, provide that:

- (a) any duty of the licensee under paragraph 55.14 or 55.16 expressed to be by reference to the Secretary of State and identified in the direction; or
- (b) any function of the Secretary of State under paragraph 55.14, 55.16 or 55.17 identified in the direction,

shall, from such date as she may specify, be treated as a duty expressed by reference to, or a function exercisable by, the Authority in substitution for the Secretary of State.

55.19 The licensee must provide a copy of the Exempt Premises List to any person who requests it.

55.20 For the purposes of the approval of the Exempt Premises List or any subsequent revision of it by the Secretary of State or Authority in accordance with this condition, any question arising under paragraph 55.13 as to whether the HAN could have the specified capabilities only at disproportionate cost shall be determined by the Secretary of State or Authority (as the case may be) in such manner and by reference to such factors as she or it considers appropriate.

Definitions

55.21 In this condition:

Alt HAN Activities	has the meaning given to it in paragraph 55.6
Alt HAN Equipment	has the meaning given to it in paragraph 55.8.
Alt HAN Services	has the meaning given to it in paragraph 55.7.

Note: Consolidated conditions are not formal Public Register documents and should not be relied on. Electricity suppliers Licence: Standard Conditions - Consolidated to 03 August 2019

Energy Supplier	means a person Authorised by an Electricity Supply Licence to supply electricity or a Gas Supply Licence to supply gas.
Exempt Premises	has the meaning given to it in paragraph 55.10.
Exempt Premises List	has the meaning given to it in paragraph 55.12.
Point-to-Point Alt HAN Equipment	means equipment which fulfils the function of Alt HAN Equipment in respect of only one Relevant Premises.
Relevant Premises	has the meaning given to it in paragraph 55.9.
Relevant Supplier	means an Energy Supplier which is required in accordance with standard condition 39 of an Electricity Supply Licence or standard condition 33 of a Gas Supply Licence to install a Smart Metering System at any premises.
Shared Solution Alt HAN Equipment	means equipment which fulfils the function of Alt HAN Equipment in respect of more than one Relevant Premises."

Condition 56 – Database to facilitate customer engagement

Requirement to supply the Relevant Customer Data

1. Subject to paragraph 5 below, the licensee must first supply the Relevant Customer Data to the Authority (in such a manner and containing such additional information as the Authority may direct) by 1 October 2017 or at such later date as may be specified in a direction issued by the Authority.
2. Following compliance with paragraph 1, and subject to paragraph 6, the licensee must supply the Relevant Customer Data to the Authority (in such a manner and containing such additional information as the Authority may direct following consultation with Retail Energy Suppliers, where appropriate) on a monthly basis, except where there are good operational reasons for supplying the Relevant Customer Data with greater frequency than monthly, in which case such alternative frequency may be specified in a direction issued by the Authority.
3. The licensee will take all reasonable steps to ensure that at the time of complying with paragraphs 1 and 2 the Relevant Customer Data are accurate and up to date.
4. The licensee must not supply information that is not Relevant Customer Data to the Authority in its response to the Authority's direction issued pursuant to paragraph 1.

Requirement to send the First Contact Communication

5. Subject to paragraph 7, the licensee must send the First Contact Communication to each Disengaged Customer (in such a manner as the Authority may specify by publishing a notice in Writing or otherwise direct) by 31 July 2017 or at such later date as may be specified in a direction issued by the Authority.
6. Subject to paragraph 7, the licensee must send a First Contact Communication to any additional Domestic Customer or Micro Business Consumer who has become a Disengaged Customer since the licensee first complied with paragraph 1 (in such a manner as the Authority may specify by publishing a notice in Writing or otherwise direct) at least one calendar month prior to complying with paragraph 2, or within such other timeframe specified in a direction issued by the Authority.
7. The First Contact Communication must only have such contents, format and structure as may be specified from time to time by the Authority (by publishing a notice in Writing or issuing a direction to a particular licensee), subject to having the following minimum contents:
 - (a) a clear explanation of the legal requirement established by the CMA Order requiring the licensee to supply the information falling within the definition of the Relevant Customer Data to the Authority;

- (b) a clear explanation of how the Relevant Customer Data will be stored and updated on the Secure Database;
 - (c) a clear summary of the safeguards in place to protect Disengaged Customers' interests concerning the Relevant Customer Data, which should include details of (i) who will be allowed access to the Secure Database; (ii) the limited use to which licensed energy suppliers and/or the Authority would be able to put the Relevant Customer Data concerning direct marketing by postal correspondence or by any other means specified by the Authority subject to compliance with data protection legislation, including the total number and frequency of such correspondence; (iii) the amount of time the licensed energy suppliers and/or the Authority may retain the Relevant Customer Data; and (iv) the consequences of misuse or mis-selling as a consequence of having access to the Secure Database;
 - (d) clear details of the mechanism for the Disengaged Customer to object at any point in time to their Domestic Customer Data or Micro Business Consumer Data, as applicable, being stored on the Secure Database (including by post, email or telephone); or clear details of the opt-in mechanism for any Disengaged Customer falling within a group of Disengaged Customers that has been specified by the Authority, including customers for whom it would be necessary for the Retail Energy Supplier to supply any Sensitive Personal Data.
8. Except for sending the First Contact Communication in accordance with the Authority's direction, the licensee must not initiate any communication (whether in Writing or orally) with a Disengaged Customer supplied by the licensee about the Secure Database, including the process for opting out (or, if applicable, for opting in).

Access to the Secure Database

9. The licensee must comply with the terms of access and use specified by the Authority in order to access or maintain access to the Secure Database.

Reporting obligation

10. The licensee must keep a record of any oral and written opt-out and opt-in requests received from the Disengaged Customers.
11. The licensee must give the Authority any Information that it reasonably requests about the licensee's compliance with paragraphs 1 to 8 as soon as reasonably practicable after receiving a request from the Authority.
12. The licensee must give the Authority any Information that it reasonably requests to assess the impact and effectiveness of the Secure Database as soon as reasonably practicable after receiving a request from the Authority.

Definitions for condition

Annual Consumption Breakdown	means a breakdown of the Annual Consumption Details by each Consumption Window for which data is recorded.
CMA	means the Competition and Markets Authority.
CMA Order	means the Energy Market Investigation (Database) Order 2016.
Consumption Window	means each separate period within a total period of 24 hours in which electricity consumption was recorded and charged at a distinct Unit Rate.
Default Tariffs	means: <ul style="list-style-type: none"> (a) in the case of a Domestic Customer, any type or part of a Domestic Supply Contract (including an Evergreen Supply Contract) or Deemed Contract in circumstances where no part of the Tariff which currently applies to a Domestic Customer is for a fixed term period, or where a Domestic Customer has automatically become subject to one or more Domestic Supply Contracts or Deemed Contracts in the event that they do not make a choice; and (b) in the case of a Micro Business Consumer, any type or part of a Non-Domestic Supply Contract or Deemed Contract in circumstances where: <ul style="list-style-type: none"> (i) none of the terms and conditions (including Charges for the Supply of Electricity) which currently apply to a Micro Business Consumer are for a fixed term period, including in any circumstances where a Micro Business Consumer automatically becomes subject to such a Non-domestic Supply Contract or Deemed Contract in the event that they do not make a choice; or (ii) one or more of the terms and conditions (including Charges for the Supply of Electricity) which currently apply to a Micro Business Consumer for a fixed term period and automatically came in to effect following the expiry or extension of the first

	fixed term period which applied to that Micro Business Consumer.
Disengaged Customer	means for each licensee, each Domestic Customer and each Micro Business Consumer (on any meter type) who has been supplied at the same electricity or gas supply point (as applicable) by that licensee on one or more Default Tariffs for three years or more as at the time of complying with paragraphs 5 and 6 (as applicable).
Domestic Customer Data	means a Domestic Customer's (a) full name; billing address; consumption address; current Retail Energy Supplier; Tariff Name (including identification of all Standing Charges (where charged), Unit Rates and method of payment); Annual Consumption Details; MPAN; and Standard Settlement Configuration code; (b) where relevant, also includes (i) the consumption volumes to which different Unit Rates applied, (ii) the Annual Consumption Breakdown; (iii) the length of time and the hours within which consumption was recorded for each Consumption Window; and (iv) the Standing Charges and Unit Rates that apply to each Consumption Window; and (c) any additional items of information specified by the Authority that are necessary for the purposes contemplated by the CMA Order.
DPA	means the Data Protection Act 1998.
First Contact Communication	means a communication, and any accompanying envelope or separate summary or explanatory information provided with such communication, that complies with paragraph 7.
GDPR	means the General Data Protection Regulation (Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data).
Micro Business Consumer Data	means (a) a Micro Business Consumer's business name; billing (or registered) address; consumption address; current Retail Energy Supplier; Tariff Name (including identification of all Standing Charges (where charged), Unit Rates and method of payment); Annual Consumption Details; MPAN; and Standard Settlement Configuration code; (b) where relevant, also includes (i) the consumption volumes to which different Unit Rates applied, (ii) the Annual Consumption Breakdown; (iii) the length of time and the hours within which consumption was recorded for each Consumption Window; and (iv) the Standing Charges

	and Unit Rates that apply to each Consumption Window; and (c) any additional items of information specified by the Authority that are necessary for the purposes contemplated by the CMA Order.
MPAN	means the Meter Point Administration Number, as the reference number used to uniquely identify electricity supply points in Great Britain.
Opted-in	means any Disengaged Customer (for whom it would be necessary for the supplier to supply any Sensitive Personal Data) that, following receipt of the First Contact Communication, has actively and voluntarily signalled, as at the date of their existing supplier complying with paragraphs 1 and 2 (as applicable), orally or in writing, to their existing supplier that they consent to their Domestic Customer Data being supplied to the Authority in the manner contemplated in this licence condition.
Opted-out	means any Disengaged Customer that, following receipt of a First Contact Communication, has actively and voluntarily signalled, as at the date of their existing supplier complying with paragraphs 1 and 2 (as applicable), orally or in writing, to their existing supplier that they object to their Domestic Customer Data or Micro Business Consumer Data, as applicable, being supplied to the Authority in the manner contemplated in this licence condition.
Relevant Customer Data	means the Domestic Customer Data and the Micro Business Consumer Data concerning each Disengaged Customer who has not Opted-out or who has Opted-in (as applicable) as at the time of complying with paragraphs 1 or 2 (as applicable).
Secure Database	means a secure database that is controlled and maintained by the Authority for the purposes of storing the Relevant Customer Data supplied pursuant to paragraphs 1 and 2.
Sensitive Personal Data	has the meaning given to it in section 2 of the DPA and/or Article 9 of the GDPR.
Standard Settlement Configuration	means a standard metering system configuration recognised by the supplier volume allocation agent system, as defined in Annex X-2 of the Balancing and Settlement Code.
Tariff Name	has the meaning given to it in the Electricity Supply Licence or the Gas Supply Licence (as applicable).

Condition 57 – Smart Export Guarantee

Application of Schedule A

- 57.1. Where the licensee is a SEG Licensee, it shall comply with the provisions of Schedule A with effect on and after 1st January 2020.
- 57.2. The licensee shall comply with Clause 8 of Schedule A (information required from all licensees) with effect on and after 1st January 2020.

SEG Payments by the Licensee to SEG Generators

- 57.3. A SEG Licensee, whether Mandatory or Voluntary, shall make SEG Payments to SEG Generators in accordance with the provisions of Schedule A.

Compliance and Sanctions

- 57.4. The provisions of this Standard Condition 57 and Schedule A to Standard Condition 57 of this licence are “relevant conditions” for the purposes of section 25(8) of the Act and a non-complying licensee shall be subject to the enforcement powers of the Gas and Electricity Markets Authority under the Act.

Condition 58: Implementation of Smart Export Guarantee

- 58.1. The licensee shall take such steps and do such things as are within its power in relation to any consequential amendments to the Codes as are or may be necessary or appropriate to give full and timely effect to the modifications to this Licence made by the Secretary of State pursuant to section 41(1) of the Energy Act 2008.
- 58.2. The licensee shall cooperate with all other Electricity Suppliers, and such other persons as the Authority may determine, to contribute to the full and timely implementation of the smart export guarantee.
- 58.3. If the licensee becomes aware of any conflict between its compliance with the provisions of Standard Condition 57 and Schedule A and its compliance with any other condition of this licence or any Code, document or agreement to which the licensee is obliged to be or become a party pursuant to this licence, the licensee shall forthwith give written notice of such conflict to the Authority and shall comply with any direction of the Authority in relation to the same, which direction may only be made following consultation with the licensee and such persons as the Authority deems appropriate.

Schedule A to standard condition 57 of the electricity supply licence

DEFINITIONS AND INTERPRETATION

“AD Installation” means an Eligible Installation which produces Export through anaerobic digestion;

“AD Reporting Start Date” means the date on which the Authority formally acknowledges the SEG Generator’s intent to request SEG Payments and confirmation that it intends to comply with the criteria set down in the Schedule to the SEG Order;

“Anaerobic digestion” means the bacterial fermentation of organic material in the absence of free oxygen (excluding anaerobic digestion of sewage and material in a landfill);

“Brown Export” means electricity which was:

- (a) supplied to a SEG Generator from a person who is a holder of a licence under section 6(1)(d) of the Electricity Act 1989 Act; or
- (b) generated by that SEG generator from an installation which is not an Eligible Installation;

“Complaints Procedure” means the procedure available to a SEG Generator in the event it has a complaint about any action taken by a SEG Licensee in relation to the SEG;

“EA08” means the Energy Act 2008;

“Eligible Installation” means any installation which complies with clause 2 of this Schedule;

“Eligible Low-carbon Energy Source” means the following sources of energy or technology:

- (a) anaerobic digestion;
- (b) hydro generation station;
- (c) combined heat and power systems with an electricity capacity of 50 kilowatts or less;
- (d) solar photovoltaic;
- (e) wind;

with, in any of the cases from (a) to (e), the maximum capacity as specified in the SEG Order;

“Equivalent Scheme” means a scheme accredited in accordance with EN 45011 or EN ISO/IEC 17065:2012;

“Export” means the flow of electricity from an Eligible Installation onto a distribution system or transmission system and which must be accounted for in settlement in

accordance with the Balancing and Settlement Code regardless of capacity and Export as a verb shall be construed accordingly;

“Export Meter” means a meter or meters complying with the requirements set out in clause 2 of this Schedule, which measures the quantity of Export and the compulsory registration of which, under the Balancing and Settlement Code, regardless of capacity, is to be the responsibility of the SEG Licensee;

“Export Meter Reading” means the measure by an Export Meter of the amount of Export;

“Export Tariff” means the payment rate per kilowatt hour for Export from an Eligible Installation;

“Extension” means a modification to an Eligible Installation to increase its Total Installed Capacity from the same type of Eligible Low-carbon Energy Source, and Extend as a verb shall be construed accordingly;

“FIT Export Tariff” means the export tariff payable under the Feed-in Tariff Scheme;

“Green Export” means electricity which was generated from an Eligible Installation solely from anaerobic digestion, hydro generation station, combined heat and power, solar voltaic or wind;

“Hydro generation station” means an installation driven by water, except for such an installation—

(a) driven by waves, ocean currents or geothermal sources;

(b) driven by tidal flows, unless also driven partly by non-tidal flows from a water course; or

(c) where the hydrostatic head of the water has been increased by pumping;

“Insolvency Event” means an event or circumstance referred to in paragraph 1(f) of Schedule 2 on Revocation of the Electricity Supply Licence;

“Mandatory SEG Licensee” means a person who is a holder of a licence under section 6(1)(d) of the Electricity Act 1989 which, as at 31 December before the start of each SEG Year, either:

(a) supplies electricity to at least 150,000 domestic customers; or

(b) together with its affiliates jointly supplies electricity to at least 150,000 domestic customers;

“MCS” means the Microgeneration Certification Scheme;

“Metering Legislation” means:

(a) Schedule 7 to the Electricity Act 1989;

(b) The Meters (Approval of Pattern or Construction and Manner of Installation) Regulations 1998 (S.I. 1998/1565);

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- (c) The Meters (Certification) Regulations 1998 (S.I. 1998/1566);
- (d) The Electricity (Approval of Pattern or Construction and Installation and Certification) (Amendment) Regulations 2002 (S.I. 2002/3129);
- (e) The Measuring Instruments (EC Requirements) (Electrical Energy Meters) Regulations 1995 (S.I. 1995/2607);
- (f) The Measuring Instruments (EC Requirements) (Electrical Energy Meters) (Amendment) Regulations 2002 (S.I. 2002/3082);
- (g) The Measuring Instruments (Active Electrical Energy Meters) Regulations 2006 (S.I. 2006/1679);

“MPAN” means meter point administration number;

“Plant” means any equipment, apparatus or appliance;

“SEG” means smart export guarantee;

“SEG Generator” means a person—

(a) entitled to seek payment in respect of an Eligible Installation from a SEG Licensee and

(b) who has accepted the SEG Licensee’s offer of an Export Tariff offered in accordance with the SEG arrangements;

“SEG Licensee” means the collective term for Mandatory SEG Licensees and Voluntary SEG Licensees;

“SEG Order” means the Smart Export Guarantee Order 2019 (including any amendments to that Order);

“SEG Payment” and “SEG Payments” means the sum or sums payable to the SEG Generator by a SEG Licensee, for Export in any period;

“SEG arrangements” means the arrangements for delivering the smart export guarantee introduced in accordance with sections 41 to 43 EA08, as set out in Standard Condition 57 of the Electricity Supply Licence (including this Schedule A) and the SEG Order;

“SEG Year” means a period of twelve months commencing on 1 April and concluding on 31 March starting from 1st January 2020, and in the first year following 1st January 2020 (“the first SEG Year”), the SEG Year will extend from that date until 31 March in the year following;

“Small-scale Low-carbon Generation” means the generation of electricity, by any Plant:

- (a) which, in generating electricity, relies wholly or mainly on an Eligible Low-carbon Energy Source; and
- (b) the Total Installed Capacity of which does not exceed the Specified Maximum Capacity;

“Specified Maximum Capacity” means the maximum capacity specified in the SEG Order;

“Storage” means the storage of energy that was converted from electricity and is stored for the purpose of its future reconversion into electricity;

“Storage device” means the Plant used to store energy;

“Switching” means the process involved when a SEG Generator elects to change its SEG Licensee, and Switch used as a verb shall be construed accordingly;

“Total Installed Capacity” means the maximum capacity at which an Eligible Installation could be operated for a sustained period without causing damage to it (assuming the Eligible Low-carbon Energy Source was available to it without interruption);

“Voluntary SEG Licensee” means a person who is a holder of a licence under section 6(1)(d) of the Electricity Act 1989 which is not a Mandatory SEG Licensee and which voluntarily elects to participate in making SEG Payments under the SEG arrangements.

A BASIC PRINCIPLES

1. Application

1.1 This Schedule shall apply to both a Mandatory SEG Licensee and a Voluntary SEG Licensee.

1.2 A SEG Licensee is required to publish its status as a SEG Licensee and its rates such that this information is easily accessible to the public.

2. Eligible Installation

2.1 An Eligible Installation is Plant which is capable of Small-scale Low-carbon Generation with the specified maximum capacity set out in the SEG Order and meets the following conditions:

2.1.1 In relation to installations which have a capacity of 50 kilowatts or less and which generates electricity from combined heat and power, solar voltaic or wind sources, the installation is certified under MCS or an Equivalent Scheme, and have been installed by an MCS or equivalent installer;

2.1.2 In relation to all other installations, the installation has equivalent certification to that required by MCS; and

2.1.3 The meter used to measure the Export from the Eligible Installation is compliant with the metering legislation, and

(a) be capable of taking measurements at half-hourly intervals in relation to the exported electricity volumes for which the SEG generator seeks payment; and

- (b) have an export MPAN to manage exported electricity volumes to the SEG licensee, and, where an installation has both an export and an import meter, the import and export meters must have separate MPANs.

3. SEG Payments

3.1 The SEG Licensee shall be obliged to accept a request for SEG Payments and must offer an above-zero Export Tariff as regards an Eligible Installation only in the event the following conditions are satisfied:

3.1.1 the prospective SEG Generator must not also receive or benefit from the FIT Export Tariff or receive SEG Payments from another SEG Licensee as regards the Eligible Installation in relation to which it is seeking SEG Payments; and

3.1.2 the SEG Licensee must have access to, or have received from the prospective SEG Generator, the Export Meter Readings required in order to calculate the SEG Payments.

3.2 The SEG Licensee shall make SEG Payments as determined by that Licensee to all SEG Generators whose request for SEG Payments it has accepted.

3.3 In determining the SEG Payment to be made to the SEG Generator, the SEG Licensee must calculate that SEG Payment using actual Export Meter Readings to which it has had access or has received from the SEG Generator.

3.4 The SEG Licensee shall have no obligation to make SEG Payments—

3.4.1 until such time as it has had access to or has received actual Export Meter Readings; or

3.4.2 in respect of any flow of electricity which has not been generated by the Eligible Low-carbon Energy Source.

3.5 The SEG Licensee shall have no obligation to make SEG Payments as regards an Extension to an Eligible Installation in the event that the SEG Licensee considers that the Specified Maximum Capacity is or has been exceeded.

3.6 A SEG Licensee which does not wish to include any payment for Brown Export in the SEG Tariff shall not be obliged to make any SEG Payment where is not satisfied that the SEG Generator is able to sufficiently isolate Green Export from Brown Export.

B TREATMENT OF SEG GENERATORS AND AD INSTALLATIONS

4. Additional arrangements for AD Installations

4.1 A SEG Licensee which is obliged to make payments to a SEG Generator in accordance with clause 3.2 shall not be obliged to make SEG Payments in relation to

Export from an AD Installation until it has received confirmation from the SEG Generator of its AD Reporting Start Date.

4.2 A SEG Licensee may request information at any time from a SEG Generator—

4.2.1 about the evidence that the SEG Generator has provided to the Authority about the sustainability and feedstock requirements for the AD Installation (including confirmation that it has provided that information); and

4.2.2 to demonstrate that the Authority has confirmed that the requirements have been met or not.

4.3 The SEG Licensee shall not be obliged to make SEG Payments for any period that the SEG Generator cannot demonstrate to the satisfaction of the Authority that the requirements detailed in the Schedule to the SEG Order have been met.

5. Payment rates and contractual terms

5.1 As soon as reasonably practicable after receiving a request for SEG Payments, the SEG Licensee shall take all reasonable steps to provide a SEG Generator with written confirmation of the Export Tariff determined by the SEG Licensee in respect of that SEG Generator.

5.2 The written confirmation of the following shall be provided with the written confirmation of the Export Tariff:

5.2.1 a description of the Complaints Procedure and a stated duty to participate in the Complaints Procedure on disputes in relation to compliance with obligations under the SEG arrangements;

5.2.2 a description of the process of Switching and a stated duty to participate as required to facilitate the Switching of a SEG Generator;

5.2.3 a term setting out the termination rights which permit the SEG Generator to withdraw from the SEG arrangements;

5.2.4 a term identifying the risks to a SEG Generator of failure to adhere to any terms of its contract with the SEG Licensee, such as the failure to provide the required data in a timely fashion, and as regards suspension or recoupment of SEG Payments;

5.2.5 a term identifying the SEG Generator's obligations as regards providing information, declarations and evidence to the SEG Licensee and the Authority (as well as any consents required for the purposes of data protection) as required for the administration of the SEG arrangements;

5.2.6 a term requiring the SEG Generator to inform the SEG Licensee as soon as reasonably possible in the event that there is a change in ownership of the Eligible Installation or a change in the person entitled to seek payment in respect of the Eligible Installation;

5.2.7 a term requiring the SEG Generator to inform the SEG Licensee as soon as reasonably possible of Extensions to an Eligible Installation;

5.2.8 a duty to fulfil obligations under the SEG arrangements efficiently and expeditiously.

5.3 The SEG Licensee shall also have the following specific duties as regards SEG Generators in the context of the SEG arrangements:

5.3.1 when providing information to a SEG Generator (whether in writing, by electronic display or orally) in relation to the SEG arrangements, the SEG Licensee shall take all reasonable steps to ensure it:

- (a) is complete and accurate;
- (b) is capable of being easily understood by the SEG Generator;
- (c) does not mislead the SEG Generator; and
- (d) is otherwise fair, transparent, appropriate and delivered in a professional manner both in terms of content and in terms of how it is presented (with more important information being given appropriate prominence);

5.3.2 when making SEG Payments to a SEG Generator, the SEG Licensee shall ensure that it does not materially discriminate without objective justification between SEG Generators;

5.3.3 the SEG Licensee shall notify SEG Generators to which it makes SEG Payments as soon as reasonably possible at the occurrence of an Insolvency Event;

5.3.4 the SEG Licensee shall notify SEG Generators to which it makes SEG Payments within 6 weeks of it ceasing to be either a Mandatory or a Voluntary SEG Licensee.

5.4 To the extent a SEG Generator falls into the definition of Customer, Domestic Customer or Micro-business Consumer under the Electricity Supply Licence, the rights and obligations resulting from that status under Sections A and B of the Electricity Supply Licence shall apply in addition to these Conditions notwithstanding participation in the SEG arrangements and involvement in Small-scale Low-carbon Generation.

C ADMINISTRATION

6. Administration

6.1 The SEG Licensee shall take all reasonable steps to ensure that the data contained in submissions to the Authority is as accurate as possible.

7. Modifications to a SEG Installation

7.1 In the event a SEG Generator increases Small-scale Low-carbon Generation using an Eligible Low-carbon Energy Source different to that used in an existing Eligible Installation, the SEG Licensee shall treat this as a separate Eligible Installation.

D –INFORMATION REQUIRED FROM ALL LICENCEES

8. Change of status

8.1 This clause shall apply to all licensees.

8.2 The Licensee shall submit a notification to the Authority on or before 14th February in each SEG year.

8.3 The notification referred to in clause 8.1 shall state whether, in the following SEG Year, the Licensee is to be:

8.3.1 a Mandatory SEG Licensee (by reference to its status as at 31st December of the preceding calendar year);

8.3.2 a Voluntary SEG Licensee; or

8.3.3 neither a Mandatory SEG Licensee nor a Voluntary SEG Licensee.

8.4 In the event that a Licensee ceases to have SEG Licensee status, it shall be required to continue its participation in SEG arrangements as a SEG Licensee until the end of the SEG Year in which its status altered.

8.5 A Mandatory SEG Licensee which has ceased to have SEG Licensee status may elect to become a Voluntary SEG Licensee after the expiry of the period set out in clause 8.4.

9. Provision of information to Authority

9.1 A SEG Licensee shall be obliged to provide in a timely and practical format information about its participation in the SEG arrangements as reasonably required by the Authority.

10. Modification

10.1 Modifications to the provisions of the SEG arrangements set out in these Standard Licence Conditions shall be made in accordance with the provisions of section 42 EA08 insofar as such modifications fall within the scope of section 41 EA08.

11. In the event of inconsistency

11.1 In the event of inconsistency between any provision of this Schedule and any Order made by the Secretary of State under sections 41 to 43 EA08, the latter shall prevail.

11.2 Where a SEG Licensee reasonably considers that complying with any Order by the Secretary of State under sections 41 to 43 EA08 will require it to act in a manner which is inconsistent with any provision of its Electricity Supply Licence, the licensee shall, without delay, inform the Authority and Secretary of State of such inconsistency.