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| RIIO-ED1 regulatory instructions and guidance: Annex F – Interruptions |
| Guidance |

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**Overview:**

RIIO-ED1 is the price control for electricity distribution network operators (DNOs) from 1 April 2015 to 31 March 2023.

This document is part of the regulatory instructions and guidance (RIGs) for RIIO-ED1.

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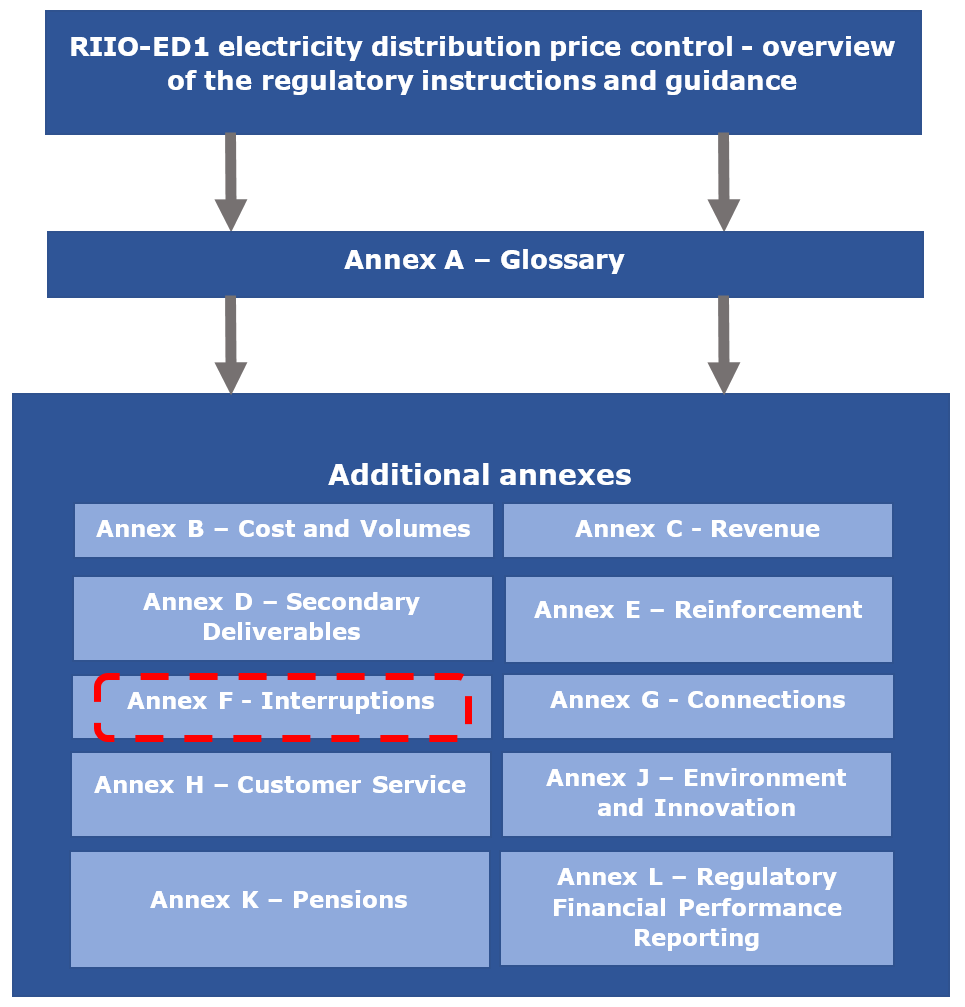
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1. Introduction

## Scope of this document

* 1. This document is part of the regulatory instructions and guidance (RIGs) for RIIO-ED1. The term RIGs refers to a collection of documents – our instructions and guidance, and the reporting packs and commentaries the electricity distribution network operators (DNOs) have to fill out.
  2. Figure 1.1 shows all the instructions and guidance documents for the RIIO-ED1 RIGs. This document, circled in Figure 1.1, is one of a series of annexes containing instructions and guidance. It provides DNOs with information on how to fill in the interruptions reporting packs that they are required to submit to us.

Figure 1.1: Map of the RIIO-ED1 instructions and guidance



* 1. This document should be read in conjunction with:
* the RIIO-ED1 electricity distribution price control – overview of the regulatory instructions and guidance document
* Annex A – Glossary for the regulatory instructions and guidance
* the associated Microsoft® Excel reporting packs named:
  + “QoS Interruptions Stage Data Reporting Pack”
  + “QoS HV Disaggregation Reporting Pack”
  + “Occurrences Not Incentivised Reporting Pack”
  + “Guaranteed Standards of Performance Reporting Pack”.
  1. The purpose of collecting information in the QoS Interruptions Stage Data and QoS HV Disaggregation Reporting Packs is to monitor DNOs’ performance against the interruptions incentive scheme (IIS) and to provide underlying data to assist with target setting for future price controls.
  2. The purpose of collecting information in the Occurrences Not Incentivised Reporting Pack is to monitor DNO reporting under the Safety and Security of Supplies Enquiry Service, beyond that reported for the IIS. For example to consider the impact of faults on unmetered supplies such as street lighting and street furniture.
  3. The purpose of the Guaranteed Standards of Performance Reporting Pack is to collect information that allows Ofgem to monitor DNO performance against the G~~g~~uaranteed S~~s~~tandards of Performance and adherence to The Electricity (Standards of Performance) Regulations (“the Regulations”).

## Instructions for completing the changes log and revenue link tables

* 1. The Changes Log must be used by the DNOs to record any amendments (formulae or presentation) that are made to the reporting pack, including the date those changes were made. Ofgem will also record any changes made to the reporting pack in this worksheet.
  2. The QoS Interruptions Stage Data Reporting Pack and Guaranteed Standards of Performance Reporting Pack each contain a worksheet named Revenue Link Table. This worksheet does not require any input from DNOs. This worksheet links to other worksheets in the reporting pack. The information in this table should be used to complete the relevant cells in the R5a – Links worksheet in the Revenue Reporting Pack.

1. Instructions for completing quality of service reporting worksheets

## Quality of Service Interruptions

### Introduction

* 1. The purpose of this chapter is to provide instructions and guidance for quality of service (QoS) reporting. It sets out instructions and guidance for the reporting of the following which are defined in Annex A – Glossary:
* Customers Interrupted (CIs)
* Customer Minutes Lost (CMLs) (duration of interruptions to supply))
* Short Interruptions (SIs)
* Customers Re-interrupted (RIs)
* Occurrences Not Incentivised (ONIs).

### Information sources

* 1. Most DNOs use the National Fault and Interruption Reporting Scheme (NaFIRS) which is administered by the Energy Networks Association (ENA). Others use an equivalent system. These systems collect information on the number of Customers interrupted and duration of interruptions to supply.

### Definitions

* 1. In addition to those definitions listed in paragraph 2.1 above, other relevant key terms for the purpose of quality of service outputs reporting are defined in Annex A – Glossary:
* 132kV Systems
* EHV Systems
* HV Systems
* LV Systems
* LV Services
* Customer
* Total Number of Customers
* Total Number of New Customers
* Total number of Disconnected Customers
* Unplanned Incident
* Pre-arranged Incident
* Incident on Other Systems
* Non-Damage Incident
* Damage Incident
* Interruption
* Restoration Stage
* Temporary Supply Arrangement
* Temporary Connections
* Temporary Disconnections
* Interruptible Contracts
* Non-Firm Contracts
* Clock Stopping
* Exceptional Events
* Severe Weather Exceptional Events
* Other Exceptional Events.

### Customer

* 1. Only one Customer should be identified at each connection point. This means that multiple (or secondary) MPANs which arise due to the type of tariff (or equivalent) and/or metering arrangements (eg import/export meters), but are associated with a single connection point, must not be counted.
  2. In some cases (eg flats), the connection point may be from the Distribution System to wiring owned by a landlord or a facilities manager. In such cases, individual Customers supplied by such wiring are classed as Customers of the Distribution System where they are identifiable from MPANs.
  3. Any changes to the method used by DNOs to identify Customers from MPANs must be agreed in advance with Ofgem. Ofgem want to ensure that, as far as possible, DNOs use a consistent method for identifying Customers.

### Incident

* 1. Occurrences that are classed as an incident lasting three minutes or longer include:
* Any physical break in the circuit upstream of the Customers interrupted (or circuit affected), due to automatic or manual operation of switchgear or fusegear, or due to any other open circuit condition.
* The unprogrammed isolation of any circuit or item of equipment, energised at power system voltage, which has not been classified as a pre-arranged incident.
* Failures of non-system equipment (eg pilot cables, oil and gas alarms, voltage control equipment etc) which result in the disconnection of equipment energised at power system voltage.
* Incorrect operations of protection equipment which result in the disconnection of a circuit energised at power system voltage.
* Failure of protection equipment to operate. This includes incidents where the main protection fails to operate and a fault clearance is initiated by back-up protection or protection at another point on the network.
* The loss of infeed from other connected systems, including those owned by NGET/transmission companies (in Scotland), other distribution companies and distributed generators.
* The pre-arranged isolation of any circuit or item of equipment energised at power system voltage that results in loss of supply.
  1. Occurrences that would not lead to an incident are as follows:
* Maintenance outages and malfunctions of non-system equipment (eg pilot cables etc) which do not result in the disconnection of a circuit or item of equipment energised at power system voltage.
* Failures and overloads on Customers’ equipment or another connected system, which are cleared by the correct operation of the DNO's protection and which do not interrupt the supply to other Customers of the DNO.
* Pre-arranged works affecting Customers for the purposes of meter changes, voltage standardisation and work on service cables and distributors’ fuses.
* Interruptions to supply resulting from load shedding in compliance with statutory and/or licence obligations following upstream incidents relating to either transmission or generation activities.
* Occurrences that are as a result of cut-outs (metered or unmetered) or any wiring and equipment connected after cut-outs, including cut-out fuse operations, are not an incident even where such occurrences have resulted in the operation of a fuse at the DNO’s substation. Where the substation fuse is removed or other means of isolation (such as cutting a cable live) is carried out, and customers not connected to the faulty cut-out are interrupted in order to facilitate work to remedy that particular issue, separate occurrences should be created and treated as incidents, and the associated CIs and CMLs reported.
  1. Any additional incidents which affect part of the network and/or Customers already affected by an incident must also be reported as additional incidents. Two or more incidents may then be active concurrently and the number and duration of interruptions and the number of re-interruptions must be calculated accordingly.

#### Incident start

* 1. The incident start time is the earlier of the date and time at which:
* the first report is received of a loss of supply[[1]](#footnote-2),[[2]](#footnote-3),[[3]](#footnote-4) or other abnormality which prevents a circuit or other item of equipment from carrying normal load current or being able to withstand through fault current for three minutes or longer, or the relevant circuit is automatically, deliberately or otherwise disconnected.

#### Report received time

* 1. The report received time is the earliest time that a DNO became aware of a loss of supply, an abnormality or a suspected abnormality. It must be the earliest of the date and time at which:
* a Customer (or other person) first contacted the DNO to advise of no supply, an abnormality or suspected abnormality
* an alarm was received by the DNO indicating a loss of supply, abnormality or suspected abnormality, or
* a DNO employee or agent identified the existence of a loss of supply, abnormality or suspected abnormality.

Single premises power outage alerts originating from a smart meter are not reasonably expected to indicate no supply. Where an outage alert is received, the DNO should contact the customer as soon as reasonably practicable thereafter to check whether the customer is without power, but only between 8am and 9pm. However, this should not restrict the DNO from contacting a customer outside of those hours if the DNO considers it in the customer’s interest to do so. The single premises power outage alert originating from a smart meter will be deemed to have been received at the earliest of either 8am or when there is contact with the customer.

* 1. For reports that are associated with a loss of supply or other abnormality which prevents a circuit or other item of equipment from carrying normal load current or being able to withstand through fault current for three minutes or longer, the report received time will coincide with the incident start time. For other reports the report received time may precede the incident start time, for example:
* when deliberate disconnection is undertaken sometime after the report is received, or
* when some faults are held by arc suppression.
  1. In respect of loss of supply, some DNOs wait for a second report before initiating action. However, for the purposes of reporting the incident start time must be based on the time of the first report received. The date and time of an incident is the time at which the DNO first becomes aware of the incident by any means.
  2. In respect of calls related to low voltages, the response of the DNO will vary depending on the information that has been provided by the Customer (or Customers). The advice given to the Customer will determine the DNOs recorded incident start time or not as per the guidance outlined in 2.11 to 2.13, for example:
* if a DNO advises a Customer to isolate their supply, then the time of this advice being given shall be recorded as the start time of this incident.

#### Incident completion

* 1. The determination of when an incident is considered complete is dependent on whether or not a temporary supply arrangement has been used to restore supplies.
  2. Where a temporary supply arrangement has not been used to restore supplies, an incident is considered complete when supplies have been restored to all Customers involved in the incident for a period of at least 3 hours. This does not require the Restoration of the normal network configuration and open points.
  3. Where a temporary supply arrangement has been used to restore supplies, an incident is considered complete when supplies have been restored to all Customers involved in the incident for a period of at least 18 hours.
  4. As outlined in 2.16 and 2.17, any interruptions to supply caused by the removal of a temporary supply to reconnect, where done within 18 hours of restoring supplies via that temporary connection, must be counted as a re-interruption to supply. Any failures of a temporary supply arrangement, such as a generator running out of fuel, must be treated as a new interruption to supply and reported accordingly if this takes place after supplies have been restored to all Customers involved in the incident for a period of at least 18 hours, otherwise this must be treated as a re-interruption.
  5. If there is a further loss of supply due to an unrelated occurrence, eg an incident on an adjacent circuit, to some or all of the same Customers before incident completion, then this must be treated as a separate incident and the losses of supply must be counted as interruptions.
  6. If there is a further loss of supply to some or all of the same Customers after incident completion, this must be treated as a separate incident and the losses of supply must be treated as interruptions.
  7. Where an incident start time and completion time/date span two reporting years, the incident must be allocated to the year in which it started.

### Pre-Arranged Incident

* 1. A pre-arranged incident which requires a number of switching operations involving an interruption to supply to Customers must be treated as a single incident, provided that the outage start time is within the period stated on the notification provided to the Customer(s). Whether or not the outage Restoration time is outside the period stated on the notification provided to the Customer(s), the full length of the outage must be recorded as part of the planned incident. A record must also be kept of the times notified to the Customer(s) and the actual interruption times. Where the affected Customers have agreed to a shorter notice period for a pre-arranged interruption or this interruption starts before the original notice period at the request of Customers, the interruptions to these Customers must be recorded as pre-arranged incidents, but only where there is auditable evidence, eg a note in the incident log detailing the revised interruption time.
  2. Where the outage start time is before the period stated on the notification provided to the Customer(s) and the affected Customers have not agreed to or requested the earlier start time, the interruption of supply to the Customer(s) must be recorded as an unplanned incident.
  3. Where statutory notification has not been provided to one or more Customers or the Customer(s) has not agreed a shorter notice period, then the Customer(s) must be recorded separately as part of an unplanned incident. The interruption to supply of all of the Customers that received statutory notice (or agreed a shorter notice period) must still be reported as a pre-arranged incident.

### Interruption sequences

* 1. An incident may include both a loss of supply of less than 3 minutes’ duration and a loss of supply of 3 minutes or longer. Under such circumstances, where the loss of supply of less than 3 minutes’ duration occurs first, it must be reported as a short interruption. Where an interruption lasts for 3 minutes or longer, further losses of supply of less than 3 minutes’ duration during the course of the same incident must not be recorded either as part of the incident or as a short interruption.
  2. In determining interruption sequences above, Restorations of less than 3 minutes must be ignored, ie the interruption sequence would be determined as if there had been no such Restoration. For example, where a Customer is interrupted for 2 minutes, restored for 2 minutes, then interrupted for 30 minutes and subsequently restored for 3 minutes or more, the duration of the interruption would be 34 minutes.
  3. In the case of Customers using more than one phase, supply is considered as being interrupted when:
* one or more phases is interrupted and/or
* one or more phases do not have the correct vector relationship.

### Occurrences Not Incentivised

* 1. Each occurrence must be identified by a reference number, along with the voltage, the start time and date of the occurrence.

### Short Interruption

* 1. In the case of multi-shot reclosing schemes, only one short interruption is to be counted where the successful Restoration is achieved by a sequence of multiple operations in less than three minutes, where these are identifiable. Where the sequence of operations is not identifiable, a simple count of all operations of automatic reclosing devices could be used, excluding those operations recorded elsewhere, eg those associated with other incidents or routine switching.
  2. The number of Customers interrupted must be identified in the same way as for incidents (ie those situations where Customers are off supply for three minutes or longer). If a DNO uses periodic counts of recloser operations to calculate the number of short interruptions, the number of Customers interrupted will be based on an estimate of those Customers who would have been interrupted. The DNO must base this estimate on the assumption that the circuit affected was configured normally, unless the DNO has robust information that there were abnormal feeding arrangements in place at the time.
  3. The dates and times of short interruptions are not required. Where short interruptions are identified from a periodic count of circuit breaker operations, the counters must be read annually between 1 January and 31 March to ensure a reasonable approximation to a 12-month total.

### Restoration Stage

* 1. Where a Customer’s supply is restored for a period of less than three minutes, the calculation of the duration of interruptions to supply must ignore the time for which Customers’ supplies were restored, ie the minutes for which the Customers are restored will be included in the count of minutes lost as if there were no Restoration.
  2. There must be no limit to the number of Restoration Stages for an incident.

#### Start of a Restoration Stage

* 1. The start of a Restoration Stage is the date and time at which supply to Customer(s) is interrupted and/or a circuit or part of a circuit is de-energised.

#### End of a Restoration Stage

* 1. The end of a Restoration Stage is the date and time at which Customer(s) have their supply restored and/or a circuit or part of a circuit is re-energised.

#### Customers involved in a Restoration Stage

* 1. The Customers involved in a Restoration Stage are defined as the Customers connected to that part of the DNO’s distribution network restored in the Restoration Stage, including restorations from mobile generators and temporary connections.
  2. The number of Customers interrupted for single-phase and two-phase LV incidents may be calculated on a pro rata basis, ie 1/3 or 2/3 of the total number of Customers connected to the LV circuit, or part of circuit, affected. Customers with a three-phase LV supply (where these can be identified) are considered to be interrupted when supply is interrupted to one or more of the three phases. Individual Customer phase connections do not need to be identified for the purpose of reporting. To assist in the audit process, DNOs can record the number and phases of fuses that have operated in the event of an incident on the LV system.
  3. For HV incidents, in the interest of simplicity and consistent reporting, if one phase of a three-phase circuit is disconnected it must be considered that two-thirds of Customers connected downstream of the point of disconnection had their supplies interrupted.
  4. Where a connectivity model is in place it must be used consistently[[4]](#footnote-5) to derive the number of Customers interrupted on a particular element (eg LV feeder) of the network modelled. Where the section of network involved is a subset of a modelled network element (eg LV service), the number of Customers interrupted may be derived from records or from information available on site, supported by an appropriate audit trail, eg the property numbers involved, the location of the open circuit fault.
  5. Customers involved for HV, EHV and 132kV must take account of the real-time changes to 132kV/EHV/HV network configuration during Restoration, which may be identified from a connectivity model.
  6. Customers involved in each Restoration Stage may be identified from a connectivity model in which Customer information is individually linked with the appropriate section of network to which they are connected.
  7. The date and time of interruption and the date and time of Restoration must be recorded for each Restoration Stage. The numbers of Customers involved and the elapsed time in each Restoration Stage will be used to calculate the number of Customers interrupted and duration of interruptions to supply.

### Temporary Connection

* 1. An LV backfeed that is a permanent feature of the Distribution System must not be treated as a temporary connection. The bunching of two phases or the use of a temporary loop service is to be treated as temporary connections.

### Clock Stopping

* 1. On or after 00:00 on 1 April 2019[[5]](#footnote-6) DNOs may apply Clock Stopping only if:
* The DNO cannot continue work to provide a Restoration because of circumstances outside its control;
* There is no alternative means of Restoration available to the DNO; and
* One of the circumstances in paragraph 2.45 applies.
  1. This paragraph applies where:

1. Access to the DNO’s equipment, which is necessary to restore supplies, is not possible due to the DNO’s equipment being submerged in flood water.
2. Access to the DNO’s equipment, which is necessary to restore supplies, is not possible because routes[[6]](#footnote-7) that would otherwise give access are blocked (such that a four wheel drive vehicle that is suitable for off-road conditions could not pass) because of one or more of the following: flooding, fallen trees, landslides, or snow.
3. Access, which is necessary to restore supplies, is explicitly prevented by the emergency services[[7]](#footnote-8), but only during the period of time in respect of which access is denied.
4. Access, which is necessary to restore supplies, is explicitly prevented by government authorities (Central Government[[8]](#footnote-9) or Local Government[[9]](#footnote-10)), but only during the period of time in respect of which access is denied.
5. Access, which is necessary to restore supplies, is explicitly prevented by other utilities[[10]](#footnote-11), but only during the period of time in respect of which access is denied.
6. A Customer[[11]](#footnote-12) requests that Restoration work (permanent or temporary) that the DNO is undertaking or needs to undertake on the Customer’s premises is delayed, but only during the period of time requested by the Customer, e.g. overnight.
7. A DNO has taken all reasonable steps to contact a Customer but has been unable to do so and access to the Customer’s premises is necessary to provide a Restoration. A DNO asking a Customer whether the Customer would accept having its supplies restored at a later time is not a valid reason for Clock Stopping. The DNO may apply Clock Stopping from the time at which access to the Customer’s premises is necessary, not from the time at which the DNO has failed to make contact with the Customer. For the DNO to be able to apply Clock Stopping in this scenario, the DNO must attempt to contact the Customer for 72 hours from the date of the Incident or until the DNO successfully makes contact with the Customer, whichever is sooner. Where a DNO has been unable to contact the Customer directly, it should make efforts to contact the Customer via their supplier.
8. Access, which is necessary to provide a Restoration, is prevented and the DNO does not have the legal powers to immediately obtain the necessary access without recourse to or application to third parties *(this paragraph does not apply where the DNO cannot access its assets on another entity’s premises because it has not negotiated appropriate access rights)*. The DNO may apply Clock Stopping from the time at which access was prevented. This includes where access is necessary to premises or the public highway, such access is prevented or impacted by a third party and the DNO does not have a right to obtain the necessary access.
   1. Where the DNO applies Clock Stopping in accordance with paragraph 2.45, it must restart the clock where:
9. in respect of paragraph 2.45(i), the flooding has receded such that the DNO is able to access its assets;
10. in respect of paragraph 2.45(ii), as soon as it becomes aware that a route is reopened that allows access to the relevant asset;
11. in respect of paragraphs 2.45(iii), (iv), (v) and (vii), as soon as it becomes aware that access is available;
12. in respect of paragraph 2.45(vi), at the time the DNO agrees with the Customer that the delay in Restoration work would end. The DNO must not restart the clock at the time of Restoration. Where the Customer requests that Restoration work is delayed overnight and the DNO and the Customer do not agree a time at which Restoration work will restart, the DNO must restart the clock at the earliest of either: 07:00am on the day following the Customer’s request for a delay or the time at which access is available.
13. in respect of paragraph 2.45(viii), when the circumstances under that scenario no longer apply.
    1. Where the DNO applies Clock Stopping under paragraph 2.45 it must retain an auditable, evidence-based record so as to allow an after the event review to confirm the validity of each occasion on which the DNO applied Clock Stopping. Examples of the type of evidence a DNO could retain are:
14. in respect of paragraph 2.45(i), photographs showing flooding of its assets or post-event photographs showing flood lines;
15. in respect of paragraph 2.45(ii), photographs evidencing that routes are blocked;
16. in respect of paragraphs 2.45(iii), (iv), and (v), the relevant organisation’s incident number or the name or identification number of the individual preventing access or a document issued by the relevant organisation confirming that access is or was prohibited;
17. in respect of paragraph 2.45(vi), evidence setting out the details of the agreement (this could include the name of the Customer, their contact details and a summary of the discussion with the Customer where a verbal agreement is made) to delay Restoration work, which clearly show that the Customer has chosen for the Restoration work to be delayed;
18. in respect of paragraph 2.45(vii), evidence of the DNO’s efforts to contact the Customer (in the first instance) directly and, where the DNO has been unable to do so, via the Customer’s supplier; and
19. in respect of paragraph 2.45(viii), records which enable the DNO to demonstrate (i) why it was prevented from undertaking Restoration work; and (ii) that it was prevented from continuing to carry out Restoration work.
    1. The circumstances below describe when a Restoration can be deemed to have been made:
20. Where the DNO is in a position to restore supplies on a permanent basis, but the Customer either requests:

* to be left off supply; or
* to be present at its own equipment when Restoration takes place.

In the above circumstances, the time at which the DNO was able to restore supplies but did not do so due to the Customer’s request shall be the Restoration time.

Paragraph 2.48(i) does not apply if:

* the DNO must undertake further work that is not contingent on the actions of the Customer in order to provide a Restoration; or
* The DNO asks a Customer whether the Customer would accept having its supplies restored at a later time.

1. Where a Customer has its own generator and, therefore, refuses the provision of a temporary generator from the DNO, and the DNO has:
   * 1. provided the Customer with fuel for its generator, which the Customer accepted;
     2. offered to reimburse the Customer for fuel used for its generator as a result of the Interruption, which the Customer accepted; or
     3. made a reasonable offer to provide fuel or appropriate reimbursement for fuel to the Customer and the Customer refuses that offer.

The time at which either a), b) or c) occurs shall be the Restoration time.

1. Where the DNO has a contract with the Customer, which stipulates a minimum agreed capacity. The Restoration time shall be the time at which that minimum agreed capacity (as required by the contract) has been restored.
2. Where the DNO has applied Clock Stopping under paragraph 2.45(vii), has discharged the requirements of that paragraph and has not been able to gain access after 72 hours. In such circumstances the DNO may deem Restoration to be made at the time at which access to the Customer’s premises was necessary, not from the time at which the DNO has failed to make contact with the Customer. When reopening the Incident, or creating a new Incident, the DNO must make the link to the earlier Incident clear.
   1. Where the DNO applies deemed Restoration under paragraph 2.48, it must retain an auditable, evidence-based record so as to allow an after the event review to confirm the validity of each occasion on which the DNO deemed Restoration to have occurred. Examples of the type of evidence the DNO could retain are:
3. in respect of paragraph 2.48(i), evidence setting out the details of the agreement to delay Restoration work, which clearly show that the Customer has chosen for the Restoration work to be delayed;;
4. in respect of paragraph 2.48(ii), a copy of any offers made to Customers (if such offers have been made in writing, including by e-mail) or recordings of conversations with Customers (if such offers are made over the telephone) and any response from Customers to any such offers;
5. in respect of paragraph 2.48(ii), the relevant contracts; and
6. in respect of paragraph 2.48(iv), evidence of the DNO’s efforts to contact the Customer (in the first instance) directly and, where the DNO has been unable to do so via the Customer’s supplier.
   1. The DNOs may only apply Clock Stopping to IIS and must not apply Clock Stopping to the Guaranteed Standards of Performance. In the event that a DNO wishes to apply an exemption from making a payment in respect of a failure of a Guaranteed Standard, the DNO must do so in accordance with the Electricity (Standards of Performance) Regulations 2015.

## Updating the connectivity model

* 1. It is important that the connectivity model is kept up to date. The accuracy with which the number and duration of interruptions to supply are reported is, in part, determined by the frequency with which the connectivity model is updated. A reasonable timeframe for updating the connectivity model is likely to be within 14 days of the DNO being formally notified of any permanent changes to the network or Customer connections. (For example, a change expected to be in place for at least 28 consecutive days may be regarded as a permanent change). In addition, the numbers of Customers in the model could be reconciled with the total number of connected Customers on a monthly basis.

## Reporting requirements

### Customers

* 1. DNOs are required to report information on the number of Customers according to the following categories:
* total number of Customers
* total number of new Customers
* total number of disconnected Customers.

### Disaggregation of incidents

* 1. It is necessary to collect information on the number of Customers interrupted and duration of interruptions to supply at a disaggregated level. This will help in comparing performance across DNOs, setting performance targets and determining appropriate audit samples, and could be used for making adjustments within the incentive scheme. There are seven types of mutually exclusive disaggregation required, these are:
* by incident and Restoration Stage
* by source, voltage level and main equipment involved (MEI)
* by duration band (both pre-arranged and unplanned CI)
* by frequency band (higher voltage unplanned CI only)
* by HV circuit
* by reason for Clock Stopping
* by Exceptional Event category.

### Disaggregation by both incident and Restoration Stage

* 1. In addition to reporting on the effect on Customers of all incidents arising on the Distribution System, the number of Customers interrupted and duration of interruptions to supply must be reported separately by incident and Restoration Stage.

### Disaggregation by source, voltage level and main equipment involved (MEI)

* 1. DNOs are required to report whether an incident is damage related for all categories other than LV Services, incidents on the systems of NGET or the transmission companies (in Scotland), incidents on the systems of distributed generators, and incidents on any other connected systems. The incident must be identified according to the following categories:

1 - An incident where there is a relevant damaged component as defined under ENA ER G43.

0 – Any other incident that does not come under 1 above.

* 1. DNOs are required to provide cause code, Main Equipment Involved (MEI) information and, where appropriate, contributory cause codes for every unplanned incident. A list of cause codes are provided in Appendix 5 and MEI Codes are in Appendix 6. For incidents at HV DNOs must also provide the unique circuit identification number as set out in paragraph 2.67.
  2. DNOs are also required to provide incident and stage information relating to:
* incidents on the systems of NGET or the transmission companies (in Scotland)
* incidents on the systems of distributed generators
* incidents on any other connected systems – which must be identified.
  1. DNOs are required to identify pre–arranged incidents on the Distribution System by voltage level, and by the following classifications:
* LR – Load Related
* NLR – Non-Load Related
* INS - Inspections
* RAM – Repair and Maintenance
* TC – Tree Cutting
* NI – Network Innovation Competition or Network Innovation Allowance
* LT2 –Second Tier Funding for LCN Fund projects

### Reason for Clock Stopping

* 1. DNOs are required to report information on the use of Clock Stopping in the QoS Interruptions Stage Data Reporting Pack under the Reason for Clock Stop column, according to the following categories for Incidents after 00:00 on 1 April 2019:[[12]](#footnote-13)
* FW – where access is denied under paragraph 2.45(i),
* AP – where access is not possible under paragraph 2.45(ii),
* ES – where access is prevented under paragraph 2.45(iii),
* GA – where access is prevented under paragraph 2.45(iv),
* UT – where access is prevented under paragraph 2.45(v),
* CD – where access is delayed under paragraph 2.45(vi),
* CC – where access is delayed under paragraph 2.45(vii), and
* LB – where is access is prevented or delayed under paragraph 2.45(viii).

In such cases where multiple clock stops in relation to the same incident stage apply, each clock stop detail should be entered on a separate restoration stage, utilising the former clock restart time as both the restoration time of the first restoration stage and the interruption time of the second restoration stage. The second (and any subsequent) restoration stage(s) should also have the ‘Reinterruption’ field marked as ‘Y’.

* 1. DNOs are required to report information on the use of deemed Restorations under the Restoration column, where they occur on or after 00:00 on 1 April 2020 (DNOs may use these codes prior to this time), according to the following categories:
* R1 – under the scenario described in paragraph 2.48(i),
* R2 – under the scenario described in paragraph 2.48(ii),
* R3 – under the scenario described in paragraph 2.48(iii), and
* R4 – under the scenario described in paragraph 2.48(iv).

### Exceptional Event Category

* 1. DNOs are required to report information on the category of Severe Weather Exceptional Event or Other Exceptional Event that they believe an incident may be part of in the QoS Interruptions Stage Data Reporting Pack under the EE Category column, according to the following categories:
* 1 means a category one Severe Weather Exceptional Event
* 2 means a category two Severe Weather Exceptional Event
* 3 means a category three Severe Weather Exceptional Event
* 9 means an Other event as per paragraph 2D.34 of CRC 2D (Adjustment of licensee’s revenues to reflect interruptions related quality of service performance) of the electricity distribution licence.

The relevant category should then have a letter (A, B, C, and so forth) appended such that 2A would reflect the first Category 2 Severe Weather Exceptional Event, 9B would be the second Other Exceptional Event for that reporting year. These letter appendages will restart every Reporting Year.

* 1. The thresholds of the various categories are provided in Appendix 3. Similarly they appear in the QoS Interruptions Stage Data Reporting Pack on the Interruptions worksheet.

## Exceptional Events impact

* 1. The Exceptional Events (EE) Impact worksheet provides a summary of the Exceptional Events (both Severe Weather and Other) claimed for (and not subsequently withdrawn) during the reporting year. It calculates a net position for the licensee, before and after weightings have been applied. A summary of the individual event impacts can be found on the Cover Page worksheet.
  2. Following submission of the QoS Interruptions Stage Data Reporting Pack, Ofgem will verify the impact of each Exceptional Event claim and populate columns AI, AJ, and AK of the Unplanned worksheet accordingly. Ofgem will also populate the relevant “Percentage of claim accepted by Ofgem” cells on the EE Impact worksheet in relation to any Other Exceptional Event(s). This completed workbook will then be provided to the licensee as part of the annual determinations process.
  3. Once the workbook has been returned to the licensee, both the EE Impact worksheet and the Cover Page worksheet will show Ofgem’s view of the event(s), which will align with the determination letter.

## Disaggregation by duration band (pre-arranged and unplanned CI only)

* 1. DNOs should use the existing formulae in the Disaggregation by Duration table where they feel it meets their individual requirements. If they prefer, they are permitted to replace the formula in this table with actual values. The Customer minutes lost used for this disaggregation must be post any Clock Stopping.
  2. DNOs must report separately both the pre-arranged and unplanned number of Customers interrupted (excluding re-interruptions and re-interruptions only) by the following duration bands:
* 3 minutes up to but excluding 1 hour
* 1 hour up to but excluding 2 hours
* 2 hours up to but excluding 3 hours
* 3 hours up to but excluding 6 hours
* 6 hours up to but excluding 12 hours and from 12 hours onwards,

in 6-hour bands up to and including the longest time any Customers have been recorded as being off supply. Time bands with no Customers interrupted must be reported with a zero count.

## Disaggregation by frequency band (higher voltage unplanned CI only)

* 1. DNOs must report the unplanned number of Customers interrupted (excluding re-interruptions) by frequency band starting with Customers experiencing zero higher voltage interruptions and rising in single increments up to Customers experiencing 10 higher voltage interruptions. The DNO must also identify the total number of Customers experiencing more than 10 higher voltage interruptions and the largest number of higher voltage interruptions experienced by any Customer. (Higher voltage interruptions include HV, EHV and 132kV interruptions).

## Disaggregation by HV circuit

* 1. The number of unplanned incidents, Customers interrupted and duration of interruptions to supply arising on HV systems need to be reported by HV circuit to support work on comparing quality of service performance.
  2. For each HV circuit DNOs must report the following circuit characteristics and performance information:
* the unique circuit identifier (where possible this must be the same as for previous years so that comparisons can be made over time)
* the voltage level (eg 6.6 kV, 11 kV, 20 kV)
* the number of connected Customers (on 31 March)
* the length of overhead line in kilometres (on 31 March)
* the length of underground cable in kilometres (on 31 March)
* the number of incidents affecting the circuit
* the total number of Customer minutes lost
* the total number of Customers interrupted (excluding re-interruptions).
  1. Two versions of the HV circuit information must be provided:
* Containing all HV incidents and associated Customers interrupted and Customer minutes lost
* Excluding HV incidents, Customers interrupted and Customer minutes lost for the duration of events for which Exceptional Event exemptions have been requested and which meet the criteria set out in CRC 2D (Adjustment of licensee’s revenues to reflect interruptions related quality of service performance) of the electricity distribution licence. The approach to treating such events must be agreed with Ofgem in advance of the data being provided. DNOs must provide summary data for the events which have been excluded including: the number of days’ performance which has been removed, the number of incidents, Customers interrupted and Customer minutes lost.[[13]](#footnote-14)
  1. DNOs must also provide total number of incidents, total number of Customers interrupted and total number of Customer minutes lost for any incidents that are not attributable to specific circuits. These must be grouped into one of the two following categories:
* changes to HV topology or misallocation (eg circuit removed during the reporting period)
* loss of HV circuit infeed (eg a fault on an 11 kV bus-bar).
  1. In addition to the above, for each loss of HV circuit infeed, the number of Customers interrupted and number of Customer minutes lost for each incident must be provided.
  2. The incidents, total number of Customers interrupted and total number of Customer minutes lost for the disaggregated reporting for HV circuits plus unattributable HV performance must reconcile with the total HV performance.

### Definition of a HV circuit

* 1. The DNOs have submitted their existing mix of circuit types to Ofgem. Any change in the definition of HV circuits to be used when reporting performance on a disaggregated circuit-by-circuit basis must be agreed with Ofgem in advance of the data being provided.

### Circuits with non-zero length and non-zero connected Customers

* 1. These are valid circuits and must be included in the HV circuit dataset submitted by DNOs.

### Circuits with non-zero length and zero connected Customers

* 1. These are valid circuits that would normally be used to provide alternative supplies to one or more Customers. These circuits must be included in the HV dataset submitted by DNOs. However, Customers interrupted and Customer minutes lost per Customer would be inconsistent with zero connected Customers. Therefore it will be necessary for DNOs to re-attribute any incidents, Customers interrupted and Customer minutes lost as if the network were normally configured.

### Circuits with zero length and non-zero connected Customers

* 1. This classification of circuits is present for two reasons:
* a circuit exists but there is actually no circuit length, eg the exit point of a Customer’s connection is the circuit breaker
* a circuit exists and there is actual circuit length that is not being reported by the functionality of the mapping system or GIS.
  1. In both cases, these are valid circuits that must be included in the HV circuit dataset submitted by DNOs. However, in order to include such circuits in the dataset, a nominal circuit length of 100 metres must be attributed to each of these circuits.

### Circuits with zero length and zero connected Customers

* 1. These HV circuits are not valid and must not be included in the HV circuit dataset submitted by DNOs. Where appropriate any incidents, Customers interrupted and Customer minutes lost must be re-attributed as if the network were normally configured.

## Disaggregation by Occurrences Not Incentivised

* 1. For the purpose of reporting, DNOs are required to report the total number of OccurrencesNot Incentivised according to the following categories:
* power system voltage equipment/no unplanned incident
* other occurrences (not affecting power system voltage equipment)
* calls logged in reporting system but not causing DNO activity.
  1. These categories should comprise of incidents as outlined here:
* Power system voltage equipment/no unplanned incident, which contains:
  + Emergency disconnections
  + Streetlights/Street Furniture/Unmetered Services/Unmetered Cut Outs
  + Cut Outs (Metered Services) Cut Out Fuses Only (Metered Services) Asset repairs instigated by trouble calls.
* Other occurrences (not affecting power system voltage equipment), which contains:
  + Abortive visits – no immediate work required
  + Responding to critical safety calls
  + Pilot wire failures.
* Calls logged in reporting system but not causing DNO activity, should include:
  + Occurrences not requiring site visits
  + All other calls logged but not pursued by DNO.[[14]](#footnote-15)
  1. DNOs are required to report the total number of Occurrences Not Incentivised incidents for each classification according to the following categories in the respective categories:
* Incident reference number
* Voltage
* Start date and time of incident.

## Short Interruptions

* 1. DNOs are required to report the total number of short interruptions and disaggregated number of short interruptions that are due to the following four causes:
* The automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by automatic switching within less than three minutes of the first interruption.
* The automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by manual or remote control switching within less than three minutes of the first interruption. This definition includes only the initial Restoration. Further short interruptions during subsequent stages of fault sectionalising are not to be reported.
* The manual or remote operation of distribution network switchgear for reasons such as deliberate disconnection for operational or emergency reasons.
* The operation of switchgear on the networks of NGET/transmission companies (in Scotland) or other connected systems and distributed generators.
  1. Short interruptions do not need to be disaggregated by voltage or by HV circuit. Where DNOs make significant use of automatic reclosing devices and automatic switching at the LV level, the number of short interruptions at this voltage level must be included in the appropriate short interruption categories identified above.

## Required level of accuracy for reporting interruptions data

* 1. Ofgem considers that it is important that information used to implement the incentive scheme is sufficiently accurate to enable comparisons to be made over time and if appropriate between DNOs. Ofgem has specified minimum levels of accuracy for the reporting of:
* the number of Customers interrupted – at the 132kV and EHV, the HV and LV levels
* the duration of interruptions to supply – at the 132kV and EHV, the HV and LV levels.
  1. Table 2.1 specifies the minimum levels of accuracy required for the reporting of the number of Customers interrupted and duration of interruptions to supply. DNOs are required to meet the minimum levels of accuracy for the three voltage categories shown below. Meeting one of the required levels of accuracy is not sufficient to satisfy the requirements.

Table 2.1 Accuracy thresholds

| Voltage | Overall accuracy | Initial stage accuracy (smaller sample) |
| --- | --- | --- |
| EHV and 132kV | 97 per cent | 99 per cent |
| HV | 95 per cent | 97 per cent |
| LV | 90 per cent | 93 per cent |

## Other events knowledge sharing

* 1. One of the considerations for Ofgem in calculating the impact of any claimed (and not subsequently withdrawn) Other Exceptional Event, is that, for this event, the DNO has met the criteria for preventative and mitigating actions.[[15]](#footnote-16)
  2. To facilitate awareness and learning across the industry of events that have arisen, solutions tried, and lessons learned which other DNOs should be aware of, DNOs are therefore required to share with DNO members and Ofgem their initial learning points from such events within three months of the event. This can be through industry forums, directly between DNOs or through the Quality of Service working group.
  3. In addition, when the Appropriate Auditor has completed their report, this report shall be shared with DNOs through the Quality of Service working group.

1. Guidance on the Guaranteed Standards of Performance

## Introduction

* 1. Electricity Distribution Network Operators (DNOs) are required to meet the Guaranteed Standards of Performance (“Guaranteed Standards”) under The Electricity (Standards of Performance) Regulations 2015 (“the Regulations”). This chapter provides guidance on how DNOs must report performance against those Guaranteed Standards of Performance, as required under standard condition 11 (Reporting on performance) of the electricity distribution licence.
  2. In accordance with the Regulations, DNOs are required to publish guidance for Customers on the Guaranteed Standards, known as the “Notice of Rights”, at least once in any period of 12 months.[[16]](#footnote-17) This guidance includes a description of each of the Guaranteed Standards, and is published on DNOs’ websites.
  3. This chapter sets out Ofgem’s requirements for reporting under the Regulations. However, in the event of any dispute as to the application of the Guaranteed Standards, the Regulations will be the definitive point of reference.

## Guaranteed Standards of Performance Reporting Pack

* 1. The Guaranteed Standards of Performance Reporting Pack provides an annual quantification of a DNO’s performance. It includes data regarding: (i) failures to meet the Guaranteed Standards; (ii) any exemptions invoked against those failures; (iii) the number of valid claims for payments; (iv) the number and value of payments made; and (v) the number and value of Ex-gratia Payments made.
  2. To ensure the Guaranteed Standards of Performance Reporting Pack is completed in a consistent manner, full explanations of each reporting requirement have been included on the ‘Main’ tab. DNOs must complete the Guaranteed Standards of Performance Reporting Pack in accordance with the specified reporting requirements. A brief explanation is also provided to aid the reader but the Regulations remain the definitive point of reference.
  3. Exemptions from a failure to meet each guaranteed standard must be reported on the relevant exemption tab, each of which links to the Main tab. The relevant exemptions tab must be used to record the number of times the DNO failed to meet a guaranteed standard but applied an appropriate exemption to that failure, such that the DNO did not make a payment to the Customer under the Regulations. A summary of each of the exemptions is included on the relevant exemptions tab in the Guaranteed Standards of Performance Reporting Pack.
  4. To facilitate comparison of DNOs’ performance across regulatory years, the Guaranteed Standards of Performance Reporting Pack reads across from left to right, year on year.
  5. To facilitate reporting, each guaranteed standard has a reference number beginning with “EGS”. For example, regulation 5 (duty to restore supply within 12 hours following a fault – under normal conditions) will be reported upon as EGS2.
  6. The range of Guaranteed Standards, their EGS reference numbers, whether payment under a guaranteed standard is claimable by or is automatically payable to a Customer and the failure payment amount due for each guaranteed standard are summarised in Table 3.1.
  7. The Guaranteed Standards of Performance Reporting Pack includes a calculated “pass rate” for those Guaranteed Standards where it is possible to do so. The “pass rate” indicates the rate at which the DNO was not liable to make a payment under the Regulations i.e. the relevant guaranteed standard was either met or, if the relevant guaranteed standard was failed, an exemption was applicable.
  8. A “pass rate” cannot be calculated for EGS2A and EGS4 because the DNO does not know the total number of failures on which to base the calculation. Customers have to claim failure payments under EGS2A and EGS4.
  9. Each calculated formula within the Guaranteed Standards of Performance Reporting Pack has been locked by Ofgem, to avoid errors in submissions.
  10. If a DNO fails EGS2, EGS2B, EGS11A, EGS11B, or EGS11C and does not restore the supply by the end of a time band of 12 hours that is in addition to the initial relevant period, the number of additional time bands of 12 hours must be reported. For example, under EGS11A, a Customer who is off supply for 49 hours would be reported as two additional time bands i.e. one time band of 24 hours to 35 hours and 59 minutes and one time band of 36 hours to 47 hours and 59 minutes (the time band of 48 hours to 59 hours and 59 minutes has not been exceeded).

Table 3.1: Guaranteed Standards of Performance- Electricity Distribution

| Regulation | Activity | Reporting code | Automatic (A) or Claimable (C) | Initial relevant period (if applicable) | Initial failure payment level | Failure payment level (for each additional time band, if applicable) |
| --- | --- | --- | --- | --- | --- | --- |
| 11 | Responding to operation of distributor’s fuse | EGS1 | A | n/a | Domestic – £30  Non-domestic – £30 | n/a |
| 5 | Supply restoration – normal conditions | EGS2 | A | 12 hours | Domestic – £75  Non-domestic – £150 | Domestic – £35  Non-domestic – £35 |
| 10 | Supply restoration – multiple interruptions | EGS2A | C | n/a | Domestic – £75  Non-domestic – £75 | n/a |
| 6 | Supply restoration – normal conditions (5,000 or more premises interrupted) | EGS2B | A | 24 hours | Domestic – £75  Non-domestic – £150 | Domestic – £35, capped at £300  Non-domestic – £35, capped at £300 |
| 8 | Supply restoration – rota disconnections | EGS2C | A | 24 hours | Domestic – £75  Non-domestic – £150 | n/a |
| 12 | Notice of planned interruption to supply | EGS4 | C | n/a | Domestic – £30  Non-domestic – £60 | n/a |
| 13 | Investigation of voltage complaints | EGS5 | A | n/a | Domestic – £30  Non-domestic – £30 | n/a |
| 17 | Making and keeping appointments | EGS8 | A | n/a | Domestic – £30  Non-domestic – £30 | n/a |
| 19 | Notification and making of payments owed under the guaranteed standards | EGS9 | A | n/a | Domestic – £30  Non-domestic – £30 | n/a |
| 7 | Supply restoration – category 1 Severe Weather conditions | EGS11A | A | 24 hours | Domestic – £70  Non-domestic – £70 | Domestic – £70, capped at £700  Non-domestic – £70, capped at £700 |
| 7 | Supply restoration – category 2 Severe Weather conditions | EGS11B | A | 48 hours | Non-domestic – £70 | Domestic – £70, capped at £700  Non-domestic – £70, capped at £700 |
| 7 | Supply restoration – category 3 Severe Weather conditions | EGS11C | A | calculated | Non-domestic – £70 | Domestic – £70, capped at £700  Non-domestic – £70, capped at £700 |

## General guidance and interpretation

* 1. DNOs must not apply Clock Stopping to the Guaranteed Standards. Clock Stopping is only applicable to the IIS.
  2. In respect of EGS2, EGS11A, EGS11B and EGS11C, single premises power outage alerts originating from a smart meter are not reasonably expected to indicate no supply. Where an outage alert is received, the DNO should contact the customer as soon as reasonably practicable thereafter to check whether the customer is without power, but only between 8am and 9pm. However, this should not restrict the DNO from contacting a customer outside of those hours if the DNO considers it is in the customer’s interest to do so.[[17]](#footnote-18) For the purpose of EGS2, EGS11A, EGS11B and EGS11C, a single premises power outage alert originating from a smart meter will be deemed to have been received at the earlier of either 8am the following day or when there is contact with the customer. If the DNO is unable to contact the customer as soon as reasonably practicable after receipt of a single premises outage alert, the DNO shall not treat the alert as being a report of no supply.
  3. If the DNO applies “deemed Restoration” in accordance with paragraph 2.48 of Annex F – Interruptions of the RIGs, the time at which the supply is restored for the purposes of EGS2, EGS11A, EGS11B and EGS11C will equate to the time of “Deemed Restoration”.

#### Reporting of Payments

* 1. In respect of EGS2, EGS2B, EGS2C, EGS11A, EGS11B and EGS11C, numbers and amounts of payments reported under “Domestic Premises” must exclude Priority Services Register (“PSR”) Customers. Numbers and amounts of payments to PSR Customers must be reported separately under “PSR Customers”.
  2. A check line has been included for each category of Customer, against which each guaranteed standard is reported, in order to highlight if, for any reason, Payments Made is not equal to Payments Due.
  3. Where DNOs identify that a PSR Customer has been affected by a fault and is due a payment in respect of the DNO’s failure to meet either EGS2, EGS2B, EGS2C, EGS11A, EGS11B or EGS11C, DNOs should automatically make the relevant payment to the PSR Customer and must not await the receipt of a claim. Accordingly, the number reported under “payments due” for PSR Customers includes failures of the relevant guaranteed standard regardless of whether or not a PSR Customer made a claim.
  4. If a DNO fails or reasonably believes it has failed EGS2, EGS2B, EGS2C, EGS11A, EGS11B or EGS11C and an exemption is not applicable, the DNO must use reasonable endeavours, over the three months following the date on which the supply was restored, to identify and make the relevant failure payment to all non-PSR Customers. Customers are required to make a claim in respect of failures of EGS2A and EGS4 but do not need to make a claim in respect of any of the other Guaranteed Standards, although the DNO must consider all claims that are made.
  5. For the purposes of the Guaranteed Standards of Performance Reporting Pack only, the terms “Ex-gratia Payment”, “Severe Weather Ex-gratia Payment” and “Goodwill Payment” are defined as follows:
     1. **Ex-gratia Payment** means a payment a DNO chooses to make to a Customer in the event that the DNO has failed to meet a guaranteed standard and which is either:

1. In addition to the failure payment the DNO is liable to make to the Customer under the Regulations; or
2. Where the DNO has applied an exemption to a failure and, therefore, is not liable to make a payment to the Customer under the Regulations; or
3. For EGS2A and EGS4, which are claimable standards, where an affected Customer has not made a claim but the DNO has identified that a failure has occurred and so makes a payment to the Customer in respect of that failure.
   * 1. **Severe Weather Ex-gratia Payment** means, for EGS11A, EGS11B and EGS11C only, either an ex-gratia payment or any other payment a DNO chooses to make to a Customer that is not in respect of a failure to meet the requirements of EGS11A, EGS11B or EGS11C but is in respect of a supply interruption that occurred during the relevant severe weather.
     2. **Goodwill Payment** means a payment a DNO chooses to make to a Customer in relation to a guaranteed standard where the DNO has not failed to meet that standard and so is not liable to make a payment to the Customer under the Regulations.
   1. Severe Weather Ex-gratia payments are subject, for the purposes of Charge Restriction Condition 2D, to a cap that is applicable to each DNO. In the event that a DNO makes Severe Weather Ex-gratia payments in excess of the cap applicable to that DNO, the DNO shall include those Severe Weather Ex-gratia Payments in the Guaranteed Standards of Performance Reporting Pack and, in doing so, is not required to differentiate between payments made up to that cap and payments made in excess of that cap.
   2. If, following submission of the Guaranteed Standards of Performance Reporting Pack for a regulatory year, a DNO makes an Ex-gratia Payment or a Severe Weather Ex-gratia Payment in respect of any relevant standard, the DNO will report that payment in the Guaranteed Standards of Performance Reporting Pack for the subsequent regulatory year.
   3. Reporting of goodwill payments within the Guaranteed Standards of Performance Reporting Pack is not required.
   4. For the purposes of EGS2 and in circumstances where the DNO is not able to identify which Customers are connected to which phase of a circuit affected by the relevant fault, the DNO may for example report:
4. The number of customers affected by the fault as being 1/3 of the total number of Customers connected to that circuit, if only one phase was affected by the fault;
5. The number of customers affected by the fault as being 2/3 of the total number of Customers connected to that circuit, if two phases were affected by the fault;
6. The number of customers affected by the fault as being the total number of Customers connected to that circuit, if all phases were affected by the fault;
7. The number of failure payments due, in the event that the supply is not restored within 12 hours, as being 1/3 of the total number of Customers connected to that circuit, if only one phase was affected by the fault;
8. The number of failure payments due, in the event that the supply was not restored within 12 hours, as being 2/3 of the total number of Customers connected to that circuit, if two phases were affected by the fault; and
9. The number of failure payments due, in the event that the supply is not restored within 12 hours, as being the total number of Customers connected to that circuit, if all phases were affected by the fault.
   1. Also for the purposes of EGS2, if the supply was not restored within 12 hours and the DNO decided to make a payment to any or all of the remaining Customers connected to the relevant circuit, to whom the DNO had not made a failure payment, those payments will be classified as goodwill payments and, therefore, shall not be included in the Guaranteed Standards of Performance Reporting Pack.
   2. There is no requirement for a Customer to mention the Guaranteed Standards when making a claim in respect of EGS2A or EGS4. Consequently, DNOs must adopt a common-sense view of what constitutes a claim and must not insist on a formal claim being made. If, for example, a Customer contacting a DNO about lengthy or frequent supply interruptions or an un-notified planned interruption gives the DNO reason to believe that the Customer is seeking recompense of some kind for the inconvenience caused by such interruption(s) (as opposed to compensation for damaged appliances, lost freezer contents, etc.), or is clearly expressing dissatisfaction with the supply interruption(s), then the DNO must treat this contact as a claim under the relevant guaranteed standard. Claims must be accepted by letter, e-mail, telephone or personal call.
   3. Where the failure, act or omission of a DNO results in the failure of any of the Guaranteed Standards in respect of Customers directly connected to a distribution system owned by another Electricity Distributor (the “Connected Distributor”), then the DNO will be required to make all or part of an equivalent compensation payment directly to the Connected Distributor. The interaction between the DNO and the Connected Distributor in such circumstances must be in accordance with the provisions of clause 49 of the Distribution Connection and Use of System Agreement (the “DCUSA”).[[18]](#footnote-19)
   4. Should a DNO be liable to make payment to a Connected Distributor’s Customers, the number of failures should not be recorded in the DNO’s Guaranteed Standards of Performance Reporting Pack. The Connected Distributor will include the payments made and number of failures in the Connected Distributor’s Guaranteed Standards of Performance Reporting Pack to avoid double reporting. The DNO will include the total value of payments made to a Connected Distributor in the “IDNO / Other relevant Distributor” section on the Main tab in the DNO’s Guaranteed Standards of Performance Reporting Pack and will report that total value in its regulatory cost return.
   5. Where the failure, act or omission of a Connected Distributor results in the failure of any of the Guaranteed Standards in respect of Customers directly connected to a DNO’s distribution system, then the Connected Distributor will be required to make all or part of an equivalent compensation payment directly to the DNO. The interaction between the Connected Distributor and the DNO in such circumstances must be in accordance with the provisions of clause 49 of the DCUSA.
   6. Should a Connected Distributor be liable to make payment to a DNO’s Customers, the number of failures should not be recorded in the Connected Distributor’s Guaranteed Standards of Performance Reporting Pack. The DNO will include the payments made and number of failures in the DNO’s Guaranteed Standards of Performance Reporting Pack to avoid double reporting. The Connected Distributor will include the total value of payments made to a DNO in the “IDNO / Other relevant Distributor” section on the Main tab in the Connected Distributor’s Guaranteed Standards of Performance Reporting Pack and will report that total value in its regulatory cost return.

# Appendix 1 – Quality of service

## Purpose of information

* 1. Table A1.1 outlines the purpose for which the information provided, and described in detail in Chapter 2 of this document, will be used. It does not specify how this information will be used in the incentive scheme.

Table A1.1: Purpose for collecting specified information

|  | Purpose | |
| --- | --- | --- |
| Information relating to number of Customers interrupted and duration of interruptions | Incentive scheme | Other |
| Number of Customers interrupted by short interruptions of less than three minutes, including disaggregated by cause |  | Yes |
| Number of Customers interrupted for three minutes or more | Yes |  |
| Duration of interruptions to supply of three minutes or more | Yes |  |
| Number of Customers interrupted and duration of interruptions to supply of three minutes or more disaggregated by:  incident and Restoration Stage  source, voltage level and MEI  duration band  frequency of interruption, and  HV circuit. | Yes  Yes | Yes  Yes  Yes |
| Aggregate number of Customers re-interrupted |  | Yes |

## Formulae for the purposes of reporting

* 1. This appendix sets out formulaic expressions for:
* the number of Customers interrupted in the relevant year t (excluding re-interruptions)
* the duration of interruptions to supply in the relevant year t.

### Formulae Definitions

* CIt = the number of Customers interrupted in the relevant year t, **excluding re-interruptions.**
* CMLt = the duration of interruptions to supply in the relevant year t, **including re-interruptions.**
* i = an unplanned incident on the distribution system.
* j = a pre-arranged incident on the distribution system.
* k = an incident on a transmission system such as the systems of NGET or transmission companies in Scotland.
* l = an incident on a distributed generator’s system.
* m = an incident on any other connected system.
* r = a Restoration Stage in any incident i, j, k, l, m.
* t = relevant year (that financial year for the purposes of which any calculation falls to be made).
* TCt = total connected Customers in the relevant year t.
* TRrit = the restoration time of Restoration Stage r of an unplanned incident i in the relevant year t.
* TRrjt = the restoration time of Restoration Stage r of a pre-arranged incident j in the relevant year t.
* TRrkt = the restoration time of Restoration Stage r of an incident k on NGET’s system or a transmission company’s system in Scotland in the relevant year t.
* TRrlt = the restoration time of Restoration Stage r of an incident l on a distributed generator’s system in the relevant year t.
* TRrmt = the restoration time of Restoration Stage r of an incident m on any other connected system in the relevant year t.
* TIrit = the interruption time prior to the restoration time of Restoration Stage r of an unplanned incident i in the relevant year t.
* TIrjt = the interruption time prior to the restoration time of Restoration Stage r of a pre-arranged incident j in the relevant year t.
* TIrkt = the interruption time prior to the restoration time of Restoration Stage r of an incident k on NGET’s system or a transmission company’s system in Scotland in the relevant year t.
* TIrlt = the interruption time prior to the restoration time of Restoration Stage r of an incident l on a distributed generator’s system in the relevant year t.
* TIrmt = the interruption time prior to the restoration time of Restoration Stage r of an incident m on any other connected system in the relevant year t.

and:

* NDrit = Number of Customers interrupted in Restoration Stage r of an unplanned incident i in the relevant year t, excluding re-interruptions to supply.
* NDrjt = Number of Customers interrupted in Restoration Stage r of a pre-arranged incident j in the relevant year t, excluding re-interruptions to supply.
* NDrkt = Number of Customers interrupted in Restoration Stage r of an incident k on NGET’s system or a transmission company’s system in Scotland in the relevant year t, excluding re-interruptions to supply.
* NDrlt = Number of Customers interrupted in Restoration Stage r of an incident l on a distributed generator’s system in the relevant year t, excluding re-interruptions to supply.
* NDrmt = Number of Customers interrupted in Restoration Stage r of an incident m on any other connected system in the relevant year t, excluding re-interruptions to supply.
* NNrit = Number of Customers interrupted in each Restoration Stage r of an unplanned incident i in the relevant year t, including re-interruptions to supply.
* NNrjt = Number of Customers interrupted in Restoration Stage r of a pre-arranged incident j in the relevant year t, including re-interruptions to supply.
* NNrkt = Number of Customers interrupted in Restoration Stage r of an incident k on NGET’s system or a transmission company’s system in Scotland in the relevant year t, including re-interruptions to supply.
* NNrlt = Number of Customers interrupted in each Restoration Stage r of an incident l on a distributed generator’s system in the relevant year t, including re-interruptions to supply.
* NNrmt = Number of Customers interrupted in Restoration Stage r of an incident m on any other connected system in the relevant year t, including re-interruptions to supply.

### Formulae

* 1. CIt is the number of Customers interrupted per year in the relevant year t and is derived from the following formula:

Where:

* CIAt is the number of Customers interrupted per year arising from unplanned incidents on the distribution system in the relevant year t and is derived from the following formula:
* CIBt is the number of Customers interrupted per year arising from pre-arranged incidents on the distribution system in the relevant year t and is derived from the following formula:
* CICt is the number of Customers interrupted per year arising from incidents on the systems of NGET or transmission companies in Scotland in the relevant year t and is derived from the following formula:
* CIDt is the number of Customers interrupted per year arising from incidents on the systems of distributed generators in the relevant year t and is derived from the following formula:
* CIEt is the number of Customers interrupted per year arising from incidents on any other connected systems in the relevant year t and is derived from the following formula:
  1. Each of the terms CIAt, CIBt, CICt, CIDt and CIEt should be separately identified.
  2. CMLt is the duration of interruptions to supply in the relevant year t and is derived from the following formula:



* CMLAt is the duration of interruptions from unplanned incidents on the distribution system in the relevant year t and is derived from the following formula:



* CMLBt is the duration of interruptions from pre-arranged incidents on the distribution system in the relevant year t and is derived from the following formula:



* CMLCt is the duration of interruptions arising from incidents on the systems of NGET or transmission companies in Scotland in the relevant year t and is derived from the following formula:



* CMLDt is the duration of interruptions arising from incidents on the systems of distributed generators in the relevant year t and is derived from the following formula:



* CMLEt is the duration of interruptions arising from incidents on any other connected systems in the relevant year t and is derived from the following formula:



* 1. Each of the terms CMLAt, CMLBt, CMLCt, CMLDt and CMLEt should be separately identified.

## Other formulae

* 1. This section sets out formulaic expressions for:
* the number of Customers interrupted by short interruptions in the relevant year t (excluding re-interruptions), and
* the number of Customers re-interrupted in the relevant year t.

### Formulae Definitions

* RIt = the number of Customers re-interrupted in the relevant year t.
* n = a short interruption due to the automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by automatic switching within less than three minutes of the first interruption.
* o = a short interruption due to the automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by manual or remote control switching within less than three minutes of the first interruption.
* p = a short interruption due to the manual or remote operation of distribution network switchgear for reasons such as deliberate disconnection for operational or emergency reasons.
* q = a short interruption due to the operation of switchgear on the networks of NGET/transmission companies (in Scotland) or other connected systems and distributed generators.
* SIt = the number of short interruptions to supply in the relevant year t.
* NSnt = the number of Customers interrupted by a short interruption in category n in the relevant year t.
* NSot = the number of Customers interrupted by a short interruption in category o in the relevant year t.
* NSpt = the number of Customers interrupted by a short interruption in category p in the relevant year t.
* NSqt = the number of Customers interrupted by a short interruption in category q in the relevant year t.

### Formulae

* 1. SIt is the number of Customers interrupted by short interruptions in the relevant year t and is derived from the following formula:



Where:

* SIAt is the number of Customers interrupted by short interruptions in the relevant year t due to the automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by automatic switching within less than three minutes of the first interruption and is derived from the following formula:



* SIBt is the number of Customers interrupted by short interruptions in the relevant year t due to the automatic operation of distribution network switchgear where the supplies of some or all of the Customers involved are successfully restored by manual or remote control switching within less than three minutes of the first interruption and is derived from the following formula:



* SICt is the number of Customers interrupted by short interruptions in the relevant year t due to the manual or remote operation of distribution network switchgear for reasons such as deliberate disconnection for operational or emergency reasons and is derived from the following formula:
* SIDt is the number of Customers interrupted by short interruptions in the relevant year t due to the operation of switchgear on the networks of NGET/transmission companies (in Scotland) or other connected systems and distributed generators and is derived from the following formula:



* 1. Each of the terms SIAt, SIBt, SICt and SIDt should be separately identified.
  2. RIt is the number of Customers re-interrupted in the relevant year t and is derived from the following formula:



where:

* RIAt is the number of Customers re-interrupted in the relevant year t arising from unplanned incidents on the distribution system and is derived from the following formula:



* RIBt is the number of Customers re-interrupted in the relevant year t arising from pre-arranged incidents on the distribution system and is derived from the following formula:



* RICt is the number of Customers re-interrupted in the relevant year t arising from incidents on the systems of NGET or transmission companies in Scotland and is derived from the following formula:



* RIDt is the number of Customers re-interrupted in the relevant year t arising from incidents on the systems of distributed generators and is derived from the following formula:



* RIEt is the number of Customers re-interrupted in the relevant year t arising from incidents on any other connected systems and is derived from the following formula:



## Auditing and estimating the accuracy of interruptions reporting

### Audit preparation

* 1. At the end of the reporting year each DNO must submit information on CI and CML at each voltage by incident and Restoration Stage.
  2. If Ofgem chose to audit, we will select a sample of 150 incidents, split between 132kV and EHV, HV and LV according to the respective contribution to CI and CML (with a minimum of 50 LV incidents). A proportion of the sample will be held back until the time of the audit, and where a DNO agrees, the entire sample will be held back.

### Audits

* 1. The audits of interruption reporting accuracy for the purposes of the scheme will then involve the following steps:

#### Stage 1 – Calculation of MPAN accuracy[[19]](#footnote-20)

* 1. The HV MPAN accuracy will be calculated using the following formula:



* 1. The LV MPAN accuracy will be calculated using the following formula:



* 1. The 132kV and EHV MPAN accuracy will be calculated using the following formula:



#### Stage 2

* 1. Ofgem’s auditors will seek to audit at least five 132kV and EHV (where possible) 45 HV (50 if there are not enough 132kV and EHV incidents) incidents and 30 LV incidents[[20]](#footnote-21).
  2. The auditors will then calculate the mean, standard deviation and mean plus/minus 4 standard deviations of the errors in the reported Restoration Stage figures.
  3. The auditors will exclude any incidents containing outlier Restoration Stages (ie where the errors of any stage are outside the mean plus/minus 4 standard deviations).
  4. The auditors will then calculate the accuracy of incident reporting for CI and CML for the 132kV and EHV, HV and LV data sets using the following formula:



* 1. The auditors will calculate the combined MPAN and incident reporting accuracies (for each of the three voltage categories) using the following formula:



* 1. This is expressed as a percentage less than 100 using the following formula:



* 1. If the 132kV and EHV accuracy results are greater than or equal to 99 per cent, the DNO will be deemed to have met the accuracy levels set out in the rigs. If not, the remaining 132kV and EHV sample will be audited at Stage 3.
  2. If the HV accuracy results are greater than or equal to 97 per cent, the DNO will be deemed to have met the accuracy levels set out in the RIGs. If not, the remaining HV sample will be audited at Stage 3.
  3. If the LV accuracy results are greater than or equal to 93 per cent, then the DNO will be deemed to have met the accuracy levels set out in the RIGs. If not, the remaining LV sample will be audited at Stage 3.

#### Stage 3

* 1. If appropriate, the auditors will audit the remaining incidents in the 132kV and EHV, HV and LV samples and recalculate the incident reporting accuracies and combined accuracies using the same method as set out in Stage 2.
  2. If the DNO fails to meet the 97 per cent minimum 132kV and EHV level of accuracy required for the reporting of the number of Customers interrupted and duration of interruptions set out in Table 3.1 in Chapter 3, Ofgem will make the appropriate adjustments[[21]](#footnote-22) to performance.
  3. If the DNO fails to meet the 95 per cent minimum HV level of accuracy required for the reporting of the number of Customers interrupted and duration of interruptions set out in Table 3.1 in Chapter 3, Ofgem will make the appropriate adjustments[[22]](#footnote-23) to performance.
  4. If the DNO fails to meet the 90 per cent minimum LV level of accuracy required for the reporting of the number of Customers interrupted and duration of interruptions set out in Table 3.1 in Chapter 3, Ofgem will make the appropriate adjustments[[23]](#footnote-24) to performance.

# Appendix 2 – Unplanned QoS targets

**Table A2.1 Unplanned CI Targets for ED1**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | 2015/16 | 2016/17 | 2017/18 | 2018/19 | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
| ENWL | 46.0 | 45.8 | 45.5 | 45.3 | 45.1 | 44.9 | 44.6 | 44.4 |
| NPGN | 59.8 | 58.9 | 58.6 | 58.3 | 58.0 | 57.7 | 57.4 | 57.1 |
| NPGY | 66.7 | 65.7 | 64.7 | 63.7 | 62.7 | 61.8 | 60.9 | 60.0 |
| WMID | 86.7 | 85.0 | 83.3 | 81.7 | 80.0 | 78.3 | 76.7 | 75.1 |
| EMID | 51.9 | 51.1 | 50.4 | 50.1 | 49.9 | 49.6 | 49.4 | 49.1 |
| SWALES | 50.1 | 49.9 | 49.6 | 49.4 | 49.1 | 48.9 | 48.6 | 48.4 |
| SWEST | 55.7 | 55.4 | 55.1 | 54.8 | 54.6 | 54.3 | 54.0 | 53.7 |
| LPN | 27.0 | 26.9 | 26.7 | 26.6 | 26.5 | 26.3 | 26.2 | 26.1 |
| SPN | 63.4 | 63.0 | 62.7 | 62.4 | 62.1 | 61.8 | 61.5 | 61.2 |
| EPN | 67.1 | 66.1 | 65.7 | 65.4 | 65.1 | 64.8 | 64.4 | 64.1 |
| SPD | 50.4 | 50.1 | 49.9 | 49.6 | 49.4 | 49.1 | 48.9 | 48.6 |
| SPMW | 35.2 | 35.1 | 34.9 | 34.7 | 34.5 | 34.4 | 34.2 | 34.0 |
| SSEH | 66.9 | 66.6 | 66.2 | 65.9 | 65.3 | 64.1 | 63.8 | 63.5 |
| SSES | 60.3 | 59.4 | 58.6 | 57.7 | 57.4 | 57.1 | 56.8 | 56.5 |

**Table A2.2 Unplanned CML Targets for ED1**

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | 2015/16 | 2016/17 | 2017/18 | 2018/19 | 2019/20 | 2020/21 | 2021/22 | 2022/23 |
| ENWL | 40.6 | 39.8 | 39.1 | 38.3 | 37.6 | 36.9 | 36.2 | 35.5 |
| NPGN | 54.8 | 53.7 | 52.7 | 51.7 | 50.7 | 49.7 | 48.8 | 47.9 |
| NPGY | 57.5 | 56.3 | 55.2 | 54.1 | 53.0 | 52.0 | 50.9 | 49.9 |
| WMID | 51.1 | 50.3 | 49.5 | 48.7 | 47.9 | 47.1 | 46.4 | 45.6 |
| EMID | 37.8 | 37.6 | 37.3 | 36.5 | 35.7 | 34.9 | 34.2 | 33.5 |
| SWALES | 27.5 | 27.5 | 27.4 | 27.4 | 27.3 | 27.3 | 27.2 | 27.1 |
| SWEST | 35.8 | 35.6 | 35.4 | 35.2 | 35.0 | 34.8 | 34.6 | 34.4 |
| LPN | 38.8 | 38.1 | 37.5 | 36.8 | 36.2 | 35.6 | 35.0 | 34.4 |
| SPN | 45.5 | 44.5 | 43.5 | 42.6 | 41.6 | 40.7 | 39.8 | 39.0 |
| EPN | 48.0 | 47.0 | 45.9 | 44.9 | 43.9 | 43.0 | 42.1 | 41.2 |
| SPD | 42.2 | 41.3 | 40.5 | 39.7 | 38.9 | 38.1 | 37.4 | 36.7 |
| SPMW | 35.1 | 34.3 | 33.5 | 32.8 | 32.1 | 31.3 | 30.6 | 30.0 |
| SSEH | 53.9 | 52.8 | 51.6 | 50.5 | 49.2 | 47.7 | 46.6 | 45.6 |
| SSES | 48.1 | 47.1 | 46.2 | 45.3 | 44.4 | 43.5 | 42.6 | 41.8 |

# Appendix 3 – Exceptional Severe Weather Event thresholds

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Designated electricity distributor** | **Category 1 Eight times the mean daily faults at distribution higher voltage** | **Category 2 13 times the mean daily faults at distribution higher voltage** | **Category 3 threshold number of Customers** | **Upper threshold number of Customers** |
| Electricity North West Limited | 55 | 90 | 258,000 | 442,000 |
| Northern Powergrid (Northeast) Limited | 37 | 60 | 219,000 | 375,000 |
| Northern Powergrid (Yorkshire) plc | 40 | 65 | 431,000 | 739,000 |
| Western Power Distribution (Midlands West) plc | 63 | 103 | 353,000 | 605,000 |
| Western Power Distribution (Midlands East) plc | 64 | 104 | 452,000 | 775,000 |
| Western Power Distribution (South Wales) plc | 41 | 67 | 213,000 | 366,000 |
| Western Power Distribution (South West) plc | 60 | 98 | 283,000 | 486,000 |
| London Power Networks plc | 14 | 23 | 321,000 | 550,000 |
| South Eastern Power Networks plc | 54 | 88 | 297,000 | 509,000 |
| Eastern Power Networks plc | 91 | 148 | 559,000 | 959,000 |
| SP Distribution plc | 76 | 124 | 230,000 | 394,000 |
| SP Manweb plc | 68 | 111 | 175,000 | 301,000 |
| Scottish Hydro Electric Power Distribution plc | 60 | 97 | 133,000 | 228,000 |
| Southern Electric Power Distribution plc | 67 | 109 | 402,000 | 689,000 |

**Other Exceptional Event thresholds**

|  |  |  |
| --- | --- | --- |
| **Designated electricity distributor** | **CI threshold** | **CML threshold** |
| Electricity North West Limited | 1.06 | 0.84 |
| Northern Powergrid (Northeast) Limited | 1.58 | 1.26 |
| Northern Powergrid (Yorkshire) plc | 1.10 | 0.88 |
| Western Power Distribution (Midlands West) plc | 1.01 | 0.81 |
| Western Power Distribution (Midlands East) plc | 0.95 | 0.76 |
| Western Power Distribution (South Wales) plc | 2.26 | 1.80 |
| Western Power Distribution (South West) plc | 1.60 | 1.28 |
| London Power Networks plc | 1.10 | 0.88 |
| South Eastern Power Networks plc | 1.11 | 0.89 |
| Eastern Power Networks plc | 0.70 | 0.56 |
| SP Distribution plc | 1.25 | 1.00 |
| SP Manweb plc | 1.68 | 1.34 |
| Scottish Hydro Electric Power Distribution plc | 3.33 | 2.67 |
| Southern Electric Power Distribution plc | 0.84 | 0.67 |

# Appendix 4 – Mapping to Costs & Volumes

|  |  |
| --- | --- |
| IIS Reporting Incident template | Reporting Categories will be continued for RIIO-ED1 |
| LV services overhead | LV service Overhead |
| LV services underground | LV service Underground |
| LV P&E link boxes only | Plant & Equipment LV link boxes only |
| LV non-damage | LV Supply Restoration by Switching Only (Non Damage Fault) |
| LV underground mains damage | LV UG Cables (Non CONSAC) |
| LV UG Cables (CONSAC) |
| LV overhead mains damage | LV OH Lines - Asset Repair |
| LV all other switchgear, P&E | LV All Other Switchgear, Plant & Equipment (excluding LV link boxes) |
|  |
| HV non-damage | HV Supply Restoration by Switching Only (Non Damage Fault) |
| HV damage | HV UG Cables - Asset Repair |
| HV OH Lines - Asset Repair |
| HV Pole Mounted Switchgear Circuit Breakers |
| HV Pole Mounted Switchgear (All Types ex CB) |
| HV Pole Mounted Transformers |
| HV All Other Plant and Equipment (inc GM transformers) |
| HV Submarine Cables |
| EHV non-damage | EHV Supply Restoration by Switching Only (Non Damage Fault) |
| EHV damage | EHV UG Cables (Pressure Assisted) - Asset Repair |
| EHV UG Cables (Non Pressure Assisted) |
| EHV OH Lines - Asset Repair |
| EHV All Other Plant and Equipment |
| EHV Submarine Cables |
| 132kV non-damage | 132kV Supply Restoration by onsite switching only |
| 132kV damage | 132kV UG Cables (Pressure Assisted) |
| 132kV UG Cables (Non Pressure Assisted) |
| 132kV OH Lines - Asset Repair |
| 132kV All Other Plant and Equipment |
| 132kV Submarine Cables |

# Appendix 5 – Cause Codes

| **ID** | **Description** |
| --- | --- |
| 01 | Lightning |
| 02 | Rain |
| 03 | Snow and Ice |
| 04 | Ice |
| 05 | Freezing Fog & Frost |
| 06 | Wind and gale (including windborne material) |
| 07 | Solar heat |
| 10 | Airborne deposits (excluding windborne material) |
| 14 | Condensation |
| 15 | Corrosion |
| 16 | Mechanical shock or vibration |
| 17 | Ground subsidence |
| 18 | Flooding |
| 19 | Fire not due to faults |
| 21 | Windborne Material |
| 22 | Disruption of intended indoor environment |
| 23 | Falling live trees (not felled) |
| 24 | Falling dead trees (not felled) |
| 25 | Growing Trees |
| 26 | Corrosion due to atmosphere/environment |
| 30 | Birds (including swans and geese) |
| 32 | Vermin, wild animals and insects |
| 33 | Farm and domestic animals |
| 39 | Wilful damage, interference |
| 40 | Metal theft |
| 41 | Accidental Contact, Damage or Interference by Cable TV companies or their contractors |
| 42 | Accidental Contact, Damage or Interference by Public Telecoms Operator (eg. BT, Mercury etc) or their contractors |
| 43 | Accidental Contact, Damage or Interference by Gas Company or their contractors |
| 44 | Accidental Contact, Damage or Interference by water/sewage companies or their contractors |
| 45 | Accidental Contact, Damage or Interference by highway authorities or their contractors |
| 48 | Accidental Contact, Damage or Interference Involving farm workers or farm implements |
| 49 | Accidental Contact, Damage or Interference Involving aircraft or unmanned balloons |
| 50 | Accidental Contact, Damage or Interference by private individuals (excl. Aircraft/Balloons/Leisure Pursuits) |
| 53 | Accidental Contact, Damage or Interference by unknown third parties |
| 54 | Accidental Contact, Damage or Interference by local building authorities or their contractors |
| 55 | Accidental Contact, Damage or Interference by private developers or their contractors |
| 56 | Accidental Contact, Damage or Interference involving leisure pursuits |
| 57 | Accidental Contact, Damage or Interference by other third parties |
| 58 | 3rd Party - By Cable Communications Operators or their Contractors |
| 60 | Accidental Contact, Damage or Interference by DNOC or their contractors |
| 61 | Switching error by DNOC staff |
| 62 | Testing or commissioning error by DNOC staff |
| 63 | Incorrect or inadequate system records, circuit labelling or identification |
| 64 | Corrosion due to Bi-Metal Contact |
| 65 | Incorrect application of equipment by DNOC staff |
| 66 | Faulty installation or construction by DNOC staff |
| 67 | Load current above previous assessment |
| 68 | Incorrect or Unsuitable protection settings or fuse rating |
| 69 | Unsuitable protection settings |
| 70 | Inadequate rupturing or short circuit capacity |
| 71 | Deterioration due to ageing or wear (excluding corrosion) |
| 72 | Fault on equipment faulting adjacent equipment |
| 73 | Unsuitable paralleling conditions |
| 74 | Failure of infeed from Adjacent Distribution Network |
| 75 | Operational or safety restriction |
| 76 | Extension of Fault Zone due to Fault Switching (including ASC held faults) |
| 77 | Inadequate or faulty maintenance |
| 78 | Extension of Fault Zone due to incorrect operation of equipment (includes slow opening CB's) |
| 80 | Failure of Supply from Generating Company or NGC |
| 81 | Switching Error by Contractors |
| 82 | Testing or commissioning error by Contractors |
| 83 | Incorrect application of equipment by Contractors |
| 84 | Faulty Installation or Construction by Contractors |
| 85 | Fault on customers network causing operation of Network Protection |
| 86 | Interruption to remove local generator or restore temporary connections. (where in use >18 hours) |
| 87 | Local generation failure (isolated system) |
| 88 | Distribution equipment affected by National Grid Company personnel or equipment |
| 89 | Distribution equipment affected by private generator or authorised electricity operator (not NGC) |
| 90 | Faulty manufacturing, design, assembly or materials |
| 97 | No Fault Found |
| 98 | Cause Unclassified |
| 99 | Cause Unknown |
| A1 | Transient Fault - No Repair |
| A2 | Premature Insulation Failure |
| D | Dummy - Do not set |
| X | NONE |

# Appendix 6 – MEI Codes

| **ID** | **Description** | **Equipment Group** | **Voltage** |
| --- | --- | --- | --- |
| 0 | OH Lines |  | HV+ voltages |
| 1 | UG Cables |  | HV+ voltages |
| 2 | Switchgear/Fusegear |  | HV+ voltages |
| 2A | Busbars |  | HV+ voltages |
| 2B | Switchgear & Fusegear |  | HV+ voltages |
| 3 | Transformers/Reactors |  | HV+ voltages |
| 4 | Protection |  | HV+ voltages |
| 5 | Miscellaneous |  | HV+ voltages |
| 6 | Surge Divertor |  | HV+ voltages |
| 8 | Not Applicable |  | HV+ voltages |
| 9 | Unknown |  | HV+ voltages |
| X | None |  | HV+ voltages |
| 00 | Overhead Main | OH Mains | LV |
| 01 | OH Main - Bare Conductors | OH Mains | LV |
| 02 | OH Main - Insulated Conductors | OH Mains | LV |
| 03 | OH Main - ABC | OH Mains | LV |
| 09 | OH Main - Mixed Conductors | OH Mains | LV |
| 10 | Overhead Service(metered) | OH Service | LV |
| 11 | OH Service - Bare Conductors | OH Service | LV |
| 12 | OH Service - Insulated Conductors | OH Service | LV |
| 13 | OH Service - Mixed Conductors | OH Service | LV |
| 14 | OH Service - Concentric | OH Service | LV |
| 15 | OH Service - Duplex / Triplex | OH Service | LV |
| 16 | OH Service - ABC | OH Service | LV |
| 19 | OH Service - Other | OH Service | LV |
| 20 | Surface Wiring Main | OH Mains | LV |
| 21 | Surface Wiring Main - Outdoor | OH Mains | LV |
| 22 | Surface Wiring Main - Indoor | UG Mains | LV |
| 30 | Surface Wiring Service | OH Service | LV |
| 41 | UG Main - PLCS | UG Mains | LV |
| 42 | UG Main - Consac | UG Mains | LV |
| 44 | UG Main - Waveform (Alpex) | UG Mains | LV |
| 45 | UG Main - Districable | UG Mains | LV |
| 49 | UG Main - Mixed or Unclassified | UG Mains | LV |
| 51 | UG Service - PLCS | UG Service | LV |
| 52 | UG Service - Plastic Insulated Concentric | UG Service | LV |
| 53 | UG Service - Consac | UG Service | LV |
| 54 | UG Service - Waveform | UG Service | LV |
| 55 | UG Service - Districable | UG Service | LV |
| 59 | UG Service - Mixed or Unclassified | UG Service | LV |
| 60 | Switchgear / Fusegear | Fusegear/Switchgear | LV |
| 61 | Switchgear - Circuit Breaker | Fusegear/Switchgear | LV |
| 62 | Switchgear - Pole Mounted Isolator | Fusegear/Switchgear | LV |
| 63 | Switchgear - S/S Fuseboard / Pillar / TMFC | Fusegear/Switchgear | LV |
| 64 | Switchgear - Pole Mounted Fusegear | Fusegear/Switchgear | LV |
| 65 | Switchgear - Street Feeder Pillar | Fusegear/Switchgear | LV |
| 66 | Switchgear - Multi-Service Pillar or Turret | Fusegear/Switchgear | LV |
| 67 | Switchgear - Linkbox | Fusegear/Switchgear | LV |
| 68 | Switchgear - Fused Wall Box | Fusegear/Switchgear | LV |
| 69 | Switchgear - Other | Fusegear/Switchgear | LV |
| 71 | Cut-outs (metered) | UG Service | LV |
| 72 | OH Service Cut-Out Metered | OH Service | LV |
| 73 | UG Service Cut-Out Metered | UG Service | LV |
| 82 | OH Service Unmetered | OH Service | LV |
| 83 | UG Service Unmetered | UG Service | LV |
| 90 | Other |  | LV |
| 99 | Other (Including Unknown) |  | LV |
| S1 | Suspect UG Fault - possibly overload | UG Mains | LV |
| S2 | Suspect UG Fault - possibly damage | UG Mains | LV |
| S3 | Suspect UG Fault - other | UG Mains | LV |
| S4 | Suspect OH Fault - possibly overload | OH Mains | LV |
| S5 | Suspect OH Fault - possibly tree related | OH Mains | LV |
| S6 | Suspect OH Fault - other | OH Mains | LV |
| X | NONE |  |  |

1. Neutral alarms or indication of reduced feeder load should not be taken as the start time. [↑](#footnote-ref-2)
2. Where a customer (or customers) reports low volts then this should not be treated as a loss of supply, until the DNO confirms that the customer(s) is off supply. Equally, where a report of reverse polarity is received by the DNO, the customer(s) should be considered on supply until the DNO confirms that the customer(s) is off supply, or needs to be disconnected in order to carry out repairs to the DNO’s network. [↑](#footnote-ref-3)
3. An incident is considered to have started when either the first no supply call or confirmation from site of an abnormality is received. [↑](#footnote-ref-4)
4. As such where the number of calls exceeds the number of customers predicted as being off supply the DNO must adhere to the model, taking account of any three-phase LV customers, although Ofgem would expect to see robust processes in place to identify and correct mis-allocated customers. [↑](#footnote-ref-5)
5. For Clock Stopping events before 00:00 on 1 April 2019 licensees should refer to Version 4 of Annex F of the Regulatory Instructions and Guidance. [↑](#footnote-ref-6)
6. Route means a way that may be travelled to a particular destination, including the means of accessing that destination i.e. the asset concerned. [↑](#footnote-ref-7)
7. A Police Force, an Ambulance Service, a Fire and Rescue Service or Her Majesty’s Coastguard [↑](#footnote-ref-8)
8. A department, company or agency (<https://www.gov.uk/government/organisations>) through which the government of the United Kingdom (or one of the devolved administrations) exercises its executive authority. [↑](#footnote-ref-9)
9. A unitary, county, district, borough, city, town or parish council. [↑](#footnote-ref-10)
10. A company or other organisation responsible for infrastructure relating to water, gas, electricity, rail or telecommunications. [↑](#footnote-ref-11)
11. In this scenario this may include an individual empowered to act on behalf of the Customer. [↑](#footnote-ref-12)
12. For Clock Stopping events before this time the codes in Version 4 of Annex of the Regulatory Instructions and Guidance should be used. [↑](#footnote-ref-13)
13. The performance figures excluding Exceptional Events plus the summary data for those events should reconcile with the information containing all incidents. [↑](#footnote-ref-14)
14. DNOs are to input the total number of occurrences related to this category in the total worksheet. [↑](#footnote-ref-15)
15. See Appendix 4 of CRC 2D (Adjustment of licensee’s revenues to reflect interruptions related quality of service performance) of the electricity distribution licence. [↑](#footnote-ref-16)
16. Regulation 22, The Electricity (Standards of Performance) Regulations 2015. [↑](#footnote-ref-17)
17. For example, a DNO may consider it appropriate to contact customers on the Priority Services Register outside of those hours, particularly if they are in a high priority group (such as those who may be medically dependent on an electricity supply). DNOs should prioritise contacting those customers who are most at risk during a (potential) outage. [↑](#footnote-ref-18)
18. https://www.dcusa.co.uk/wp-content/uploads/2020/04/DCUSA-v12.1\_Public.pdf [↑](#footnote-ref-19)
19. True feeders are feeders which can generate CI and CML. [↑](#footnote-ref-20)
20. Where incidents that are too difficult to audit are substituted by a relevant spare incident. [↑](#footnote-ref-21)
21. Where data is found to fail the 97 per cent minimum 132kV and EHV level of accuracy, the data will be made 100 per cent accurate. [↑](#footnote-ref-22)
22. Where data is found to fail the 95 per cent minimum HV level of accuracy, the data will be made 100 per cent accurate. [↑](#footnote-ref-23)
23. Where data is found to fail the 90 per cent minimum LV level of accuracy, the data will be made 100 per cent accurate. [↑](#footnote-ref-24)