

RIIO-T1/GD1/ED1 DATA ASSURANCE

Irregular Submission Assurance Report

Company	UK Power Networks
Sector	ED
Networks	Eastern Power Networks plc
Name of Irregular Submission	Notice to the Authority under Charge Restriction Condition (CRC) 3F of the Distribution Licence: Eastern Power Networks plc's application to revise the opening levels of allowed expenditure in relation to Specified Street Works Costs
Required by¹	CRC 3F. Arrangements for the recovery of uncertain costs [LPN, EPN and SPN only]
Required information	
Submission date	31 May 2019

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¹ State licence condition or provide other explanation (e.g. in response to request from Ofgem...)

Version History

Version No.	Changes	Purpose	Author	Release Date
1.0	For consultation		Ofgem	17/12/14
1.1	Changed report title to "Irregular Submissions Assurance Report"	Final Decision	Ofgem	03/02/15

General guidance on completing this report template:

- Boxes shaded in yellow should be completed.
- Each section and subsection should be completed
- Licensees may add additional subheadings within sections/subsections
- Additional sections should be added as appendices.

1 Risk Assessment and Data Assurance Done for Irregular Submission

Guidance on completing this section:

This is the main body of the document, containing a review of what was done to assure the data. This section must include the risk assessment results (impact score, probability score and total risk rating). It may also include optional further commentary with specific focus on the findings from the assurance activities eg:

- *For high and critical risk submissions, a brief explanation of the main cause of high, critical risks (driver of high impact and/or probability scores).*
- *For high and critical risks, details of data assurance activities, including actions (with purpose, desired outcome, deadlines, completion date, completed or ongoing).*
- *Why the data assurance activities were appropriate and why they have been selected in preference to other available options.*

Summary	Impact Metric	Customers	Competition	Financial	Comparative efficiency	Complexity	Completeness	Manual Intervention	Reporting Rules	Control Activities	Experience of personnel	Evidence of Historical Errors	Probability Metric	Impact-probability matrix calculated total risk
Reopeners for Uncertain Costs	3	1	1	3	2	3	2	3	2	1	2	0	2	M
Specified Street Works Costs														

The costs stated in the Notice for the first three years of RIIO-ED1 have been reported within the Regulatory Reporting Pack submissions and as such have been subject to an appropriate level of assurance as set out in our annual DAG submissions to Ofgem and covered in annual commentary in the RIGs. Costs for the 2018/19 reporting year also represent actual expenditure and are subject to the same level of assurance prior to being reported in the Regulatory Reporting Pack submission by 31 July 2019. Forecast costs for the years between 1 April 2019 and 31 March 2023 are provided on the basis described in the appendix to the Notice letter.

Once the values used in the Notice have been produced they are subject to a thorough review and approval process including multiple reviews from within the Regulation team and Director sign off. No material issues were found in this process.

Supporting documentation

i.	N/A
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2 Errors Identified in Previous Related Submission

Guidance on completing this section:

Include an explanation of any material errors identified up until the submission date of the annex (not only in the previous 12 months period but any time in the past). Please include the following:

- *Which submission the error relates to.*
- *Statement on incomplete, inaccurate or late submissions.*
- *Error description.*
- *Error identification date.*
- *Error impact score and description of realised impact of the error.*
- *Was the error corrected? When?*
- *What assurance activity will be undertaken to prevent future occurrence?*

No errors have been identified in previous submissions.

Supporting documentation

ii.	Please include references to any reports to Ofgem of errors relating to the submission.
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