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**SCHEDULE 1**

Interpretation Schedule for REC v1.0 and v2.0

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Version: 0.3

Effective Date: N/A

|                                |           |
|--------------------------------|-----------|
| Domestic Suppliers             | Mandatory |
| Non-Domestic Suppliers         | Mandatory |
| Gas Transporters               | Mandatory |
| Distribution Network Operators | Mandatory |
| DCC                            | Mandatory |

## Change History

| Version Number | Implementation Date | Reason for Change  |
|----------------|---------------------|--|
| 0.1            | N/A                 | Version agreed for industry consultation 5 June 2018     |
| 0.2            | N/A                 | Version agreed for industry consultation 15 October 2018 |
| 0.3            | N/A                 | Updated for June 2019 consultation                       |

*Note: This version of the Interpretation Schedule does not form part of the designated Retail Energy Code (referred to as REC v1.0). REC v1.0 includes a legally in effect Interpretation Schedule at REC Schedule 1. This version of the Interpretation Schedule sets out the REC v1.0 definitions and also the definitions that are expected to be incorporated into the REC in the future (referred to as REC v2.0, and including both the Switching Programme Changes and the Retail Code Consolidation Charges). The REC v2.0 definitions are shaded in grey. The REC v1.0 definitions are not shaded. Any proposed changes to the REC v1.0 definitions or to paragraphs 1 and 2 must go through the change process applying to the designated Retail Energy Code (and will then be reflected in this version of the Interpretation Schedule).*

## 1 General Interpretation

1.1 In this Code, unless the context requires otherwise, any reference to:

- (a) a “person” includes a reference to an individual, a body corporate, an association, a partnership or a Competent Authority;
- (b) the singular includes the plural, and vice versa;
- (c) a gender includes every gender;
- (d) a Clause is a reference to the clause of the main body of this Code which bears the relevant number;
- (e) a Paragraph is a reference to the paragraph of the REC Schedule in which such reference occurs;
- (f) a Condition is a reference to a licence condition in an Energy Licence (and, where applicable, is a reference to the standard licence conditions of that Energy Licence);
- (g) writing (or similar) includes all methods of reproducing words in a legible and non-transitory form (including email);
- (h) a document includes spreadsheets, models, digital repositories, and other graphical and/or lexical representations of data;
- (i) a day, week or month is a reference (respectively) to a calendar day, a week starting on a Monday, or a calendar month;
- (j) a time is a reference to that time in the UK;
- (k) any statute or statutory provision includes any subordinate legislation made under it, any provision which it has modified or re-enacted, and any provision which subsequently supersedes or re-enacts it (with or without modification);
- (l) an agreement, code, licence or other document is to such agreement, code, licence or other document as amended, supplemented, novated or replaced from time to time;
- (m) a Party shall include reference to that Party’s respective successors, and (as the context permits) reference to the respective persons to whom that Party may sub-contract or otherwise delegate its rights and/or obligations under this Code;

- (n) any Systems of a person shall include references to the Systems of that person's service providers and contractors to the extent relevant to this Code;
- (o) any premises of a Party shall include references to any premises owned or occupied by that Party and (as the context permits) by the respective persons to whom that Party may sub-contract or otherwise delegate its rights and/or obligations under this Code; and
- (p) a Competent Authority or other public organisation includes a reference to its successors, or to any organisation to which some or all of its functions and responsibilities have been transferred.

- 1.2 The headings in this Code are for ease of reference only and shall not affect its interpretation.
- 1.3 In this Code, the words preceding “include”, “including” or “in particular” are to be construed without limitation to the generality of the words following those expressions.
- 1.4 Except where expressly stated to the contrary, in the event of any conflict between the provisions of this Code, the following order of precedence shall apply: (a) the main body of this Agreement; (b) then the REC Schedules; and (c) then the Technical Specification.
- 1.5 Where no time period is specified for performance of any obligation under this Code, the obligation shall be performed as soon as reasonably practicable.

## **2 Responsibility for Persons who are not Parties**

- 2.1 The Gas Retail Data Agent is not a Party under this Code. Where this Code places an obligation on the Gas Retail Data Agent, each Gas Transporter shall ensure that the Gas Retail Data Agent shall comply with the obligations expressed to be placed on the Gas Retail Data Agent. Each Gas Transporter shall be jointly and severally liable for any failure by the Gas Retail Data Agent to comply with the obligations expressed to be placed on the Gas Retail Data Agent under this Code.
- 2.2 The Electricity Retail Data Agents are not Parties under this Code. Where this Code places an obligation on an Electricity Retail Data Agent, each Distribution Network Operator shall ensure that its Electricity Retail Data Agent shall comply with the obligations expressed to be placed on an Electricity Retail Data Agent. Where an Electricity Retail Data Agent acts for more than one Distribution Network Operator, each such Distribution Network Operator shall be jointly and severally liable for any failure by such Electricity Retail Data Agent to comply with the obligations expressed to be placed on the Electricity Retail Data Agents under this Code.

- 2.3 The gas Enquiry Service Provider is not a Party under this Code. Where this Code places an obligation on the gas Enquiry Service Provider:
- (a) in respect of the period prior to the Go-Live Date, each Gas Transporter shall ensure that the gas Enquiry Service Provider shall comply with the obligations expressed to be placed on the gas Enquiry Service Provider (and each Gas Transporter shall be jointly and severally liable for any failure by the gas Enquiry Service Provider to comply with such obligations); or
  - (b) in respect of the period from the Go-Live Date, RECCo shall ensure that the gas Enquiry Service Provider shall comply with such obligations.
- 2.4 The electricity Enquiry Service Provider is not a Party under this Code. Where this Code places an obligation on the electricity Enquiry Service Provider:
- (a) in respect of the period prior to the Go-Live Date, each Distribution Network Operator and each Electricity Supplier shall ensure that the electricity Enquiry Service Provider shall comply with the obligations expressed to be placed on the electricity Enquiry Service Provider (and each Distribution Network Operator and each Electricity Supplier shall be jointly and severally liable for any failure by the electricity Enquiry Service Provider to comply with such obligations); or
  - (b) in respect of the period from the Go-Live Date, RECCo shall ensure that the electricity Enquiry Service Provider shall comply with such obligations.
- 2.5 Shippers, Supplier Agents and Meter Asset Providers are not obliged to become Parties under this Code. Where this Code places an obligation on one or more Shippers, Supplier Agents or Meter Asset Providers under or in relation to the Transition Schedule, then:
- (a) in the case of obligations which apply by reference to a particular RMP, the Registered Supplier for that RMP shall ensure that the Shipper, Supplier Agents and Meter Asset Provider registered in respect of that RMP perform those obligations; and
  - (b) in the case of obligations which apply without reference to a particular RMP, each Energy Supplier shall ensure that the Shippers, Supplier Agents and Meter Asset Providers registered in respect of any or all the RMPs for which the Energy Supplier is the Registered Supplier at the relevant time perform those obligations.
- 2.6 Where this Code (other than in relation to the Transition Schedule) includes a right or obligation for a Shipper, Supplier Agent or Meter Asset Provider, the ability to enforce that right or the requirement to comply with that obligation arises under that entity's Access Agreement.

### 3 Definitions

3.1 In this Code, unless the context requires otherwise, the following words shall have the following meanings:

| Term                                | Acronym | Definition   |
|-------------------------------------|---------|--|
| <b>Access Agreement</b>             |         | means an agreement by which a Shipper, Supplier Agent or Meter Asset Provider is permitted to access the Switching Network.  |
| <b>Access Matrix</b>                |         | means the matrix set out in the Technical Specification, which establishes the various types of Switching Portal Users and the information that they will be allowed to access via the Switching Portal. |
| <b>Accession Agreement</b>          |         | means an agreement by which a Party (other than the Original Parties) agrees to be bound by this Code, in the form set out in the Accession Agreement Schedule.  |
| <b>Accession Agreement Schedule</b> |         | means REC Schedule 3.  |
| <b>Active</b>                       |         | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule.  |
| <b>Actual Meter Reading</b>         |         | means a meter reading obtained by inspection by the Energy Supplier (or its Supplier Agent or other contractor) or via a remote meter reading (including via a Smart Meter).                             |
| <b>Address Management Schedule</b>  |         | means REC Schedule [TBC].  |
| <b>Address Management Service</b>   |         | means the component of the Central Switching Service which undertakes activities to identify and maintain a matching REL Address for each RMP.   |
| <b>Address Management Service</b>   |         | means the component of the Central Switching Service which undertakes activities to identify and maintain matching REL Address for each RMP, as further described in the Address Management Schedule.    |

| Term                                    | Acronym | Definition  |
|---|---------|---|
| <b>Address Quality Confidence Score</b> |         | means a value assigned to each REL Address by the CSS Provider as an indicator of the CSS Provider's confidence that the REL Address accurately identifies the Location.  |
| <b>Address Quality Objective</b>        |         | means the objective of the CSS Provider in providing the Address Service, as described in Paragraph 2 of the Address Management Schedule.   |
| <b>Address Selection Method</b>         |         | means for each REL Address, the data source from which the REL Address was derived.   |
| <b>Affected Party</b>                   |         | means a Party which is unable to carry out its obligations under this Code due to circumstance of Force Majeure.  |
| <b>Affiliate</b>                        |         | means, in relation to a person, any group undertaking of that person from time to time (and the expression "group undertaking" shall have the meaning given to that expression in section 1161 of the Companies Act 2006).  |
| <b>Alternative Change Proposal</b>      |         | means, in respect of a Change Proposal, an alternative proposal raised in relation to that Change Proposal in accordance with Paragraph [X] of the Change Management Schedule.  |
| <b>Annulled</b>                         |         | means that a Registration has been annulled by the Losing Supplier pursuant to an Annulment Request.  |
| <b>Annulment Request</b>                |         | is a Registration Service Request from the Losing Supplier to terminate the progress of a Registration in accordance with Paragraph 10 of the Registration Services Schedule.   |
| <b>Appointed</b>                        |         | means, in respect of a Supplier Agent and for a particular RMP, that the Supplier Agent is recorded against that RMP under (for electricity) the BSC or (for gas) the UNC and/or SPAA (or, in respect of a period of time, that the Supplier Agent is or was so recorded during that period). " <b>Appoint</b> " and " <b>Appointment</b> " shall be interpreted accordingly. |

| Term                                 | Acronym | Definition   |
|--------------------------------------|---------|--|
| <b>Associated Supplier</b>           |         | means, in respect of a problem under the Resolution of Consumer-Facing Switching and Billing Problems Schedule, each of the Energy Suppliers to which the Initiating Supplier sent an Initial Request.   |
| <b>Authority</b>                     |         | means the Gas and Electricity Markets Authority as established under section 1 of the Utilities Act 2000.  |
| <b>Authority Change Proposal</b>     |         | means a Change Proposal submitted by the Authority, or a Party acting at the direction of the Authority.   |
| <b>Authority-Approved Change</b>     |         | means a Change Proposal which satisfies one or more of the criteria set out in Paragraph [X] of the Change Management Schedule.  |
| <b>Backstop Date</b>                 |         | means the date by reference to which an Unallocated Transaction becomes an Unallocatable Transaction, being, on 1 July of any year, the date 4 years previous, such that all Unallocated Transactions relating to the period prior to that date become Unallocatable Transactions (or any other more recent Backstop Date approved by the Code Manager). |
| <b>Balancing and Settlement Code</b> | BSC     | means the Balancing and Settlement Code, as defined in the Electricity Supply Licences.  |
| <b>BSCCo</b>                         |         | means the person defined as such in the Balancing and Settlement Code.   |
| <b>Calorific Value</b>               |         | means the amount of energy released when a known volume of gas is completely combusted under specified conditions.   |
| <b>Cancelled</b>                     |         | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule.  |
| <b>Central Switching Service</b>     | CSS     | means the Systems and processes that are to be developed by (or the development of which will be procured by) the CSS Provider pursuant to the Transition Schedule in order to deliver the services which will become the Address Management Service and the Registration Service.   |



| <b>Term</b>  | <b>Acronym</b> | <b>Definition</b>  |
|--|----------------|--|
| <b>Central Switching Service</b>                     | CSS            | means the Systems and processes provided or procured by the CSS Provider for the purpose of providing the Address Management Service and the Registration Service.   |
| <b>Centralised Registration Service</b>              |                | means the services to be provided pursuant to Condition 15 (Incorporation, delivery and provision of the Centralised Registration Service) of the DCC Licence, being the services to be provided under this Code by the CSS Provider (including as Core Systems Assurance Provider), CSS Systems Integrator, SI Provider and Switching Operator. |
| <b>Change Advisory Board</b>                         |                | means the group responsible for the review and approval of Operational Switching Service Changes. This is separate from the code bodies that would approve changes to Energy Codes.  |
| <b>Change Management Schedule</b>                    |                | means REC Schedule [TBC].  |
| <b>Change of Domestic Premises Indicator Request</b> |                | means a Registration Event Request to change the Domestic Premises Indicator recorded for an RMP in accordance with Paragraph 16 of the Registration Services Schedule.  |
| <b>Change of Occupier</b>                            |                | means that the Consumer at a premises has changed (or is due to change).   |
| <b>Change of Shipper Request</b>                     |                | means a Registration Event Request to change the Shipper recorded for an RMP in accordance with Paragraph 15 of the Registration Services Schedule.  |
| <b>Change Path</b>                                   |                | means one of the two change paths followed by a Change Proposal, being either an Authority-Approved Change or a Self-Governance Change.  |
| <b>Change Proposal</b>                               |                | means a proposal to change this Code made in accordance with Clause 11 of the main body of this Code.  |
| <b>Change Register</b>                               |                | means the register established and maintained by the Code Manager which contains all current and past Change Proposals, as further described in Paragraph [X] of the Change Management Schedule.   |

| Term   | Acronym | Definition  |
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| <b>Change Report</b>                                   |         | means the written report on a Change Proposal prepared by the Code Manager during the Report Phase in accordance with Paragraph [X] of the Change Management Schedule   |
| <b>Change Voting Date</b>                              |         | means in respect of each Change Proposal, the date on which that Change Proposal is to be voted on in accordance with Paragraph [X] of the Change Management Schedule, as determined by the timetable for that Change Proposal. |
| <b>Closed Cash Report</b>                              |         | means a report which identifies instances where a Supplier has submitted a PP09 but no PP01 has been received by the PPMIP resulting in an Unallocated Transaction (in a reasonable format determined by the PPMIP).            |
| <b>Code</b>  |         | means this Retail Energy Code, including its REC Schedules and the Technical Specification.   |
| <b>Code Administration Code of Practice</b>            |         | means the document of that name as approved by the Authority from time to time.   |
| <b>Code Administration Code of Practice Principles</b> |         | means the principles set out as such in the Code Administration Code of Practice.   |
| <b>Code Manager</b>                                    |         | means the person described in Clause 7 of the main body of this Code.   |
| <b>Commercial Alliance</b>                             |         | is a Switching Domain Data Type indicating an association between two or more Market Participants, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Communications Hub</b>                              |         | means a device installed at a Retail Energy Location with one or more Smart Meters whose purpose is to manage communications to and from the meters, as further described in the Smart Energy Code.                             |

| Term                                      | Acronym | Definition  |
|---|---------|---|
| <b>Competent Authority</b>                |         | means the Authority, and any local, regional, national or supra-national agency, authority, department, inspectorate, minister, ministry, official or public or statutory person having (in each case) jurisdiction over the relevant Party, this Code or its subject matter.   |
| <b>Complex Debt</b>                       |         | means:<br>(a) debts in relation to a Consumer account into which a suspected Misdirected Payment has been made or into which a Misdirected Payment claim has been submitted but not confirmed;<br>(b) a debt for which a repayment rate is not currently set on the Prepayment Meter; or<br>(c) any other reason which the Losing Supplier reasonably considers to be ‘complex’ |
| <b>Confidential Information</b>           |         | means, in relation to the DCC or a User, all data or other information supplied or otherwise made available by the DCC or that User under or pursuant to this Code.   |
| <b>Confirmed</b>                          |         | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule.   |
| <b>Consumer</b>                           |         | means any person supplied (or seeking a supply) of Energy at an RMP (whether or not that person also exports or is seeking to export electricity at an RMP).  |
| <b>Consumer Representative</b>            |         | means the individual (if any) from time to time notified to the Code Manager by Citizens Advice, or (in the absence of the same) any individual as may be notified to the Code Manager by the Authority.  |
| <b>Contract Manager</b>                   |         | means, for each Party, the individual appointed as such from time to time under Clause 24 of the main body of this Code.  |
| <b>Controlled Market Entry Conditions</b> |         | means the conditions that apply during an initial period of market participation, as determined by the Code Manager in accordance with the Entry Assessment and Qualification Schedule.   |
| <b>Cooling Off</b>                        |         | means the requirement of Law which allows individuals acting as consumers (rather than in the course of a business) a certain cooling-off period during which they can cancel a contract without detriment.   |

| Term                                       | Acronym | Definition  |
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| <b>Coordinated Universal Time</b>          | UTC     | means the time standard of that name based on mean solar time at zero degrees longitude.  |
| <b>Core Systems Assurance Provider</b>     |         | means the Systems assurance function provided or procured by the CSS Provider.  |
| <b>Core Systems Assurance Requirements</b> |         | means the document or documents produced by the CSS Provider, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.  |
| <b>Company Governance Schedule</b>         |         | means REC Schedule 4.   |
| <b>Created</b>                             |         | is an RMP Lifecycle Status indicating that an RMP has been created but is not yet Operational.  |
| <b>Credit Meter</b>                        |         | means a meter which is not a Prepayment Meter.  |
| <b>Cross Code Steering Group</b>           |         | means the group of that name described in paragraph [X] of the Change Management Schedule.  |
| <b>Crossed Meter</b>                       |         | means that the meter records for two or more premises get mixed up resulting in inaccurate bills being issued to the Consumers concerned.   |
| <b>CRS Provider</b>                        |         | means the DCC when performing the functions and services required by Condition 15 (Incorporation, delivery and provision of the Centralised Registration Service) of the DCC Licence.   |
| <b>CSS Data Migration Plan</b>             |         | means the document produced by the CSS Provider reflecting the principles set out in the E2E Data Migration Plan, approved by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme. |

| Term                             | Acronym | Definition   |
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| <b>CSS Message</b>               |         | means a communication in the structured-data format required by the Technical Specification. The Technical Specification defines the Data Exchange Format, Data Exchange Meaning and Data Type Format for each CSS Message.  |
| <b>CSS Message Type</b>          |         | means one of the categories of CSS Message types, being: a Notification, an Enquiry, a Synchronisation Message or an Update (each of which is described in Paragraph 2.5 of the Data Management Schedule).   |
| <b>[CSS Message Variant</b>      |         | means the lowest granularity of CSS Message, which identifies the particular message to be sent in particular circumstances, as set out in the Technical Specification.]   |
| <b>CSS Provider</b>              |         | means the DCC when performing functions under or in relation to this Code (but always excluding its functions under the Smart Energy Code and its roles as CSS Systems Integrator, SI Provider and Switching Operator), including in respect of the Central Switching Service and including in effecting the design, build and testing of any System that will allow it to perform functions under this Code after Go-Live. This role is the CSS Procurer and Manager function as described in the E2E Data Migration Plan, E2E Integration Plan, E2E Post Implementation Plan, E2E Testing Plan, E2E Transition Plan: Implementation Approach and E2E Transition Plan: In-Flight Switches Approach. |
| <b>CSS Provider</b>              |         | means the DCC when performing functions under or in relation to this Code (but always excluding its functions under the Smart Energy Code and its role as Switching Operator), including in respect of the Central Switching Service.  |
| <b>CSS Systems Integrator</b>    |         | means the system integration function provided or procured by the DCC. The DCC shall be responsible for ensuring that the CSS Systems Integrator complies with the obligations imposed on the CSS Systems Integrator under this Code.  |
| <b>Customer Own Read</b>         |         | means a meter reading provided by a Consumer.  |
| <b>Customer Specific Message</b> | CSM     | means an instruction sent from a PPMIP, on behalf of a Supplier, either by the Prepayment Device or via the NSP networks, to a specified Consumer.   |

| Term                               | Acronym | Definition   |
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| <b>DAP Privacy Notice</b>          |         | means a privacy notice which complies with the requirements of the Data Protection Legislation and the Information Commissioner's Office codes of practice and/or guidance, as may be updated from time to time, and which includes notice that customer information (including debt information) may be exchanged between the Losing Supplier and the Gaining Supplier to facilitate debt assignment.   |
| <b>Data Access Agreement</b>       |         | means each agreement entered into (or deemed to have been entered into) pursuant to the Data Access Schedule.  |
| <b>Data Access Matrix</b>          |         | means the matrix governing access to the Enquiry Services, which forms part of the Technical Specification and is updated in accordance with the Data Access Schedule.   |
| <b>Data Access Principles</b>      |         | <i>[to be defined]</i> .   |
| <b>Data Access Schedule</b>        |         | means REC Schedule [TBC].  |
| <b>Data Aggregator</b>             | DA      | means the person Appointed by an Electricity Supplier to aggregate consumption data for an RMP as further described in the Balancing and Settlement Code.  |
| <b>Data Collector</b>              | DC      | means the person Appointed by an Electricity Supplier to collect and process meter readings for an RMP as further described in the Balancing and Settlement Code.  |
| <b>Data Communications Company</b> | DCC     | means the person holding the DCC Licence.  |
| <b>Data Exchange Format</b>        |         | refers to an interim data format for converting from one file or database structure ('data at rest') to another. Also called a "data interchange format". The source data is converted into the exchange format by one program, moved across ('data in motion'). When received by the target, it is converted (or transformed) to the target format by another program. XML is commonly used as a data exchange format. Other examples are: JSON, RAML, CSV, YAML, AXON etc. |

| Term   | Acronym | Definition   |
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| <b>Data Exchange Meaning</b>                 |         | refers to the meaning of data being transferred across various Systems using a Data Exchange Format. The parsing logic (to convert/transform the data) on both the source and target System should be sharing a common, shared vocabulary without which it will be impossible to have a meaningful exchange. |
| <b>Data Management Schedule</b>              |         | means REC Schedule [TBC].  |
| <b>Data Master</b>                           |         | means the person responsible for the accuracy of a specific Data Item, as further described in Paragraph 4 of the Data Management Schedule.  |
| <b>Data Migration and Transition Testing</b> |         | has the meaning given in Paragraph 4.25 of the Transition Schedule.  |
| <b>Data Model</b>                            |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.  |
| <b>Data Protection Legislation</b>           |         | means the Data Protection Act 2018; and EU Regulation 2016/679 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, which is known as the General Data Protection Regulation.  |
| <b>Data Responsible User</b>                 |         | means a Market Participant responsible for notifying the Data Master of potential issues with the Data Item, as further described in Paragraph 4 of the Data Management Schedule.  |
| <b>Data Transfer Network</b>                 | DTN     | has the meaning given to that expression in the Data Transfer Services Agreement.  |
| <b>Data Transfer Services Agreement</b>      | DTSA    | means the agreement of that name by which the relevant Distribution Network Operators discharge their duty to provide a data transfer service as required by Condition 35 of the Electricity Distribution Licences, and which is also used for the exchange of gas data.                                     |
| <b>Data Type Format</b>                      |         | refers to the attributes of the Data Item's length and type (i.e. whether a particular data item is a date, text, number etc.). It also specifies the length of the text (character) or precision if a number. In the case of a date,  |

| Term                                | Acronym | Definition   |
|-------------------------------------|---------|--|
|                                     |         | it would mean how it is being expressed (e.g. 'DD-MM-YYYY'). Note that the Data Type Format can be either in the context of data in motion (used within a Data Exchange Format) or data are rest (a database repository of a source or target System). |
| <b>Database Remedy Document</b>     |         | means the document named "Data Improvement Address Database Remedy 1" designated by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.          |
| <b>DCC Enrolled Meter</b>           |         | means a Smart Meter for which the communications between the Energy Supplier and the Smart Meter are managed by the DCC.   |
| <b>DCC Licence</b>                  |         | means the licences granted under section 6(1A) of the Electricity Act and section 7AB (2) of the Gas Act.  |
| <b>Default Interest Rate</b>        |         | means the interest rate provided for in the Late Payment of Commercial Debts (Interest) Act 1998.  |
| <b>Defaulting Party</b>             |         | has the meaning given in Clause 16.1 of the main body of this Code.  |
| <b>Defect Management Plan</b>       |         | means the document or documents to be produced pursuant to the Transition Schedule and approved by the Authority, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.             |
| <b>Design Baseline</b>              |         | means the suite of documents, collectively known as Design Baseline 4, published by the Authority on 22 June 2018, as updated or superseded from time-to-time (including by the Technical Specification).  |
| <b>Design Products</b>              |         | means the design products designated as such by the Authority from time to time as amended from time to time.  |
| <b>Design, Build and Test Phase</b> |         | means the period commencing pursuant to the Transition Schedule and ending on the Go-Live Date.  |



| Term   | Acronym | Definition  |
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| <b>Disclose</b>                              |         | means disclose, reveal, report, publish or transfer. " <b>Disclosed</b> " and " <b>Disclosure</b> " shall be construed accordingly.   |
| <b>Dispute Resolution Procedure Document</b> |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme. |
| <b>Disputed Switch Meter Reading</b>         |         | means that the Switch Meter Reading is disputed by the Consumer, Losing Supplier or Gaining Supplier.   |
| <b>Distribution Network Operator</b>         | DNO     | means a person holding an Electricity Distribution Licence.   |
| <b>Data Catalogue</b>                        |         | means the document or documents described as such in the Technical Specification, which either form part of or are referred to in the Technical Specification.  |
| <b>Data Item</b>                             |         | means the most granular level of data defining a specific attribute in respect of a Data Type, the permissible values for which are defined and controlled in the Data Catalogue.                               |
| <b>Data Type</b>                             |         | means a grouping of Data Items in a structured format prescribed in the Data Catalogue.   |
| <b>Domestic Premises</b>                     |         | means a premises at which a supply of Energy is (or will be) taken wholly or mainly for domestic purposes, which is to be interpreted in accordance with the Energy Supply Licences.                            |
| <b>Domestic Premises Indicator</b>           |         | means the indicator used to identify a Domestic Premises.   |
| <b>Domestic Supplier</b>                     |         | means an Energy Supplier which is authorised by its Energy Supply Licence to supply Domestic Premises.  |
| <b>Dormant</b>                               |         | is an RMP Lifecycle Status indicating that the RMP has been Isolated. This RMP Lifecycle Status only applies to gas RMPs.   |

| Term   | Acronym | Definition  |
|--|---------|---|
| <b>Dual Initial Requests</b>                           |         | means where two or more Energy Suppliers have sent an Initial Request in relation to the same problem.  |
| <b>Duplicate RMP</b>                                   |         | means an issue where two or more RMPs have been created in error for the same fuel and MPL Address.   |
| <b>E2E Data Architecture and Data Governance Model</b> |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.   |
| <b>E2E Data Migration Plan</b>                         |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedures for its amendments developed under the Switching Programme.   |
| <b>E2E Design Products</b>                             |         | means the design products designated as such by the Authority from time to time which at the date of this Code include the E2E Non-Functional Requirements, E2E Solution Architecture, E2E Operational Choreography, E2E Service Management Strategy, E2E Detailed Design, and E2E Data Architecture and Data Governance Model. |
| <b>E2E Detailed Design</b>                             |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.   |
| <b>E2E Integration Plan</b>                            |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.   |
| <b>E2E Non-Functional Requirements</b>                 |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.   |
| <b>E2E Operational Choreography</b>                    |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.   |

| Term  | Acronym | Definition  |
|---|---------|---|
| <b>E2E Post Implementation Plan</b>                     |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedures for its amendments developed under the Switching Programme.     |
| <b>E2E Service Management Strategy</b>                  |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |
| <b>E2E Solution Architecture</b>                        |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |
| <b>E2E Testing Plan</b>                                 |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |
| <b>E2E Transition Plan</b>                              |         | means the document or documents designated by the Authority from time to time, as amended from time to time in accordance with its provisions.  |
| <b>E2E Transition Plan: Implementation Approach</b>     |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |
| <b>E2E Transition Plan: In-Flight Switches Approach</b> |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |
| <b>Earliest Switch Request Re-submission Date</b>       |         | means at least 1 Working Day after the Gaining Supplier provides the 'Confirmation of Customer Debt Transfer' data flow under Section G of the Resolution of Consumer-Facing Switching and Billing Problems Schedule. |
| <b>Effective From Date</b>                              |         | means the date from which the change to a value held in a Switching Data Service is to have effect (or had effect).   |
| <b>Effective Through Date</b>                           |         | means the last date on which a value held in a Switching Data Service is to remain effective (or was effective).  |

| <b>Term</b>                             | <b>Acronym</b> | <b>Definition</b>   |
|---|----------------|---|
| <b>Electricity Act</b>                  |                | means the Electricity Act 1989.   |
| <b>Electricity Distribution Licence</b> |                | means an electricity distribution licence under the Electricity Act.  |
| <b>Electricity Enquiry Service</b>      | EES            | means: (a) until the Go-Live Date, the enquiry service provided pursuant to the [MRA] which allows authorised users to access Electricity Retail Data; or (b) from the Go-Live Date, the service of that name procured by RECCo pursuant to the Data Access Schedule. |
| <b>Electricity Retail Data</b>          |                | means the technical and other data necessary to facilitate the supply by any Electricity Supplier to all Retail Energy Locations, as recorded in the Metering Point Administration Service.   |
| <b>Electricity Retail Data Agent</b>    |                | means a provider of a DNO's Metering Point Administration Service.  |
| <b>Electricity Supplier</b>             |                | means a person holding an Electricity Supply Licence.   |
| <b>Electricity Supply Licence</b>       |                | means an electricity supply licence under the Electricity Act.  |
| <b>Emergency Credit Status</b>          |                | means the level of emergency credit remaining on a Prepayment Meter.  |
| <b>End-to-End Testing</b>               |                | means the activity described as such in the E2E Testing Plan.   |
| <b>Energy</b>                           |                | means gas and/or electricity.   |
| <b>Energy Code</b>                      |                | means a multilateral code or agreement maintained pursuant to one or more of the Energy Licences.   |
| <b>Energy Company</b>                   |                | is a Switching Domain Data Type indicating the legal entity to which a Market Participant Role relates, as defined in Paragraph 5.6 of the Data Management Schedule.  |

| Term   | Acronym            | Definition  |
|--|--------------------|---|
| <b>Energy Company Group</b>                        |                    | is a Switching Domain Data Type indicating the corporate relationships between Market Participant Roles, as defined in Paragraph 5.6 of the Data Management Schedule. |
| <b>Energy Contract</b>                             |                    | means a contract for the supply of gas or electricity (or both gas and electricity) to a premises, or for the purchase of electricity exported from a premises.       |
| <b>Energy Licence</b>                              |                    | means a licence under the Electricity Act or the Gas Act.   |
| <b>Energy Supplier</b>                             |                    | means a person which is either or both an Electricity Supplier and/or a Gas Supplier.   |
| <b>Energy Supply Licence</b>                       |                    | means an Electricity Supply Licence or a Gas Supply Licence.  |
| <b>Enquiry</b>                                     |                    | is a CSS Message Type, as defined in Paragraph 2.5 of the Data Management Schedule.   |
| <b>Enquiry Service</b>                             |                    | means one of the Gas Enquiry Service (for gas) or the Electricity Enquiry Service (for electricity).  |
| <b>Enquiry Service Provider</b>                    | ESP-GES<br>ESP-EES | means the person which provides the Gas Enquiry Service or the Electricity Enquiry Service.   |
| <b>Enquiry Service User</b>                        |                    | means each and every Party, together with and each other Market Participant or interested party which has entered into and remains party to a Data Access Agreement.  |
| <b>Enquiry Service User Category</b>               |                    | means each category of Enquiry Service User provided for in the Data Access Matrix.   |
| <b>Entry Assessment</b>                            |                    | means the process for becoming Qualified, as further described in the Entry Assessment and Qualification Schedule.  |
| <b>Entry Assessment and Qualification Schedule</b> |                    | means REC Schedule [TBC].   |

| Term                                      | Acronym | Definition  |
|---|---------|---|
| <b>Event of Default</b>                   |         | has the meaning given in Clause 16.1 of the main body of this Code.   |
| <b>Export Metering Point</b>              |         | means a Metering Point which identifies exports from a Location.  |
| <b>External Service Provider Contract</b> |         | has the meaning given in the DCC Licence, but only insofar as the relevant contract relates to the procurement of the Centralised Registration Services.  |
| <b>Factored Total Payment</b>             |         | means the amount paid by the Gaining Supplier to the Losing Supplier, to settle the debt. This is the sum of: (a) 90% of the Total Debt Outstanding (net of VAT); and (b) the full VAT on the Total Debt Outstanding.   |
| <b>Financial Year</b>                     |         | means 1 April to 31 March (inclusive) each year.  |
| <b>Fixed Balance UTRNs</b>                |         | means a code which, when entered into a Prepayment Meter, allocates credit onto the meter, thereby enabling Energy to be supplied.  |
| <b>Force Majeure</b>                      |         | means, in respect of an Affected Party, any event or circumstance which is beyond the reasonable control of the Affected Party, but only to the extent such event or circumstance (or its consequences) could not have been prevented or avoided had the Affected Party acted in accordance with Good Industry Practice. Neither lack of funds nor strikes or other industrial disturbances affecting only the employees of the Affected Party and/or its contractors shall be interpreted as an event or circumstance beyond the Affected Party's control. |
| <b>Gaining Agent</b>                      |         | means the Supplier Agent Appointed by the Gaining Supplier.   |
| <b>Gaining Shipper</b>                    |         | means the Shipper nominated by the Gaining Supplier (or, for a Change of Shipper Request, nominated in the Change of Shipper Request).  |
| <b>Gaining Supplier</b>                   |         | means, in relation to a proposed Switch (or Switch), the Energy Supplier which is proposing to become the Registered Supplier at an RMP as a result of that proposed Switch (or which has become the Registered Supplier as a result of that Switch).   |

| Term                           | Acronym | Definition  |
|--------------------------------|---------|---|
| <b>Gap Cash Report</b>         |         | means a report which identifies instances where a PP09 has been received to close an account and a PP01 has been received to open an account but the dates are not contiguous resulting in an Unallocated Transaction (in a reasonable format determined by the PPMIP). |
| <b>Gas Act</b>                 |         | means the Gas Act 1986.   |
| <b>Gas Debt Adjustment</b>     |         | means an adjustment to a meter's settings via a new Prepayment Device or Customer Specific Message to change the gas debt value.  |
| <b>Gas Enquiry Service</b>     | GES     | means: (a) until the Go-Live Date, the enquiry service provided pursuant to the UNC which allows authorised users to access Gas Retail Data; or (b) from the Go-Live Date, the service of that name procured by RECCo pursuant to the Data Access Schedule.             |
| <b>Gas Retail Data</b>         |         | means the technical and other data necessary to facilitate the supply by any Gas Supplier (and shipping by its Shipper) to all Retail Energy Locations, as recorded in UK Link.   |
| <b>Gas Retail Data Agent</b>   |         | means the 'Central Data Service Provider' appointed by Gas Transporters pursuant to standard special condition A15A (Central Data Service Provider) of the Gas Transporter Licences.  |
| <b>Gas Supplier</b>            |         | means a person holding a Gas Supply Licence.  |
| <b>Gas Supply Licence</b>      |         | means a gas supply licence under the Gas Act.   |
| <b>Gas Transporter</b>         | GT      | means a person holding a Gas Transporter Licence which requires that person to become a party to this Code.   |
| <b>Gas Transporter Licence</b> |         | means a gas transporter licence under the Gas Act.  |
| <b>Global Message</b>          |         | means a message to generate Customer Specific Messages to be sent to an Energy Supplier's entire portfolio of Prepayment Meters for which it is the Registered Supplier.  |

| <b>Term</b>   | <b>Acronym</b> | <b>Definition</b>   |
|---|----------------|---|
| <b>Go-Live Date</b>                                     |                | means the time and date designated as such by the Authority.  |
| <b>Good Industry Practice</b>                           |                | means, in respect of a person, the exercise of that degree of skill, diligence, prudence and foresight which would reasonably and ordinarily be expected from a skilled and experienced organisation engaged in a similar type of undertaking as that person under the same or similar circumstances. |
| <b>Green Deal Charges</b>                               |                | has the meaning given to that expression in the Energy Supply Licences.   |
| <b>Green Deal Plan</b>                                  |                | has the meaning given to that expression in the Energy Supply Licences.   |
| <b>Green Deal Qualified</b>                             |                | is a Switching Domain Data Type indicating that the Energy Supplier is permitted to make Registrations for RMPs which have an associated Green Deal Plan, as defined in Paragraph 5.6 of the Data Management Schedule.  |
| <b>Inactive</b>   |                | is a Registration Status indicating that the relationship between an RMP and an Energy Supplier has ended.  |
| <b>Incident</b>   |                | means an issue that arises with a System or process forming part of the Switching Arrangements that has been working but is no longer working or is not working correctly.  |
| <b>Independent Gas Transporter Uniform Network Code</b> | IGT UNC        | means the code of that name established under the Gas Transporter Licences.   |
| <b>Individual Programme Plan</b>                        |                | has the meaning given in Paragraph 2.5 of the Transition Schedule.  |
| <b>In-Flight Switch</b>                                 |                | means a Switch defined as such under the In-Flight Switches Implementation Plan.  |



| Term   | Acronym | Definition   |
|--|---------|--|
| <b>In-Flight Switches Implementation Plan</b>  |         | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with its the procedure for its amendment developed under the Switching Programme.  |
| <b>Initial Registration Request</b>            |         | means a request to register an Energy Supplier as the Registered Supplier for an RMP where (at the time of the request) there is no Energy Supplier with an Active Registration.   |
| <b>Initial Request</b>                         |         | means a notification from an Energy Supplier to another Energy Supplier to raise an issue which needs to be resolved in respect of one of the problems covered by the Resolution of Consumer-Facing Switching and Billing Problems Schedule.   |
| <b>Initiating Supplier</b>                     |         | means the Energy Supplier which sends the Initial Request.   |
| <b>Integration Help Desk</b>                   |         | means the help desk facility to be made available by the SI Provider for testing and issue resolution purposes as further described in the E2E Integration Plan and SI Requirements Document.  |
| <b>Integration Memorandum of Understanding</b> |         | means a non-binding document to be prepared in accordance with the E2E Integration Plan and approved by the Authority which will set out ways in which the CSS Provider, each other Switching Data Service Provider and the SI Provider will work together to support systems and service integration testing required by the E2E Integration Plan.  |
| <b>Intellectual Property Rights</b>            |         | means patents, trademarks, trade names, service marks, rights in designs, copyright (including rights in computer software), logos, rights in internet domain names, and moral rights, database rights, rights in know-how, and other intellectual property rights (in each case, whether registered or unregistered or subject to an application for registration, and includes any and all rights or forms of protection having equivalent or similar effect anywhere in the world). |
| <b>Interface and Timetable</b>                 |         | means each of the process tables set out in the REC Schedules.   |

| <b>Term</b>                              | <b>Acronym</b> | <b>Definition</b>  |
|--|----------------|--|
| <b>Interfaces</b>                        |                | means those System components and interfaces required to enable a User to connect to the Central Switching Service in accordance with this Code.   |
| <b>Interfacing System</b>                |                | means those System components and interfaces required to enable a User to access the Central Switching Service, and to send data to and receive data from the Central Switching Service, in accordance with this Code; but excluding the System components and interfaces of Switching Data Service Providers. |
| <b>Interpretation Schedule</b>           |                | means this REC Schedule 1.   |
| <b>Isolated</b>                          |                | has the meaning given in section G of the UNC.   |
| <b>Large Supplier</b>                    |                | means an Energy Supplier which, at the time it is necessary to assess its status, supplies electricity and/or gas to more than 250,000 RMPs.   |
| <b>Law</b>                               |                | means any law (including the common law), statute, statutory instrument, regulation, instruction, direction, rule, condition or requirement (in each case) of any Competent Authority (or of any authorisation, licence, consent, permit or approval of any Competent Authority).                              |
| <b>Liability</b>                         |                | includes any loss, liability, damages, costs (including legal costs), expenses and claims.   |
| <b>Licensed Party Assurance Provider</b> |                | means the assurance function provided or procured by the Authority to provide assurance on the activities of Suppliers, Shippers, Gas Transporters, and Electricity Distribution Network Operators.  |
| <b>Live Proving</b>                      |                | has the meaning given in Paragraph 4.27 of the Transition Schedule.  |
| <b>Local Time</b>                        |                | means Greenwich Mean Time (GMT) for the winter period and British Summer Time (BST) for the summer period.   |

| Term                            | Acronym    | Definition   |
|---------------------------------|------------|--|
| <b>Location</b>                 |            | means a dwelling, house, flat, shop, office, building, facility, structure or other premises in Great Britain, together with its land and outbuildings. A Location can be identified by an address conforming to British Standard BS 7666 (Spatial datasets for geographical referencing). |
| <b>Losing Shipper</b>           |            | means the Shipper nominated by the Losing Supplier (or, for a Change of Shipper Request, the incumbent Shipper at the time of the Change of Shipper Request).  |
| <b>Losing Supplier</b>          |            | means, in relation to a proposed Switch (or Switch), the Energy Supplier which will cease to be the Registered Supplier at an RMP as a result of that proposed Switch (or which has ceased to be the Registered Supplier as a result of that Switch).                                      |
| <b>Losing Supplier Agent</b>    |            | means the Supplier Agent Appointed by the Losing Supplier (and " <b>Losing DC</b> ", " <b>Losing DA</b> ", " <b>Losing MA</b> " and " <b>Losing MEM</b> " shall be interpreted accordingly).   |
| <b>Major Incident</b>           |            | means a high-impact Incident which results in significant disruption to the Switching Arrangements.  |
| <b>Major Incident Manager</b>   | MIM        | means the person described as such in paragraph 2.7 of the Service Management Schedule.  |
| <b>Mandatory</b>                |            | means, in respect of a REC Schedule and a Party Category, that compliance with the REC Schedule is mandatory for Parties in that Party Category, as further described in Clause 4 of the main body of this Code.   |
| <b>Manually Entered Address</b> | ME Address | means, for an RMP, an address communicated to the CSS Provider by the Registered Supplier for that RMP pursuant to the Address Management Schedule.  |
| <b>Market Participant</b>       |            | means a participant in either or both of the gas and electricity markets of Great Britain, each of which is identified by a Market Participant Role.   |
| <b>Market Participant Data</b>  |            | means the data relating to a Market Participant Role (or the associated legal entity) held within the market domain data under the UNC or BSC (as applicable).   |

| Term                                 | Acronym | Definition  |
|--------------------------------------|---------|---|
| <b>Market Participant Event</b>      |         | means one of the following Switching Domain Data Types: Permission to Submit Registration Request Indicator or Green Deal Qualified.  |
| <b>Market Participant Identifier</b> | MPID    | is a Switching Domain Data Type indicating the unique identifier by which a Market Participant is identified, as defined in Paragraph 5.6 of the Data Management Schedule.  |
| <b>Market Participant Role</b>       |         | is a Switching Domain Data Type comprising a concatenation of a Market Participant Identifier and Market Role, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Market Role</b>                   |         | is a Switching Domain Data Type indicating the role performed by a Market Participant, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Market Sanction</b>               |         | means that a Market Participant's right to make new Registrations is suspended (in accordance with Clause 16 of the main body of this Code), or is subject to similar restrictions under another Energy Code.   |
| <b>Market Scenario Testing</b>       |         | means a combination of internal and external tests designed to assess a User's ability to comply with the requirements of this Code, as further described in the Entry Assessment and Qualification Schedule.   |
| <b>Master Registration Agreement</b> | MRA     | means the Master Registration Agreement referred to in the Electricity Supply Licences.   |
| <b>Material Change</b>               |         | applies in the context of Re-Qualification, and means a change by the User that has a significant impact on the Systems or processes used by that User to comply with its obligations under this Code, as from time to time further defined by the Performance Assurance Board. |
| <b>Meta Data Owner</b>               |         | means the person responsible for the control of the meta data associated with the Data Item, as further described in Paragraph 4 of the Data Management Schedule.   |
| <b>Meter Administrator</b>           | MA      | means the person Appointed by an Electricity Supplier to calculate estimated energy consumption for an Unmetered Supply, as further described in the Balancing and Settlement Code.   |

| Term   | Acronym     | Definition  |
|--|-------------|---|
| <b>Meter Asset Provider</b>                  | MAP         | means the person that makes the Metering Equipment Assets for an RMP available for use by the Registered Supplier and the Consumer.   |
| <b>Meter Dump</b>                            |             | means the electronic exchange of data retrieved from a Prepayment Meter.  |
| <b>Meter Serial Number</b>                   | MSN         | means the reference number that identifies the meter at an RMP.   |
| <b>Meter Point Administration Number</b>     | MPAN        | means the unique reference number used to identify electricity Metering Points.   |
| <b>Meter Point Location Address</b>          | MPL Address | means the address (or other spatial reference) of a Supply Meter Point's or Metering Point's Location, as created and maintained by the Gas Transporter or Distribution Network Operator (as applicable) for that Supply Meter Point or Metering Point. |
| <b>Meter Point Reference Number</b>          | MPRN        | means the unique reference number used to identify gas Supply Meter Points.   |
| <b>Metering Point Administration Service</b> | MPAS        | means the service of that name which each DNO is required to provide under its Electricity Distribution Licence.  |
| <b>Metering Equipment Asset</b>              |             | means a meter and associated devices utilised in Energy measurement, data storage, communications or ancillary functions (including data display and load management).  |
| <b>Metering Equipment Manager</b>            | MEM         | means, as applicable, either: (a) for electricity, the 'Meter Operator Agent' Appointed by an Electricity Supplier; or (b) for gas, the 'Meter Asset Manager' Appointed by the Gas Supplier.  |
| <b>Metering Point</b>                        |             | means the point at which an electricity service enters or exits a Location, as further described in the MRA.  |
| <b>Misdirected Payment</b>                   |             | means a payment by a Consumer via a Prepayment Meter that is directed to the wrong Energy Supplier.   |

| <b>Term</b>                         | <b>Acronym</b> | <b>Definition</b>   |
|-------------------------------------|----------------|---|
| <b>Missing Switch Meter Reading</b> |                | means that a Switch Meter Reading has not been obtained within the timescales required by the Switch Meter Reading Exceptions Schedule.   |
| <b>MRA Executive Committee</b>      | MEC            | has the meaning given in the MRA.   |
| <b>MRA Service Company</b>          | MRASCo         | means the person responsible under the MRA for providing EES.   |
| <b>New Party</b>                    |                | means a person that has agreed to be bound by this Code in accordance with an Accession Agreement.  |
| <b>Non-Domestic Premises</b>        |                | means a premises at which a supply of Energy is (or will be) taken wholly or mainly for non-domestic purposes, which is to be interpreted in accordance with the Energy Supply Licences.  |
| <b>Non-Domestic Supplier</b>        |                | means an Energy Supplier which is not authorised by its Energy Supply Licence to supply Domestic Premises.  |
| <b>Notification</b>                 |                | is a CSS Message Type, as defined in Paragraph 2.5 of the Data Management Schedule.   |
| <b>Objection</b>                    |                | means a request from the Losing Supplier to block a Switch Request for reasons permitted under the relevant Energy Supply Licence.  |
| <b>Objection Response</b>           |                | is a Registration Service Request from the Losing Supplier to indicate an Objection or no Objection.  |
| <b>Objection Window</b>             |                | is the period within which an Objection can validly be raised, as defined in Paragraph 6 of the Registration Services Schedule.   |
| <b>OFAF Group</b>                   |                | means all the Switch Requests which are collectively subject to OFAF, as identified by a reference number that is unique to the Energy Supplier which submitted the Switch Requests (or, following Validation of one or more of those Switch Requests, all the resulting Registrations while they are either Pending or Confirmed). |

| Term  | Acronym | Definition  |
|---|---------|---|
| <b>One Fail All Fail</b>                    | OFAF    | means that a Switch Request has been identified as dependent upon the successful progress of other Switch Requests in the same OFAF Group (or, following Validation of one or more of those Switch Requests, all the resulting Registrations while they are either Pending or Confirmed). |
| <b>Operational</b>                          |         | is an RMP Lifecycle Status indicating: (a) for gas RMPs, that the RMP is capable of offtaking gas (and not, for the avoidance of doubt, Isolated or no longer capable of offtaking gas); or (b) for electricity RMPs, that the RMP has been energised and has not been disconnected.      |
| <b>Operational Switching Service Change</b> |         | means a change to the Switching Arrangements (e.g. planned downtime and software updates) which can be progressed without a change to this Code, as further described in Paragraph 6 of the Service Management Schedule.  |
| <b>Operational Testing</b>                  |         | has the meaning given in Paragraph 4.26 of the Transition Schedule.   |
| <b>Original Accession Agreement</b>         |         | means the agreement equivalent to an Accession Agreement, in the form designated by the Authority.  |
| <b>Original Party</b>                       |         | means a person that agreed to be bound by this Code in accordance with the Original Accession Agreement.  |
| <b>Outlet</b>                               |         | means a premise or location where a PoS Unit is installed.  |
| <b>Outlet ID</b>                            |         | means the identification or reference number of a PoS Unit location.  |
| <b>Outlet List</b>                          |         | means a list of the nearest outlets that a Consumer can top up a Prepayment Meter based on Meter location postcode.   |
| <b>Outstanding Charges</b>                  |         | has the meaning given in the Energy Supply Licences.  |
| <b>Party</b>                                |         | means, from time to time, an Original Party or a New Party that has not at that time ceased to be a Party in accordance with Clause 17 of the main body of this Code.   |

| <b>Term</b>  | <b>Acronym</b> | <b>Definition</b>  |
|--|----------------|--|
| <b>Party Category</b>                                      |                | means one of the following categories of Party: (a) the DCC; (b) Domestic Suppliers; (c) Non-Domestic Suppliers; (d) Gas Transporters; and (e) Distribution Network Operators.   |
| <b>Party Details</b>                                       |                | means, for each Party, its name, jurisdiction of incorporation (if applicable), company number (if applicable), addresses for receipt of notices, Contract Manager, and (if it is resident or incorporated outside of the UK) an address in the UK for service of process. |
| <b>Pending</b>   |                | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule.  |
| <b>Performance Standards</b>                               |                | has the meaning given in the Performance Standards Schedule.   |
| <b>Performance Standards Schedule</b>                      |                | means REC Schedule [TBC].  |
| <b>Permission to Submit Registration Request Indicator</b> |                | is a Switching Domain Data Type indicating whether the Market Participant's Registration Service Requests will be accepted, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Point of Acquisition</b>                                | PoA            | means the point in time when a Consumer instigates the move to a Gaining Supplier through either the Gaining Supplier's own (or a third party intermediary's) sales channel.   |
| <b>Point of Sale Unit</b>                                  | PoS Unit       | means a unit installed in a specific outlet that allows the charging of a Prepayment Device and the communication between the Energy Supplier and the Prepayment Meter.  |
| <b>Post Implementation Period</b>                          |                | means the period commencing on the Go-Live Date and ending on the Steady State Commencement Date.  |
| <b>PPMIP Database</b>                                      |                | means the PPMIP's database relating to Prepayment Meters.  |



| Term  | Acronym | Definition  |
|---|---------|---|
| <b>Pre-Assessment Process</b>                   |         | means the process to allow potential changes to this Code to be considered prior to a formal Change Proposal being submitted, as further described in Paragraph [X] of the Change Management Schedule.                  |
| <b>Pre-Integration Testing</b>                  | PIT     | means the testing described in Paragraph 4.13 of the Transition Schedule.   |
| <b>Prepayment Device</b>                        |         | means a device issued to a Consumer who has or is due to have a Prepayment Meter installed in their property, which allows the transfer of data and electronic credit to the Prepayment Meter.                          |
| <b>Prepayment Device Reference Number</b>       |         | means the reference number held in the Prepayment Meter and also recorded on PPMIP Database, which is used to allocate transactions to the appropriate Energy Supplier.   |
| <b>Prepayment Meter</b>                         |         | has the meaning given in the Energy Supply Licences.  |
| <b>Prepayment Meter Infrastructure Provider</b> | PPMIP   | means, for each Energy Supplier and fuel, the contracted service provider for prepayment services for to support that Energy Supplier's Prepayment Meters for that fuel.  |
| <b>Prepayment Arrangements Schedule</b>         |         | means REC Schedule [TBC].   |
| <b>Primary Related Metering Point</b>           |         | means a Metering Point with which other Metering Points (the Secondary Related Metering Points) are related. This concept relates only to electricity and is described further in the Related Metering Points Schedule. |
| <b>Problem</b>                                  |         | means the underlying cause of one or more Incidents.  |
| <b>Problem Record</b>                           |         | means the record held on the Switching Service Management System relating to an individual Problem.   |
| <b>Production Interface</b>                     |         | means the permanent interface that will be used in the live environment (and staging during the transition process) during the Design, Build and Test Phase.  |

| <b>Term</b>   | <b>Acronym</b> | <b>Definition</b>  |
|---|----------------|--|
| <b>Programme Co-ordinator</b>                       |                | means the person appointed as such by the Authority from time to time.   |
| <b>Programme Co-ordinator Requirements Document</b> |                | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.  |
| <b>Programme Management and Reporting Framework</b> |                | means the management and performance framework produced by the SI Provider from time to time.  |
| <b>Programme Plan</b>                               |                | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.  |
| <b>Programme Systems</b>                            |                | means any System produced by a Testing Participant which is necessary for that Testing Participant to participate in the arrangements created by the Switching Programme after the Go-Live Date.   |
| <b>Proposed Supply Effective From Date</b>          |                | means the Supply Effective From Date proposed in a Switch Request or Initial Registration Request.   |
| <b>Proposer</b>                                     |                | means a person who submits a Change Proposal.  |
| <b>Qualified</b>                                    |                | means that the Market Participant has successfully completed Entry Assessment and does not need to go through Re-Qualification, or is participating in a Market Role for which Entry Assessment is not required, as further described in the Entry Assessment and Qualification Schedule.                    |
| <b>Quantum Meter</b>                                |                | means any Prepayment Meter designed or adapted for use as part of the Quantum On Line technology solution.   |
| <b>Re-Qualification</b>                             |                | means the process of re-assessing a Market Participant's status as Qualified after a Material Change or as part of a change or removal of Controlled Market Entry Conditions, as further described in the Entry Assessment and Qualification Schedule (and "Re-Qualified" shall be interpreted accordingly). |

| Term                                   | Acronym | Definition  |
|--|---------|---|
| <b>REC Board</b>                       |         | means the board of directors for RECCo.   |
| <b>REC Objectives</b>                  |         | means the objectives set out as such in Condition 11B of the Electricity Supply Licences and Condition 11 of the Gas Supply Licences.   |
| <b>REC Materials</b>                   |         | means the materials described in Clause 13.1 of the main body of this Code.   |
| <b>REC Panel</b>                       |         | means the panel established as such under Clause 5 of the main body of this Code.   |
| <b>REC Panel Chair</b>                 |         | means the individual from time to time selected to undertake that role in accordance with Clause 5 of the main body of this Code.   |
| <b>REC Panel Members</b>               |         | means the individuals who comprise the REC Panel, as further described in Clause 5 of the main body of this Code.   |
| <b>REC Performance Assurance Board</b> | REC PAB | means the performance assurance board for this Code, as from time to time established under Clause 15 of the main body of this Code (which is a Sub-Committee).                         |
| <b>REC Schedule</b>                    |         | means a schedule forming part of this Code.   |
| <b>REC Service Provider</b>            |         | means the Switching Data Service Providers, the Switching Network Service Providers, and <i>[further service providers will likely be added in due course, e.g. TRAS]</i> .             |
| <b>RECCo</b>                           |         | means the company established under Clause 6 of the main body of this Code.   |
| <b>Receipt Response</b>                |         | means the action (if any) which the recipient of a CSS Message is required to take, depending upon the CSS Message Type, as described in Paragraph 3.3 of the Data Management Schedule. |

| Term                                     | Acronym | Definition  |
|--|---------|---|
| <b>Registered Supplier</b>               |         | means, in respect of an RMP and at any time, the Energy Supplier recorded against that RMP in the relevant Switching Data Service at that time with an active registration status (or, in respect of a period of time, the Energy Supplier that had, has or will have an active registration status in respect of that RMP during that period).                                   |
| <b>Registered Supplier</b>               |         | means, in respect of an RMP and at any time, the Energy Supplier recorded against that RMP in the Central Switching Service at that time with an Active or Secured Inactive Registration Status (or, in respect of a period of time, the Energy Supplier that had, has or will have an Active or Secured Inactive Registration Status in respect of that RMP during that period). |
| <b>Registrable Measurement Point</b>     | RMP     | means a Supply Meter Point or Metering Point.   |
| <b>Registrable Measurement Point</b>     | RMP     | means a Supply Meter Point or Metering Point identified in the Central Switching Service.   |
| <b>Registration</b>                      |         | means the record in the Central Switching Service for each RMP identifying each Energy Supplier which is registered in respect of that RMP, and the Registration Status of each such registration.  |
| <b>Registration Deactivation Request</b> |         | means, for an RMP, a request from the Registered Supplier to end the Registered Supplier's Active Registration in respect of that RMP.  |
| <b>Registration Event</b>                |         | occurs on: (a) an amendment to an RMP's Domestic Premises Indicator; or (b) in the case of gas RMPs only, a change to the RMP's Shipper that is not linked to a Switch Request or an Initial Registration Request.  |
| <b>Registration Event Request</b>        |         | means a request to have a Registration Event recorded in the Central Switching Service.   |
| <b>Registration Service</b>              |         | means the component of the Central Switching Service which records data related to Switches and manages Switches.   |

| <b>Term</b>                                | <b>Acronym</b> | <b>Definition</b>   |
|--|----------------|---|
| <b>Registration Service</b>                |                | means the component of the Central Switching Service which records Switching Domain Data and manages Switches, as further described in the Data Management Schedule and the Registration Services Schedule.                               |
| <b>Registration Service Request</b>        |                | means a Switch Request, an Initial Registration Request, an Annulment Request, an Objection Response, a Withdrawal Request, a Registration Deactivation Request or a Registration Event Request.  |
| <b>Registration Service Request Status</b> |                | is a status which identifies the progress of a Registration Service Request, being one of: Submitted, Validated, or Rejected.   |
| <b>Registration Services Schedule</b>      |                | means REC Schedule [TBC].   |
| <b>Registration Status</b>                 |                | is a status which identifies the relationship between an RMP and an Energy Supplier at, or in respect of, a particular period of time, being one of: Pending, Confirmed, Cancelled, Secured Active, Active, Secured Inactive or Inactive. |
| <b>Regulatory Alliance</b>                 |                | is a Switching Domain Data Type indicating that the necessary regulatory relationships exists between Market Participants, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Rejected</b>                            |                | is a Registration Service Request Status as described in paragraph 1.5 of the Registration Services Schedule.   |
| <b>Related Metering Points</b>             |                | means two or more related Metering Points as further described in paragraph 1.2 of the Related Metering Points Schedule.  |
| <b>Related Metering Points Schedule</b>    |                | means REC Schedule [TBC].   |
| <b>Report Phase</b>                        |                | means the stage of the change management process set out in Paragraph [X] of the Change Management Schedule.  |

| <b>Term</b>  | <b>Acronym</b>  | <b>Definition</b>   |
|--|-----------------|---|
| <b>Request for Change</b>  |                 | means a proposal by a Switching Data Service Provider or Switching Network Service Provider to make an Operational Switching Service Change.  |
| <b>Resolution of Consumer-Facing Switching and Billing Problems Schedule</b> |                 | means REC Schedule [TBC].   |
| <b>Retail Energy Location</b>  | REL             | means a Location to which Energy is delivered via an RMP, and/or from which electricity is exported via an RMP.   |
| <b>Retail Energy Location (Welsh) Address</b>                                | REL (W) Address | means, for RMPs in Wales, the Welsh-language version of the Retail Energy Location Address.   |
| <b>Retail Energy Location Address</b>  | REL Address     | means the address (or other spatial reference) of each Retail Energy Location, as created and maintained by the CSS Provider. For RMPs in Wales, references to the Retail Energy Location Address include the REL (W) Address, unless the context requires otherwise. |
| <b>Retail Energy Location Data</b>   |                 | means the dataset relating to premises address data to be developed by the CSS Provider using the Address Service.  |
| <b>RMP Record</b>  |                 | means, for each RMP, the Data Items recorded for that RMP for each and every Data Type relating to RMPs, as further described in the Technical Specification.   |
| <b>RMP Lifecycle Status</b>  |                 | identifies the status of an RMP, which can be Created, Operational, Dormant or Terminated.  |
| <b>Secondary Related Metering Point</b>                                      |                 | is a Related Metering Point which is not the Primary Related Metering Point. This concept relates only to electricity and is described further in the Related Metering Points Schedule.   |
| <b>Secured Active</b>  |                 | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule  |

| <b>Term</b>                        | <b>Acronym</b> | <b>Definition</b>   |
|------------------------------------|----------------|---|
| <b>Secured Inactive</b>            |                | is a Registration Status as described in paragraph 1.6 of the Registration Services Schedule  |
| <b>Self-Assessment Form</b>        |                | means the self-assessment form required as part of Entry Assessment, as described in paragraph 2.11 of the Entry Assessment and Qualification Schedule.   |
| <b>Self-Governance Change</b>      |                | means a Change Proposal which is not an Authority-Approved Change.  |
| <b>Service Management Schedule</b> |                | means REC Schedule [TBC].   |
| <b>Service Request</b>             |                | means a request for a service or a query for information in respect of the Switching Arrangements (but excluding the raising of Incidents or requests required under this Code to be sent by means of a CSS Message). |
| <b>Services IPR</b>                |                | means the Intellectual Property Rights described in Clause 13.5 of the main body of this Code.  |
| <b>Shipper</b>                     |                | means a person holding a Shipper Licence.   |
| <b>Shipper Licence</b>             |                | means a gas shipper licence under the Gas Act.  |
| <b>SI Provider</b>                 |                | means the system integration function provided or procured by the DCC. The DCC shall be responsible for ensuring that the SI Provider complies with the obligations imposed on the SI Provider under this Code.       |
| <b>SI Requirements Document</b>    |                | means the document or documents designated as such by the Authority from time to time, as amended from time to time in accordance with the procedure for its amendment developed under the Switching Programme.       |

| Term                                 | Acronym | Definition   |
|--------------------------------------|---------|--|
| <b>Significant Code Review</b>       |         | <p>means a review of one or more matters by the Authority which the Authority considers is:</p> <ul style="list-style-type: none"> <li>(a) related to this Code (whether on its own or together with other Energy Codes); and</li> <li>(b) likely to be of significance in relation to the Authority’s principal objective and/or general duties (as set out in section 3A of the Electricity Act and section 4AA of the Gas Act), statutory functions and/or relevant obligations arising under Law,</li> </ul> <p>and concerning which the Authority has issued a notice that the review will constitute a significant code review.</p>  |
| <b>Significant Code Review Phase</b> |         | <p>means, in respect of each Significant Code Review, the period from the date on which the Authority issues the notice stating that the matter is to constitute a Significant Code Review or proposes an Authority Change Proposal, and ending on the earlier of:</p> <ul style="list-style-type: none"> <li>(a) the date on which the Authority, or a person at the direction of the Authority, submits a Change Proposal in respect of any changes arising out of a Significant Code Review;</li> <li>(b) the date on which the Authority issues a conclusion that no change is required to this Code as a result of the Significant Code Review; or</li> <li>(c) the date 28 days after the date on which the Authority issues its conclusion document in respect of the Significant Code Review.</li> </ul> |
| <b>Smart Energy Code</b>             | SEC     | means the code of that name maintained pursuant to the DCC Licence.  |
| <b>Smart Meter</b>                   |         | means a Meter which conforms (or is intended to conform) with the SMETS.   |
| <b>Smart Meter Comms Service</b>     | CSP     | means the communications services provided by the DCC pursuant to the Smart Energy Code to manage the transmission of commands and data between the DCC and Smart Meters.  |
| <b>Smart Meter Data Service</b>      | DSP     | means the data services provided by the DCC pursuant to the Smart Energy Code to manage the transfer of service requests and data between DCC and service users.   |



| Term   | Acronym | Definition  |
|--|---------|---|
| [Smart Meter Rules                                       |         | means [TBC].  |
| <b>Smart Metering Equipment Technical Specifications</b> | SMETS   | means the Smart Metering Equipment Technical Specifications set out in the Smart Energy Code.   |
| <b>Standstill Period</b>                                 |         | means the period of time following the Supply Effective From Date for an RMP during which a further Proposed Supply Effective From Date cannot validly be requested in a Registration Service Request, being: (a) in the case of an RMP with a DCC-Enrolled Smart Meter, [5] days; and (b) in the case of an RMP without a DCC-Enrolled Smart Meter, [5] days (save that no Standstill Period shall apply for Switch Requests resulting from [Erroneous Switches]). |
| <b>Steady State Commencement Date</b>                    |         | means the time and date designated by the Authority when all exit criteria have been met and handover to steady state governance has taken place.   |
| <b>Sub-Committee</b>                                     |         | means a sub-committee of the REC Panel established from time to time in accordance with Clause 5 of the main body of this Code.   |
| <b>Subject Matter Experts</b>                            |         | means the individuals or organisations described in paragraph [X] of the Change Management Schedule.  |
| <b>Submitted</b>   |         | is a Registration Service Request Status as described in paragraph 1.5 of the Registration Services Schedule.   |
| <b>Supplier Agent</b>                                    |         | means a Data Aggregator, a Data Collector, a Meter Administrator or a Meter Equipment Manager.  |
| <b>Supply Effective From Date</b>                        |         | is the first day from which an Energy Supplier is to become (or became) the Registered Supplier for an RMP.   |
| <b>Supply Effective Through Date</b>                     |         | is the last day on which an Energy Supplier is due to be (or was) the Registered Supplier for an RMP.   |

| Term  | Acronym | Definition   |
|---|---------|--|
| <b>Supply Meter Point</b>                       |         | means the point at which a gas service enters a Location, as further described in the UNC.   |
| <b>Supply Point Administration Agreement</b>    | SPAA    | means the Supply Point Administration Agreement, as defined in the Gas Supply Licences.  |
| <b>Switch</b>                                   |         | means a change to the Registered Supplier for an RMP.  |
| <b>Switch Meter Reading</b>                     |         | means the meter reading at the time of a Switch, as determined under the BSC or the UNC (as applicable).   |
| <b>Switch Meter Reading Exceptions Schedule</b> |         | means REC Schedule [TBC].  |
| <b>Switch Request</b>                           |         | means a request from a Gaining Supplier to initiate a Switch.  |
| <b>Switching Arrangements</b>                   |         | means the Systems and processes used by the Switching Data Service Providers and Switching Network Service Providers in relation to the Address Management Service and the Registration Service.                                       |
| <b>Switching Data Services</b>                  |         | means each of the Central Switching Service, the UK Link Service, the Metering Point Administration Service, the Smart Meter Data Service, the Smart Meter Comms Service, the Electricity Enquiry Service and the Gas Enquiry Service. |
| <b>Switching Data Service Providers</b>         |         | means the CSS Provider, the DCC (in respect of the services provided under the Smart Energy Code), the Gas Retail Data Agent, the Electricity Retail Data Agents, and the Enquiry Service Providers.                                   |
| <b>Switching Domain Data</b>                    |         | means, collectively and in respect of all the Market Participant Roles and RMPs, all of the Switching Domain Data Types and all of the Switching Domain Data Items.  |
| <b>Switching Domain Data Item</b>               |         | means the Data Items relating to Switching Domain Data Types.  |

| Term                                       | Acronym | Definition  |
|--|---------|---|
| <b>Switching Domain Data Type</b>          |         | means the Data Item Types set out in paragraph 5 of the Data Management Schedule.   |
| <b>Switching Network</b>                   |         | means the communications networks and other Systems which are used to transfer data between Market Participants and the CSS Provider and between the CSS Provider and other Switching Data Service Providers, as further defined in the Technical Specification.              |
| <b>Switching Network Service Providers</b> |         | means the providers of the Switching Network.   |
| <b>Switching Operator</b>                  |         | means the DCC in performing the role of managing the co-ordination of the CSS Provider, the other Switching Data Service Providers and the Switching Network Service Providers, including the mechanisms for Users to report incidents and access service management support. |
| <b>Switching Operator</b>                  |         | means the DCC in performing the role described in the Service Management Schedule.  |
| <b>Switching Parameter Data</b>            |         | is a Switching Domain Data Type, as defined in Paragraph 5.6 of the Data Management Schedule.   |
| <b>Switching Portal</b>                    |         | means the online portal to be made available by the Switching Operator in accordance with the Service Management Schedule.  |
| <b>Switching Portal User</b>               |         | means an individual who is authorised to use the Switching Portal, as further described in the Service Management Schedule.   |
| <b>Switching Programme</b>                 |         | means the programme established by the Authority to implement new switching arrangements in retail energy markets.  |
| <b>Switching Reference Data</b>            |         | is a Switching Domain Data Type, as defined in Paragraph 5.6 of the Data Management Schedule.   |

| Term                                       | Acronym | Definition   |
|--|---------|--|
| <b>Switching Service Desk</b>              |         | means the service desk to be made available by the Switching Operator in accordance with the Service Management Schedule.  |
| <b>Switching Service Management</b>        |         | means the function in place to ensure co-operation and co-ordination between the Market Participants, Switching Data Service Providers and Switching Network Service Providers, as further described in the Service Management Schedule.                 |
| <b>Switching Service Management System</b> |         | means the central system maintained by the Switching Operator in accordance with the Service Management Schedule that is used to log, action, route and manage resolution of Incidents and Service Requests.   |
| <b>Synchronisation Message</b>             |         | means a type of CSS Message, as described in Paragraph 2.5 of the Data Management Schedule.  |
| <b>System</b>                              |         | means a system for generating, sending, receiving, storing (including for the purposes of back-up), manipulating or otherwise processing electronic communications, including all hardware, software, firmware and data associated with such activities. |
| <b>Systems Integration Testing</b>         | SIT     | means the testing described in Paragraph 4.16 of the Transition Schedule.  |
| <b>Technical Specification</b>             |         | means the technical documents to be developed pursuant to the Switching Programme and incorporated into this Code. No such technical documents will form part of this Code until formally incorporated into it.  |
| <b>Terminated</b>                          |         | is an RMP Lifecycle Status indicating that an RMP has been: (a) in the case of gas RMPs, no longer capable of offtaking gas; or (b) in the case of electricity RMPs, disconnected.   |
| <b>Test Data</b>                           |         | means data to be used for testing purposes during a Test Phase, as further described in the relevant Test Plan for that Test Phase.  |
| <b>Test Environments</b>                   |         | means the testing environments as described in the E2E Testing Plan.   |

| <b>Term</b>                               | <b>Acronym</b> | <b>Definition</b>   |
|---|----------------|---|
| <b>Test Phase</b>                         |                | has the meaning given to that expression in Paragraph 4.1 of the Transition Schedule.   |
| <b>Test Plan</b>                          |                | has the meaning given in Paragraph 4.3 of the Transition Schedule.  |
| <b>Test Tools</b>                         |                | means the testing tools and simulators as described in the E2E Testing Plan.  |
| <b>Testing Artefacts</b>                  |                | means the artefacts to be made available by the SI Provider for testing and issue resolution purposes as further described in the SI Requirements Document.   |
| <b>Testing Participant</b>                |                | means, in respect of each Test Phase, the Users required to participate in that Test Phase, or eligible to participate in that Test Phase and which elect to do so, as (in each case) further described in the Test Plan for that Test Phase.             |
| <b>Third Party IPR</b>                    |                | means Services IPR which is not owned by the DCC.   |
| <b>Total Debt Outstanding</b>             |                | means, in respect of a Switch, the total amount of debt owed by the Consumer to the Losing Supplier in respect of the gas or electricity supply (as relevant to the Switch, and including Green Deal Charges where applicable) at the time of the Switch. |
| <b>Traditional Meter</b>                  |                | means a Meter which is not a Smart Meter.   |
| <b>Transition Schedule</b>                |                | means REC Schedule 2.   |
| <b>Transporter Initiated Registration</b> |                | means an Initial Registration Request submitted by the Gas Retail Data Agent under Paragraph 4 of the Registration Services Schedule.   |
| <b>UK Link</b>                            |                | means the System used by the Gas Retail Data Agent in its capacity as such.   |
| <b>UK Link Service</b>                    |                | means the service of providing UK Link.   |

| <b>Term</b>                         | <b>Acronym</b> | <b>Definition</b>   |
|-------------------------------------|----------------|---|
| <b>Unallocatable Transaction</b>    |                | means, in respect of each refreshed Backstop Date, an Unallocated Transaction which remains an Unallocated Transaction and which has a transaction date which occurred before such Backstop Date. |
| <b>Unallocated Transaction</b>      |                | means a Prepayment Meter transaction for which the correct Energy Supplier cannot be identified by the PPMIP.   |
| <b>Uniform Network Code</b>         | UNC            | means the Uniform Network Code established under the Gas Transporter Licences.  |
| <b>Unmetered Supplies Operator</b>  |                | has the meaning given in the Balancing and Settlement Code.   |
| <b>Unmetered Supply</b>             |                | has the meaning given in the Balancing and Settlement Code.   |
| <b>Unmetered Supply Certificate</b> |                | has the meaning given in the Balancing and Settlement Code.   |
| <b>Update</b>                       |                | means a type of CSS Message, as described in Paragraph 2.5 of the Data Management Schedule.   |
| <b>Urgent Change Proposal</b>       |                | means a Change Proposal that should be treated as urgent in accordance with Paragraph [X] of the Change Management Schedule.  |
| <b>User</b>                         |                | means each Party, Switching Data Service Provider (other than the CSS Provider), Shipper, Supplier Agent, and Meter Asset Provider that uses the Central Switching Service.                       |
| <b>User Entry Process Testing</b>   |                | means the user entry process testing which Users must undertake before they can use the Central Switching Service, as described in the E2E Testing Plan.  |
| <b>User Integration Testing</b>     | UIT            | means the testing described in Paragraph 4.19 of the Transition Schedule.   |
| <b>Validated</b>                    |                | is a Registration Service Request Status as described in paragraph 1.5 of the Registration Services Schedule.   |

| Term                      | Acronym | Definition   |
|---------------------------|---------|--|
| <b>Validation</b>         |         | means the tests required to be undertaken in respect of a Submitted Registration Service Request, as described in the Registration Services Schedule.  |
| <b>Voluntary</b>          |         | means, in respect of a REC Schedule and a Party Category, that the REC Schedule is not legally binding on Parties in that Party Category, as further described in Clause 4 of the main body of this Code.                        |
| <b>Website</b>            |         | means the website for this Code maintained by the Code Manager.  |
| <b>Withdrawal Request</b> |         | is a Registration Service Request from the Gaining Supplier to terminate the progress of its proposed Registration in accordance with paragraph 9 of the Registration Services Schedule.   |
| <b>Withdrawn</b>          |         | means that a proposed Registration has been withdrawn pursuant to a Withdrawal Request.  |
| <b>Working Day</b>        | WD      | means any day other than a Saturday, a Sunday, Christmas Day, Good Friday or a day that is a bank holiday in either or both England and Wales and/or Scotland within the meaning of the Banking and Financial Dealings Act 1971. |
| <b>Working Hour</b>       |         | means between 09.00 and 17.00 each Working Day.  |