

## Capacity Market (CM) Rules change proposals: Summary of proposals under consideration ahead of the 2017 Prequalification round

Reference	Proposer	Proposal summary
<b>CP242</b>	ADE	To enable small CHP generators, especially non-exporting units, to qualify for the Capacity Market as DSR, by providing an alternative to the standard DSR baseline
<b>CP243</b>	ADE	Amends Rule 3.6 to allow high load factor on-site Generating Units to qualify as Generating CMUs
<b>CP244</b>	ADE	Avoiding unnecessary DSR Tests of unaffected components, plus correcting drafting errors in the rules around New (Joint) DSR Tests.
<b>CP245</b>	ADE	to facilitate Secondary Trading by removing the requirement that a Capacity Obligation transferred is at least equal to the Minimum Capacity Threshold
<b>CP246</b>	ADE	Modifies some definitions and inserts new ones so that Schedule 4 does not impede the flexibility relating to STOR afforded to Capacity Providers under Rule 8.5.2(b).
<b>CP247</b>	Alkane	Extend Secondary Trading Eligibility by adding acceptable transferees
<b>CP248</b>	Alkane	Allow transfers of agreements at any time after T-4
<b>CP249</b>	Anonymous	Not allow Price Makers to submit exit bids below the Price Taker Threshold
<b>CP250</b>	Anonymous	Publish applicant price-maker/ price-taker status on register after the auction
<b>CP251</b>	Anonymous	Publish applicant price-maker/ price-taker status on register
<b>CP252</b>	Centrica	Rationalising the number of certificates and declarations required as part of CM prequalification where the Applicant is not the Legal Owner
<b>CP253</b>	Centrica	Amendments to Rule 3.6.1 on previous settlement period performance for Existing Generating CMUs, to remove the unnecessary barriers to entry that currently arise from it.
<b>CP254</b>	Centrica	Rules to allow incremental capacity from sites with t-4 Capacity Agreements to bid into T-1 auctions for the same delivery year without undue hindrance.
<b>CP255</b>	ClientEarth	Introduce a requirement that for all Delivery Years from 2022 onwards, all generating units participating in T-4 Auctions meet the Emissions Performance Standard
<b>CP256</b>	ClientEarth	Require each generating unit covered by the LCP BREF and that intends to bid for a Capacity Agreement to hold a permit stating that it will comply with the best available techniques
<b>CP257</b>	ClientEarth	To allow all types of CMU to bid for Capacity Agreements of up to at least 3, and potentially 15, Delivery Years
<b>CP258</b>	Drax	Reinstate the option for Applicants to defer provision of Relevant Planning Consents
<b>CP259</b>	E.ON	To allow an additional window for DSR Tests to be completed in the period between the Prequalification Results Day (PQRD) and up to 30 working days after PQRD.

<b>CP260</b>	E.ON	To remove the current anomaly where Interconnector CMUs are not required to demonstrate their Capacity Obligation when demonstrating Satisfactory Performance
<b>CP261</b>	E.ON	Amend the requirements for generating units exporting electricity to an on-site consumer, that while they must be connected to a Distribution Network, they do not need to export onto the Distribution Network.
<b>CP262</b>	E.ON	Transfer of Capacity Obligations and the testing are amended so that when certain conditions are met, the transfer of a Capacity Agreement also transfers the requirement to demonstrate Satisfactory Performance Days
<b>CP263</b>	E.ON	To include technology classes for renewable energy generators which are not in receipt of a Low Carbon Exclusion as defined under Regulation 16.
<b>CP264</b>	E.ON	Allow existing generating CMUs who successfully prequalify to then have the option to withdraw from the CM process prior to the auction without incurring any penalties.
<b>CP265</b>	E.ON	Revoke decision to amend Schedule 3 (Generating Technology Classes) to break down the storage technology class into multiple categories
<b>CP266</b>	E.ON	To allow existing generating CMUs who successfully prequalify to then have the option to amend their Bidding Capacity ahead of the auction.
<b>CP267</b>	E.ON	To allow a new build CMU applicant to submit a Parent Company Guarantee (PCG) instead of either a letter of credit or cash deposit when required to submit credit cover
<b>CP268</b>	E.ON	Require National Grid EMR to publish the specific applicable dates for key milestone reporting and independent technical expert progress reports.
<b>CP269</b>	WWA	Remove the requirement to name a holding company
<b>CP270</b>	EDF	The Capacity Market Register should publish the connection capacity, de-rated capacity and technology type for each component making up each generating CMU.
<b>CP271</b>	EDF	The Capacity Market Register should publish information on the nature of the DSR provided, especially making a distinction between DSR capacity units that are and that are not supported by an on-site generating unit.
<b>CP272</b>	EDF	Amend Capacity Market rule 4.4.4 to allow some limited reconfiguration of Generating Units or DSR CMU Components as long as the physical assets (capacity) are unaffected.
<b>CP273</b>	EDF	To amend the excess capacity volume for T-1 auctions (currently set at 100MW) to mirror the levels for T-4 (1GW).
<b>CP274</b>	EDF	The CM DSR baselining methodology fails to allow for a fair representation of the 6 weeks prior to a Relevant Settlement Period, in the case of the Relevant Settlement Period being in a holiday period.
<b>CP275</b>	Endeco	Amend the punctuation of Rule 3.3.3(a) in order to clarify its meaning and its applicability
<b>CP276</b>	Endeco	To clarify the process of providing DSR Alternative Delivery Period data to the Delivery Body for the purposes of demonstrating a DSR CMU's capacity volume for prequalification as a Proven DSR CMU.

<b>CP277</b>	Endeco	To permit the proving of Satisfactory Performance Days from data gathered by Balancing Services Metering.
<b>CP278</b>	National Grid Gas	To relieve a Capacity Provider of their Load Following Capacity Obligation (or to reduce it) in any Settlement Period in which its Capacity Committed CMU is affected by an Operating Margins instruction from the gas transmission system operator
<b>CP279</b>	EnergyUK	Clarification of definition of QMEij in Rule 8.5.2
<b>CP280</b>	EnergyUK	Clarification of requirement for additional Satisfactory Performance Days
<b>CP281</b>	EnergyUK	Remove restrictions on generation unit configurations
<b>CP282</b>	EnergyUK	Ensuring that Distribution connected generators as the same protection as transmitted connected generators from network outages and constraints.
<b>CP283</b>	EnergyUK	Remove the requirement to name a holding company
<b>CP284</b>	EnergyUK	Notification of changes to CMU Type (Between CMRS and non-CMRS)
<b>CP285</b>	EnergyUK	rationalising the number of certificates and declarations required as part of CM prequalification where the Applicant is not the Legal Owner of each Generating Unit comprised in a Generating CMU.
<b>CP286</b>	EnergyUK	Provision of certainty of future Rule Change periods outside of prequalification. Requiring Ofgem to have Rule change processes outside PQ windows
<b>CP287</b>	EnergyUK	Notification of changes to CMU Type (Transmission to Distribution connection)
<b>CP288</b>	EnergyUK	Clarify VAT number requirement
<b>CP289</b>	ENGIE	Tidying up the Rules relating to a Capacity Obligation where a CMU includes more than one BMU/generating unit
<b>CP290</b>	ENGIE	Issues in the 2017 version of the Rules which are used to determine the actual output (Eij) of a Generating CMU in a stress event
<b>CP291</b>	ENGIE	Treatment of Auxiliary/station load
<b>CP292</b>	ENGIE	Addressing the double penalties that would be applied where storage is consuming in a stress event.
<b>CP293</b>	EP UK Investments	Existing CMUs which opted out of the T-4 auction for a Delivery Year on the basis that they would be closed down by the start of the Delivery Year are no longer excluded from participating in the T-1 auction for that Delivery Year.
<b>CP294</b>	ESC	The treatment of Interconnector CMUs with respect to the obligation and the output of the CMU. (Prev. CP131)
<b>CP295</b>	ESC	Clarify the requirements for a shared Auxiliary Load circuit that is part of a CMU. (Prev. CP233)
<b>CP296</b>	ESC	Obligation to provide additional data to allow the application of Line Loss Factors by the CM Settlement Body. (Prev. CP235)
<b>CP297</b>	ESC	Where ineligible capacity that must be excluded (i.e. part of a low carbon support scheme; part of another CMU) is located behind a Meter Point used by the CMU it must be excluded from the Metered Volumes submitted to the CM Settlement Body.
<b>CP298</b>	ESC	To allow the sharing of Capacity Market (CM) application data between ESC and National Grid (NG) for the purposes of preventing & detecting fraud.
<b>CP299</b>	ESC	Rules and Regulations currently allow for that cap to a) become a negative value and b) do not provide for month-to-date penalties to follow Physically Traded Capacity Obligations to other CMUs.

<b>CP300</b>	ESC	To make the implementation related to the Metering Test Certificate deadline as 40 working days from receipt of the failure notice may be beyond this deadline.
<b>CP301</b>	ESC	To update areas of Schedule 6 (Metering Statement) to assist Capacity Providers to complete the Metering Test process.
<b>CP302</b>	ESC	To update the standards specified in Schedule 7 (Bespoke Technical Requirements) and account for older standards in effect at the time of Metering Equipment installation.
<b>CP303</b>	ESC	To allow the use of Metering Equipment that does not meet the minimum accuracy classes specified in Schedule 7 (Bespoke Technical Requirements) where it can be demonstrated that the Overall Accuracy of the Metering Systems is within the allowed limits.
<b>CP304</b>	ESC	Clarify the Metering requirements for a CMU that is a subset of a BM Unit.
<b>CP305</b>	ESC	To oblige Capacity Providers to permit ESC to visit generator offices and sites and provide information.
<b>CP306</b>	WWA	Remove restrictions on generation unit configurations
<b>CP307</b>	WWA	Clarify VAT number requirement
<b>CP308</b>	WWA	Notification of changes to CMU Type
<b>CP309</b>	First Utility	Consideration of "Maximum Credit" and "Credit Assessment Score" in the credit cover calculation.
<b>CP310</b>	Green Frog	Changing CMU from CMRS to non-CMRS and vice versa
<b>CP311</b>	Green Frog	Ensuring the same protection for distribution connected generators as transmission connected generators from network outages and constraints.
<b>CP312</b>	Green Frog	To normalise the schedule for construction reports.
<b>CP313</b>	Innogy	Introducing 'Other Technology Class' to ensure that innovation in capacity provision is encouraged rather than limited.
<b>CP314</b>	Innogy	Adds Wind to the list of 'Technology Class' options in Schedule 3.
<b>CP315</b>	InterGen	Modify the obligation in relation to New Build CMUs from the Sixth Full Capacity Auction and all subsequent Full Capacity Auctions regarding the obligation to provide evidence of the Relevant Planning Consents. This proposal relates to rule 3.7 Additional Information for a New Build CMU.
<b>CP316</b>	InterGen	Amend the obligation on The Auctioneer to specify in advance the times when the Bidding Round will begin and end to stipulate a minimum time prior to the Delivery Year that the Bidding Round may end.
<b>CP317</b>	Manx Utilities	Qualification of Distribution Interconnectors as Interconnector CMUs
<b>CP318</b>	NGET	Reopen the discussion around CP191 which details amendments to Rule 2.3.5, 2.3.8 and 2.3.10 to allow the use of distributed connected generation output data to calculate de-rating factors relevant to Technology Class Weighted Average Availability (TCWAA), rather than incorrectly using the closest transmission generation technology.
<b>CP319</b>	NGET	Amending rule 3.4.1(g) to permit applicants to enter 'not applicable' on their application if they are not yet VAT registered.

<b>CP320</b>	NGET	Record the issue of a Meter Test Certificate for all CMUs, rather than just DSR.
<b>CP321</b>	NGET	To amend the obligation in Rule 7.5.1(o) on the Delivery Body to publish the BETA value ( $\beta$ ) on the Capacity Market register 5 days after a stress event. At 5 days after a stress event, the Delivery Body may not know which CMU has a BETA value and which doesn't.
<b>CP322</b>	NGET	To prohibit New Build CMUs from changing location once the Substantial Completion Milestone (SCM) / Minimum Completion is met.
<b>CP323</b>	NGET	To amend Rule 8.4.2(a) to not require a CMN to be published for 'system' tagged Demand Control Instructions (DCIs).
<b>CP324</b>	NGET	To implement a dead band (1, 1.5 or 2 hours) following the CMN publication when triggered by a DCI or Low Frequency event which would mitigate against the potential confusion and/or lack of confidence in the process.
<b>CP325</b>	NGET	To amend the current need to provide construction plans to two set periods each year to make the whole process of reporting (for providers) and assessing (for the Delivery Body) easier and more cohesive; and to question the need for ITE reporting.
<b>CP326</b>	NGET	The Delivery Body therefore recommends that the Auction Acquired Capacity Obligation (AACO), defined in Rule 8.5.3, is set to zero pending Substantial Completion or Minimum Completion by the start of the Delivery Year.
<b>CP327</b>	NGIH	Propose that the information calculated by the GB System Operator in determining if a Capacity Market Notice (CMN) is to be issued or published.
<b>CP328</b>	NGIH	To allow the Delivery Body to grant conditional prequalification on condition of fixing errors in applications of prequalification.
<b>CP329</b>	NGIH	A reduction in TEC caused solely by the failure of the System Operator to deliver a connection shouldn't lead to a termination fee under Rule 6.10.1(g) (or 6.10.1 (ga)).
<b>CP330</b>	RWE	Rule 8.3.1 should be amended to clarify that it also relates to the submission of letters from Private Network owners deferred under Rule 3.7.3(c).
<b>CP331</b>	RWE	Removal of 8.5.1(ba) relieving interconnectors of obligations when affected by any SO measure reducing output
<b>CP332</b>	RWE	Relates to the requirement in Rule 13.4.1A for interconnectors to demonstrate Satisfactory Performance Days. The proposal would align the requirements with other CMUs and require interconnectors to demonstrate at least their Capacity Obligation.
<b>CP333</b>	RWE	The proposal would add a further sub-clause to Rule 8.5.1 to remove obligations from any CMU that had been tripped as a result of a system to generator intertrip and was subject to a restriction on its output.

<b>CP334</b>	RWE	Rule 3.6.3(d) allows existing CMUs to use a letter from an owner of a Private Network to prequalify. This is not available to New Build CMUS bidding into a T-1 auction. This proposal would correct this discrepancy.
<b>CP335</b>	ScottishPower	Addition to the rules relating to information to be provided in all Applications. Applicants would have to specify if the CMU is an alternative to another CMU and application and if so provide the CMU ID to which it relates.
<b>CP336</b>	ScottishPower	Addition to the rules relating to the criteria to Prequalify as a New Build CMU. Applicants would have to demonstrate that the New Build CMU would be a CMRS CMU and that it will be wholly or mainly used to supply energy to the Distribution Network or the GB Transmission System.
<b>CP337</b>	ScottishPower	Amend the rules relating to Opt-out Notifications. It removes the need for participants to repeatedly Opt-out stations that hold a connection agreement but have been closed for some time.
<b>CP338</b>	UK Power Reserve	Allow Capacity Providers of Distribution connected CMUs to aggregate CMRS CMUs as part of a CMU Portfolio for the purposes of Satisfactory Performance Days
<b>CP339</b>	UK Power Reserve	Allow Capacity Providers to submit an updated Metering Assessment for a CMU after an initial Metering Assessment has been submitted.
<b>CP340</b>	UK Power Reserve	Allow Capacity Providers of Distribution-Connected CMUs to change whether their CMU is a CMRS metered or Non-CMRS metered CMU.
<b>CP341</b>	Uniper	Allowing a CMU with an Agreement acquired in a T-4 auction which then increases its Connection Capacity to bid in the additional capacity in the T-1 auction.
<b>CP342</b>	Uniper	Amendment of Connection Capacity and De-rated Capacity post Auction for Secondary Trading Purposes
<b>CP343</b>	Welsh Power	Allow recently commissioned, non-contracted, Existing CMUs to register for Secondary Trading once the plant has proven its ability to deliver capacity
<b>CP344</b>	ADE	To permit the proving of Satisfactory Performance Days from data gathered by Balancing Services Metering.