

Project Nexus Steering Group [PNSG] Go Live Decision & Ongoing Actions

17 May 2017

ofgem

Go Live Decision

| # | Decision | Due Date | Areas of Programme Affected | Comments | Outcome |
|------|---|-----------|-----------------------------|--|--|
| D029 | <p>Go Live Decision</p> <p>The PNSG is asked to approve Go Live for Project Nexus for 01 Jun 17 based on the achievement of the success criteria as outlined on this slide, and in greater detail throughout this PNSG report. This is based on the information available to date (initially 17 May 17, subsequently 19 May 17).</p> | 17 May 17 | Project Nexus | <p>Decision is based on the following Success Factors, as outlined in the GONG framework:</p> <ol style="list-style-type: none"> 1. Solution meets industry requirements. 2. Stable. 3. Sustainable. 4. Enables a positive consumer experience. <p>The PNSG is asked to note the ongoing actions on the following slides, in particular the one noted for under the 'Before Go Live Confirmation on 19 May 17 Decision' heading.</p> <p>The approval of this decision means all Market Participants and Xoserve will continue with all activities in preparation for Go Live on 01 Jun 17.</p> | PNSG approved the decision to Go Live 1 Jun 17 on the basis of the ongoing actions noted on the following slides and their associated timescales for completion. |

Go Live Decision Ongoing Actions

Action due for completion before Final Go Live Confirmation on 19 May 17

| | Ongoing Action | Owned By | Due Date |
|---|---|------------------------------|-----------|
| 1 | ORD FILE NAME CHANGE - Establish an IRG to consider the ORD file name change and be clear on impact. | Issue Resolution Group (IRG) | 19 May 17 |

Action due for completion before Go Live

| | Ongoing Action <i>*A1 to A5 refer to numbering in PNSG Go Live Decision report</i> | Owned By | Due Date |
|---|--|--|-------------------------------|
| 2 | A1. OPERATIONAL CRISIS SCENARIOS - a) Xoserve to issue crisis scenarios (including definition of crisis vs. major incident) and share crisis handling principles; and b) Xoserve to hold crisis workshop with industry to agree process and communications with changes only by exception after the workshop, | a) Xoserve - Sandra Simpson b) Xoserve - Sandra Simpson | a) 19 May 17 b) 25 May 17 |
| 3 | A2. POST GO LIVE (PGL) SUPPORT PROCESSES - PGL support processes to be published as per the plan outlined in the PNSG Go Live Decision pack . <i>Note: Daily TPG calls will also be in place including post Go Live.</i> | Xoserve - Darren Jackson / Sandra Simpson | 26 May 17 |
| 4 | A3. GO LIVE FUNCTIONAL/NON-FUNCTIONAL DEFECT AND WORKAROUND POSITION - a) Issue final, consolidated position with detail of defects (i.e. updated summary slide of active defects) and; b) closedown last remaining 10 non-data defects by Go Live and confirm to industry through the Defect Call and Go Live communication (any not closed down would need to be moved to fallout). | a) Xoserve - Paul Toolan b) Xoserve - Paul Toolan | a) 19 May 17 b) 31 May |

Action due for completion before Go Live

| | Ongoing Action <i>*A1 to A5 refer to numbering in PNSG Go Live Decision report</i> | Owned By | Due Date |
|----|--|--|----------------------------------|
| 5 | A3. GO LIVE FUNCTIONAL/NON-FUNCTIONAL DEFECT AND WORKAROUND POSITION - Industry (via Defect Call) to confirm status of outstanding 3 workarounds and Xoserve re-publish the final list. <i>Note: The defect call takes place after the PNSG on 19 May 17 and workarounds have been provisionally approved at last defect call.</i> | PwC - Richard Shilton | 19 May 17 |
| 6 | A4. DATA DEFECT POSITION - Four of five data defects noted in GLD pack to be addressed by Xoserve as part of cutover, last remaining one to be moved to fallout. Six new defects identified through twin stream are scheduled to be fixed this week. Xoserve to confirm position with detail on the impact on MPRNs and type of MPRN affected. | Xoserve - Lee Foster | 19 May 17 |
| 7 | WORKAROUNDS - Xoserve to share an early view of the approach to monitoring and reporting use of workarounds before Go Live. | Xoserve - Sandra Simpson | 31 May 17 |
| 8 | DISASTER RECOVERY - Update from Xoserve's Peterborough Disaster Recovery test in daily TPG update on 22 May. | Xoserve - Sandra Simpson | 22 May 17 |
| 9 | POST GO LIVE (PGL) DASHBOARD - a) Xoserve to confirm when they can share the PGL dashboard with industry which captures defects, burn down, system performance, file processing, batch scheduling, SLAs. b) Xoserve to determine the publishing mechanism for industry (particularly during early days after Go Live) and communicate this to industry. | a) Xoserve - Sandra Simpson b) Xoserve - Sandra Simpson | a) 19 May 17 b) 26 May 17 |
| 10 | PGL RELEASES - Xoserve have prioritised releases which industry want to deploy (Defect Group) in PIS phase. Xoserve to circulate the content of each of the three agreed releases, including workaround replacing releases. | Xoserve - Sandra Simpson | 31 May 17 |

Action due for completion before Go Live

| | Ongoing Action <i>*A1 to A5 refer to numbering in PNSG Go Live Decision report</i> | Owned By | Due Date |
|----|---|--|-----------|
| 11 | PGL GOVERNANCE - Schedule the PGL governance meetings, including setting this out in a calendar of events (i.e. a 30 day plan). | PwC - Melisa Findlay | 24 May 17 |
| 12 | EXPECTATION OF COLLABORATION - PNSG to minute that, given schedule for AML file production, the expectation that, in the event of an invoicing anomaly, the Shippers and GTs concerned shall collaborate in order to help resolve the issue. | PNSG | 19 May 17 |
| 13 | COMMUNICATIONS - Ofgem will prepare communication to CEOs before Go Live, and advise the PNSG when this will be issued. | Ofgem - Rob Salter-Church | 31 May 17 |
| 14 | EXIT CRITERIA FOR OFGEM - Ofgem Exit Criteria are being drafted and will be issued (as final draft) to PNSG for comments. | Ofgem - Rob Salter-Church | 26 May 17 |
| 15 | UNC MOD 609 - Ofgem will make a decision on UNC MOD 609 by 19 May 17. | Ofgem - Rob Salter-Church | 19 May 17 |
| 16 | AES FILE SOLUTION - IRG will actively manage AES file solution delivery to planned 31 May 17 date and develop contingency options in event this is can not be achieved. | Issue Resolution Group (IRG) | 31 May 17 |
| 17 | ONGOING MONITORING - PwC/Ofgem to continue close monitoring of I&C7 through cutover. | PwC - Martin Crozier, Ofgem - Nicola Garland | 31 May 17 |
| 18 | NORMAL ACTIVITY - PNSG to minute the expectation that, if participants are planning any abnormal activity within cutover, catch up and PIS (i.e. over and above normal class changes), they need to discuss with Xoserve as a matter of urgency via their Customer Business Partner. | PNSG | 19 May 17 |

Action due for completion post Go Live

| | Ongoing Action <i>*A1 to A5 refer to numbering in PNSG Go Live Decision report</i> | Owned By | Due Date |
|----|--|--|----------------|
| 19 | WORKAROUNDS - Publish high level plan of when workarounds will fall away (i.e. be retired versus remaining). <i>Note: Linked to ongoing action 10 (noted on slide 4).</i> | Xoserve - Sandra Simpson | 31 May 17 |
| 20 | ORD FILE CHANGE ROOT CAUSE - Undertake Root Cause Analysis (RCA) on how the ORD file change occurred. | Issue Resolution Group (IRG) | 9 June 17 |
| 21 | GOVERNANCE TRANSITION PLAN - Develop a governance transition plan linking to DSC. Progress to be reviewed at 09 June 17 PNSG. | Xoserve - Darren Jackson, Steve Nunnington | 9 June 17 |
| 22 | AML FILE TIMING - Xoserve to look at the AML file timing after the first 3 months of operations. Xoserve to monitor system performance and identify if and when AML file production could be brought earlier. | Xoserve - Steve Nunnington | 1 September 17 |
| 23 | TESTING ENVIRONMENT - Xoserve to create a simple written description of the test environment(s) post go-live and how they intend to manage emergency fixes. | Xoserve - Paul Toolan | 9 June 17 |

This document has been prepared by PwC only for Ofgem and solely for the purpose and on the terms agreed with Ofgem in PwC's statement of work (of 1 August 2016, Spec 7, and subsequently 1 November 2016, Spec 8) as part of PwC's call-offs under the framework agreement dated 11 April 2016 and extended on 2 December 2016. PwC accept no liability (including for negligence) to anyone else in connection with our work or this document