

Non-qualifying Regulatory Provisions Summary Reporting

Regulator: Ofgem

Business Impact Target Reporting Period Covered: 8 May 2015 – 8 June 2017

Excluded Category	Summary of measure(s), including any impact data where available
A - EU	<p>We published 33 documents to reflect changes in EU regulations and did not include gold plating. Most of these documents related to the Renewable Energy Guarantees of Origin which is derived from Renewable Electricity Directive (RED), and to reflect the changes to Capacity Allocation and Congestion Management Regulation (CACM). Other publications reflected changes to European Electricity Balancing Guidelines, changes to the Regulation on Energy Market Integrity and Transparency (REMIT) and changes as a result of the European Third Energy Package.</p>
B – Monopoly	<p>We published 108 documents about the energy network and/or system where the operator(s) is/are deemed to be a monopoly or to have significant market power, specifically:</p> <p>(i) the terms upon which access is provided to those networks and systems; and</p> <p>(ii) effective network and systems operation and coordination.</p> <p>These documents relate to the terms of access and effective operation of the following monopolies or operators who have significant market power:</p> <ul style="list-style-type: none"> • Data and Communication Company • Distribution Network Operators • Independent Gas Transporters • National Grid Electricity Transmission plc • National Grid Gas plc • System Operator
C - Price Controls	<p>We have published 133 documents regarding Revenue = Incentives + Innovation + Outputs (RIIO) as part as our ongoing monitoring and review of the different strands of setting price controls for network companies. The documents did not introduce price controls to previously unregulated activities, or removal of pre-existing price controls.</p>
E - Fines and Penalties	<p>We published 13 documents relating to fines and penalties.</p>

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<p>F - Pro-Competition</p>	<p>We published a total of 67 documents relating to regulatory provisions that promote competition and these result in an increase in the net direct burden on business, in the following areas:</p> <ul style="list-style-type: none"> • Competition in Connections Code of Practice • Competition Market Authority Remedies • Modifications to SLC 14 and 15 • Offshore Transmission Owners
<p>K - Industry Codes</p>	<p>We published 175 decisions on industry’s proposals to industry codes These changes to codes did not arise from regulator action or through legislation, and are related to the following codes:</p> <p>Balancing and Settlement Code (BSC), Code Administration Code of Practice (CACoP), Code of Practice for Gas Meter Asset Managers (MAMCoP), Connection Use of System Code (CUSC), Data Transfer Service Agreement (DTSA), Distribution Code, Distribution Connection and Use of System Agreement (DCUSA), Grid Code, Independent Gas Transporters Uniform Network Code (IGT UNC), Master Registration Agreement (MRA), Smart Meter Installation Code Of Practice (SMICoP), Supply Point Administration Agreement (SPAA), Uniform Network Code (UNC).</p>
<p>L1 – Regulator Casework</p>	<p>We published 594 documents regarding specific investigation and specific enforcement activity, and individual licence decisions. The majority of these documents related to the applications for, notice of, or revocation of individual licences. Other documents related to regulatory activities such as specific investigations or specific approval of individual charging and service statements and methodologies.</p>

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L2 – Education, Communications activities etc. by regulators	We published 139 documents which were educational and did not create new regulatory standards that businesses would be expected to follow.
L3 - Policy Development by regulators	We published 233 documents regarding our own policy development such as formal and informal consultations and ad hoc information requests.
L4 – Changes to the organisation and management of the regulator	We published 5 documents that related to changes to the organisation and management of our regulatory practices.