

Capacity Market (CM) Rules change proposals: Summary of proposals under consideration ahead of the 2017 Prequalification round.

Reference	Proposer	Summary
CP162	RES-Group	This proposal from the Renewable Energy Systems Group seeks to include Enhanced Frequency Response (EFR) capacity in the list of 'Relevant Balancing Services' (listed under Schedule 4).
CP163	ENGIE	This proposal seeks to change the Rules to more fully define what is meant by capacity through extending the definition of one of the Satisfactory Performance tests as defined in Rule 13.4.1. This change would apply from the 2017 set of Capacity Market Rules.
CP164	ENGIE	This proposal seeks to change the Rules to more fully define what is meant by capacity through extending the definition of one of the Satisfactory Performance tests as defined in Rule 13.4.1. This change would apply to capacity market contracts awarded after the 2016 auction that relate to delivery after 2020/21.
CP165	VPI Immingham	This proposal seeks to amend Rule 4.6.1 specifically to clarify that, where a party is appealing a decision at Tier 1 and is conditionally prequalified, the requirement (deadline) to post Credit Cover for the relevant CMU falls 15 Working Days from date of the Tier 1 appeal outcome.
CP166	Waters Wye	This proposal seeks to introduce a new role in the Rules for a 'Prequalification Agent'. This proposal would enable the 'Prequalification Agent' to act on behalf of more than one Applicant during Prequalification only, and would involve amendments to Chapters 1, 2, 3, 4, 5 & 7 of the Rules. Currently, the Rules prohibit Agents from acting on behalf of more than one Applicant.
CP167	RWE	This proposal seeks to clarify the value of RfR in the event that it is not published in an Electricity Capacity Report prior to the T-4 auction for the relevant delivery year.
CP168	RWE	This proposal seeks to introduce a new role in the Rules for a 'Volume Reallocation Agent'. This would allow an individual to represent more than one Applicant during the reallocation process with the aim of better facilitating volume trading.
CP169	RWE	This proposal seeks to change the requirements to demonstrate Satisfactory Performance Days so that, if a CMU fails to deliver energy during System Stress Events in two or more months of a Delivery Year, in the Winter period only, the CMU is required to demonstrate satisfactory performance on six separate days.
CP170	RWE	This proposal seeks to amend Rule 4.5.1 so that where a decision is made not to prequalify a CMU following the Prequalification Assessment Window, the Delivery Body provides detailed information in the Prequalification Decision notice as to why the decision has been made.
CP171	RWE	This proposal seeks to amend Rule 13.4.2 so that the Delivery Body must notify Capacity Providers within five working days if a satisfactory performance day has not been notified in accordance with the Rules.
CP172	RWE	This proposal seeks to amend the definition of Secondary Trading Entrant to mean the 'Applicant for any Existing CMU that does not hold a Capacity Agreement following the T-1 Auction for a Delivery Year.'

CP173	RWE	This proposal seeks to amend Rule 3.6.1 so that an alternative method is available to Non-CMRS CMUs using Bespoke Metering Configuration Solutions to demonstrate historic net output, provided a supplier is unable to do so.
CP174	RWE	This proposal seeks to amend Rule 7.7.1 to clarify how factual inaccuracies on the Register may be amended - who may request, and with regard to what CMU. The proposal specifically seeks to allow the Register to be amended for Prequalified CMUs, and not only Capacity Committed CMUs.
CP175	ENGIE	This proposal seeks to align the definition of 'Operational', for Refurbishing CMUs specifically, under Rule 1.2 with the treatment of New Build CMUs.
CP176	EDF	This proposal would amend the de-rating factors so that de-rated capacity is scaled to account for a technology's ability to meet different duration stress events. The proposal would suggest new definitions and a new Schedule be added to the Rules so that a 'Duration Value Scalar' can be calculated for 'Limited Duration' assets. The proposal relates to CP163 and CP164.
CP177	EDF	This proposal seeks to allow the Settlement Body to share Capacity Market metering data with Elexon (BSCCo) if required. This will be achieved by adding a provision to Chapter 14 (Data Provision).
CP178	E.ON	This proposal seeks to amend Chapter 3 to clarify that CMUs whose connection agreements are in the name of parties other than the Applicant are eligible for prequalification.
CP179	E.ON	This proposal seeks to amend the Rules so that Generating CMUs have the ability to alter their components (remove or replace) with the same flexibility afforded to DSR CMUs.
CP180	E.ON	This proposal would amend Rule 6.10.1 so that the relevant Termination Event applies not to the Capacity Agreement as a whole but to the relevant component and it's associated capacity.
CP181	E.ON	This proposal seeks to amend Chapter 3 of the Rules to enable CMUs that are part of a site which is only partially in receipt of low carbon support to participate in the CM.
CP182	E.ON	This proposal seeks to amend Chapter 9 of the Rules to allow Capacity Agreements to be transferred following the T-4 auction for a relevant Delivery Year, rather than following the T-1 auction as is currently drafted.
CP183	E.ON	This proposal seeks to amend Rule 4.9.1 so that the Delivery Body is required to notify secondary trading entrants of the prequalification decision within 3 months.
CP184	E.ON	This proposal seeks to amend Schedule 4 so that EFR is listed as a 'Relevant Balancing Service'.
CP185	E.ON	This proposal seeks to clarify the decision process by which the Delivery Body determines if a Metering Test is required. It would amend Rule 8.3.3.
CP186	E.ON	This proposal seeks to amend Rule 13.2.3 so that DSR Test can take place during the Prequalification Assessment Window.
CP187	Uniper Energy	This proposal would amend the Rules so that additional capacity available due to the refurbishment of an Existing CMU (which is already a Capacity Committed CMU) can be bid into the T-1 auction for the same Delivery Year.
CP188	Moyle Interconnector	This proposal seeks to amend Rule 9.2.6 so that a capacity obligation for the 2017/18 delivery year may be transferred to an Interconnector CMU.

CP189	Moyle Interconnector	This proposal seeks to amend the Rules to permit a CMU increase its Capacity Obligation via secondary transfer to meet its available capacity at the time of transfer, even when this value is greater than at the time of its prequalification for the relevant Delivery Year.
CP190	National Grid	This proposal seeks to amend Rule 3.7.1 to remove the option for Applicants to defer provision of Relevant Planning Consents.
CP191	National Grid	This proposal seeks to amend the de-rating factor calculation under Rule 2.3.5 so that Distribution Connected station data is utilised to better capture distribution-level scenarios.
CP192	National Grid	This proposal seeks to amend the Rules to clarify the requirements for acceptable prequalification submissions, specifically with regard to connection agreements.
CP193	National Grid	This proposal seeks to amend the format of the Exhibits to include an 'Application Year' to ensure Applicants are re-submitting Exhibits in each prequalification process. This would prevent Applicants having to enter the Tier 1 process to submit a new Exhibit.
CP194	National Grid	This proposal seeks to redefine the definition of RfR to ensure an up-to-date value is available for calculations in delivery year's where T-1- or Early Auction-procured capacity is included. The current definition was drafted to account for T-4 auctions only.
CP195	NG Interconnector Holdings	This proposal seeks to amend the Rules to allow New Build and Refurbishing Interconnector CMUs to bid into the auction as Price-Makers, aligning the Rules for Interconnector CMUs with Generating CMUs.
CP196	NG Interconnector Holdings	This proposal suggests that Exhibits DA and DB are similar and it is unclear which is required for an Unincorporated Joint Venture. The proposal seeks to remove the requirements altogether, or to remove at least one of the exhibits DA or DB so that only one declaration is required.
CP197	NG Interconnector Holdings	This proposal seeks to amend the Rules to relax the requirements for New Build and Refurbishing Interconnector CMUs, in relation to the non-GB part of the project, to provide the relevant Planning Consents alongside the declaration.
CP198	NG Interconnector Holdings	This proposal relates to Rule 6.7.7 concerning delays to the commissioning of a Prospective CMU caused by a Transmission Licensee and subsequent extension of the Long Stop Date, protecting the party constructing the CMU from having its agreement terminated because of the delays caused by the Transmission Licensee.
CP199	NG Interconnector Holdings	This proposal seeks to change the Rules so that New Build Interconnectors are eligible for five year agreements, and existing Interconnector CMUs undergoing significant refurbishment work are eligible for three year agreements.
CP200	Waters Wye	This proposal suggests amending the Rules to allow Applicants to opt-out of the CM process during the Tier 1 disputes window. Currently the Rules allow participants to opt-out only during the Prequalification Window.
CP201	Alkane	This proposal seeks to amend Rule 7.7.3 so that the Delivery Body must provide the reason(s) for why a request to update the Register in accordance with Rule 7.7.1 has been refused.
CP202	Alkane	This proposal seeks to amend the Rules so that Generating Units/components can be reallocated freely, and so that any number of components at any number of sites can be combined within a CMU to meet an existing obligation.

CP203	Anonymous	This proposal seeks to amend the definition of Excluded Capacity to include Generating Units holding a black start contract.
CP204	UK Power Reserve	This proposal seeks to amend Rules 13.4.1 and extend the duration of one of the required Satisfactory Performance Days to a length of eight continuous half-hourly settlement periods.
CP205	UK Power Reserve	This proposal seeks to amend the Rules so that the Authority is required to conduct an audit, or review a sample, of initial prequalification decisions and Tier 1 decisions that are not raised to Tier 2.
CP206	Ecotricity	This proposal seeks to amend the definition of Distribution Connection Agreement so that a party that is not named on the agreement, but has the right to use that grid connection, is not deemed ineligible due to their situation as an un-named party.
CP207	Ecotricity	This proposal seeks to amend the Rules so that a carbon intensity limit of 450gCO ₂ /kWh is established as part of the general eligibility requirements for all CMUs. This limit was chosen to reflect the Government's Emissions Performance Standard.
CP208	Open Energi	This proposal seeks to amend the Rules to facilitate the participation of FFR in the Capacity Market, in particular FFR provision by DSR CMUs. It seeks a change to the baselining methodology under Schedule 2 for FFR providers.
CP209	Scottish Power	This proposal seeks to amend Rules 13.4.1 and extend the duration of one of the required Satisfactory Performance Days to a length of six consecutive half-hourly settlement periods. It is suggested this requirement come into effect from the 2017/18 Delivery Year for agreements won following 1st December 2016. It is proposed the new testing requirements do not apply for the Transitional Arrangements.
CP210	Scottish Power	This proposal seeks to amend Rules 13.4.1 and extend the duration of one of the required Satisfactory Performance Days to a length of four consecutive half-hourly settlement periods. It is suggested this requirement come into effect from the 2017/18 Delivery Year for agreements won following 1st December 2016. It is proposed the new testing requirements do not apply for the Transitional Arrangements.
CP211	Scottish Power	This proposal seeks to amend Rules 13.4.1 and extend the duration of one of the required Satisfactory Performance Days to a length of six consecutive half-hourly settlement periods. It is suggested this requirement come into effect from the 2017/18 Delivery Year and applied retrospectively to all agreements. It is proposed the new testing requirements do not apply for the Transitional Arrangements.

CP212	Scottish Power	This proposal seeks to amend Rules 13.4.1 and extend the duration of one of the required Satisfactory Performance Days to a length of four consecutive half-hourly settlement periods. It is suggested this requirement come into effect from the 2017/18 Delivery Year and applied retrospectively to all agreements. It is proposed the new testing requirements do not apply for the Transitional Arrangements.
CP213	Scottish Power	This proposal seeks to amend Rule 7.4 so that the Generating Technology Class of a CMU is listed on the Capacity Market Register.
CP214	ADE	This proposal seeks to amend Schedule 2 of the Rules, which calculates the baseline for DSR CMUs, so that Demand Samples are adjusted to reflect Triad Management actions as well as balancing services.
CP215	ADE	This proposal seeks to amend the Rules to permit the aggregation of Prospective CMUs with one or more Units and legal owners to apply through a Dispatch Controller.
CP216	ADE	This proposal seeks to amend the Rules to clarify the Settlement Periods to which Capacity Market Warnings apply, and to require the Delivery Body to notify participants of any change in circumstance for particular Settlement Periods.
CP217	ADE	This proposal seeks to amend the Rules to facilitate DSR component reallocation. This proposal builds on similar proposals accepted in previous rounds, but provides additional legal drafting.
CP218	ADE	This proposal seeks to amend the Rules to remove the requirement for sites that include renewable generation to meter those assets through the Bespoke Metering Requirements.
CP219	ADE	This proposal seeks to amend the Rules to clarify how on-site generation can participate in the Capacity Market.
CP220	ADE	This proposal seeks to amend the Rules to remove provisions which place restrictions on changing the configuration of CMUs following prequalification, and which require a new DSR Test where there is a change in configuration. These proposals are made in anticipation of amendments to allow DSR Component Reallocation.
CP221	ADE	This proposal would amend the Rules so that, where a DSR CMU has failed to demonstrate satisfactory performance up to the volume of the Capacity Obligation but has demonstrated at least 90% of the required volume, a CMU may choose to reduce its Capacity Payments proportionally rather than continue to attempt to demonstrate satisfactory performance. It is proposed that where this option to reduce Capacity Payments is taken, the relevant CMU is subject to an additional penalty equal to TF1 multiplied by the under-delivery volume.
CP222	ADE	This proposal would amend Schedule 4 of the Rules to include definitions for the terms of Declared Availability and Contracted Output for the FCDM service, and to amend the existing definitions of those terms for the STOR service to account for sites where the CMU and STOR elements (components) are not equal.
CP223	ADE	This proposal seeks to amend the Rules to simplify the metering arrangements by reducing the dependence of small generators and DSR participants on Suppliers and Meter Operator Agents.

CP224	Centrica	This proposal would amend the Rules so that the calculation of the de-rating factor for those CMUs in the Generating Technology Class of Storage accounts not only for technical availability but also durability.
CP225	Centrica	This proposal seeks to amend the Rules to facilitate the participation of Generating Units located on Customer sites, in particular higher load factor units that are regularly in merit.
CP226	Centrica	This proposal would amend Rule 3.7.3 so that New Build Distribution CMUs are no longer able to defer their Distribution Connection Agreement or Private Network agreement with the relevant DNO until after Prequalification.
CP227	EP Invest Ltd	This proposal would amend the Rules to remove the requirement for Mandatory CMUs opting-out of the Capacity Market to submit an Opt-out notification which states whether the CMU will be closed-down, temporarily non-operational, or operational during the relevant Delivery Year. Further amendments are proposed to remove the provisions which are consequential to the statements made in the opt-out notification.
CP228	EP Invest Ltd	This proposal would amend 13.4.1 so that, where a CMU that has failed to demonstrate satisfactory performance during the Delivery Year, for example due to a Unit breaking-down, a CMU will have its Capacity Obligation and Payments reduced to reflect the third highest net output demonstrated in the relevant Delivery Year. The proposed amendment is suggested to ensure that the remaining Units within a CMU are still incentivised to meet CM Obligation during the Delivery Year.
CP229	EP Invest Ltd	This proposal would prevent a Generating CMU from participating in a T-1 Auction for a Delivery Year for which it has at any time previously held an agreement (multi-year) as a Refurbishing CMU, but has since had that agreement reduced in length so that it no longer holds an agreement for that Delivery Year.
CP230	Energy UK	This proposal seeks to amend the Rules to clarify that, where a party is appealing a decision via the Tier 1 process, the cut-off for posting credit cover should fall 15 working days after being informed of the relevant determination being made.
CP231	Energy UK	This proposal seeks to amend the Joint DSR Test drafting so that, similarly to the standard DSR Test, where a CMU Portfolio demonstrates between 90% and 100% of their nominated DSR de-rated capacity the Proven DSR Capacity is reduced proportionally, rather than requiring a new Joint DSR Test as is currently required.
CP232	Energy UK	This proposal seeks to amend the Rules so that the Authority is required to conduct an audit, or review a sample, of initial prequalification decisions and Tier 1 decisions that are not raised to Tier 2.
CP233	ESC	This proposal seeks to amend the Rules so that it is clear how auxiliary load should be proportioned for sites that share the load amongst a number of generating units and where the auxiliary load is not separately metered.
CP234	ESC	This proposal seeks to amend the Rules to allow DSR CMUs that are Balancing Mechanism Units to use their existing BSC compliant metering, rather than being forced to use Bespoke Metering.

CP235	ESC	This proposal seeks to amend the Rules to require all participants, other than Unproven DSR CMUs, to provide 'Boundary Point MPANs' and/or 'Boundary Point MSIDs', where applicable, to the Delivery Body during prequalification in order for line loss factors to be applied to metered volumes.
CP236	BEIS	This proposal seeks to amend the Rules to ensure Prospective CMUs cannot delay their Metering Test having met their Minimum Completion Milestone or Substantial Completion Milestone and receive Capacity Payments whilst potentially operating with non-compliant metering. The proposed amendments also clarify the timetable for Metering Assessments and Metering Test Certificate submissions for Prospective CMUs.