

# LLOYDS REGISTER EMEA

## PAS 55-1 VISIT REPORT (Cover Sheet) \*\*

NAME OF COMPANY <b>EDF Energy Networks</b>		VISIT REF. <b>ST2</b>	REFERENCE NO. 3000024/0004																		
<b>INTRODUCTION</b> Findings from the visit are identified as <b>Major Non-Conformity; Minor Non-Conformity; Requires Correction (RC) Scope for Improvement (SFI); *LR*</b> (Lloyd's Register Prompt), or have no indication of status (Ungraded). Definitions of these categories are provided in paragraph 109 of this report.																					
<b>CONFIDENTIALITY</b> The contents of this report, together with any notes made during the visit, will be treated with the strictest confidence and will not be disclosed to any third party without written consent of the customer, except as required by relevant accreditation authorities.																					
<b>VISIT DETAILS</b> <table border="1"> <tr> <td>PRELIMINARY ASSESSMENT</td> <td>STAGE 1</td> <td>✓ STAGE 2</td> <td>FOLLOW-UP</td> </tr> <tr> <td>PARTIAL RE-ASSESSMENT</td> <td>COMPLETE RE-ASSESSMENT</td> <td>ROUTINE SURVEILLANCE</td> <td>SPECIAL SURVEILLANCE</td> </tr> <tr> <td>CERTIFICATE RENEWAL</td> <td>CHANGE TO APPROVAL</td> <td>OTHER, PLEASE SPECIFY</td> <td>GAP ANALYSIS</td> </tr> </table>				PRELIMINARY ASSESSMENT	STAGE 1	✓ STAGE 2	FOLLOW-UP	PARTIAL RE-ASSESSMENT	COMPLETE RE-ASSESSMENT	ROUTINE SURVEILLANCE	SPECIAL SURVEILLANCE	CERTIFICATE RENEWAL	CHANGE TO APPROVAL	OTHER, PLEASE SPECIFY	GAP ANALYSIS						
PRELIMINARY ASSESSMENT	STAGE 1	✓ STAGE 2	FOLLOW-UP																		
PARTIAL RE-ASSESSMENT	COMPLETE RE-ASSESSMENT	ROUTINE SURVEILLANCE	SPECIAL SURVEILLANCE																		
CERTIFICATE RENEWAL	CHANGE TO APPROVAL	OTHER, PLEASE SPECIFY	GAP ANALYSIS																		
ASSESSMENT TEAM <b>P. Glaholm, D. Langdon</b>			VISIT DATE(S) <b>13<sup>th</sup> - 24<sup>th</sup> November 2006</b>																		
<b>VISIT SUMMARY</b> <b>IMPROVEMENT NOTE / NON-CONFORMITY NOTE SUMMARY (ENTER REFERENCE NUMBERS)</b> <table border="1"> <tr> <th colspan="2">REMAINING OPEN FROM PREVIOUS VISIT(S)</th> <th colspan="2">CLOSED DURING THIS VISIT</th> <th colspan="2">RAISED DURING THIS VISIT</th> </tr> <tr> <th>NCN</th> <th>IN</th> <th>Major NC</th> <th>Minor MC</th> <th>Major NC</th> <th>Minor NC</th> </tr> <tr> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> <td>4</td> </tr> </table>				REMAINING OPEN FROM PREVIOUS VISIT(S)		CLOSED DURING THIS VISIT		RAISED DURING THIS VISIT		NCN	IN	Major NC	Minor MC	Major NC	Minor NC	0	0	0	0	0	4
REMAINING OPEN FROM PREVIOUS VISIT(S)		CLOSED DURING THIS VISIT		RAISED DURING THIS VISIT																	
NCN	IN	Major NC	Minor MC	Major NC	Minor NC																
0	0	0	0	0	4																
<b>EXECUTIVE SUMMARY AND RECOMMENDATION (To be completed for ALL visits)</b> EDF Energy Network's staff cooperated fully with the assessment team preparing explanatory information in advance, assisting with the provision of evidence as requested and engaging in open and frank discussion. It was apparent throughout the assessment that EDF Energy Networks had undergone, and were still undergoing, significant process changes. In some cases, eg the rollout of Project SAS (relating to information systems), this had made it difficult for the assessment team to gather compliance evidence as the systems were either still in their infancy or still to be implemented. However it was also apparent that a great deal of hard work and effort had been expended to ensure that these systems and processes met the needs of the business, and therefore the assessment team were able to gain assurance from this. It was also apparent throughout the assessment that there is an understanding by staff at all levels what benefits the output from Project SAS will deliver to the business. Additionally, the key areas of policy and the NAMP process addressing: strategy, risk, objectives and targets were noted as being strong, well managed and embedded. However, the success of these areas is dependant on sound information from the asset information systems without which it is difficult to continue to make informed asset management decisions. On the basis of the system design as reviewed and the sample evidence of its application, the EDF Energy Network's Asset Management System was found to conform to the requirements of PAS 55 part 1 and therefore certification is recommended. However, continued certification is subject to satisfactory evidence being available that the rollout of Project SAS and the relevant new processes have addressed the concerns raised in the report with regard to inconsistencies between Asset Information Systems and record management. A full surveillance visit will take place no later than 6 months from the date of the certificate. This surveillance visit will focus on gaining evidence to provide assurance that those processes described during the assessment have been fully implemented and have addressed the concerns raised.																					
<b>ASSESSOR DECLARATION (To be completed when making a recommendation which affects certification)</b> I HEREBY CONFIRM THAT ALL CLAUSES OF PAS 55-1 WERE EXAMINED DURING THE VISIT, AND THAT:- THE APPLICABLE SECTOR CODES FOR THE ORGANISATION ARE CONFIRMED AS:- <u>AMV1</u> THE TEAM WAS ALLOCATED ALL THE REQUIRED CODES <u>YES</u>																					
<b>NEXT VISIT DETAILS</b> <table border="1"> <tr> <td>STAGE 2</td> <td>PARTIAL OR COMPLETE RE-ASSESSMENT</td> <td>FOLLOW-UP</td> <td>ROUTINE SURVEILLANCE</td> </tr> <tr> <td>✓ SPECIAL SURVEILLANCE</td> <td>CHANGE TO APPROVAL</td> <td>CERTIFICATE RENEWAL PLANNING</td> <td>CERTIFICATE RENEWAL</td> </tr> </table>				STAGE 2	PARTIAL OR COMPLETE RE-ASSESSMENT	FOLLOW-UP	ROUTINE SURVEILLANCE	✓ SPECIAL SURVEILLANCE	CHANGE TO APPROVAL	CERTIFICATE RENEWAL PLANNING	CERTIFICATE RENEWAL										
STAGE 2	PARTIAL OR COMPLETE RE-ASSESSMENT	FOLLOW-UP	ROUTINE SURVEILLANCE																		
✓ SPECIAL SURVEILLANCE	CHANGE TO APPROVAL	CERTIFICATE RENEWAL PLANNING	CERTIFICATE RENEWAL																		
THE APPLICABLE SECTOR CODES FOR THE NEXT VISIT ARE:- <u>AMV1</u>																					
ASSESSOR(S) (IF ASSIGNED)		LOCATION(S)	DURATION																		
D Langdon		P Glaholm	DATE																		

3000024/0004/ST2