

## Summary of Licence Related Instruments (LRIs)

### Key to column headings

IDN HD - LRI made in respect of IDN at hive-down

IDN SS - LRI made in respect of IDN at share sale (the entry "cont" in this column signifies that the LRI existing at the time of hive-down is carried forward in this period; the entry "X" signifies that a new LRI would need to be issued in respect of this period.)

### Consents, Directions etc (excluding Approvals)

Index no	Licence provision	Relevant provision in existing Transco Licence	Date of existing LRI	Relevant requirement	NTS	RDN	IDN HD	IDN SS	Comments	Listed in table dated 21/2/05?	Category
1	A1, para 2	NEW	N/a	Standard Special Conditions Part A direction	X	X	X	cont.			1
2	A11, para 4	NEW	N/a	Consent for one network code covering more than one licence	X	X					1
3	A12, para 1(d)	NEW	N/a	Agreement to exclusion from publication of confidential sections of JGA	X	X	X	cont.	Ogem has indicated that this is unlikely to be granted		1
4	A15, para 2(b)	NEW	N/a	Agreement to exclusion from publication of confidential sections of ASA	X	X	X	cont.	Ogem has indicated that this is unlikely to be granted		1
5	A55, para 2	NEW	N/a	Specification of form of undertaking (enduring offtake arrangements)	X	X	X	X	Refers to 'Ultimate Controller', therefore for IDNs it is the responsibility of each buyer on share sale completion		1
6	B1, para 2	NEW	N/a	Standard Special Conditions Part B direction	X						1
7	C19, para 1	NEW	N/a	Authority to specify form of undertaking to be given by ultimate controller	X						1
8	D1, para 2	NEW	N/a	Standard Special Conditions Part D Direction		X	X	cont.			1
9	D3, para 3(c)	NEW	N/a	Direction on form and manner of production of summary of LTDS		X	X	cont.			1
10	E11, para 1	NEW	N/a	Authority to give permission for appropriate alternative arrangements to investment grade issuer credit rating (for IDNs for period between hive down and completion)			X		Provides for 'keep well' agreements for period of hivedown; expires at share sale completion		1
11	A30, para 1	N/a	N/a	Consent required to include all the metering information in RDN accounts		X					2
12	A30, para 1	N/a	N/a	Enduring Consent for NTS not to provide Metering data in the regulatory accounts - starting for reg accounts due 31/07/06	X						2
13	C2, para 1(a)	NEW	N/a	LTDS to cover any other pipe-line directed by Authority	X						2
14	D3, para 1	NEW	N/a	Direction from Authority to prepare LTDS		X	X	cont.			2
15	D7, para 1	SpC 37 para 1	tbc	Direction of date for submission of exit code statement		X	X	cont.			2
16	E3, para 3	SpC 29 para 3	N/a	Authority to direct a date later than 01/10/02 for production of allocation/attribution statement		X	X	cont.			2
17	4B, para 11	4 B para 11	No formal direction recorded	Directions to furnish Authority with connection charges methods and principles methodology etc. statement	X	X	X	cont.			3
18	4B, para 3	4 B para 3	No formal direction recorded	Directions to furnish Authority with connection charges methods and principles methodology etc. statement	X	X	X	cont.			3
19	A27	ASC 29	16.10.02	Consent to enter into an agreement or arrangement whereby operational control of a transportation asset cease to under the sole control of Transco plc without giving prior notice. (Enduring)	X	X			Context was NGT merger	X	4
20	A33, para 2(c)	ASC 39	12.07.04	Consent to allow information relating to the provision of primes & deduct meters and unique sites, daily meter reading services, site works, queries and query resolution to flow between Transco's transportation and metering business to facilitate interim arrangements for a specific time limit. (Time-limited, extendable)	X	X	X	X	Reissue likely to be required at share sale completion in respect of IDN if it has affiliated meter business	X	4
21	A36	SpC 2	23.10.02	Transco / Transco Holdings consent regarding money transfers with effect from 23.10.02. (Enduring until revoked / amended (6 month notice))	X	X				X	4
22	A36	SpC 2(3)(d)	16.10.02	Consent to provide common services to NGC plc and provision of such services shall not count towards the calculation of either de-minimis threshold for the purposes of paragraph 4 of SpC 2, provided an appropriate indemnity is place. (To 31.03.06)	X	X	X	X	Buyers may seek similar consents for the IDNs	X	4
23	A36	SpC 2(3)(d)	16.10.02	Consent to provide common services to other members of NGT plc group (except NGC) and provision of such services shall not count towards the calculation of either de-minimis threshold for the purposes of paragraph 4 of SpC 2, provided an appropriate indemnity is place. (To 31.03.06)	X	X	X	X	Buyers may seek similar consents for the IDNs	X	4
24	A36	SpC 2	23.10.02	Consent to retain investments in C4 Gas NV and Joint Radio Company Ltd. (Enduring)	X	X				X	4
25	A36, para 3(d)	SpC 2	tbc	Consent to <i>de minimis</i> business	X	X	X	cont.			4
26	A39	ASC 47	11.07.03	Pre-relevant date guarantees that may be retained by Transco plc. (Enduring)	X	X	X	X		X	4
27	A39	ASC 47	23.10.02	Transco / Transco Holdings consent regarding money transfers with effect from 23.10.02. (Enduring until revoked / amended (6 month notice))	X	X				X	4
28	A39	SpC 5	N/a	A General cross default consent will be required for a period of 18 months – in respect of where a 3rd party does not allow the novation of a contract from Transco to an IDN at hivedown. In the absence of such consent Transco plc would remain liable under the relevant contract for the default of the IDN.	X	X				X	4
29	A39	SpC 5	11.07.03	Consent to agreements using subcontractors to carry out permitted purpose activities that may lead to cross default obligations. (Enduring)	X	X	X	cont.		X	4
30	A39	SpC 5	23.10.02	Consents issued 13.12.99, 13.09.00, 25.05.00 and 25.03.02 in respect of Cross Default Obligations continue to remain in force. (Enduring)	X	X				X	4
31	A39	SpC 5	23.10.02	Cross-defaults; land and property. (Enduring)	X	X				X	4
32	A43	SpC 23	12.08.03	Transco is relieved of its obligations relating to meter reading services at non-daily metered supply points. (Enduring)	X	X	X	cont.	IDN requirement if have metering business	X	4
33	A43, para 10	ASC 23	tbc	Direction of maximum amount that can be charged for copy of statement	X	X	X	cont.	Transco investigating whether existing direction issued		4
34	A7	ASC 4E	14.10.04	Temporary derogation to paragraph 5 of ASC 4E, enabling Transco to enter into proposed confidentiality agreements with producers without risk of breaching the licence. (To 30.04.05)	X				Being consulted on separately	X	4
35	C3, para 1	SpC 9D	[recent]	Authority consent to charging for the supply of LNG storage services otherwise than in accordance with Table 1 of SpC 9D / C3. (To 31.03.06)	X					X	4
36	C3, para 2	SpC 9D	tbc	Authority consent to charging for the supply of LNG storage services otherwise than in accordance with Table 2 of SpC 9D / C3. (To 31.03.06)	X					X	4

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37	D4	SpC 26	23.10.02	Consent to the purchase of liquid propane gas by Transco for resale on the Isle of Lewis. (Enduring)			X	cont.	Scotland only	X	4
38	D5, para 11	SpC 27	tbc	Direction of maximum amount chargeable by licensee for provision of copy of statements etc.		X	X	cont.	Analogous to C5, para 12		4
39	D9	SpC 36	tbc	Authority to nominate "examiner"		X	X	cont.			4
40	Ogern determination using powers under s33BA Gas Act 1986 (as amended)	N/a	tbc	Determine new Overall Standards of Service applicable to the new DN licensees.		X	X	cont.	to be confirmed	X	4
41	45, para 1	ASC 45 para 1	tbc	Specification of form of ultimate controller undertaking	X	X	X	X	Refers to "Ultimate Controller", therefore for IDNs it is responsibility of each buyer on share sale completion		5
42	A23, para 1	SC 21 para 1	None	Consent for later date for submission of code of practice		X	X	cont.	New consent required for IDN/RDN		5
43	A26, para 2	ASC 24	tbc	Specification of form of undertaking from information covenantor	X	X	X	X			5
44	A5, para 7	ASC 4A para 7	tbc	Direction concerning publication of information on costs incurred in transportation business or charging methodology	X	X	X	cont.			5
45	C16, para 3	SpC 35 para 6	tbc	Authority to issue regulatory instructions and guidance	X				Consultation closed by 18/03		5
46	SC 7, para 3	SC 7, para 8	Scheme designated on 09.03.97	Designation of scheme to amend charging conditions (under review - formal consultation expected Q3 2005)	X	X	X	cont.	Set out in Theft of Gas Cost recovery document		5
47	C15, para 11	SpC 34 para 11	Charging for Statements' Document	Direction of maximum amount chargeable by licensee for provision of copy of statements etc.	X						6
48	C16, para 6	SpC 35 para 6	tbc	Authority to nominate "examiner"	X						6
49	C5, para 12	SpC 27 para 12	tbc	Direction of maximum amount chargeable by licensee for provision of copy of statements etc.	X				Analogous to D5, para 11		6
50	C6, para 1	SpC C6	tbc	Authority to designate person for the purposes of paragraph 22B of A11 (as amended by C6)	X						6
51	A11, para 22	ASC 9 para 15	tbc	Consent that definition of "transportation arrangements" should refer only to methane	X	X	X	cont.			7
52	A30, para 10	ASC 30	NA	Agreement to exclude information from published accounts	X	X	X	cont.			7
53	A41, para 1	SpC 19	N/a	Direction to enter into agreements with third parties re emergency services	X	X	X	cont.			7
54	A48, para 5(a)	SC 48 para 5 (a)	No directions currently - as and when	Direction re amounts to be paid (if relevant?) Supplier of Last Resort	X	X	X	cont.			7
55	A7, para 4	ASC 4E para 4	tbc	Consent that definition of "transportation arrangements" should refer only to methane	X	X	X	cont.			7
56	C17, para 1	SpC 37 para 1	tbc	If required, Authority to direct alternative date to 30 June for exit code statement	X						7
57	C2, para 1	ASC 25	none	Direction from Authority to prepare LTDS	X						7
58	C2, para 3(b)	ASC 25	tbc	Authority to direct form and manner of LTDS summary	X						7

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<b>Approvals</b>											
59	A30, para 3	NEW	N/a	Authority to approve period for keeping records	X	X	X	cont.			1
60	A33, para 4	NEW	N/a	Authority to approve statement	X	X	X	cont.			1
61	C20, para 2	NEW	N/a	Authority to approve statement of separation of NTS & DN Businesses	X						1
62	C8B, para 14 (6)(g)	SpC28B para 14 (6) (g)	N/a	Authority may approve methodology for purposes of TNDMT	X						1
63	D5, para 3	SpC27 para 3	N/a	Authority to approve later date for preparation of statement		X	X	cont.			1
64	D5, para 5	SpC27 para 5	N/a	Authority to approve later date for preparation of statement		X	X	cont.			1
65	C9, para 3	SpC29 para 3	N/a	Authority to approve form of allocation/attribution statement	X						2
66	C9, para 5(b)	SpC29 para 5 (b)	N/a	Authority to approve scope/objectives of audit	X						2
67	E3, para 3	SpC29	N/a	Authority to approve form of allocation/attribution statement (Annual requirement before July 1 each year)		X	X	cont.			2
68	E3, para 5 (b)	SpC29	N/a	Authority to approve scope/objectives of statement audit		X	X	cont.			2
69	A20	SC18	tbc	Authority to approve Code of Practice for special services to deaf and blind	X	X	X	cont.			4
70	A22	SC19	tbc	Authority to approve statement for authorisation of officers	X	X	X	cont.			4
71	A23	SC21	tbc	Authority to approve Code of Practice for complaints handling procedure	X	X	X	cont.			4
72	D3, para 3(d)	ASC 25 3 (c)	tbc	Authority to approve amount chargeable for copy of Statements		X	X	cont.	Analogous to C2, para 3 (c)		4
73	D5, para 10	SpC27 para 10	tbc	Authority to approve date and manner of publication of statements prepared under para 3 and 5		X	X	cont.	Analogous to C5, para 11		4
74	D5, para 3	SpC27 para 3	tbc	Authority to approve form of statement of system management services procurement guidelines		X	X	cont.	Analogous to C5, para 3		4
75	D5, para 5	SpC27 para 5	tbc	Authority to approve form of statement of system management services principles		X	X	cont.	Analogous to C5, para 5		4
76	7, para 8	SC7 para 8	Documents available 'Supplier Theft of Gas Costs Recovery / Theft of Gas Cost Recovery'	Authority to approve reasonable endeavours statement	X	X	X	cont.			5
77	A10, para 1	ASC8 para 1 (a)	N/a	Authority to approve MAMs and undertakings	X	X	X	cont.	Ofgem publish approved MAMs - LRIs not made in respect of GT licensee, therefore no LRIs required as a result of DN sales		5
78	A11, para 17(b)	ASC9 para 11 (b)	N/a	Authority to approve amount to be charged to persons requiring copies of network code and UNC	X	X	X	cont.	Charges last approved approx 2 years ago. Transco no longer provides paper copies, instead provided via website; intend to continue for IDNs during hivedown		5
79	A20, para 1	SC18 para 1	tbc	Authority to approve later date for submission of code of practice	X	X	X	cont.			5
80	A43, para 5	ASpC23 para 5	tbc	Authority to approve form of statement	X	X	X	cont.			5
81	C15, para 2	SpC34 para 2	tbc	Authority to approve incremental entry capacity release methodology statement before 1st July each year	X				New statement to be submitted prior to July for approval driven only by date requirement of licence condition		6
82	C15, para 3	SpC34 para 3	tbc	Authority to approve scope/objectives of audit of methodology	X						6
83	C2, para 3(c)	ASC25 3 (c)	tbc	Authority to approve amount chargeable for copy of LTDs statement	X				Analogous to D3, para 3 (d)		6
84	C5, para 11	SpC27 Para 11	tbc	Authority to approve date and manner of publication of statements prepared under para 3.5.6	X				Analogous to D5, para 10		6
85	C5, para 3	SpC 27 para 3	tbc	Authority to approve form of statement of system management services procurement guidelines	X				Analogous to D5, para 3		6
86	C5, para 5	SpC 27 para 5	tbc	Authority to approve form of statement of system management services principles	X				Analogous to D5, para 5		6
87	C5, para 6(b)(i)	SpC27 para 6(b)(i)	N/a	Authority to approve adjustment data methodology	X				Directions never made - conditions requiring direction have not existed		6
88	C5, para 6(b)(ii)	SpC27 6(b)(ii)	N/a	Authority to approve adjustment data methodology statement	X				Directions never made - conditions requiring direction have not existed		6
89	C5, para 7(b)	SpC27 Para 7 (b)	tbc	Authority to approve scope/objectives of statement audit	X						6
90	C8B, para 14 (9)(h)	SpC28B para14 (9) (h)	N/a	Authority to approve methodology for purpose of NTS linepack	X				Directions never made - conditions requiring direction have not existed		6
91	C10, para 4	SpC30 para 4	tbc	Authority to confirm approval of services that may be treated as excluded services	X						7
92	C15, para 10	SpC34	tbc	Authority may approve manner in which methodology statements are to be published	X						7
93	C5, para 3	SpC 27 para 3	tbc	Authority to approve later date for preparation of statement of system management services procurement guidelines	X						7
94	C5, para 5	SpC 27 para 5	tbc	Authority to approve later date for preparation of statement of system management principles	X						7
95	D9, para 4(a)	SpC36 para 4 (a)	tbc	Authority may approve later date for collection of specified information		X	X	cont.			7
96	D9, para 4(c)	SpC36 para 4 (c)	tbc	Authority to approve later date for collection of specified information		X	X	cont.			7
97	D9, para 5(a)	SpC36 para 5 (a)	tbc	Authority to approve later date for provision of specified information to the Authority		X	X	cont.			7
98	D9, para 5(c)	SpC36 para 5 (c)	tbc	Authority to approve later date for provision of specified information to the Authority		X	X	cont.			7
99	E4, para 4	SpC30	tbc	Authority to confirm approval of services that may be treated as excluded services		X	X	cont.			7