CUSC - SECTION 9

INTERCONNECTORS

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CUSC - SECTION 9

INTERCONNECTORS

9.1 INTRODUCTION

This Section 9 of the **CUSC** deals with **Interconnectors** other than Distribution Interconnector Owners (which are dealt with in Section 3). Part I provides for connection to the Transmission System by an Interconnector Owner and Part II for use of the Transmission System by Interconnector Users and Interconnector Error Administrators.

PART I -CONNECTION TO THE TRANSMISSION SYSTEM BY INTERCONNECTOR OWNERS

9.2 **CONNECTION - INTRODUCTION**

This Part I deals with connection to the Transmission System by an Interconnector Owner. The User for the purposes of this Part I will therefore be the Interconnector Owner. Given that the Existing Contractual Arrangements in respect of the Interconnector, between England and France were not as at the CUSCImplementation Date governed by the MCUSA there is no obligation for the Interconnector Owner in that case to enter into Part 1 of Section 9 as at the CUSC Implementation Dateprovided always that upon termination of such Existing Contractual Arrangements any subsequent Connection to the **Transmission System** shall be entered into and governed by the terms of this CUSC.

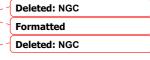
9.3 **BEING OPERATIONAL, CONNECTION AND ENERGISATION**

9.3.1 **Right to Remain Connected**

Subject to the other terms and conditions of the relevant Bilateral Connection Agreement and the Grid Code and any Operating Agreement, each User as between the System **Operator** and that **User** shall have the right for the **User's** Equipment to remain connected to the Transmission System at the Connection Site of an Interconnector specified in the relevant Bilateral Connection Agreement once Commissioned and then for the duration of the relevant Bilateral Connection Agreement in relation to that Connection Site.

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9.3.2 **Right to Remain Energised and Operational**

Subject to the other provisions of the CUSC, the relevant Bilateral Connection Agreement and the Grid Code and any Operating Agreement each User as between <u>the System</u> <u>Operator</u> and that User shall have the right for the User's Equipment at the Connection Site of an Interconnector to remain Energised and Operational once Commissioned for the duration of the Bilateral Connection Agreement in relation to that Connection Site.

9.3.3 Obligation to Remain Connected

- (a) Without prejudice to its rights to make Modifications to the User's Plant (and/or User's Equipment as the case may be) pursuant to the CUSC and subject to the provisions of Paragraph 5.2 and the other provisions of the CUSC, the Grid Code and any Operating Agreement, the User shall keep the User's Equipment at the Connection Site of an Interconnector connected to the Transmission System until Decommissioning or Disconnection is permitted pursuant to the CUSC and the relevant Bilateral Connection Agreement.
- (b) For as long as the User is connected to the Transmission System and the User's Equipment is Energised and Operational the User shall ensure:
 - (i) that there is an **Interconnector Error Administrator** appointed in respect of that **Interconnector**; and
 - (ii) that any party with whom it enters into an agreement for use of that Interconnector is a party to the CUSC and is in receipt of a Use of System Interconnector Confirmation Notice prior to and during its use of that Interconnector.

9.4 EXPORT OF POWER FROM THE INTERCONNECTOR CONNECTION SITE

Subject to the other provisions of the **CUSC**, the relevant **Bilateral Connection Agreement** and the **Grid Code** and any **Operating Agreement**, <u>the System Operator</u> shall accept into the <u>Transmission System</u> at the <u>Connection Site</u> of an **Interconnector** power up to the <u>Transmission Entry Capacity</u> as specified in Appendix C to the relevant <u>Bilateral Connection</u> <u>Agreement</u> except to the extent (if any) that <u>the System</u> <u>Operator</u> is prevented from doing so by transmission constraints 9-4



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which could not be avoided by the exercise of **Good Industry Practice** by the **System Operator**.

9.5 IMPORT OF POWER TO THE INTERCONNECTOR CONNECTION SITE

Subject to the other provisions of the **CUSC**, the relevant **Bilateral Connection Agreement**, the **Grid Code** and any **Operating Agreement**, the **System Operator** shall transport a supply of power to a **Connection Site** of an **Interconnector** through the **Transmission System** up to the amount specified in the **Bilateral Connection Agreement** except to the extent (if any) that the **System Operator** is prevented from doing so by transmission constraints which could not be avoided by the exercise of **Good Industry Practice** by the **System Operator**.

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9.6 The User shall not permit the transfer of any amount of electricity onto the **Transmission System** in excess of the **Transmission Entry Capacity** specified in Appendix C to the relevant **Bilateral Connection Agreement** or permit the taking of any amounts of electricity off the **Transmission System** in excess of the value as specified in Appendix C to the relevant **Bilateral Connection Agreement** save as expressly permitted or instructed pursuant to an **Emergency Instruction** under the **Grid Code** or save as expressly permitted pursuant to any **Operating Agreement** or the **Fuel Security Code** or as may be necessary or expedient in accordance with **Good Industry Practice**.

9.6A Connection Entry Capacity

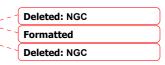
With respect to a particular connection to the **Transmission** System, each User, as between the System Operator and that User, shall not operate its User's Equipment such that any of it exceeds the Connection Entry Capacity specified for each Generating Unit or the Connection Entry Capacity to the Connection Site such figures being set out in Appendix C to the relevant Bilateral Connection Agreement save as expressly permitted pursuant to an Emergency Instruction under the Grid Code or save as expressly permitted pursuant to any Operating Agreement or the Fuel Security Code or as may be necessary or expedient in accordance with Good Industry Practice.

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9.7 MAINTENANCE OF ASSETS

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Subject to the other provisions of the CUSC, the relevant Bilateral Connection Agreement, the Grid Code and any Operating Agreement, the System Operator shall use all reasonable endeavours to maintain the <u>Connection Assets</u> at each Connection Site of an Interconnector in the condition necessary to render the same fit for the purpose of passing power up to the Connection Entry Capacity specified in Appendix C to the Bilateral Connection Agreement as appropriate between the User's Equipment and the Transmission System.



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9.8 OUTAGES

Subject to the provisions of the **Grid Code** and any **Operating Agreement**, <u>the **System Operator**</u> and the **User** shall as between themselves be entitled to plan and execute outages of parts of <u>in the case of the **System Operator** the **Transmission System** or **Plant** or **Apparatus** and in the case of the **User** its **System** or **Plant or Apparatus** at any time and from time to time.</u>

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9.9 CONNECTION CHARGES

9.9.1 Connection Charges

Subject to the provisions of the CUSC, and the relevant Bilateral Connection Agreement, each User shall, as between the System Operator and that User, with effect from the relevant date set out in the relevant Bilateral Connection Agreement be liable to pay to the System Operator the Connection Charges calculated and applied in accordance with the Statement of the Connection Charging Methodology and as set out in the relevant Bilateral Connection Agreement. The User shall make those payments in accordance with the provisions of the CUSC. The System Operator shall apply and calculate the Connection Charges in accordance with the Statement of the Connection Charges in accordance with the

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9.9.2 Obligation to provide Security

The User shall provide <u>the System Operator</u> with Security Cover in respect of Termination Amounts in respect of <u>Connection Assets</u> commissioned after the Transfer Date in accordance with the provisions of Part III of Section 2.

- 9.9.3 Outturn Reconciliation
 - (a) The following provisions relate to the ability for invoices to be issued for **Connection Charges** based on an estimate



of the cost of **Connection Asset Works**, and for a **Deleted:** NGC reconciliation once those costs are known.

- (b) <u>The System Operator</u> shall be entitled to invoice the User for Connection Charges payable in accordance with the CUSC in respect of any Plant and Apparatus installed as part of the <u>Connection Asset Works</u> on the basis set out in the Statement of the Connection Charging Methodology, until the final cost of carrying out the said <u>Connection Asset Works</u> shall have been determined.
- (c) As soon as practicable after the Completion Date and in any event within one year thereof <u>the System Operator</u> shall provide to the User a written statement specifying the Connection Charges calculated in accordance with the Charging Statements based on the cost of carrying out the <u>Connection Asset Works</u> (the "Cost Statement"). <u>The System Operator</u> shall be entitled to revise Appendix B to the relevant Bilateral Connection Agreement accordingly.
- (d) In the event that the **Connection Charges** specified in the Cost Statement are greater than the amount paid by the User based on the System Operator's estimate under Paragraph 2.14.3(b), the User shall pay to the System Operator the difference between the two amounts plus interest on a daily basis from the date of payment by the User of the amounts calculated on the System Operator's estimate to the date of payment by the User of the difference at the Base Rate. In the event that the Connection Charges specified in the Cost Statement are less than the amount paid by the User based on the System Operator's estimate, the System Operator shall pay to the User the difference between the two amounts plus interest on a daily basis from the date of payment by the User of the amounts calculated on the System Operator's estimate to the date of repayment by the System Operator at the Base Rate. Such payment of reconciliation shall be made by one party to the other within 28 (twenty eight) days of the Cost Statement.

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- 9.9.4 Connection Charges One-off Charges
 - (a) The following provisions relate to the payment for certain One-off Works, which arise in relation to the construction of a Connection Site.
 - (b) The User shall forthwith on the relevant date set out in the relevant Bilateral Connection Agreement be liable to pay

to <u>the System Operator</u> the One-off Charge (if any) as set out in the relevant Bilateral Connection Agreement.

- (c) The System Operator shall invoice the User for an amount equal to the System Operator's estimate of the One-off Charge before, on or after the relevant date set out in the relevant Bilateral Connection Agreement and the User shall pay to the System Operator the amount stated in the the System Operator invoice at the later of such relevant date or 28 (twenty eight) days after the date of the said invoice.
- (d) As soon as practicable thereafter the System Operator shall provide the User with a statement of the One-off Charge. In the event of the amount specified in the statement being more than the amount paid by the User to the **System Operator** in terms of Paragraph 2.14.4(c), the User shall pay to the System Operator the difference plus interest on a daily basis from the date of the invoice under Paragraph 2.14.4(c) to the date of invoice for the difference at the **Base Rate** from time to time within 28 days (twenty eight) days of the date of the System Operator's invoice. In the event of the amount specified in the statement being less than the amount paid by the User under the terms of Paragraph 2.14.4(c), the System Operator shall forthwith pay to the **User** an amount equal to the difference plus interest calculated on a daily basis at the Base Rate from the date of payment by the User under Paragraph 2.14.4(c) to the date on which the difference is repaid by the System Operator.

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- 9.9.5 Connection Charges Land Charges
 - (a) The User shall be liable to pay to the System Operator, from and including the relevant date set out in the relevant Bilateral Connection Agreement, the Land Charge (if any) set out in the relevant Bilateral Connection Agreement. The Land Charge shall be equal to the Land Charge Base Amount (set out in the relevant Appendix to the relevant Bilateral Agreement) as calculated and indexed in accordance with the Statement of the Connection Charging Methodology or as otherwise provided for in the relevant Bilateral Connection Agreement.
 - (b) In the case of 9.9.5(a) above <u>the System Operator</u> shall invoice the User for an amount equal to the Forecast Land Charge as calculated in accordance with the Statement of the Connection Charging Methodology.

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- (c) As soon as reasonably practicable after the end of the Financial Year in which the relevant date in paragraph (a) occurs <u>the System Operator</u> shall prepare and deliver to the User a statement of the Land Charge.
- (d) If the amount specified in each calendar month calculated in accordance with Paragraph 9.9.5(c) is less than the amount for which the User was invoiced and has paid under Paragraph 9.9.5(b) <u>the System Operator</u> shall be liable to pay to the User forthwith an amount equal to the difference together with interest on a daily basis at the Base Rate from the date of payment by the User under Paragraph 9.9.5(b) to the date on which the difference is repaid by <u>the System Operator</u>.
- (e) If the amount specified in each calendar month calculated in accordance with Paragraph 9.9.5(c) is more than the amount for which the User was invoiced and has paid under Paragraph 9.9.5(b) the User shall pay to <u>the System</u> <u>Operator</u> an amount equal to the difference together with interest on a daily basis at the Base Rate from the due date for payment under Paragraph 9.9.5(b) to the date of <u>the System Operator's</u> invoice such payment to be made within 28 days of the date of <u>the System Operator's</u> invoice therefor.
- (f) <u>The System Operator</u> shall be entitled to review the Land Charge Base Amount as specified in the relevant Bilateral Connection Agreement and shall advise the User of the revised amount and shall amend the relevant Appendix to the relevant Bilateral Connection Agreement accordingly.
- (g) The **Connection Charges** (including the **Land Charge**) shall be paid as specified in Paragraph 6.6.1(a) and shall be treated as a recurrent monthly payment.

9.9.6 Payment

The **Connection Charges** (including the **Land Charge**) in the **Financial Year** in which the **Charging Date** occurs shall be apportioned as follows:-

For each complete calendar month from **Charging Date** to the end of the **Financial Year** in which the **Charging Date** occurs the **User** shall be liable to pay one twelfth of the **Connection Charges** and for each part of a calendar month the **User** shall be liable to pay to <u>the **System Operator**</u> one twelfth of the **Connection Charges** (including the **Land Charge**) prorated by

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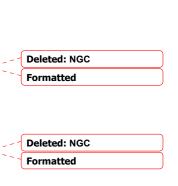
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a factor determined by the number of days for which the **User** is liable divided by the total number of days in such calendar month.

9.9.7 The provisions of Paragraphs 2.15 (Revision of Charges), 2.16 (Data Requirements), 2.17 (Replacement of <u>Connection Assets</u>), 2.18 Termination Amounts Re-use) and 2.19 to 2.22 inclusive (Security and Termination Amounts) of the **CUSC** shall apply to this Section 9 as if set out herein in full.

9.10 USE OF SYSTEM CHARGES - Transmission Network Use of System Charges

- 9.10.1 Subject to the provisions of the CUSC, and any relevant Bilateral Agreement, together with the relevant Charging Statements, the User shall with effect from the relevant date set out in the relevant Bilateral Agreement, be liable to pay to the <u>System Operator</u> the Transmission Network Use of System Charges in accordance with the CUSC calculated in accordance with the Statement of Use of System Charges and the Statement of the Use of System Charging Methodology. The System Operator shall apply and calculate the Use of System Charges in accordance with the Statement of Use of System Charges and the Statement of Use of System Charging Methodology.
- 9.10.2 Data Requirements
- 9.10.2.1 On or before the end of the second week of December in each **Financial Year**, each **User** shall supply <u>the **System Operator**</u> with such data as <u>the **System Operator**</u> may from time to time reasonably request pursuant to the **Charging Statements** to enable <u>the **System Operator**</u> to calculate the **Transmission Network Use of System Charges** due from the **User** to <u>the</u> <u>System Operator</u> or from <u>the System Operator</u> to the **User** (as the case may be) including the data specified in the **Charging Statements**;
- 9.10.2.2 Where the relevant date for charging set out in the relevant Bilateral Connection Agreement in relation to Use of System falls during a Financial Year, the User shall on the date specified in writing by the System Operator to the User supply to the System Operator such data in respect of the Financial Year in which the charging date falls and the following Financial Year which it would otherwise have supplied and the System Operator would otherwise have requested in accordance with Paragraph 9.10.2.1, in accordance with the terms of the Charging Statements. Where the User fails to so provide such



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data the User shall be deemed to have submitted data with zero values, to enable <u>the System Operator</u> to calculate the **Transmission Network Use of System Charges** due from the **User** to <u>the System Operator</u> and from <u>the System Operator</u> to the **User** as the case may be.

- 9.10.2.3 On or before the end of the second week of December in each **Financial Year**, each **User** shall supply <u>the **System Operator**</u> with such data as <u>the **System Operator**</u> may from time to time reasonably request pursuant to the **Charging Statements** to enable <u>the **System Operator**</u> to use such data as the basis for calculation of the **Transmission Network Use of System Charges** for the **Financial Year** to which the data relates.
- 9.10.2.4 Where the relevant date for charging set out in the relevant **Bilateral Connection Agreement** in relation to **Use of System** falls during a **Financial Year**, the **User** shall on the date specified in writing by the **System Operator** to the **User** provide to the **System Operator** such forecasts (as appropriate) in respect of the **Financial Year** in which the charging date falls which it would otherwise have provided in accordance with Paragraph 9.10.2.3, in accordance with the relevant part of the **Charging Statements**. Where the **User** fails to provide such forecasts, the **User** shall be deemed to have submitted forecasts with zero values, and the **System Operator** shall use such forecasts as the basis of **Transmission Network Use of System Charges** for the **Financial Year** in which the charging date falls.

9.10.3 Variation Of Forecasts During The Financial Year

Each User shall notify the System Operator of any revision to the forecast Demand submitted by it under Paragraph 9.10.2 at least quarterly or at such intervals as may be agreed between the System Operator and the User from time to time. The System Operator shall revise the Transmission Network Use of System Charges payable by the User to take account of such revised forecast provided for in accordance with this Paragraph 9.10.3. The System Operator shall commence charging the varied Transmission Network Use of System Charges from the first day of the month following the month in which such revised forecast was received provided always that such forecast is provided before the 15th day of such month.

9.10.4 Reconciliation Statements

9.10.4.1 <u>Calculation of Initial Reconciliation</u>

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On or before 30 June in each Financial Year, the System Operator shall promptly calculate in accordance with the Statement of the Use of System Charging Methodology and the Statement of Use of System Charges the Demand related or generation related Transmission Network Use of System Charges (as the case may be) that would have been payable by the User during each month during the preceding Financial Year (Actual Amount). <u>The System Operator</u> shall then compare the Actual Amount with the amount of Demand related or generation related Transmission Network Use of System Charges (as the case may be) paid during each month during the preceding Financial Year by the User (the "Notional Amount").

Generation Reconciliation

- 9.10.4.2 As soon as reasonably practicable and in any event by 30 April in each Financial Year the System Operator shall prepare a generation reconciliation statement the "Generation Reconciliation Statement") in respect of generation related Transmission Network Use of System Charges and send it to the User. Such statement shall specify the Actual Amount and the Notional Amount of generation related Transmission Network Use of System Charges for each month during the relevant Financial Year and, in reasonable detail, the information from which such amounts were derived and the manner in which they were calculated.
- 9.10.4.3 Together with the Generation Reconciliation Statement, the <u>System Operator</u> shall issue a credit note in relation to any sums shown by the Generation Reconciliation Statement to be due to the User or an invoice in respect of sums due to the <u>System Operator</u> and in each case interest thereon calculated pursuant to Paragraph 9.10.4.4 below.
- 9.10.4.4 As soon as reasonably practicable and in any event by 30 June in each Financial Year the System Operator shall then prepare an initial Demand reconciliation statement (the "Initial Demand Reconciliation Statement") in respect of Demand related Transmission Network Use of System Charges and send it to the User. Such statement shall specify the Actual Amount and the Notional Amount of Demand related Transmission Network Use of System Charges for each month during the relevant Financial Year and, in reasonable detail, the information from which such amounts were derived and the manner in which they were calculated.
- 9.10.4.5 Together with the **Initial Demand Reconciliation Statement** <u>the System Operator</u> shall issue a credit note in relation to any

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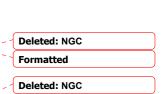
sum shown by the **Initial Demand Reconciliation Statement** to be due to the **User** or an invoice in respect of sums due to <u>the</u> **System Operator** and in each case interest thereon calculated pursuant to Paragraph 9.10.4.6;

9.10.4.6 General Provisions

- (a) Invoices issued under Paragraph 9.10.4.3 shall be payable within 30 days of the date of the invoice;
- (b) Interest on all amounts due under this Paragraph 9.10.4 shall be payable by the paying CUSC Party to the other on such amounts from the date of payment applicable to the month concerned until the date of actual payment of such amounts and such interest shall be calculated on a daily basis at a rate equal to the Base Rate during such period.

9.10.4.7 Final Reconciliation Statement

- (a) The System Operator shall as soon as reasonably practicable following receipt by it of the Final Reconciliation Settlement Run or Final Reconciliation Volume Allocation Run as appropriate in respect of the last Settlement Day in each Financial Year issue a further Demand reconciliation statement (the "Final Demand Reconciliation Statement") in respect of Demand related Transmission Network Use of System Charges payable in respect of each month of that Financial Year showing:-
 - (i) any change in the Demand related Transmission Network Use of System Charges from those specified in the Initial Demand Reconciliation Statement provided in accordance with Paragraph 9.10.4.4;
 - (ii) whether the change represent a reconciliation payment owing by the System Operator to a User or by a User to the System Operator.
 - (iii) the amount of interest determined in accordance with Paragraph 9.10.4.6 above; and
 - (iv) the information from which the amounts in (i) above are derived and the manner of their calculation.
 - (b) Together with the Final Demand Reconciliation Statement <u>the System Operator</u> shall issue a credit note in relation to any sum shown in the Final Demand Reconciliation Statement to be due to the User or an invoice in respect of sums due to the System Operator



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and in each case interest thereon calculated pursuant to Paragraph 9.10.4.6.

- (c) Payment of any invoice issued pursuant to Paragraph 9.10.4.7(b) above or the application of any credit note issued pursuant to that paragraph against any liability of the User to the System Operator for Demand related Transmission Network Use of System Charges will be in full and final settlement of all Demand related Transmission Network Use of System Charges for the Financial Year to which the invoice or credit note relates provided that nothing in this Paragraph 9.10.4.7(c) shall affect the rights of the parties under the provisions of Paragraph 7.3.5 of the CUSC.
- 9.10.4.8 The System Operator and the User hereby agree and acknowledge that the provisions of Paragraph 9.10.4 of this Section 9 will apply to all Transmission Network Use of System Charges payable in respect of any Financial Year ending on or after the NETA Go-live Date. The provisions of Paragraphs 1.2.1 to 1.2.10 inclusive of the form of Appendix E in force on the day prior to the NETA Go-live Date shall continue to apply *mutatis mutandis* to all Transmission Network use of System Charges payable in respect of any Financial Year ending before the NETA Go-live Date.
 - 9.10.5 Paragraphs 3.13.1 3.13.4 (Revision of Charges) shall apply as if set out herein in full.
 - 9.10.6 Each User shall as between <u>the System Operator</u> and that User provide <u>the System Operator</u> with Security Cover in respect of Transmission Network Use of System Demand Reconciliation Charges in accordance with Part III of Section 3.
 - 9.10.7 Paragraphs 3.21 to 3.25 inclusive (Credit Requirements) as they relate to **Transmission Network Use of System Demand Reconciliation Charges** shall apply as if set out herein in full.

9.11 SPECIAL AUTOMATIC FACILITIES

The System Operator and each User shall, as between the System Operator and that User operate respectively the Transmission System and the User's Equipment in accordance with the schemes set out in Appendix F3 to the relevant Bilateral Connection Agreement and/or any Operating Agreement.

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9.12 PROTECTION AND CONTROL RELAY SETTINGS/FAULT CLEARANCE TIMES

The System Operator and the User shall record the respective protection and control relay settings and fault clearance times to be operated by each of them in documents in the format set out in Appendix F4 to the relevant **Bilateral Connection Agreement** and shall operate them accordingly.

9.13 OTHER SITE SPECIFIC TECHNICAL CONDITIONS

- 9.13.1 The User shall ensure that, in the case of a Connection Site Commissioned prior to the Transfer Date, on the Transfer Date, and in the case of a Connection Site Commissioned after the Transfer Date, on the Completion Date(s), the User's Equipment complies with the site specific technical conditions set out in Appendix F5 to the relevant Bilateral Connection Agreement and/or in the relevant Operating Agreement.
- 9.13.2 The **User** shall use all reasonable endeavours to ensure during the period in which it is a party to a particular **Bilateral Connection Agreement** that the **User's Equipment** which is subject to that **Bilateral Connection Agreement** shall continue to comply with the site-specific technical conditions set out in Appendix F5 to that **Bilateral Connection Agreement** and/or in the relevant **Operating Agreement**.
- 9.13.3 If the **User** or <u>the **System Operator**</u> wishes to modify, alter or otherwise change the site specific technical conditions relating to a **Connection Site** or the manner of their operation:
 - (a) under Appendix F4 to the relevant Bilateral Connection Agreement it may do so upon obtaining the agreement of the other party such agreement not to be unreasonably withheld;
 - (b) under Appendices F1 or F3 or F5 to the relevant Bilateral Connection Agreement it shall be deemed to be a Modification for the purposes of the CUSC.
 - 9.13.4 Where, in the case of a **Connection Site Commissioned** prior to the **Transfer Date**, on or immediately prior to the **Transfer Date** a **User's Equipment** subject to a particular **Bilateral Connection Agreement** has any of the following technical attributes or facilities:
 - (a) circuit breaker fail protection
 - (b) pole slipping protection

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- (c) fault disconnection facilities
- (d) automatic switching equipment
- (e) control arrangements
- (f) voltage and current signals for system monitoring
- (g) control telephony
- (h) operational metering,

the User shall, as between the System Operator and that User, use all reasonable endeavours to ensure that during the period of such Bilateral Connection Agreement the User's Equipment which is subject to that Bilateral Connection Agreement retains such technical attributes or facilities provided always that if the User wishes to modify alter or otherwise change the same or their operation it may do so by following the procedures relating to a Modification in accordance with the CUSC.

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9.14 SAFETY RULES

In relation to a **Connection Site** the **System Operator** and the **User** will each supply to the other a copy of their **Safety Rules** current from time to time, including any site-specific **Safety Rules**, and also a copy of the **Local Safety Instructions** applicable at each **Connection Site** from time to time.

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9.15 INTERFACE AGREEMENT

9.15.1 <u>The System Operator</u> and the User undertakes to enter into an Interface Agreement with each in a form to be agreed between them but based substantially on the forms set out in Schedule 2 as appropriate in relation to Connection Site(s) and New Connection Site(s) where Interface Agreement(s) is/are required pursuant to the applicable Bilateral Connection Agreement or otherwise.

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9.16 PRINCIPLES OF OWNERSHIP

9.16.1 Subject to any contrary agreement in any **Bilateral Connection Agreement** or elsewhere, the division of ownership of **Plant** and **Apparatus** shall be at the electrical boundary, such boundary to

be in relation to **Plant** and **Apparatus** located between the **Transmission System** and an **Interconnector** at the busbar clamp on the busbar side of the busbar isolators in the **Interconnector** transformer circuits at a **Connection Site**.

9.16.2 For the avoidance of doubt nothing in this Paragraph 9.16 shall effect any transfer of ownership in any **Plant** or **Apparatus**.

9.17 EVENT OF DEFAULT

- 9.17.1 Where <u>the System Operator</u> has given notice of event of default to an Interconnector User or Interconnector Error Administrator in accordance with Section 5 <u>the System</u> <u>Operator</u> shall be entitled to request the User to cease or procure the cessation of the transfer of power across the relevant Interconnector by or on behalf of that Interconnector User or Interconnector Error Administrator.
- 9.17.2 As soon as practicable after such request from <u>the System</u> <u>Operator</u> the User shall cease or procure the cessation of the transfer of power across the relevant Interconnector by or on behalf of that Interconnector User.

9.18 NEW CONNECTION SITES

- 9.18.1 If the **User** wishes to connect a **New Connection Site** it shall complete and submit to <u>the **System Operator**</u> a **Connection Application** and comply with the terms thereof.
- 9.18.2 Without prejudice to [Standard Condition C7D] of the <u>System</u> <u>Operator</u> Transmission Licence <u>the System Operator</u> shall make a Connection Offer to that User as soon as practicable after receipt of the Connection Application and (save where the Authority consents to a longer period) in any event not more than 3 months after receipt by <u>the System Operator</u> of the Connection Application.
- 9.18.3 The **Connection Offer** shall remain open for acceptance for 3 months from its receipt by that **User** unless either that **User** or <u>the **System Operator**</u> makes an application to the **Authority** under [Standard Condition C7D] of the <u>System Operator</u> **Transmission Licence**, in which event the **Connection Offer** shall remain open for acceptance until the date 14 days after any determination by the **Authority** pursuant to such application.
- 9.18.4 If the **Connection Offer** is accepted by that **User** the connection shall proceed according to the terms of the **CUSC** and the relevant **Bilateral Connection Agreement** and **Construction**

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Agreement entered into consequent upon acceptance of the Offer.

PART II - USE OF THE TRANSMISSION SYSTEM BY INTERCONNECTOR USERS AND INTERCONNECTOR ERROR ADMINISTRATORS

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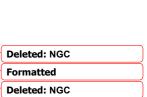
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9.19 INTRODUCTION

This Part II deals with rights and obligations relating to use of the Transmission System by Interconnector Users and Interconnector Error Administrators (whether in respect of an Interconnector governed by the Existing Contractual Arrangements or otherwise). An Interconnector Error Administrator is deemed to be using the Transmission System because of its registered BM Units in respect of which it has an obligation to pay Balancing Services Use of System Charges. The User for the purposes of this Part II will therefore be an Interconnector User and/or an Interconnector Error Administrator. Where Interconnector the Frror Administrator is the System Operator or Interconnectors Business, the User for the purposes of this Part II will be the System Operator.

9.20 RIGHTS TO USE THE TRANSMISSION SYSTEM

- 9.20.1 Subject to the provisions of the CUSC, the Grid Code and any relevant Operating Agreement the System Operator shall as between the System Operator and that User transport a supply of power through the Transmission System together with such margin as the System Operator shall in its reasonable opinion consider necessary having due regard to the System Operator's duties under the System Operator Transmission Licence except to the extent (if any) that the System Operator is prevented from doing so by transmission constraints or by insufficiency of generation which, in either case, could not have been avoided by the exercise of Good Industry Practice by the System Operator.
- 9.20.2 This right is subject to there being a **Bilateral Connection Agreement** between the **Interconnector Owner** and <u>the</u> <u>System Operator</u> for the **Connection Site** of the relevant Interconnector.
- 9.20.3 The **User** acknowledges that breach of the provisions of the **CUSC** by an **Interconnector Owner** may give rise to **Deenergisation** or **Disconnection** of the **Connection Site** of that **Interconnector** pursuant to Section 5.



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9.20.4 Subject to the provisions of the **Grid Code** and any **Operating Agreement <u>the System Operator</u> shall be entitled to plan and execute outages of parts of <u>the Transmission System** or **Plant** or **Apparatus** at any time and from time to time.</u>

9.21 USE OF SYSTEM APPLICATION

- 9.21.1 If a **User** wishes to use the **Transmission System** in accordance with this Part II, it shall complete and submit to <u>the</u> **System Operator** a **Use** of **System Application** and comply with the terms thereof.
- 9.21.2 Without prejudice to [Standard Condition C7D] of the <u>System</u> <u>Operator</u> Transmission Licence, <u>the System Operator</u> shall make a Use of System Offer to that prospective User as soon as practicable after receipt of the Use of System Application and (save where the Authority commits to a longer period) in any event not more than 28 days after receipt by <u>the System</u> <u>Operator</u> or the Use of System Application.
- 9.21.3 The Use of System Offer shall be in the form of Use of System Interconnector Offer Notice. The provisions of [Standard Condition C7D] of the <u>System Operator Transmission Licence</u> shall apply to an application by a User under this Section 9 Part II as if the Use of System Interconnector Offer Notice and Use of System Interconnector Confirmation Notice was an agreement for the purposes of that condition.
- 9.21.4 The Use of System Interconnector Offer shall remain open for acceptance for 28 days from its receipt by that User unless either that User or <u>the System Operator</u> makes an application to the Authority under [Standard Condition C7E] of the <u>System</u> <u>Operator</u> Transmission Licence, in which event the Use of System Interconnector Offer Notice shall remain open for acceptance until the date 14 days after any determination by the Authority pursuant to such application.
- 9.21.5 Upon acceptance of the Use of System Interconnector Offer (as offered by <u>the System Operator</u> or determined by the **Authority**) by the User and the issuing by <u>the System Operator</u> of a Use of System Interconnector Confirmation Notice, the User shall have the right to use the <u>Transmission System</u> in accordance with this Section. Such right shall continue until a Use of System Termination Notice is submitted pursuant to Paragraph 9.23.1 or the use ceases in accordance with 9.23.2.

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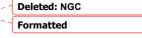
9.21.6 Such rights shall be conditional upon the **Applicant**, if it is not already a party to the **CUSC Framework Agreement**, becoming a party to the **CUSC Framework Agreement**.

9.22 USE OF SYSTEM CHARGES- Balancing Services Use of System Charges

- 9.22.1 Subject to the provisions of the CUSC, together with the relevant Charging Statements, each User shall with effect from the relevant date set out in the relevant Use of System Interconnector Confirmation Notice given to a User be liable to pay to <u>the System Operator</u> in accordance with the CUSC in respect of each Settlement Day the Balancing Services Use of System Charges calculated in accordance with the Statement of the Use of System Charges Methodology.
- 9.22.2 **The System Operator** shall apply and calculate the **Balancing** Services Use of System Charges in accordance with the Statement of Use of System Charges and the Statement of the Use of System Charging Methodology.
- 9.22.3 Each User shall as between the System Operator and that User provide the System Operator with Security Cover in respect of Transmission Services Use of System Charges and Balancing Services Use of System Charges in accordance with the provisions of Part III of Section 3.
- 9.22.4 Paragraphs 3.21 to 3.24 (Credit Requirements) as they relate to **Transmission Services Use of System Charges** and **Balancing Services Use of System Charges** shall apply as if set out herein in full and as if references to **Generators** were references to **Interconnector Users** and to **Interconnector Error Administrators** (as the case may be).
- 9.22.5 Balancing Services Use of System Charges

Notwithstanding the provisions of Paragraph 6.6.1 the following provisions shall apply to the payment of the **Balancing Services Use of System Charges**.

(a) <u>The System Operator</u> shall not later than 17.00 hours on the relevant Notification Date (and if this is not practicable as soon as possible thereafter as <u>the System Operator</u>, acting reasonably, considers is practicable) despatch an advice notice to the User in respect of the Settlement Day in relation to which the Balancing Services Use of System Charges are due on the relevant Payment Date.



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- (b) The information on the advice notice in respect of each Settlement Day shall include the name of the User and the total amount payable to <u>the System Operator</u> in respect of Balancing Services Use of System Charges and in all cases together with any Value Added Tax thereon during each Settlement Day.
- (c) <u>The System Operator</u> shall, within a reasonable time thereafter provide a valid Value Added Tax invoice in respect of Balancing Services Use of System Charges identified on the advice note.
- (d) The User shall pay the Balancing Services Use of System Charges specified in the advice notice together with the Value Added Tax thereon to <u>the System</u> <u>Operator</u> no later than 12.30 hours on the Payment Date specified on the advice note in respect of such Settlement Date as if they were payments made in the manner specified in Paragraph 6.6.3 of the CUSC.

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9.22.6 Reconciliation

As soon as reasonably practicable after receipt by <u>the System</u> <u>Operator</u> of the Final Reconciliation Volume Allocation Run in respect of a Settlement Day the System Operator shall prepare and submit to each Interconnector User a statement (which may form part of an invoice or other document) calculated in accordance with the data specified in the Statement of the Use of System Charging Methodology in respect of that Settlement Day ("Balancing Services Use of System Reconciliation Statement"), showing the new value (if any) of data (as specified in the Statement of the Use of System Charging Methodology in force on that Settlement Day) attributable to the User in respect of such Settlement Day and the amount of Balancing Services Use of System Charges payable by the User on the basis of the new value (the "Reconciled Charge").

- 9.22.7 In the event that:
 - (a) the Reconciled Charge exceeds the Balancing Services Use of System Charges paid by the User in respect of that Settlement Day ("Initial Charge") <u>the System</u> <u>Operator</u> shall at its option either:
 - send to the User as soon as reasonably practicable after issue of the Balancing Services Use of System Reconciliation Statement an invoice for the amount by which the Reconciled Charge exceeds



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the **Initial Charge** and interest thereon calculated in accordance with the provisions set out in Paragraph 9.22.8; or

- (ii) include such amount in another invoice in respect of Balancing Services Use of System Charges to the User.
- (b) the Reconciled Charge is less than the Initial Charge <u>the</u> <u>System Operator</u> shall at its option either:-
 - (i) send to the User as soon as reasonably practicable after issue of the Balancing Services Use of System Reconciliation Statement a credit note for the amount by which the Initial Charge exceeds the Reconciled Charge and interest thereon calculated in accordance with the provisions set out in Paragraph 9.22.8; or
 - (ii) include such amount as a credit in an invoice in respect of Balancing Services Use of System Charges from the System Operator to the User.
- 9.22.8 Interest payable in respect of each reconciliation payment shall accrue from and including the relevant **Use of System Payment Date** up to but excluding the date upon which the amounts specified in the **Balancing Services Use of System Reconciliation Statement** are paid, and shall be at a rate equal to the **Base Rate** for the time being and from time to time. Interest shall accrue from day to day.
- 9.22.9 If <u>the System Operator</u> receives written notice from any User or from the relevant BSC Agent that an error has occurred in any data forming part of or used within the Initial Volume Allocation Run which affects the costs to <u>the System Operator</u> of offers and bids in the Balancing Mechanism accepted by <u>the System Operator</u> in respect of any Settlement Day, and that error has been ratified in accordance with the procedures for ratification set out in the Balancing and Settlement Code it shall use its reasonable endeavours to, as soon as reasonably practicable after receipt of such notice, issue a dispute reconciliation statement ("Dispute Statement") to the User in respect of that Settlement Day.
 - 9.22.10 Any **Dispute Statement** issued pursuant to Paragraph 9.22.9 above shall show the amount of **Balancing Services Use of System Charges** payable by the **User** on the basis of the ratified data.
 - 9.22.11 (a) In the event that the amount shown in any **Dispute** 9-22

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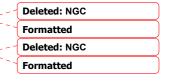
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Statement exceeds the aggregate amount paid by the **User** in respect of the **Settlement Day** to which the **Dispute Statement** relates under any invoices issued pursuant to Paragraph 9.22.5 and Paragraph 9.22.7 above (after taking into account any credit notes issued) <u>the</u> **System Operator** shall submit to the **User** a further invoice for such excess and interest thereon calculated in accordance with Paragraph 9.22.8;

- (b) In the event that the amount shown in any Dispute Statement is less than the aggregate amount paid by the User in respect of the Settlement Day to which the Dispute Statement relates under any invoices issued pursuant to Paragraph 9.22.5 and Paragraph 9.22.7 above (after taking into account any credit notes issued) <u>the</u> <u>System Operator</u> shall submit to the User a credit note for the amount by which the amount paid exceeds the amount shown in the Dispute Statement together with interest thereon calculated in accordance with Paragraph 9.22.8;
- 9.22.12 If at any time prior to receipt by <u>the System Operator</u> of the Final Reconciliation Volume Allocation Run in respect of a Settlement Day <u>the System Operator</u> receives written notice from any User or the relevant BSC Agent of an error occurring in any data forming part of or used within the Initial Volume Allocation Run or the Reconciliation Volume Allocation Run which in either case affects the data (as specified in the Statement of the Use of System Charging Methodology) used in the calculation of Balancing Services Use of System Charges for that Settlement Day, which error:-
 - (a) is not taken into account in the **Final Reconciliation Volume Allocation Run**; and
 - (b) has been ratified in accordance with the procedures for ratification set out in the **Balancing and Settlement Code**,
 - then <u>the System Operator</u> shall use its reasonable endeavours to prepare the **Balancing Services Use of System Reconciliation Statement** on the basis of the ratified data.
- 9.22.13 The right to submit **Balancing Services Use of System Reconciliation Statements** and **Dispute Statements** and the consequential invoices and/or credit notes shall survive the termination of the **User's** rights under the **CUSC** and the parties agree that the provisions of this Paragraph 9.22 shall remain in full force and effect and shall continue to bind them after such termination (the version in existence as at the date of termination being the applicable version, in the case of any amendments).

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9.22.14 Reconciliation Payments

Each **User**, or as the case may be, <u>the System Operator</u>, shall pay the amounts set out in any invoice or credit note issued pursuant to Paragraphs 9.22.7 or 9.22.11 respectively above, either in accordance with the applicable requirements for payment of other sums due under that invoice in the case of sums shown in an invoice also dealing with other payments, or in other cases within 5 **Business Days** of the date of the **Balancing Services Use of System Reconciliation Statement or Dispute Statement** as appropriate.

9.22.15 <u>Revision of Charges</u>

- (a) Subject to Paragraph (b) below, the System Operator shall give the User not less than 2 months prior written notice of any revision to the Statement of the Use of System Charging Methodology which will affect the application and calculation of the Balancing Services Use of System Charges, which notice shall specify the date upon which such revisions become effective (which may be at any time). The User shall pay any such revised charges with effect from the date specified in such notice.
- (b) Where in accordance with the <u>System Operator</u> Transmission Licence, the Authority determines a shorter period than two months for the implementation of a revision to the charges which will affect the application and calculation of the Balancing Services Use of System Charge, the notice period will be determined by the Authority. The notice will specify when the revision is effective and the User shall pay any such revised charges with effect from the date specified in such notice.

9.23 TERMINATION AND RELATED PROVISIONS

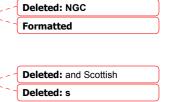
- 9.23.1 A User may terminate its use of the **Transmission System** by giving <u>the System Operator</u> a Use of System Termination Notice not less than 28 days prior to such termination of use and the right to use the **Transmission System** shall cease upon the termination date in the Use of System Termination Notice.
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- 9.23.2.1 Use shall cease forthwith upon:
 - (a) There ceasing to be a **Bilateral Connection Agreement** or termination of the **Existing Contractual Arrangements** for the **Connection Site** of the relevant **Interconnector**;

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- (b) disconnection of the Connection Site of the relevant Interconnector pursuant to Section 5 or, in the case of the French Interconnector, pursuant to the Existing Contractual Arrangements;
- (c) an **Event of Default** by the **User** as provided for in Section 5.
- 9.23.2.2 Use shall be suspended for the period of any **Deenergisation** of the **Connection Site** of the relevant **Interconnector** pursuant to the **CUSC**, or in the case of the French **Interconnector**, the **Existing Contractual Arrangements**.
- 9.23.3 Prior to termination by a **User** under Paragraph 9.23.1 above, the **User** shall pay to <u>the **System Operator**</u> all **Balancing Services Use of System Charges** payable by the **User** in respect of the **Financial Year** in which the cessation takes place.
- 9.23.4 Termination of use under this Paragraph 9.23 shall not relieve a User of its obligation under 9.22 to pay any outstanding **Balancing Services use of System Charges** in respect of any **Settlement Day** for which the **Payment Date** fell after the date of the termination of use.
- 9.23.5 The right of <u>the System Operator</u> to request the Interconnector Owner to cease or procure the cessation of the transfer of power across the relevant Interconnector as provided for in Paragraph 9.17 and Section 5 of the CUSC is subject, in the case of the French Interconnector, to the Existing Contractual Arrangements providing for this.



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