

Annex C: Draft load control licence application form

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| Publication date: | 10 December 2025 |
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| Response deadline: | 18 February 2026 |
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| Contact: | flexibility@ofgem.gov.uk |
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| Team: | Decentralised Energy Systems |
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We are seeking feedback on this draft load control licence application form as part of an Ofgem consultation package that includes ‘Smart Secure Electricity Systems: Implementing the load control licensing regime consultation’ and ‘Annex D: Draft load control consumer protection guidance’.

The Ofgem consultation on implementing the load control licensing regime sets out our proposals for operationalising the regime, including a proposed application process and evidence requirements for different types of licensees. These proposals are reflected in this draft application form. The consultation also explains that we are considering the case for load control licence condition derogations where there is significant overlap with other licence requirements which may result in unnecessary regulatory burden for the licensee with limited consumer benefit. Should Ofgem and government decide to pursue this option, we will amend the application form to reflect this direction.

The standard electricity licence application form is set out in the Electricity (Applications for Licences, Modifications of an Area and Extensions and Restrictions of Licences) Regulations 2019 and can be found on Ofgem’s website.¹ We intend to amend this form to bring the load control licence into scope and include specific questions relevant to load control. The changes we propose to make to the electricity licence application form are presented in the change log in Section 3.

The purpose of this document is to:

- Set out the proposed structure and content of the load control licence application form (including requests for licence extensions and restrictions), as it is proposed

¹ [electricity licence application form 2019.docx](#)

to be incorporated into the current electricity licence application form.

- Help stakeholders assess and provide feedback on whether the questions and evidence requirements are clear, proportionate and aligned with Ofgem's policy intent as detailed in the 'SSES: Implementing the load control licensing regime consultation document' and 'Draft load control consumer protection guidance'.

Alongside the Ofgem consultation package on implementing the load control licensing regime, DESNZ is consulting on the scope of the load control licence and draft licence conditions. Following its decision on the outcome of this consultation, the application form may be updated. For definitions of terms used in this application form, please see the DESNZ licensing consultation [here](#).

Throughout this draft application form, there are references to further guidance for applicants. This may refer to Ofgem's licence application guidance on 'Applying for a gas or electricity licence'.² We intend to amend this guidance to bring the load control licence into scope and to provide further detail about our expectations of applicants and evidence requirements. We plan to consult on an updated draft in Spring 2026.

Alternatively, it may refer to the 'Draft load control consumer protection guidance' which is published as Annex D of this consultation package. This sets out our consumer protection expectations to ensure Flexibility Service Providers (FSPs) are equipped to deliver services that meet load control consumer needs.

We seek feedback from a wide range of stakeholders to ensure our decisions are balanced and well-informed. This includes prospective licensees, consumer advocacy groups, industry bodies, trade associations and other interested parties.

Ofgem welcomes responses to the questions listed below and any other feedback about this draft application form. Stakeholders are invited to provide evidence, views and feedback on the proposed changes by 18 February 2026.

[Guidance on how to apply for a gas or electricity licence.pdf](#)

1. Do you consider the information and evidence requirements in the draft application form to be clear and aligned with the policy intent as set out in the ‘Smart Secure Electricity Systems: Implementing the load control licensing regime’ consultation document and the ‘Draft load control consumer protection guidance’?
2. Do you have any comments on the overall usability and structure of the draft application form?
3. Do you find the signposting approach (indicating which questions applicants should answer), sufficiently clear? Please explain your answer and suggest any improvements.

Once the consultation is closed, we will consider all responses. We want to be transparent in our consultations. We may publish the non-confidential responses we receive alongside a decision on next steps on our website at [ofgem.gov.uk/consultations](https://www.ofgem.gov.uk/consultations). If you want your response – in whole or in part – to be considered confidential, please tell us in your response and explain why. Please clearly mark the parts of your response that you consider to be confidential, and if possible, put the confidential material in separate appendices to your response.

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1. Summary of application form sections

The table below sets out the standard information and evidence required from all applicants for an electricity licence ('Tier 1' of the application form). This section is the main focus of this draft load control licence application form consultation as it is where most additions have been made to the original licence application form.

Tier 1: To be completed by all licence applicants unless otherwise specified

| Question | Section | Question focus | Applicant type |
|----------|---|---|--|
| 1 | Standard questions | Applicant details | All applicants |
| 2 | Standard questions | Licence details | All applicants |
| 3 | Standard questions | Applicant's directors | All applicants |
| 4 | Standard questions | Ultimate holding company | All applicants |
| 5 | Standard questions | Parent undertaking | All applicants |
| 6 | Standard questions | Persons in effective control | All applicants |
| 7 | Standard questions | Shareholder details | All applicants |
| 8 | Standard questions | Previous applications and licences held | All applicants |
| 9 | Modification of standard licence | Modification requests | Where applicable |
| 10 | Suitability to hold a licence | Fit and proper checks | All applicants except supply licence holders (same legal entity applying for load control) |
| 11 | Operational capability | Proposed arrangements for commencing activity | All except supply licence holders (same legal entity applying for load control) |
| 12 | Electricity supply licence applications | Supply licence details | Supply licence applicants |
| 13 | Restriction of supply licence applications | Restriction details | Supply licence applicants (where applicable) |
| 14 | Electricity distribution licence applications | Distribution licence details | Distribution licence applicants |
| 15 | Restriction of | Restriction details | Distribution licence |

| Question | Section | Question focus | Applicant type |
|----------|---|---------------------------------|--|
| | distribution licence | | applicants (where applicable) |
| 16 | Electricity interconnector licence | Interconnector details | Interconnector licence applicants |
| 17 | Electricity transmission licence | Transmission licence details | Transmission licence applicants |
| 18 | Modification of transmission licence area | Modification details | Transmission licence applicants (where applicable) |
| 19 | Load control licence specific | Operational capability | All load control applicants (supply licence holders only answer Q19.1 & Q19.2) |
| 20 | Load control licence specific | Customer protection obligations | Load control applicants undertaking FSP activity only, except supply licence holders (same legal entity applying for load control) |
| 21 | Load control licence specific | Cybersecurity obligations | Load control applicants undertaking Load Controller activity only |
| 22 | Load control licence specific | Industry codes | Load control applicants – Load Controller activity only (see Q22.3 note) |
| 23 | Restriction of load control licence | Restriction details | Where applicable |
| 24 | Extension of load control licence | Extension details | Where applicable |
| 25 | Declaration | Declaration | All applicants |

The table below sets out the additional information and evidence that may be required from applicants, if requested by Ofgem during the application process ('Tier 2' of the application form). This section is designed for cases where a need for further scrutiny is identified to ensure Ofgem can make a fully informed decision.

Tier 2: Additional information to be provided on request by Ofgem:

| Question | Question focus | Applicant type |
|----------|---|-----------------------|
| 1 | Certified copies of any official documents | As requested by Ofgem |
| 2 | Evidence of contact with Code owners/Administrators | As requested by Ofgem |
| 3 | Bank, solicitors, and auditors' details | As requested by Ofgem |
| 4 | Agreement to attend an interview if requested | As requested by Ofgem |
| 5 | Additional documentation | As requested by Ofgem |
| 6 | Declaration | All Tier 2 applicants |

We welcome feedback on any part of the draft form but are particularly interested in your views on Tier 1.

2. Change log

The table below provides a summary of the changes we are proposing to make to the existing electricity licence application form. It is included to support accessibility and ensure transparency for respondents. By listing amendments to the wording and/or structure of the form, we aim to make clear where updates are proposed and help users understand the evolution of the original document.

| Section/ Question | Description of added/changed text | Explanation |
|--|---|--|
| Question 2.1: Licence Type | <i>“load control licence”</i> | Adds <i>“load control licence”</i> as a selectable licence type. |
| Question 2.2: Application Type | <i>“the extension of an existing licence (supply, distribution and load control licences only)”</i> <i>“the restriction of an existing licence (supply, distribution and load control licences only)”</i> | Extends extension/restriction options to load control licences. |
| Question 10: Suitability to hold a licence | <i>“Note: Applicants for the load control licence that already hold a supply licence (where the applicant and supply licence holder are the same legal entity) do not need to answer the following question (question</i> | Exempts supply licence applicants (same legal entity applying for load control) from |

| Section/ Question | Description of added/changed text | Explanation |
|---|---|---|
| | 10).” | answering Q10. |
| Question 11: Operational Capability | <i>“Note: Applicants for the load control licence that already hold an electricity supply licence (where the applicant and supply licence holder are the same legal entity) do not need to answer the following question (question 11.1).”</i> | Exempts certain load control applicants from answering question 11.1. |
| Licence specific information: (located after question 11 and before question 12) | <i>“a load control licence - please complete questions 19 to question 22 as applicable” “the restriction of an existing load control licence - please complete question 23” “the extension of existing load control licence - please complete question 24.”</i> | Adds routing for new load control licence sections. |
| Questions 19.1-19.4: Load Control activity (19.1 and 19.2), Financial Responsibility (19.3) and Operational Capability (19.4) | All of question 19.1 to question 19.4 and associated notes are new additions to the original electricity licence application form: 19.1 <i>“Which licensable activity do you intend to undertake (check all that apply): - Flexibility Service Provider - Load Controller – below 300MW - Load Controller – above 300MW”</i> 19.2 <i>“Please specify whether the application relates to: - Domestic consumers/premises only; or - Small business consumer/premises only or - Both domestic consumers/premises and small business/premises consumers”</i> 19.3 <i>“Please explain how you will meet the financial responsibility requirement...”</i> 19.4 <i>“Provide details of your proposed plans for your first two years’ operation...”</i> | Introduces new questions specific to load control licence applicants. |
| Question 20.1: Consumer Protection | All of question 20.1 and associated notes are new additions to the form: <i>“Please provide a statement of intent setting</i> | Introduces question on customer protection |

| Section/ Question | Description of added/changed text | Explanation |
|---|---|--|
| | <p><i>out the steps you have taken or intend to take to comply with the following licence requirements...”</i></p> <p><i>Lists SSES Consumer Protection Conditions 11–14, Complaints and ADR requirements, and notes about the Energy Ombudsman.</i></p> | obligations for Flexibility Service Providers (FSPs) |
| Question 21: Cybersecurity obligations (Load Controllers) | <p>All of questions 21.1 to question 21.8 and associated notes are new additions to the form:</p> <p><i>Questions on organisational systems, current/forecast aggregate load, cyber responsible officer, CAF compliance, cyber accreditations, etc.</i></p> | Introduces cybersecurity questions and operational requirement questions for Load Controllers. |
| Question 22: Industry Codes (Load Controllers only) | <p>All of questions 22.1 to question 22.3 and associated notes are new additions to the form:</p> <p><i>Statements of intent to comply with Grid Code, Distribution Code, CUSC, DCUSA, and evidence of BSC application.</i></p> | Introduces questions on industry code compliance requirements for Load Controllers. |
| Question 23: Restriction of Load Control Licence | <p>All of questions 23.1 to question 23.2 and associated notes are new additions to the form:</p> <p><i>Questions on describing restriction, rationale, and consumer impacts for restricting a load control licence.</i></p> | Adds process for restricting a load control licence. |
| Question 24: Extension of Load Control Licence | <p>All of question 24.1 to question 24.2 are new additions to the form:</p> <p><i>Questions on describing extension, rationale, compliance steps, and additional systems/resources for extending a load control licence.</i></p> | Adds process for extending a load control licence. |
| Application Fees Table | <p>Addition of “Load Control” row and all fee lines:</p> <p><i>“Application for a licence”, “Application for any extension”, “Application for any restriction”, all with “To be determined”</i></p> | Introduces fee categories for load control licences. |

| Section/ Question | Description of added/changed text | Explanation |
|----------------------|---|--|
| Notes section | <p><i>A load control licence allows the licensee to participate in load control activity.</i></p> <p><i>Participating in load control activity is defined as: a) creating or changing a load control signal, or controlling the timing of the sending of a load control signal for the purpose of adjusting the immediate or future flow of electricity into or out of an energy smart appliance or another appliance in response to the load control signal.</i></p> <p><i>b) entering into a legally enforceable arrangement with a consumer to provide load control at that consumer's premises.</i></p> | <p>Defines load control licence and clarifies scope of application form.</p> |
| Notes section | <p><i>"You may also use this form to make an application for the extension or restriction of an existing electricity supply electricity distribution or load control licence."</i></p> | <p>Extends the scope of the existing licence to extend or restrict a load control licence.</p> |

Draft load control licence application form

This section presents the standard electricity licence application form which we intend to amend to include specific questions relevant to load control. A full record of the proposed changes is provided in the change log in Section 2 above, and we have incorporated these proposed changes into the form in this section. We are seeking feedback on these proposed changes and welcome your views on whether they meet the intended objectives.

All fields are mandatory unless indicated otherwise

All applicants must refer to the licence application guidance document which is available on the Ofgem website (www.ofgem.gov.uk) when completing this form.

TIER 1 – TO BE COMPLETED BY ALL APPLICANTS

Applicant details

1.1 Registered Business
Name:

Registration number:

Trading Name (if any):

VAT number:

Put "none" if you are not
VAT registered

(Applicants for supply licences must provide the VAT registration number under which they will supply customers or provide evidence that they have applied for VAT registration)

1.2 Legal status:

Is your business registered outside the UK?

Yes: ☐

No: ☐ (Go to question 1.3)

If yes, please provide the name of the commercial register with which your business is registered.

Commercial register:

1.3 If your business is a body corporate, state the jurisdiction/country of registration:

1.4 The following documents are required as part of the application process. Please check each box to confirm that you will be submitting these with your application.

Certified copy of certificate of incorporation ☐

Certified copy of VAT registration certificate ☐

Registered address of applicant

1.5 Please provide the registered address of the applicant in full. If applying as an individual, please give your full home address:

1.6 Is the applicant a partnership or other joint venture (other than a body corporate)?

Yes: ☐ (Go to question 1.9)

No: ☐ (Go to question 1.10)

1.7 Please state the full name of the Partnership/Joint Venture and the full name(s) and address of each party in that Partnership or Joint Venture.

Partnership/Joint
Venture name:

Partnership/Joint Venture details

Party 1 – Name and address details

Full name(s):

Full address:

Party 2 – Name and address details

Full name(s):

Full address:

NOTE: If there are more than two parties in the partnership or Joint Venture please check the box below and continue on a separate sheet.

☐ Name and addresses of additional partnership/joint venture parties included as an attachment

Contact details

1.8 Please provide full details of the person to contact with any queries about this application.

First name(s):

Family name/Surname:

Capacity/position:

E-mail:

Telephone number:

Full contact address:

1.9 Please provide full details of the person to contact for future regulatory purposes, if the licence applied for is granted.

First name(s):

Family name/Surname:

Capacity/position:

E-mail:

Telephone number:

Full contact address:

1.10. Any documents served by post will be sent to the registered address unless another UK service address is given for this purpose below (note this service address may be published on the Ofgem website):

Licence details

2.1 Please select the type of licence this application relates to (**check one box only**):

- ☐ electricity supply licence
- ☐ electricity generation licence
- ☐ electricity distribution licence
- ☐ electricity transmission licence
- ☐ electricity interconnector licence
- ☐ load control licence

2.2 Is the application for (**check one box only**):

- ☐ a new licence
- ☐ the extension of an existing licence (supply, distribution and load control licences only)
- ☐ the restriction of an existing licence (supply, distribution and load control licences only)
- ☐ the modification of an area of an existing transmission licence

2.3 State the date the new licence, extension or restriction is desired to take effect:

 / /
DD /MM/ YYYY

Please see our full guidance on licence applications available from the Ofgem website (www.ofgem.gov.uk) for further details on timescales for issuing licences.

Details of applicant's directors

3.1 Please provide full details of **all** of the applicant's current director(s) (including any shadow directors within the meaning of section 251 of the Companies Act 2006) or where applicable the corresponding officers.

Director 1

First name(s):

Family name/Surname:

Full **home** address:

Previous home address if less than 3 years at current address:

Date of birth:

/ /
(DD/MM/YYYY)

Date of current appointment as director:

/ /
(DD/MM/YYYY)

Director 2

First name(s):

Family name/Surname:

Full **home** address:

Previous home address if less than 3 years at current address:

Date of birth: / /
 (DD/MM/YYYY)

NOTE: If there are more applicant directors, please check the box below and continue on a separate sheet.

Details of ultimate holding company

("Ultimate holding" means a holding company (within the meaning of sections 1159 and 1160 of the Companies Act 2006) of the applicant which is not itself a subsidiary of another company, which is in the position to control, or exercise significant influence over, a policy of the applicant by virtue of rights under contractual arrangements or rights of ownership which are held by the company or of which the company is a beneficiary.)

Registered Business Name:

Country of registration:

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OFFICIAL

Director 1

First name(s):

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Family name/Surname:

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Date of birth:

 / /
(DD/MM/YYYY)

Director 2

First name(s):

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Family name/Surname:

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Date of birth:

/ /
 (DD/MM/YYYY)

Director 3

First name(s):

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Family name/Surname:

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Date of birth:

/ /
 (DD/MM/YYYY)

NOTE: If there are more ultimate holding company directors, please check the box below and continue on a separate sheet.

☐ Names and dates of birth of additional ultimate holding company directors included as an attachment

Details of parent undertaking

5.1 Does the applicant have a parent undertaking (within the meaning of section 1162 of the Companies Act 2006)?

Yes: ☐ (Please provide details below) No: ☐ (Go to question 6.1)

Parent undertaking details

Registered Business Name:

Registration number:

Trading Name (if any):

Country of registration:

Please provide the registered or principal address of the parent undertaking in full:

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Parent undertaking directors

Director 1

First name(s):

Family name/Surname:

Date of birth: / /
 (DD/MM/YYYY)

Director 2

First name(s):

Family name/Surname:

Date of birth:

/ /
(DD/MM/YYYY)

NOTE: If there are more parent undertaking directors, please check the box below and continue on a separate sheet.

☐ Name and date of birth of additional parent undertaking director(s) included as an attachment.

Persons in effective control of the applicant

6.1 Is the applicant a body corporate or a sole trader?

Yes: ☐ (Go to question 6.1)

No: ☐ (Please give details below of person(s) in effective control and then go to section 7)

Persons in effective control details

First name(s):

Family name/Surname:

Full **home** address:

Previous home address if less than 3 years at current address:

Date of birth:

/ /
(DD/MM/YYYY)

NOTE: If more than one person is in effective control, please check the box below and continue on a separate sheet.

☐ Name, home address and date of birth of additional person(s) in effective control included on a separate sheet.

Go to question 8.1

Shareholder details

7.1 Are there any shareholders with a holding of 20 per cent or more of any class of shares in the applicant?

Yes: ☐ (Please give details below of each shareholder)

No: ☐ (Go to Section 8)

Shareholder 1 – Details

Full name of shareholder:

Full address. If an individual, please give full **home** address:

Previous address if less than 3 years at current address:

Where an individual the date of birth: / /
(DD/MM/YYYY)

Shareholding details

Effective date of shareholding: / /
(DD/MM/YYYY)

Number and class of shares held (for example 50 ordinary shares):

Percentage aggregate of the class of shares this represents:

Shareholder 2 – Details

Full name of shareholder:

Full address. If an individual, please give full **home** address:

Previous address if less than 3 years at current address:

Where an individual the date of birth: / /
(DD/MM/YYYY)

Shareholding details

Effective date of shareholding: / /
(DD/MM/YYYY)

Number and class of shares held (for example 50 ordinary shares):

Percentage aggregate of the class of shares this represents:

NOTE: If there are more shareholders, please check the box below and continue on a separate sheet.

☐ Details of additional shareholders included as an attachment.

Details of previous applications made and licences held

8.1 Does the applicant or any related person hold any licence(s) under the Electricity Act 1989 or the Gas Act 1986?

Yes: ☐ (Please give details of licences held below) No: ☐

Details of licence(s)
held:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Details of relevant licences included as an attachment.

8.2 Has/does the applicant or any related person applied for (whether successfully or not) or intend to apply for any other licences under the Electricity Act 1989 or the Gas Act 1986?

Yes: ☐ (Please give details of application below) No: ☐

Type of licence(s) applied for or intended to
be applied for:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Details of relevant applications included as an attachment.

8.3 Has the applicant or any related person previously had a licence granted under the Electricity Act 1989 or the Gas Act 1986 revoked in the last 3 years?

Yes: ☐ (Please give details of licences held below) No: ☐

Details of licence(s)
revoked:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Details of relevant licences included as an attachment.

8.4 Has the applicant or any related person previously had any electricity or gas licence application refused?

Yes: ☐ (Please give details of application below) No: ☐

Details of licence
application(s) refused:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

Details of relevant applications included as an attachment.

Details of application

Modifications to Standard Conditions

Applicants may request that the standard conditions of a licence be modified (for the purposes of section 8A(2) of the Electricity Act 1989) to meet the requirements of its specific case.

9.1 Do you wish to request any modifications to any of the standard conditions for the type of licence applied for:

Yes: ☐ (Please give full details below)

No: ☐ (Go to question 10.1)

Provide full details of the modifications requested:

State the grounds on which the applicant believes that any such modification is requisite to meet the circumstances of the particular case:

State the grounds on which the applicant believes that any such modification would not disadvantage any holders of such licences (including the applicant):

Suitability to hold a licence

Note: Applicants for the load control licence that already hold a supply licence (where the applicant and supply licence holder are the same legal entity) do not need to answer the following question (question 10).

10.1 Do any of the persons named in the application (or any person with significant managerial responsibility or influence in the applicant) have any criminal convictions in any jurisdiction? If YES provide details:

(Other than offences under the Road Traffic Offenders Act 1988 or convictions in respect of which the person has become rehabilitated under the Rehabilitation of Offenders Act 1974)

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Statement on criminal convictions included as an attachment

10.2 Are any of the persons named in the application (or any person with significant managerial responsibility or influence in the applicant) disqualified to any extent from acting in connection with the affairs of a company in any jurisdiction? If YES provide details:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Statement on disqualification included as an attachment

10.3 Provide a statement that none of the persons named in the application (or any person with significant managerial responsibility or influence in the applicant):

- is an undischarged bankrupt;
- is, or has been, subject to insolvency proceedings (including any period of receivership or administration in connection with the affairs of a company); or
- is, or has been, subject to any County Court Judgements (CCJs)

in any jurisdiction;

OR, provide details of any such bankruptcy/insolvency/CCJs.

If you intend to provide this statement as an attachment, please check the box below and include the statement with your application.

☐ Statement on bankruptcy/insolvency/CCJs included as an attachment

10.4 Has any person named in this application (or any person with significant managerial responsibility or influence in the applicant) been a director, shareholder or person with significant managerial responsibility or influence at a gas or electricity supply company which triggered a Supplier of Last Resort event either at the time of the Supplier of Last Resort event or within the 12 months preceding the Supplier of Last Resort event?

If YES provide details:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Statement on previous Supplier of Last Resort event(s) included as an attachment

10.5 Has the applicant, any related person, any person named in this application, or any person with significant managerial responsibility or influence in the applicant been involved in any Ofgem compliance or enforcement investigations in the last 3 years? If YES provide details:

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Statement on compliance or enforcement history included as an attachment

10.6 Has the applicant, any related person, any person named in this application, or any person with significant managerial responsibility or influence in the applicant:

(a) in relation to activities regulated by any other regulatory body ever been refused, had revoked, restricted, or terminated, any licence, authorisation, registration, notification, membership or other permission granted by any such body? OR

(b) ever been found to be in breach of either Articles 101 or 102 of the Treaty on the Functioning of the EU or Chapter I or Chapter II of the Competition Act 1998?

If YES to either a) or b) please provide details:

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If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Statement on regulatory action by any other body and/or competition law infringement(s) included as an attachment

10.7 Where applicable, please provide the full name, date of birth and position of any person with significant managerial responsibility or influence in the applicant:

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Proposed arrangements for commencing licensable activities

Note: Applicants for the load control licence that already hold an electricity supply licence (where the applicant and supply licence holder are the same legal entity) do not need to answer the following question (question 11.1).

11.1 Please provide a summary of your proposed arrangements (including key dates), and any arrangements already in place, to commence the licensable activity to which your application relates:

Licence specific information

NOTE: The remaining questions in this section are specific to the type of licence you are applying for.

If you are applying for:

- an electricity generation licence – then are no further questions, please go to the declaration in section 19;
- an electricity supply licence or for the extension of an existing electricity supply licence – please complete question 12;
- the restriction of an existing electricity supply licence – please complete question 13;
- an electricity distribution licence or for the extension of an existing distribution licence – please complete question 14;
- the restriction of an existing distribution licence – please complete question 15;
- an electricity interconnector licence – please complete question 16;
- an electricity transmission licence – please complete question 17;
- the modification of an area of an existing transmission licence – please complete question 18;
- a load control licence - please complete questions 19-22 as applicable;
- the restriction of an existing load control licence - please complete question 23;
- the extension of existing load control licence - please complete question 24.

Note: You only need complete the questions that relate to the type of licence you are applying for.

Electricity supply licence applications

12.1 Please specify the premises to be supplied under this licence application (**check one box only**):

- ☐ any premises
- ☐ only to premises specified in the licence or to premises of a specified description
- ☐ only to premises situated in a specified area, or to premises of a specified description situated in that area

12.2 Please specify whether the application relates to:

- ☐ non-domestic premises only; or
- ☐ non-domestic and domestic premises

Also, specify or describe the premises and the location of the premises intended to be supplied, or if relevant the specified area. Any description must be sufficient to enable the premises, location or area to be adequately and readily identified by map or other means. If you wish to request that the specified area is not ‘Great Britain’, you must provide a clear reason for this

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

- ☐ Premises to be supplied details included as an attachment.

12.3 Provide details of your proposed plans for your first two years’ operation after commencing supply of electricity to premises. (Please refer to the application guidance published on the Ofgem website):

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

☐ ☐ Details of proposed plans included as an attachment.

12.4 Provide details of your financial projections, funding arrangements and risk management strategy for your first two years' operation after commencing supply of electricity to premises, and proof of funding for at least your first year's operation after commencing supply of electricity to premises. (Please refer to the application guidance published on the Ofgem website).

☐ ☐ Please check this box to confirm that the information is included as attachments.

12.5 Provide a statement of intent in respect of the customer service obligations under the licence applied for and the steps you will take to comply with those obligations. (Please refer to the application guidance published on the Ofgem website).

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

☐ ☐ Statement of intent in respect of customer service obligations included as an attachment.

Request for a direction

12.6 Applicants may request that the Authority makes a direction under standard condition 11. If you wish to request a direction provide details below:

Restriction of existing electricity supply licence applications

13.1 Provide an estimate of the total number of premises to which the applicant supplies electricity at the time of application and to which the applicant would cease to supply electricity if the restriction was granted:

13.2 Are there any relevant consumers at the time of application?

A person is a relevant consumer if (i) immediately before the restriction takes effect, he is being supplied with electricity by the holder of the licence; and (ii) his premises are to be excluded from the licence by the restriction.

Yes: ☐ (Go to question 13.3 below) No: ☐ (Go to Declaration in section 19 below)

13.3 Provide details of what arrangements you have made to ensure that your existing relevant consumers (that will be affected by this restriction) will continue to be supplied with electricity after the restriction takes effect:

13.4 Where consumers are supplied under a contract*; provide a description of the applicant's proposed arrangements for ensuring that the terms of any new contract will be the same or as similar to the terms in place between the applicant and that customer immediately before the restriction is to have effect:

(*not including any contract, which by virtue of paragraph 3 of schedule 6 of the Electricity Act 1989, is deemed to have been made)

Electricity distribution licence applications

14.1 Would you like the electricity distribution licence to authorise you to operate:

- (a) ☐ throughout Great Britain, or
(b) ☐ within a specified area or areas or at specified premises.

14.2 In the case of 14.1(b) provide a sufficient description adequately specifying the area(s) or premises to which the application relates.

Any description must be sufficient to enable the premises, location or area to be adequately and readily identified by map or other means.

14.3 Provide details of proposed arrangements for compliance with applicable requirements of the following standard conditions:

Standard Condition 8 (Safety and Security of Supplies Enquiry Service)

Standard Condition 31 (Undertaking form Ultimate Controller)

Amended Condition BA3 (Credit Rating of Licensee)

14.4 If requested to do so by Ofgem: Provide details of proposed arrangements for compliance with applicable requirements of any modification that the Authority has indicated, in writing, that it proposes to make to the standard licence conditions prior to the granting of any distribution licence:

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If you intend to provide any of this information as an attachment, please check the box below and include the information with your application.

☐ Proposed arrangements for compliance with standard conditions included as an attachment

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Restriction of an existing distribution licence

15.1 Provide an estimate of the total number of premises to which the applicant distributes electricity (at the time of this application) and to which the applicant would cease to distribute electricity if the restriction application were to be granted:

15.2 Are there any relevant consumers at the time of application?

A person is a relevant consumer if (i) immediately before the restriction takes effect, he is connected to distribution system of the holder of the licence, and (ii) his premises are to be excluded from the licence by the restriction.

Yes: ☐ (Go to question 15.3 below) No: ☐ (Go to the Declaration in section 19 below)

15.3 Provide details of what arrangements you have made to ensure that the connection of all relevant consumers (that will be affected by this restriction application) will be maintained:

Electricity interconnector licence applications

Note: Electricity interconnector licence applications must specify the actual or proposed points of connection. If the points of connection cannot be specified at this stage, you should provide Ordnance Survey Grid Reference co-ordinates for the proposed points of connection.

16.1 Specify the actual or proposed points of connection to an electricity transmission or distribution network:

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16.2 Was the construction of the interconnector to which this application relates completed before 3 August 2003?

Yes: ☐ (Go to the Declaration in section 19 below) No: ☐ (Go to question 16.3)

16.3 Are you seeking to have any or all of the standard conditions in question 16.4 to not be applied to the licence:

Yes: ☐ (Go to question 16.4 below) No: ☐ (Go to the Declaration in section 19 below)

16.4 Please indicate which conditions you are seeking to not be applied to the licence:

(a) Conditions relating to the use of revenues: ☐

(b) Conditions relating to the charging methodology to apply to third party access to the licensee's interconnector: ☐

(c) Conditions relating to the requirement to offer terms to an applicant for access to the licensee's interconnector: ☐

16.5 If you are asking for any or all of the above conditions to not be in effect, the following additional information must be provided:

(a) The period of time for which the applicant seeks the licence condition or conditions to not be in effect:

(b) A statement setting out the evidence and reasoning as to why the applicant considers:

(i) The investment in the interconnector enhances competition in electricity supply:

(ii) The level of risk attached to the investment to be made in relation to the interconnector is such that the investment would not be or would not have been made unless those licence conditions were not in effect:

(iii) That, should the licence conditions not be in effect for the period of time sought, this will not be detrimental to competition or the effective functioning of the internal electricity market, or the efficient functioning of the regulated system to which the interconnector is connected:

(c) Provide a further statement that:

(i) The interconnector will be owned by a natural or legal person who is separate, at least in terms of its legal form, from the system operators to whose systems the interconnector to which this application relates will be connected:

(ii) Charges will be levied on users of the interconnector:

(iii) No part of the capital or opening operating costs of the interconnector has been recovered from any component of charges made for the use of transmission or distribution systems linked by the interconnector:

If you intend to provide any of this information as an attachment, please check the box below and include the information with your application.

☐ Electricity interconnector standard conditions statements included as an attachment

Electricity transmission licence applications

17.1 Provide a brief description of the activities the applicant intends to carry out with respect to the transmission of electricity:

17.2 Provide a description of:

- ☐ the area to which the applicant relates, and
- ☐ where different to the area specified above, the area in which the applicant intends to carry out activities under a transmission licence.

Any description must be sufficient to enable the area or areas concerned, location or area to be adequately and readily identified by map or other means

Applications for modification of an area of an electricity transmission licence

18.1 If the modification in question constitutes a restriction of the area, please provide details of any of the following persons who may be affected by the application.

(a) Any person authorised by a licence under section 6(1) of the Electricity Act 1989 or an exemption under section 5(1) of the Electricity Act 1989:

(b) Any person connected to the applicant's transmission system:

(c) Any other person who may reasonably be affected by the restriction which is the subject of this application:

Load control licence applications

Note: The following questions should be completed by ALL load control licence applicants. (questions 19.1 and 19.2)

19.1 Which licensable activity do you intend to undertake (check all that apply):

- ☐ Flexibility Service Provider
- ☐ Load Controller – below 300MW
- ☐ Load Controller – above 300MW

19.2 Please specify whether the application relates to:

- ☐ Domestic consumers/premises only; or
- ☐ Small business consumer/premises only or
- ☐ Both domestic consumers/premises and small business/premises consumers

Note: Applicants for the load control licence who already hold an electricity supply licence (where the applicant and supply licence holders are the same legal entity) do not need to answer the following question (19.3)

19.3 Please explain how you will meet the financial responsibility requirement by setting out your plans to ensure your organisation maintains adequate capital and liquidity to meet reasonably anticipated financial liabilities on an ongoing basis. (Please refer to the application guidance published on the Ofgem website).

If you intend to provide any of this information as an attachment, please check the box below and include the information with your application.

- ☐ Financial responsibility requirement plans explained as attachment.

Note: Applicants for the load control licence who already hold an electricity supply licence (where the applicant and supply licence holders are the same legal entity) do not need to answer the following question (19.4)

19.4 Please provide details of your proposed plans for your first two years' operation should the licence application be granted (Please refer to the application guidance published on the Ofgem website):

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

- ☐ Details of proposed plans included as an attachment.

Load control licence applications

Note: This question should be completed by FSP applicants ONLY and not load controller applicants. FSP applicants who already hold an electricity supply licence (where the applicant and supply licence holder are the same legal entity) do not need to answer the following question (question 20).

20.1 Please provide a statement of intent setting out the steps you have taken or intend to take to comply with the following licence requirements and other relevant requirements. (Please refer to the application guidance published on the Ofgem website, and the load control consumer protection guidance which sets out mandatory and recommended behaviours that licensees should demonstrate when complying with the conditions).

- Condition 11: Treating customers fairly
- Condition 12: Recommending suitable services and prohibiting mis-selling
- Condition 13: Allow customers to exit a service
- Condition 14: Requirement that any fees charged by flexibility service providers which are associated with a customer's service exit must be proportionate
- Complaints and Alternative Dispute Resolution (ADR)³

If you intend to provide additional details or supporting information as an attachment, please check the box below and include this as an attachment with your application.

³ Complaints and ADR requirements for load control licensees will follow the *Gas and Electricity (Consumer Complaints Handling Standards) Regulations 2008*, which set rules on accessibility, resolution times and reporting. Government plans to appoint the Energy Ombudsman as the sole ADR provider for consumer–FSP disputes, as outlined in its Licensing consultation. Once finalised, applicants must comply with these regulations in addition to their licence obligations. Ofgem will issue further guidance on complaints and ADR processes in due course.

☐ Statement of intent to comply with relevant requirements included as attachments

Load control licence applications

Note: This question should be completed by Load Controllers ONLY.

21.1 Please provide a list of organisational systems (including software), facilities, infrastructure and technologies that will facilitate your undertaking the licensed activity.

If you intend to provide additional details or supporting information as an attachment, please check the box below and include this as an attachment with your application.

- ☐ Additional details on types of technology to be load controlled included as an attachment

21.2 If the applicant is already undertaking load control activity, provide details of your current aggregate load upon application:

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

- ☐ Details of current aggregate load control as an attachment.

21.3 Provide details of the forecast aggregate load you expect to control in the next two years: (Please refer to the application guidance published on the Ofgem website).

If you intend to provide these details as an attachment, please check the box below and include this information with your application.

☐ Details of forecast aggregate load as an attachment

Load control licence applications

Note: This section should be completed by Load Controllers ONLY.

Cyber requirements:

21.4 Please provide the name and contact details for your organisation's Cyber Responsible Officer and provide the same details for any Deputy Cyber Responsible Officer(s) appointed. Additionally, describe your organisation's escalation routes for cyber security matters.

If you intend to provide this information as an attachment, please check the box below and include the information with your application.

- ☐ Details of Cyber Responsible Officer, Deputy Cyber Responsible Officer(s) and escalation routes for cyber security matters as an attachment.

21.5 Please provide a statement of intent setting out your organisation's commitment to complying with relevant Cyber Assessment Framework (CAF) profile requirements as determined by government. (Please refer to the application guidance published on the Ofgem website)

If you intend to provide this information as an attachment, please check the box below to confirm that the information is included as attachments.

- ☐ Statement of intent setting out your organisation's commitment to complying with relevant Cyber Assessment Framework (CAF) profile requirements as determined by government as an attachment.

21.6 Please provide a self-assessment against the relevant CAF profile for your organisation as specified by government for your aggregate load. (Please refer to the application guidance published on the Ofgem website)

If you intend to provide this information as an attachment, please check the box below to confirm that the information is included as attachments.

- ☐ Self-assessment against the relevant CAF profile for your aggregate load as an attachment.

21.7 Please provide evidence that your organisation is engaging with the Cyber Resilience Audit scheme or a reasonable equivalent and progressing with steps to undertake an audit. (Please refer to the application guidance published on the Ofgem website)

If you intend to provide this information as an attachment, please check this box to confirm that the information is included as attachments

- ☐ Evidence of organisation engaging with CRA scheme or a reasonable equivalent and steps to undertake audit as an attachment.

21.8 Please provide details of any other alternative cyber security accreditations already achieved.

If you intend to provide this information as an attachment, please check this box to confirm that the information is included as attachments

- ☐ Evidence of any other alternative cyber security accreditations as an attachment.

Load Control licence applications

Note: This section should be completed by Load Controllers ONLY.

22.1 Please provide a statement of intent to comply with the following codes: (Please refer to the application guidance published on the Ofgem website)

- Grid Code
- Distribution Code

If you intend to provide this information as an attachment, please check this box to confirm that the information is included as attachments

- ☐ Statement of intent to comply included as attachments.

22.2 Please provide a statement of intent to accede to the following relevant codes: (Please refer to the application guidance published on the Ofgem website)

- Connection and Use of System Code (CUSC)
- Distribution Connection and Use of System Agreement (DCUSA)

If you intend to provide this information as an attachment, please check this box to confirm that the information is included as attachments

- ☐ Statement of intent to accede included as attachments.

Note: Applicants with an aggregate load above 300W do not need to answer the following question (22.3)

22.3 If the applicant's aggregate load is below 300MW, please provide evidence that contact has been made with the Balancing and Settlement Code (BSC) code body and the applicant is progressing with an application to accede to the BSC. (Please refer to the application guidance published on the Ofgem website)

If you intend to provide this information as an attachment, please check this box to confirm that the information is included as attachments

☐ **Evidence of contact and application included as attachments.**

Restriction of existing load control licence

23.1 Please describe the proposed restriction being sought to your existing licensed load control activity and explain the rationale. Please specify the current licence type and the activities you no longer intend to undertake. (Please refer to the application guidance published on the Ofgem website)

☐ **Please check this box to confirm if the information is included as attachments.**

23.2 Will any current consumers be affected if the restriction is granted? If yes, please provide details of the number and type of consumers affected (domestic, small business consumer, or both). If applicable, explain any potential wider impacts of the restriction on the market if granted. (Please refer to the application guidance published on the Ofgem website)

☐ **Please check this box to confirm if the information is included as attachments.**

Extension of existing load control licence

24.1 Describe the proposed extension to your existing licensed load control activity and explain the rationale. Please specify the current licence type and the activities you wish to extend to. (Please refer to the application guidance published on the Ofgem website)

☐ **Please check this box to confirm if the information is included as attachments.**

24.2 Provide evidence or a statement of intent covering: (Please refer to the application guidance published on the Ofgem website)

- Steps to ensure compliance with all relevant licence conditions for the extended scope.
- Any additional systems, processes, or resources you will implement to manage the extended activity.

☐ **Please check this box to confirm if the information is included as attachments.**

Table 1: Table of application fees

| Description of application | Fee payable £ |
|--|----------------------|
| <i>Supply</i> | |
| Application for a licence to supply domestic and non-domestic premises | 2,150 |
| Application for a licence to supply non-domestic premises only | 1,800 |
| Application for any extension | 1,600 |
| Application for any restriction | 550 |
| <i>Generation</i> | |
| Licence application | 550 |
| <i>Distribution</i> | |
| Application for a licence | 3,200 |
| Application for extension or restriction | 800 |
| <i>Transmission</i> | |
| Application for a licence | 3,200 |
| Application for the modification of an area | 800 |
| <i>Interconnector</i> | |
| Application for a licence | 1,050 |
| <i>Load Control</i> | |
| Application for a licence | To be determined |
| Application for any extension | To be determined |
| Application for any restriction | To be determined |

25. Declaration

☐ By checking this box I declare that:

- (1) I have due authority to make this application;
- (2) I have read and understood this application form and the application guidance currently published on the Ofgem website;
- (3) to the best of my knowledge and belief, all the details provided in this application form are true and complete and all the information or document(s) supplied with this application form are true and complete;
- (4) I have read and understood the relevant standard conditions of the licence that I am applying for; and
- (5) I understand that knowingly or recklessly making a false, incomplete or misleading statement in support of this application may lead to the grant of the licence being refused or revoked and may result in criminal proceedings being instituted under section 59 of the Electricity Act 1989.

Signature

Name in capital letters

Capacity of signatory

Date

**TIER 2 – ADDITIONAL INFORMATION ONLY TO BE COMPLETED ON
REQUEST BY OFGEM**

Further information and documents to be provided by applicant and requirement to attend interview on request

1. Please provide certified copies of any official documents held by the applicant that confirm key details provided in the application.

☐ Please check this box to confirm that the information is included as attachments

2. Please provide evidence of your contact with the relevant Code Owners/Administrators.

☐ Please check this box to confirm that the information is included as attachments

3. Please provide the full names and contact details of the applicant's bank, solicitors and auditors:

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4. Please confirm your agreement to attend an interview with Ofgem if requested.

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5. Please produce the following documentation if requested by Ofgem:

- (a) Incorporation documents;
- (b) Resolutions;
- (c) Minutes from meetings;
- (d) Annual Returns and Accounts;
- (e) Forms appointing and resigning directors;
- (f) Register of Directors;
- (g) Register of Members;
- (h) Original or certified copies of passports or driving licences for each director (including shadow directors within the meaning of section 251 of the Companies Act);
- (i) CVs of directors and/or any person with significant managerial responsibility or influence in the applicant; and
- (j) Certificate of criminal record background (Disclosure and Barring Service, Disclosure Scotland, or equivalent) for directors and/or any person with significant managerial responsibility or influence in the applicant.

☐ ☐ Please check this box to confirm that the documentation is included as attachments.

6. Declaration

☐ By checking this box I declare that:

- (1) I have due authority to make this application;
- (2) I have read and understood this application form and the application guidance currently published on the Ofgem website;
- (3) to the best of my knowledge and belief, all the details provided in this application form are true and complete and all the information or document(s) supplied with this application form are true and complete;
- (4) I have read and understood the relevant standard conditions of the licence that I am applying for; and
- (5) I understand that knowingly or recklessly making a false, incomplete or misleading statement in support of this application may lead to the grant of the licence being refused or revoked and may result in criminal proceedings being instituted under section 59 of the Electricity Act 1989.

Signature

Name in capital letters

Capacity of signatory

Date

Notes on completing this application form and submitting your electricity licence application

These notes aim to answer the key questions you may have in making an electricity licence application. All applicants must also read our full guidance on licence applications which available on the Ofgem website **before** completing this form.

You may use this electricity licence application form to make an application for the following electricity licences:

An electricity supply licence allows the licensee to supply electricity to premises. An electricity supply licence may authorise the holder to supply domestic and non-domestic premises, or to non-domestic premises only.

An electricity generation licence allows the licensee to generate electricity for the purpose of giving a supply to any premises or enabling a supply to be given.

An electricity distribution licence allows the licensee to distribute electricity for the purpose of enabling a supply to be given.

An electricity transmission licence allows the licensee to participate in the transmission of electricity for the purpose of enabling a supply to be given.

An electricity interconnector licence allows the licensee to participate in the operation of an electricity interconnector. Participating in the operation of an electricity interconnector is defined as

- co-ordinating and directing the flow of electricity into or through an electricity interconnector, or
- making such an interconnector available for use for the conveyance of electricity.

A load control licence allows the licensee to participate in load control activity. Participating in load control activity is defined as:

- a) creating or changing a load control signal, or controlling the timing of the sending of a load control signal for the purpose of adjusting the immediate or future flow of electricity into or out of an energy smart appliance or another appliance in response to the load control signal.
- b) entering into a legally enforceable arrangement with a consumer to provide load control at that consumer's premises.

Note: Please note this application does not apply to applications for an offshore transmission licence.

You may also use this form to make an application for the extension or restriction of an existing electricity supply, or electricity distribution licence or load control licence.

Or

The modification of an area of an existing electricity transmission licence. Please note: An application may not be made on the same form for more than one licence - use a separate form for each licence application.

This is the end of the draft application form.