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Date: 07 October 2024

Dear Northern Powergrid (Northeast) PLC,

**SIF Project Direction ref: NPG/Fuel Cell Renewable Energy Equity (FREE)/SIFNADSPS/Rd3 Alpha**

Northern Powergrid (Northeast) PLC submitted Fuel Cell Renewable Energy Equity (FREE) (the Project) to be considered for funding through the Alpha Phase of round 3 of the Strategic Innovation Fund (SIF). As explained in greater detail below, this Project previously received SIF Funding and completed a Discovery Phase for round 3 of the SIF. In our<sup>1</sup> SIF Funding Decision issued on 07 October 2024, we selected the Project<sup>2</sup> for conditional funding for the round 3 Alpha Phase and as a result we are now issuing this SIF Project Direction to implement that decision.

Northern Powergrid (Northeast) PLC must comply with the conditions contained in this SIF Project Direction as a condition of the Project receiving funding through the SIF. These conditions can be found in the Schedule to this document.

**Progression through SIF Phases**

The SIF consists of a multi-phase approach for Projects in order to mitigate the risk associated with innovations. The Discovery Phase focuses on feasibility, the Alpha Phase on experimental development, and the Beta Phase on deployment and demonstration.

The Project previously received SIF Funding for the round 3 Discovery Phase<sup>3</sup> and submitted an Application for the Project to be considered for SIF Funding for the round 3

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<sup>1</sup> The terms 'we', 'us', 'our' refer to the Gas and Electricity Markets Authority. Ofgem is the office of the Authority.

<sup>2</sup> Unless otherwise specified, defined terms in this SIF Project Direction have the meaning given to them in Appendix 1 of the SIF Governance Document.

<sup>3</sup> The Project Directions for round 3 of the Discovery Phase are available at: <https://www.ofgem.gov.uk/publications/strategic-innovation-fund-round-3-discovery-projects-approved-funding>

Alpha Phase of the SIF. As stated above, the Project has been selected by Ofgem to receive SIF Funding for the Alpha Phase of round 3.

### **Role of UK Research & Innovation (UKRI)**

As per Chapter 1 of the SIF Governance Document<sup>4</sup> the role of UKRI is to deliver the SIF in line with the SIF Governance Document - administering the funding programme, monitoring the delivery of Projects, collating data from Projects on benefits, making recommendations to Ofgem on operational matters, supporting third-party innovators and, where possible, successful Projects to become 'business as usual' activities. To support the success of the Projects and the SIF programme, we expect that the Funding Party and Project Partners collaborate with Ofgem and UKRI.

### **SIF Project Direction**

Paragraph 5.14 of the SIF Governance Document states that a SIF Project Direction will:

- Set out the Project-specific conditions, to which the Funding Party is committing in accepting SIF Funding.<sup>5</sup>
- Require the Funding Party to undertake the Project in accordance with the commitments made in the Application. Where appropriate, the SIF Project Direction may therefore include extracts from the Application or refer to specific sections of the SIF Application.<sup>6</sup>
- Where applicable, set out conditions (such as Project stage gates) linked to milestones and deliverables, which Projects must meet.<sup>7</sup>
- Set out the SIF Approved Amount for the Project, that will form part of the calculation contained in the SIF Funding Direction issued by the Authority under chapter 7 of the SIF Governance Document.<sup>8</sup>
- Set out the Project budget that the Funding Party must report against and how variations in the Project budget will be reported.<sup>9</sup>
- Where applicable, set out special information sharing requirements applicable to the Project.<sup>10</sup>
- Set out the mechanism for the Funding Party receiving the SIF Approved Amount as set out in the SIF Funding Direction.<sup>11</sup>

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<sup>4</sup> <https://www.ofgem.gov.uk/decision/updated-sif-governance-document>

<sup>5</sup> 'Project specific conditions' detailed under Point 3 – 'Condition President' of this SIF Project Direction.

<sup>6</sup> 'Project specific conditions' detailed under Point 3 – 'Condition President' of this SIF Project Direction.

<sup>7</sup> 'Project specific conditions' detailed under Point 3 – 'Condition President' of this SIF Project Direction.

<sup>8</sup> 'SIF Funding Amount' detailed under Point 5 – 'Condition President' of this SIF Project Direction.

<sup>9</sup> Annex 1 – Project Budget.

<sup>10</sup> 'Project specific conditions' detailed under Point 3 – 'Condition President' of this SIF Project Direction.

<sup>11</sup> 'SIF Funding Amount' detailed under Point 5 – 'Condition President' of this SIF Project Direction.

All SIF Project Direction requirements are detailed in the Schedule to this SIF Project Direction.

### **Decision**

Provided the Funding Party complies with the SIF Governance Document and with the Schedule to this SIF Project Direction, the Project is deemed to be an Eligible SIF Project<sup>12</sup>.

This SIF Project Direction constitutes notice pursuant to section 49A (Reasons for decisions) of the Electricity Act 1989.

**Marzia Zafar**

**Deputy Director, Decentralisation & Digitalisation**

**For and on behalf of the Authority**

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<sup>12</sup> The meaning 'Eligible SIF Project' is described in Chapter 2 of the SIF Governance Document.

## Schedule to SIF Project Direction

### 1. PROJECT DETAILS

SIF Project Direction reference: NPG/Fuel Cell Renewable Energy Equity

(FREE)/SIFNADSPS/Rd3 Alpha

Application number: 10130710

Project title: Fuel Cell Renewable Energy Equity (FREE)

Innovation Challenge/Project Phase: Novel Approaches to Deliver a Secure Power System / Alpha Phase round 3

Project start date: 07 October 2024

Project end date: 30 May 2025

SIF Approved Amount for SIF Funding: £472,235

### 2. PREAMBLE

This SIF Project Direction is issued by the Gas and Electricity Markets Authority (the "Authority") to Northern Powergrid (Northeast) PLC (the "Funding Party") pursuant to the SIF Governance Document issued pursuant to Special Condition 9.9 of the Electricity Distribution Licence. It sets out the conditions to be complied with in relation to Fuel Cell Renewable Energy Equity (FREE) (the "Project") as a condition of it being funded under the SIF Funding Mechanism.<sup>13</sup>

Unless otherwise specified, defined terms in this SIF Project Direction have the meaning given to them in the Licence or Appendix 1 of the SIF Governance Document.

References to specific sections of the Funding Party's Application in this SIF Project Direction are, for ease of reference, made by referring to the section number in the Funding Party's Application.

### 3. PROJECT SPECIFIC CONDITIONS

In accepting funding for the Project, the Funding Party is subject to the following Project-specific condition(s):

#### **Condition 1**

The Funding Party must not spend any SIF Funding until contracts are signed with the Project Partners named in Table 1 for the purpose of completing the Project.

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<sup>13</sup> The SIF Funding Return Mechanism is defined in the SIF Governance Document.

**Table 1. Project Partners**

University of Strathclyde
Robert Bosch Ltd
Northern Gas Networks Ltd
EA Technology Ltd
Lane Clark & Peacock LLP
E.ON UK Energy Services Ltd
Fifty5North Ltd

### **Condition 2**

The Funding Party must report on the financial contributions made to the Project as set out in its Application. Any financial contributions made over and above that stated in its Application should also be reported and included within the Project costs template.

### **Condition 3**

The Funding Party must make reasonable endeavours to participate in all meetings related to the Project that they are invited to by Ofgem, UKRI and DESNZ during the Alpha Phase.

### **Condition 4**

Alpha phase will last for a period of 8 months from the date the Project Direction is issued, the Project will be allowed a flexible start date within the 8 month period. The Project must provide the monitoring officer with the start date and must complete within 6 months of the start date and cannot be completed after the 8 month period has ended.

## **4. COMPLIANCE**

The Funding Party must comply with Special Condition 9.9 of the Electricity Distribution Licence, the SIF Governance Document and with this SIF Project Direction.

## **5. SIF APPROVED AMOUNT**

The SIF Approved amount of £472,235 (as detailed under Section 1: Project details of this Project Direction) will be recovered by National Grid Electricity System Operator from GB customers and transferred to the Funding Party. The Funding Party is responsible for notifying National Grid Electricity System Operator of the bank account details to which transfers must be made, in addition to completing Annex 2 of this SIF Project Direction. If a Funding Party is required to return funding to National Grid Electricity System Operator, the reverse applies. The Funding Party must provide bank

account details to National Grid Electricity System Operator within two weeks of accepting this SIF Project Direction.

## **6. PROJECT BUDGET**

The Project Budget is set out in Annex 1 of this SIF Project Direction.

The Funding Party must report on expenditure against each line under the category total in the Project Budget and explain any projected variance against each line as part of its detailed report which will be provided, in accordance with Chapter 7 of the SIF Governance Document. The Funding Party must report variations in the Project budget as outlined in Chapter 6 of the SIF Governance Document.

## **7. PROJECT IMPLEMENTATION**

The Funding Party must undertake the Project in accordance with the commitments it has made in the Application and with the conditions of this SIF Project Direction. These include (but are not limited to) the following:

- (i) undertake the Project in accordance with its Application,
- (ii) complete the Project on or before the Project completion date as detailed under section 1 of the schedule of this SIF Project Direction, and
- (iii) disseminate the learning from the Project at least to the level described in chapter 3 of the SIF Governance Document. Dissemination of learning must be carried out whether the Project was concluded successfully or otherwise.

## **8. REPORTING**

Ofgem and UKRI may issue guidance (and amend it from time to time) about the structure and content of the Project reporting required by Chapter 6 of the SIF Governance Document. The Funding Party must follow this guidance in preparing the reports.

As set out in chapter 6 of the SIF Governance Document, the Funding Party may be required to submit an end of Phase report to the UKRI monitoring officer for the round 3 Alpha Phase. An end of Phase report is required for the round 3 Alpha Phase if the Project is not planning on submitting an Application to the round 3 Beta Phase and, if the Funding Party submits an Application for the Project for the round 3 Beta Phase but is not successful. Within this report, the Funding Party must submit information related

to questions on Project delivery as detailed in chapter 6, table 6 of the SIF Governance Document.

## **9. MONITORING**

The Funding Party must comply with any reasonable request for information by its monitoring officer at UKRI and related deadlines. Ofgem, with the support of UKRI, will together monitor Project delivery, impacts and benefits. Throughout the term of the Project, progress is monitored by UKRI through a monitoring officer. The monitoring officer is the first point of contact for official notifications, queries and correspondence with UKRI and the Authority, unless otherwise required by this SIF Project Direction.

As detailed in Chapter 6 of the SIF Governance Document, meetings with the monitoring officer will take place at regular intervals, as advised by Ofgem or the monitoring officer during the delivery of the Project, and at the end of each Project Phase.

## **10. EVALUATION**

The Funding Party has acknowledged when it submitted its Application for this Project, that reporting information and data gathered during the Project's timescales (as detailed in Section 1 of this SIF Project Direction) will be used to evaluate Project performance. In addition, the Funding Party may be required to provide requested information outside of the Project timescales and, in particular, for the period from the Project end date to the end of the SIF Programme. Further data and reporting information may be requested (frequency and method based on requirement) outside of standard monitoring and reporting requirements as deemed necessary. Further data and information requirements must be complied with by the Funding Party and Project Partners.

## **11. DATA SHARING**

As set out in Chapter 3 of the SIF Governance Document, the Funding Party must follow Data Best Practice Guidance with regards to all data gathered or created in the course of a Project. We expect the Funding Party to document any reasons, such as commercial sensitivities, for desensitising data. As defined by, and in accordance with, Data Best Practice Guidance, Funding Parties must have a data triage process. Where multiple Project Partners are collaborating on a Project, the consortium must adopt a consistent Open Triage Process for the data related to the Project. Ofgem may require that Project information and data is also shared with other specified parties, such as parties working on complementary innovation funding programmes (subject to redaction of sensitive data).

## 12. CYBER SECURITY

It is the responsibility of the Funding Party and all Project Partners to implement and maintain appropriate security measures to protect personal data in accordance with The GDPR (General Data Protection Regulation)<sup>14</sup> and DPA (Data Protection Act) 2018<sup>15</sup>. Protection of computer systems from unauthorised access or being otherwise damaged or made inaccessible must be in place alongside effective working practices. These must be maintained in line with the Funding Party's IT Management Strategies and policies.

## 13. PROJECT MILESTONES

The Funding Party must provide an outline in its end of Project Phase meeting with its UKRI monitoring officer that verifies the Project milestones have been achieved or explains why they have not.

Project milestones are outlined below in Table 3, based upon details contained within Question 7 and Appendix Question 9 in the Funding Party's.

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<sup>14</sup> [https://ec.europa.eu/info/law/law-topic/data-protection/data-protection-eu\\_en](https://ec.europa.eu/info/law/law-topic/data-protection/data-protection-eu_en)

<sup>15</sup> <https://www.legislation.gov.uk/ukpga/2018/12/contents/enacted>



**Table 3. Project milestone<sup>16</sup>**

Reference	Project milestone	Deadline	Overall objectives and key tasks	SIF Funding Request
Milestone 1	Work package 1:	30 May 2025	<p>Overall objective: Aim: To further develop and test the communal FREE concept on a range of different use cases to demonstrate viability in different network areas</p> <p>Objectives:</p> <ul style="list-style-type: none"> <li>- To provide a comprehensive suite of modelling and analysis techniques for assessing future demands and network loads to further test and refine the FREE configurations using example typologies.</li> </ul> <p>Key tasks:</p> <ol style="list-style-type: none"> <li>1. Selection of use cases: Clarification and agreement on the use cases evaluated at a high-level in the Discovery phase.</li> <li>2. In-depth analysis of use cases: Research into communal applications based on representative communal heating schemes and developing these into a set of typologies for evaluation in the Alpha project. Typologies will</li> </ol>	£68,120

<sup>16</sup> As outlined in in the Application or Project Plan appendix.

			<p>comprise (a) a definition of the demand and assets, and (b) definition of the local network constraints and attempt to represent a broad range of potential applications.</p> <p>3. Developing a methodology for strategic probabilistic forecasting of resilience need: Developing a new modelling approach which will take a range of network and customer factors to provide a probabilistic risk-based approach to understanding the long-term need for local generation support – how much, when, and where.</p> <p>4. Strategic network investment modelling: Network modelling to understand the costs and benefits to the GB energy system of deploying the FREE concept relative to traditional network investment. This task will test different FREE use cases and perform sensitivities regarding key sensitivities (e.g. fuel cell uptake rate)</p>	
Milestone 2	Work package 2:	30 May 2025	<p>Overall objective: Aim: To understand the technical implementation viability of FREE on the operation of local electricity networks.</p> <p>Alpha objective and tasks: Modelling and analysis exploring the integration of fuel cell CHP into local networks and impacts on local power flow in non-real time model. Output of alpha will be preparation of fuel cell model &amp; basic controller for development into real time model in beta phase of the project.</p>	£78,132

			<p>Key tasks:</p> <ol style="list-style-type: none"> <li>1. Finalising the test scenarios: topology of network, network components, control method, and assessment variables.</li> <li>2.1. Describe the high level tasks to achieve the work package objectives.</li> <li>2. Develop model in simulation environment: microgrid model with fuel cells.</li> <li>3. Run model scenarios: re-energisation with the different control variables.</li> <li>4. Analysis and reporting of model results to feed into network deployment trial (or PNDC asset trial)</li> </ol>	
Milestone 3	Work package 3:	30 May 2025	<p>Overall objective: Develop appropriate approach to integration of fuel cells and other LCT BTM assets to support the provision of electrical supply particularly during constrained periods.</p> <p>Key tasks:</p> <ol style="list-style-type: none"> <li>1. Physical connection requirements: Explore physical connection requirements including connection processes, control systems, and metering arrangements. Testing this against current NPG connection regime and connection codes.</li> <li>2. Operational considerations: Consider operational flexibility mechanisms and commercial impacts.</li> </ol>	£102,000

			<p>3. Viability: Examine scheme viability within current regulatory regime, and barriers and recommendations for regulation development.</p> <p>4. Developing architecture: Development of flexibility system architecture with regard to Low Voltage operation and external communication.</p>	
Milestone 4	Work package 4:	30 May 2025	<p>Overall objective: To further develop the business case for FREE, this would include an evaluation of different models and the inclusion of additional revenue streams that haven't yet been considered (e.g. provision of wider system flexibility)</p> <p>- The development of 3 viable business models that could be required to successfully deploy the FREE concept.</p> <p>Key tasks:</p> <p>1. Development of business models: Research existing communal heating schemes and more broadly local energy systems to identify a range of sample business models. Work closely with the flexibility provider to further develop these models to tailor these to meet the requirements of the FREE concept.</p>	£52,000

			<p>2. Evaluation of business models: Develop an evaluation framework which will be used to provide a structured and robust evaluation of different business models. Conduct the evaluation which will provide an assessment of the costs and benefits to different stakeholders associated with different business models.</p> <p>3. Test and validate business model options with stakeholders: Once the business models have been developed, work with wider stakeholders to test and validate the design of the different options. This could include working with the fuel cell manufacturer to better understand current and future cost curves for both hydrogen and natural gas fuel cells. Work with SHV and GDN to understand possible future gas prices and infrastructure for low carbon gases (e.g. biomethane) and natural gas.</p>	
Milestone 5	Work package 5:	30 May 2025	<p>Overall objective: Identify and explore a broad range of potential stakeholders and the impacts that large scale FREE roll out could have on each.</p> <p>To provide robust evidence base for contribution to the debate around the future of gas networks and low carbon gases in the UK.</p> <p>Key tasks:</p> <p>1. Further develop stakeholder map.</p>	£60,120

			<p>2. Engage with stakeholders to ensure alignment on the role of gas networks and technical standards: Hold discussions with the GDN to better understand the future role of the gas network in a Net Zero energy system, the rollout of low carbon gases and the potential for alternative gases in the area. Hold discussions with NPg to better understand technical standards.</p> <p>3. Engage with policymakers to explore the role of FREE in the future government policy: Hold discussions with DESNZ to provide evidence to support the debate on gas networks and ensure better alignment on the role of hydrogen and other low carbon gases for heating or at the system level.</p> <p>4. Evaluation of broader energy system scenarios and FREE impact.</p>	
Milestone 6	Work package 6:	30 May 2025	<p>Overall objective: Reporting and communication of the outcomes, findings, and lessons learned from the project.</p> <p>Key tasks:</p> <p>1. Reporting writing (including policy recommendations): Developing policy recommendations to ensure a supportive environment in which the FREE concept can contribute to the decarbonisation of the UK energy system.</p>	£60,120

			<p>2. Scoping of Beta trial: Identifying a detailed trial and roadmap to delivery of a commercial implementation of the FREE concept based on the preferred use case examined in this phase.</p> <p>3. Dissemination: Dissemination of materials through a variety of methods including whitepapers, webinars, blogs and other social engagement channels, conference papers and engagement with relevant industry groups.</p>	
Milestone 7	Work package 7:	30 May 2025	<p>Overall objective: Agree, finalise and sign project contract.</p> <p>Governance processes are managed effectively.</p> <p>Project on track to budget and plan.</p> <p>Show &amp; Tell session complete.</p> <p>Project outputs and learnings exploited and utilised in related innovation projects.</p> <p>Support Beta go/no go decision.</p> <p>Key tasks:</p> <p>1. Plan (identify and agree on key milestones under each workstream, risks, dependencies, and review process)</p> <p>2. Monitor progress and learning, and report sessions to Innovate UK (Show &amp; Tell session)</p>	£51,743



Making a positive difference  
for energy consumers

			3. Develop an exploitation plan and track project progress	
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#### **14. USE OF LOGO**

The Funding Party and the Project Partners, External Funders and Project Supporters or subcontractors<sup>17</sup> must not use the Innovate UK/UKRI and/or Ofgem logo for purposes associated with the Project in any circumstances.

As an alternative for use of both Ofgem and UKRI logos, all external Project communications must include the following standard form of wording:

- (i) "this project is funded by network users and consumers under the Strategic Innovation Fund, an Ofgem programme managed in partnership with UKRI."

For additional guidance, refer to the communications and media guidelines for competition winners, detailed as part of your delivery pack. These guidelines are designed to help with some suggestions and encourage you to take a proactive approach to communicating about your Project.

#### **15. SHARING OF LESSONS LEARNED**

The Funding Party is required to ensure that the sharing of lessons learned and the facilitation of knowledge transfer is conducted as effectively as possible, to ensure that all parties, and therefore all consumers including future consumers, can benefit from Projects.

As contained within Chapter 3 of the SIF Governance Document, we require the Funding Party to work collaboratively to maintain the ENA Smarter Networks Portal so that all reporting and dissemination of learnings on Projects (as required by chapter 6 of the SIF Governance Document) is available via the ENA Smarter Networks Portal.

#### **16. COLLABORATION**

The Funding Party must collaborate with third-party innovators as Project Partners, as well as work closely with other parties in the energy supply chain, as set out in Chapter 3 of the SIF Governance Document.

The Funding Party must collaborate with other parties and with UKRI to organise an annual conference in a format appropriate to enabling the building of consortiums and disseminating learning widely. The conference may be a single event for gas and electricity, or more than one event, as appropriate.

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<sup>17</sup> As detailed in the Application.

## **17. AMENDMENT OR REVOCATION**

As set out in Chapter 7 of the SIF Governance Document, this SIF Project Direction may be amended or revoked under the following circumstances:

- (i) if the Funding Party considers that there has been a material change in circumstance that requires a change to the SIF Project Direction, and the Authority agrees; or
- (ii) to reflect amendments made to the Licence.

## **18. HALTING OF PROJECTS**

This SIF Project Direction is subject to the provisions contained in Chapter 7 of the SIF Governance Document relating to the halting of Projects. By extension, this SIF Project Direction is subject to any decision by the Authority to halt the Project to which this SIF Project Direction relates and to any subsequent relevant SIF Funding Direction issued by the Authority pursuant to Special Condition 9.9 of the Electricity Distribution Licence.

Further to the requirements in Chapter 7 of the SIF Governance Document, in the event the Authority decides to halt the Project, to which this SIF Project Direction relates, the Authority may issue a statement to the Funding Party clarifying the effect of that halting decision as regards to the status and legal force of the conditions contained in this SIF Project Direction.

### **NOW THEREFORE:**

In accordance with the SIF Governance Document issued pursuant to Special Condition 9.9 of the Electricity Distribution Licence of the Licence the Authority hereby issues this SIF Project Direction to the Funding Party in relation to the Project.

This constitutes notice of reasons for the Authority's decision pursuant to section 49A (Reasons for decisions) of the Electricity Act 1989.

Failure to comply with the conditions of this SIF Project Direction means that Ofgem may treat all or part of the SIF Approved Amount received by the Funding Party as SIF Disallowed Expenditure.

## ANNEX 1: PROJECT BUDGET

Cost Category	Total Project costs (£)
Labour	£494,612
Materials	£0
Subcontracting	£30,000
Travel and subsistence	£1,200
Other costs	£0
<b>Total</b>	<b>£525,812</b>

Project Partner	Total project costs (£)	Project contribution (£)	Total SIF Funding requested (£)	Project contribution (%)
Northern Powergrid plc	£16,650	£1,665	£14,985	
University of Strathclyde	£78,132	£7,810	£70,322	
Robert Bosch Ltd	£12,000	£1,200	£10,800	
Northern Gas Networks Ltd	£10,872	£1,092	£9,780	
EA Technology Ltd	£47,342	£4,938	£42,404	
Lane Clark & Peacock LLP	£249,900	£25,780	£224,120	
E.ON UK Energy Services Ltd	£44,916	£4,492	£40,424	
Fifty5North Ltd	£66,000	£6,600	£59,400	
<b>Total</b>	<b>£525,812</b>	<b>£53,577</b>	<b>£472,235</b>	<b>10%</b>

**ANNEX 2 TO SCHEDULE: TEMPLATE OF BANK ACCOUNT DETAILS TO BE PROVIDED TO EITHER NGT ([BOX.GSOSETTLEMENTS@NATIONALGRID.COM](mailto:BOX.GSOSETTLEMENTS@NATIONALGRID.COM)) OR NG ESO ([revenue.invoice@nationalgrideso.com](mailto:revenue.invoice@nationalgrideso.com))**

**Company name:**

**Primary Contact Details (only one contact permitted)**

First Name:

Last Name:

Email address:

Mobile phone number:

Work phone number:

**Address details**

Address name:

Street address:

City:

State / region:

Post code:

PO box: (if applicable)

PO box post code: (if applicable)

**Banking details**

These should be evidenced in non-editable format. The evidence provided must show company name and bank details and it should be dated within the last 6 months.

Any of the below documents will suffice:

- Bank statement (scanned document)
- Void cheque
- Paying in slip
- Screenshot of online banking (showing a logged in account with bank account and sort code, with browser visible)