

To holders of an Electricity  
Transmission Licence, Electricity  
Distribution Licence or a Gas  
Transporter Licence and other  
interested parties

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## **Decision and Direction on amendments to Data Assurance Guidance (DAG) and associated reporting templates for Electricity and Gas Transmission, Electricity and Gas Distribution and Electricity System Operator Licensees**

### **Introduction**

On 10 November 2023, we issued a consultation<sup>1</sup> seeking views on proposed amendments to the data assurance requirements for electricity distribution, electricity transmission and gas transporter licensees. The proposed changes were set out in tracked versions of the Data Assurance Guidance (DAG) and associated reporting templates. This letter sets out our decision to modify the DAG, following our consideration of all responses received to that consultation.

### **Consultation Responses**

We received responses to the consultation from licensees to which these data assurance requirements will apply. We have summarised respondents' substantive comments and issues raised, along with our responses to them, in the Appendix to this letter. In addition, where respondents identified DAG submission list updates, typographical or other minor errors, we have made the appropriate corrections in tracked versions of the

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<sup>1</sup>[Consultation on proposed amendments to Data Assurance Guidance \(DAG\) submissions for Electricity and Gas Transmission, Electricity and Gas Distribution and Electricity System Operator Licensees | Ofgem](#)

relevant documents included in this publication, but these are not included in the Appendix.

## **Direction to amend the DAG**

We have carefully considered the consultation responses in reaching our final decision. The background to our decision is derived from the consultation documents published on 10 November 2023 and in the Appendix.

This letter serves as a direction to amend the DAG under paragraph 7 of Standard Condition B23 of the Electricity Transmission Licence, paragraph 7 of Standard Special Condition A55 of the Gas Transporter Licence and paragraph 10 of Standard Condition 45 of the Electricity Distribution Licence. This decision will take effect from today, 17 January 2024.

As a result of this direction, the DAG consists of the updated documents listed below,:

1. DAG Guidance Document (version 2.3)
2. Risk Assessment Template (version 2.3)
3. Network Data Assurance Report (NetDAR) Template (version 2.3)
4. Exceptional Submission Assurance Template (version 2.3)

In accordance with paragraph 8 of Standard Condition B23 of the Electricity Transmission Licence, paragraph 8 of Standard Special Condition A55 of the Gas Transporter Licence and paragraph 11 of Standard Condition 45 of the Electricity Distribution Licence, we will publish on our website the above documents alongside this decision.

This letter constitutes notice stating the reasons for the decision for the purposes of section 38A of the Gas Act 1986 and section 49A of the Electricity Act 1989

Yours faithfully,

Nathan Macwhinnie

Deputy Director

Price Control Operations

Duly authorised on behalf of the Authority

## Appendix – Summary of consultation responses

Document	Feedback Received	Ofgem Response
Risk Assessment Template (Electricity Distribution)	The Innovation Measurement Framework (IMF) tables i.e. rows 3 – 9 are compiled for Energy Networks Association (ENA) purposes, therefore propose only one risk assessment is required to cover all the tables.	We agree the tables are compiled for a specific purpose (ENA reporting), but they consist of various activity tables that may have risk scores materially different from the consolidated risk score. These need to be individually highlighted. Therefore, the current list is maintained. However, the proposals can be included in the next consultation round for further review and simplified where applicable.
Risk Assessment Template (NGN - Gas Distribution)	Cyber IT and OT PCDs reporting should not be combined into SpC 9.3 (Evaluative PCD reporting) because the reporting processes and risk assessments are very different. There should be two additional rows inserted to cover the above.	We agree with this proposal. The Gas Distribution DAG submission table has been amended accordingly.
NetDAR	The template does not include a section which covers “the purpose of the document, its scope and any assumptions” which was in the first NetDAR version. This provided a useful section to introduce the reader to the licensee structure for the company. We suggest this is added back in.	We agree with this proposal. A new section has been reinstated to address issues raised.
Exceptional Submission Assurance Document	This report should have a section inserted allowing Licensees to explain the purpose and any assumptions made in the report. This will be consistent to the new Section 1 of the NetDAR document.	The completion guidance notes in Section 1 has been updated to capture the purpose, and for Licensees to state any assumptions made in the report.