

## **Energy Company Obligation (ECO4) Summary of updates in the ECO4 Guidance: Delivery V1.1**

### **Introduction**

This document outlines the amendments that we have made to our ECO4 Guidance: Delivery V1.1 that was published on 3 February 2023 from our ECO4 Guidance: Delivery V1.0 that was published on 14 October 2022.

### **Overview of amendments to ECO4 Guidance: Delivery V1.1**

#### **Throughout document**

- Formatting and wording updates.

#### **About this guidance**

- Footnote 4: Added link to ECO4: Supplier Administration V1.0 Guidance,
- Footnote 5: Added link to ECO4: Local Authority Administration Guidance,
- Footnote 6: Added link to ECO4: New Measures and Products Guidance,
- Footnote 7: Added link to Ofgem ECO webpage: Contacts, guidance and resources,
- Footnote 8: Added link to Ofgem ECO webpage: Contacts, guidance and resources.
- Page 13: Updated links to guidance documents added to page.

#### **Chapter 1: Introduction**

- Paragraph 1.10. Formatting changes.

#### **Chapter 2: Obligations**

- Paragraph 2.5. Formatting changes.
- Paragraph 2.7. Formatting changes.
- Paragraph 2.14. Amended "draft ECO4 Guidance: Interim Delivery" to "ECO4 Guidance: Interim Delivery".

- Footnote 12: Added link to Ofgem ECO webpage: Contacts, guidance and resources.
- Footnote 13: Added link to Ofgem ECO webpage: Contacts, guidance and resources.
- Footnote 14: Updated cross reference.

### **Chapter 3: Eligibility**

- Paragraph 3.36: Updated wording to say "Where a building is pre-existing before 1 April 2022, a declaration in the ECO4 Pre-Installation Project Survey should be completed and signed to confirm that the building was pre-existing before 1 April 2022".
- Paragraph 3.37: Amended "Pre-Project Declaration" to "declaration".
- Paragraph 3.40: Amended "Pre-Project Declaration" to "declaration".
- Paragraph 3.43: Amended "Pre-Project Declaration" to "ECO4 Post Installation Customer and Installer Declaration (under section A)".
- Paragraph 3.44: Amended "Pre-Project Declaration" to "ECO4 Post Installation Customer and Installer Declaration Form".
- Paragraph 3.67: Updated cross references.
- Footnote 32: Updated cross references.
- Paragraph 3.75: Updated cross references.
- Paragraph 3.84: Updated cross reference.
- Paragraph 3.99: Additional information added on which shared ground loops go through TrustMark and need an RdSAP assessment.
- Footnote 47: Added link to ECO4: Supplier Administration V1.0 Guidance.
- Paragraph 3.109: Updated cross reference.
- Paragraph 3.113: Amended "in each of the three ECO4 projects" to "each in a different ECO4 project" when discussing in-fill.
- Paragraph 3.115: Updated cross references.
- Paragraph 3.116: Amended "Figure 5: in-fill houses" to just "Figure 5".
- Paragraph 3.133: Amended sentences on HTHG evidence eligibility to clarify that documents must be dated no more than 12 months before the day on which the measure is completed, and the evidence cannot be dated after the day of completed installation.
- Paragraph 3.134: Added sentence on HTHG evidence stating that suppliers should take particular care reviewing letters provided for benefits included in matching.

- Paragraph 3.140: Additional information added on suitable evidence for Child Benefit and when new evidence requirements come in from.
- Paragraph 3.141: Additional information added on how to confirm single or couple household and the number of children within the household.
- Paragraph 3.142: Amended sentences to clarify that evidence should be dated no more than 12 months before the day on which the measure is completed, and the evidence cannot be dated after the day of completed installation.
- Table 3: Formatting changes.
- Paragraph 3.146: Amended guidance title to ECO4 Guidance: Local Authority Administration.
- Table 4: Formatting changes.
- Table 4: Added and amended text for further clarity on ECO4 Flex Routes.
- Footnote 49: Added link to ECO4: Local Authority Administration Guidance.
- Table 5: Added that Route 2 Proxy 5 is for the purposes of NICE Guidelines.
- Paragraph 3.149: Amended text to “pre-project SAP bands E-G for both owner occupied and private rented households”.
- Paragraph 3.150: Amended text to clarify that suppliers should collect and retain declarations and have access to LA evidence.
- Paragraph 3.153: Amended text and added information on the standard referral letter for Citizen’s Advice, installer verification letter and the household application form.
- Footnote 51: Added link to the NHS referral letter template.
- Footnote 52: Added link to ECO4: Local Authority Administration Guidance.
- Footnote 53: Added link to the Citizen’s advice referral letter template.
- Footnote 54: Added link to the installer verification letter.
- Footnote 55: Added link to the household application form.
- Paragraph 3.154: Added that the SoI should only reflect ECO4 criterion.
- Paragraph 3.155: Added sentence “Only one SoI should be used when referring all households in the four years that ECO4 Flex is operating”.
- Paragraph 3.156: Amended sentence on which cohort of people the LA-run scheme is helping.
- Paragraph 3.157: Added paragraph on signature requirements.
- Paragraph 3.159: Amended text and added information on LA declarations.
- Footnote 56: Added link to ECO4 Flex Data Dictionary

- Paragraph 3.160: Amended and added text on declaration notifications to clarify that the declaration itself should be sent to the supplier, but that the declaration notification should be sent to Ofgem by the LA.
- Paragraph 3.161: Amended text on the declaration notification template and updated cross reference.
- Footnote 57: Added link to the declaration notification template.
- Footnote 58: Added link to ECO4: Local Authority Administration Guidance.
- Paragraph 3.162: Amended text on LA declaration processing.
- Paragraph 3.164: Amended text on the declaration notification template.
- Footnote 60: Added link to the declaration notification template.
- Paragraph 3.165: Amended text on the register for use by LAs.
- Paragraph 3.167: Amended "declaration" to "declaration notification".
- Table 6: Amended "notified" to "submitted" and "declaration" to "declaration notification".
- Paragraph 3.172: Added sentence clarifying that "There cannot be multiple declarations from the same LA with the same number; each household will need a unique number".
- Paragraph 3.178: Amended text to clarify that where the supplier works together with the LA, they must consult with the LA prior to any work commencing.
- Paragraph 3.180: Amended text to "the LA signs and sends the declaration to the supplier, and sends a LA declaration notification to Ofgem".
- Paragraph 3.186: Amended "to retain" to "have access to" and updated cross reference.
- Paragraph 3.189: Amended "collect" to "be able to provide".
- Table 7: Added and amended text on non-exhaustive evidence examples for Routes 1-4. New evidence requirements for route 1 come in from 1 April 2023.
- Paragraph 3.195: Added "they".

## Chapter 4: Projects

- Paragraph 4.19: Added clarifying text on shared ground loops.
- Paragraph 4.22: Added clarifying text on shared ground loops.
- Paragraph 4.23: Amended text on non-ECO energy efficiency measures.
- Paragraph 4.26: Updated cross references.
- Paragraph 4.36: Added sentence "Exemptions from the off-gas hierarchy or insulation requirements are not subject to this cap, only exemptions from the MR".

- Paragraph 4.44: Updated cross reference.
- Paragraph 4.47: Formatting change.
- Paragraph 4.63: Added text on Building Fabric Repair.
- Paragraph 4.72: Added and amended text on extraction.
- Paragraph 4.74: Removed text on skilled technician.
- Paragraph 4.75: Removed text on skilled technician.

## Chapter 5: ECO Measures

- Paragraph 5.3: Added sentence on the light version of ECO4 Measures Table.
- Paragraph 5.5: Formatting change.
- Paragraph 5.10: Amended text so clarify that a measure may be rejected if there is evidence that it has been preceded by an extraction that does not comply with the guidance.
- Paragraph 5.11: Formatting change.
- Paragraph 5.14: Formatting change and amended text to “the same approach to partial project scores”.
- Table 12: Formatting change.
- Paragraph 5.17: Amended “score” to “partial project score”.
- Table 13: Formatting change.
- Paragraph 5.20: Removed sentence “However, this information should remove the need for bespoke U value calculations in most cases”.
- Paragraph 5.23: Removed sentence “In these situations, suppliers may calculate the U value of the wall. Suppliers must contact us if they intend to notify a measure with a calculated post installation U value”.
- Paragraph 5.24: Amended text on EPR conventions and U values.
- Footnote 79: Added link to TrustMark webpage: Quality Assurance Monitoring Government Funded Schemes.
- Footnote 80: Removed text on U value calculation.
- Paragraph 5.26: Amended text on loft insulation.
- Paragraph 5.30: Formatting change.
- Paragraph 5.37: Formatting change.
- Paragraph 5.38: Formatting change.
- Footnote 82: Amended “conventions” to “Conventions”.
- Paragraph 5.44: Formatting change.

- Paragraph 5.46: Formatting change.
- Paragraph 5.49: Formatting change.
- Paragraph 5.58: Added "in paragraph" and updated cross references.
- Paragraph 5.60: Amended text on prescribed standards for insulation measures.
- Footnote 89: Added link to Building regulations.
- Paragraph 5.64: Amended text on prescribed standards.
- Paragraph 5.66: Amended text on prescribed standards.
- Footnote 91: Added link to ECO4 project forms and tables.
- Paragraph 5.76: Updated cross reference.
- Paragraph 5.90: Updated cross reference.
- Paragraph 5.106: Added sentence "in respect of level 3 measures, the home does not have a relevant pre main heat source".
- Paragraph 5.107: Amended "PAS IOE" to "PAS Improvement Options Evaluation report", and "pre-insulation" to "pre-installation".
- Paragraph 5.109: Updated cross reference.
- Paragraph 5.117: Updated cross reference.
- Paragraph 5.123: Updated cross reference.
- Paragraph 5.128: Updated cross reference.
- Paragraph 5.130: Formatting change.
- Paragraph 5.134: Amended "an efficient heating system or renewable heating system" to "a heating system".
- Paragraph 5.136: Added paragraph "A hybrid heat pump refers to a system that uses a heat pump alongside another heat source. Integrated gas hybrid air source heat pumps may be delivered through ECO in on-gas premises. A gas hybrid heat pump is not considered to be a renewable heating measure".
- Paragraph 5.143: Amended text on ESH and electric heating systems.
- Paragraph 5.144: Amended text on ESH and electric heating systems.
- Paragraph 5.145: Removed text listing non-renewable electric heating systems and added "For a heat source to be considered as an electric heating system, it must meet the definition of central heating (ie a system which provides heat for the purpose of space heating through a boiler)".
- Paragraph 5.146: Added text on solar PV.
- Paragraph 5.150: Amended text on 'preHCs'.
- Paragraph 5.151: Amended "property" to "pre-installation RdSAP assessment".
- Paragraph 5.160: Updated cross references.

- Paragraph 5.164: Amended “TTZC scores” to “TTZC partial project scores” which assume the property already has a full set of functioning heating controls as a baseline.
- Paragraph 5.165: Amended text on TTZC measures.
- Table 17: Amended text on heating control score examples.
- Paragraph 5.177: Updated cross reference.
- Paragraph 5.181: Updated cross reference.
- Paragraph 5.183: Added “Gas hybrid air source heat pump”.
- Paragraph 5.185: Updated cross reference.
- Paragraph 5.186: Updated cross reference.
- Paragraph 5.187: Updated cross reference.
- Paragraph 5.195: Updated text on solar PV.
- Paragraph 5.198: updated cross references.
- Paragraph 5.199: Removed text “when it is installed as part of an ECO4 project” and updated cross reference.
- Paragraph 5.200: Amended text on solar PV.
- Paragraph 5.201: Amended text on ESH / electric heating systems that are installed alongside solar PV.
- Paragraph 5.209: Updated cross reference.
- Paragraph 5.212: Amended text on shared ground loops GSHPs.
- Paragraph 5.215: Amended text on shared ground loops GSHPs.
- Paragraph 5.231: Amended text on DHC measures.
- Paragraph 5.236: Amended text on shared ground loops GSHPs.
- Paragraph 5.242: Updated cross reference.

## **Chapter 6: Scores**

- Paragraph 6.25: Added text “(and no exemption applies)” where a project is completed but does not meet the minimum requirement.
- Paragraph 6.37: Added paragraph on EPR.
- Paragraph 6.49: Added text “and TrustMark EPR Conventions”.
- Footnote 141: Amended text on RdSAP Conventions link.
- Footnote 142: Added link to TrustMark webpage: Quality Assurance Monitoring Government Funded Schemes.
- Paragraph 6.52: Amended “RdSAP conventions” to “RdSAP Conventions”.
- Table 22: Added “Gas hybrid ASHP”.

- Paragraph 6.66: Updated cross reference.
- Table 23: Updated cross references.
- Paragraph 6.101: Updated cross reference.
- Table 24: Updated cross reference.
- Table 25: Updated cross reference.
- Table 27: Formatting changes.
- Paragraph 6.139: Updated cross references.

## **Chapter 7: Notifications**

- Paragraph 7.7. Heading amended to “Timing of measure and project notifications”.

## **Chapter 8: Monitoring, audit and fraud prevention**

- Paragraph 8.5: Amended text on shared ground loops GSHPs.

## **Appendices**

- Index: Page numbers updated.
- Table 28: Amended text on templates for ECO4.
- Paragraph 13.1: Formatting change.
- Table 31: Formatting change.
- Table 32: Added text “(cost savings value + innovation uplift)”.
- Appendix 6: Flow-chart amended.