### ECO4 Application Form: Innovation Measures

**Applications will not progress to the Technical Advisory Panel (TAP) until sufficient evidence is provided, and the application is considered complete. The following points are intended as a guide:**

* Questions should be read in conjunction with the ECO4 Guidance: New Measures and Products. Key paragraphs are referenced in the explanatory notes.
* Unless otherwise stated, all questions should be answered, using the word count as an indication of the level of detail required.
* Requirements outlined in explanatory notes and relevant sections of the ECO4 Guidance: New Measures and Products should be fully addressed.
* Additional evidence and supporting documents should only be provided for certain questions as indicated in explanatory notes.
* Attachments should be concise and contain relevant information only. Where this is not possible, the relevant information within a document should be clearly indicated.
* Additional evidence and supporting documents must be either embedded in the application form, or provided in a single zip folder, with individual files clearly named.

**Completing this application form**

This application form is separated into four main sections:

1. Introductory questions
2. Product questions
3. ECO delivery/suitability questions
4. Improvement questions

To reduce duplication of information, we recommend that applicants read the entire application form before beginning to answer questions.

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| **Supplier Declaration** |
| The supplier declaration must be signed by an employee with sufficient authority of the supplier submitting the application.  The supplier is responsible for ensuring accurate and consistent information. If issues arise that raise doubts around the accuracy of the evidence and information provided, this will be investigated, and the application may be rejected. Any fraudulent activity may also be reported to law enforcement agencies.  See paragraphs 4.54 – 4.55 for further information on this question. |
| I confirm that:   1. To the best of my knowledge and belief, all relevant information is included in this application and the information is true and accurate. 2. If approved, measures delivered under this application will be delivered to ECO4 eligible households. 3. If approved, measures delivered under this application will fall under the innovation measure (IM) caps.[[1]](#footnote-2) 4. If approved, measures delivered under this application will be delivered in accordance with Building Regulations and all other relevant standards as mandated by the ECO4 Order and/or the TrustMark framework or equivalent. |
| Name:  Signed:  Date: |

#### Introductory questions

This section is intended to gather high-level information on the product being applied for, and in the case of re-submissions, to provide a summary of any new information/evidence provided.

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| 1. ***Application name*** |
| What is the name of the product being applied for? |
| Include the manufacturer name, model name/number, and any specific identifiers to be included under the IM description. Where relevant, the Agrément or MCS certification number should also be included.  See paragraphs 4.57 – 4.58 for further information on this question. |
| Response |

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| 1. ***Supplier name*** |
| What is the name of the ECO obligated supplier submitting the application?  See paragraph 4.59 for further information on this question. |
| Response |

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| 1. ***Product description*** |
| Please provide a brief description of the product in no more than 50 words. |
| This should be a brief, high-level description of what the product is and what it does.  See paragraph 4.60 for further information on this question. |
| Response |

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| 1. ***Eligibility requirements*** |
| Answer the following questions using the checkboxes provided.  See paragraph 4.61 for further information on this question. |
| 1. Capable of resulting in a reduction in the cost of heating domestic premises   **YES NO**   1. Generation of heat, wholly or partly fuelled from biofuel, coal, oil or liquefied petroleum gas   **YES NO**   1. Generation of heat, wholly or mainly fuelled from a non-renewable source   **YES NO**   1. Repair of existing measure   **YES NO**   1. District heating connection measure   **YES NO** |

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| 1. ***Relevant history*** |
| Is there any history related to the product in this application, or relevant facts that we should be aware of? |
| If the product has been accepted under other domestic or foreign schemes, or has previously received government funding, please provide details.  See paragraph 4.62 for further information on this question. |
| Response |

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| 1. ***Re-submission for a standard IM*** |
| Is this application a re-submission of a previously rejected application for a standard IM? |
| **YES NO**  If yes, please provide a summary below of what new information/evidence has been included and how feedback from a previous application has been addressed.  See paragraphs 4.63 – 4.64 for further information on this question. |
| Response |

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| 1. ***Re-submission for a substantial IM*** |
| Is this application for an already approved ECO4 standard IM (approved during either ECO3 or ECO4) to be re-assessed as a substantial IM? |
| **YES NO**  If yes, please provide a summary below of any new information/evidence that has been included and where relevant how feedback from a previous application has been addressed.  See paragraphs 4.65 – 4.68 for further information on this question. |
| Response |

#### Product questions

The questions in this section are intended to give Ofgem and the TAP a better understanding of what the product is, and how it works, to make a more informed determination of whether it can be considered an improvement.

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| 1. ***Product overview*** |
| Describe in detail what the product is, how it works, and provide a breakdown of its components. |
| See paragraphs 4.71 – 4.73 for further information on this question. |
| Response |
| **EVIDENCE:** Recommended  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***User interaction*** |
| Describe any interactions required by the householder to ensure the product’s best performance.  If the product does not require user interaction, then ‘N/A’ should be entered in the response to this question. |
| See paragraphs 4.74 – 4.77 for further information on this question. |
| Response |
| **EVIDENCE:** Optional  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Product certification*** |
| List any certification held for the product(s) and, where relevant, include certification number(s). |
| Where the installation standard stated in question 12 is MCS, then an MCS certification number is mandatory.  Examples of certification include, but are not limited to, MCS product certification, and Agrément certification from organisations such as the BBA, KIWA, etc.  Any relevant certificates should be provided as an attachment.  See paragraphs 4.78 – 4.80 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 100 |
| State evidence filename(s) and reference relevant page number(s) |

#### ECO delivery and suitability questions

The following questions are used to assess the product’s suitability for delivery under ECO4, in line with requirements of the ECO4 Order and ECO4 Guidance.

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| 1. ***ECO4 measure type and scoring*** |
| State which ECO4 measure type and Partial Project Score (PPS) can be used and explain why it is appropriate |
| See paragraphs 4.82 – 4.86 for further information on this question. |
| Response |
| **EVIDENCE:** Optional  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Installation standards*** |
| a. Measures must be installed in accordance with an appropriate standard to ensure the safety and efficacy of the measure. Indicate below the installation standards the IM will be installed in accordance with? |
| See paragraphs 4.87 – 4.93 for further information on this question. |
| PAS 2030:2019 – State annex:  MCS – State MIS:  Other standard certified by an organisation accredited to ISO/IEC 17065:2012– State standard: |

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| b. If “PAS 2030:19” or “MCS”, please provide a brief explanation of how the standard is applicable / covers that measure, or if “other standard”, please demonstrate the following:  • The certifying organisation is accredited to ISO/IEC 17065:2012  • Building Regulation compliance  • Installation and maintenance instructions to ensure safety and efficacy  • Performance expectations – how performance changes over time  • Financial protection mechanisms – warranty and guarantee availability |
| Response |
| **EVIDENCE:** Optional  **Word count:** 200 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***TrustMark suitability*** |
| 1. Please confirm whether the product can be delivered under the TrustMark framework. |
| All ECO4 measures (except DHC measures, other than DHCs that use a shared ground loop) must be installed by, or under the responsibility of, a person who is registered with TrustMark for the purposes of that measure; and lodged with TrustMark, unless equivalence can be demonstrated.  For TrustMark suitability, applicants should consider:   * That the measure can be supported by an appropriate Trustmark-licensed Scheme Provider adhering to the TrustMark Framework * Ability to be compliant with PAS 2035:19 * TrustMark approved insurance backed guarantees * Quality Assurance * Compliance with Building Regulations   See paragraphs 4.94 – 4.96 for further information on this question. |
| Yes, the product and its associated installation process can be delivered in accordance with TrustMark’s framework operating requirements.  No – please state equivalent arrangements for quality assurance and consumer protection: |
| Response |
| **EVIDENCE:** Optional (Mandatory if response is ‘no’)  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. State any additional Quality Assurance questions required to ensure the product has been installed correctly. |
| See paragraphs 4.97 – 4.99 for further information on this question. |
| Response |
| **EVIDENCE:** Optional  **Word count:** 100 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Evidence to be held by suppliers*** |
| What evidence can be held by suppliers to evidence that the correct product or process has been installed or followed? |
| See paragraphs 4.100 – 4.102 for further information on this question. |
| Response |
| **EVIDENCE:** Optional  **Word count:** 100 |
| State evidence filename(s) and reference relevant page number(s) |

#### Improvement questions

The following questions are used to assess whether the product being applied for can be considered an ‘improvement’ on comparable measures. Applicants must state whether they are applying for the 25% or 45% uplift – the table below outlines the questions that must be completed depending on which uplift is being applied for.

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|  | If applying for ‘standard’ 25% uplift | If applying for ‘substantial’ 45% uplift | If applying for an already approved ‘standard’ IM to be approved as a ‘substantial’ 45% IM |
| Question 15 | Mandatory | Mandatory | Mandatory |
| Question 16 | Mandatory | Mandatory | Not required |
| Question 17 | Mandatory | Mandatory | Mandatory |
| Question 18 | Not required | Mandatory | Mandatory |
| Question 19 | Mandatory | Mandatory | Mandatory |

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| 1. ***Comparable measures*** |
| Describe the ‘comparable measures’ that the measure offers an improvement on.  ‘Comparable measures’ means measures that would otherwise be promoted on ECO4 by the participant and are commonly available on the market in Great Britain. |
| See paragraphs 4.105 – 4.107 for further information on this question. |
| Response |
| **EVIDENCE:** Recommended  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Standard improvement – 25% uplift*** |
| Describe how the measure is an ‘improvement’ on comparable measures. |
| If the application is for an already approved standard IM to be re-assessed as a substantial IM, this question can be skipped, and ‘N/A’ should be entered.  See paragraphs 4.108 – 4.115 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 500 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Substantial improvement – 45% uplift*** |
| Please state whether you believe the product is a substantial improvement on comparable measures.  See paragraphs 4.116 – 4.117 for further information on this question. |
| **YES –** Please complete question 18 below.  **NO** – Please skip question 18 and move on to question 19. |

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| 1. ***Substantial improvement criteria*** |
| Against each of the criteria below, provide a qualitative assessment of how the measure is a substantial improvement on comparable measures. |
| ‘N/A’ should be entered where any of the criteria are not being claimed.  See paragraphs 4.118 – 4.121 for further information on this question. |

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| 1. Increase in the annual cost savings of the measure |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraphs 4.122 – 4.124 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. Decrease in the cost of installing the measure |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraphs 4.125 – 4.128 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. Increase in the durability of the measure |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraphs 4.129 – 4.130 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| Improvement in the overall environmental impact of the measure |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraphs 4.131 – 4.132 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| Reduction in the disruption to householders during the installation of the measure |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraphs 4.133 – 4.136 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |
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| Other improvements |
| A clear comparison must be made with the ‘comparable measures’ defined in question 15.  See paragraph 4.137 for further information on this question. |
| Response |
| **EVIDENCE:** Mandatory  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

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| 1. ***Product and improvement limitations*** |
| Describe any limitations or caveats on the improvement(s) explained above |
| See paragraph 4.138 – 4.139 for further information on this question. |
| Response |
| **EVIDENCE:** Recommended  **Word count:** 300 |
| State evidence filename(s) and reference relevant page number(s) |

1. Please see Appendix 5 of the ECO4 Guidance: Delivery for further information on caps. <https://www.ofgem.gov.uk/environmental-and-social-schemes/energy-company-obligation-eco/energy-company-obligation-eco-contacts-guidance-and-resources> [↑](#footnote-ref-2)