

To holders of an Electricity
Transmission Licence, Electricity
Distribution Licence or a Gas
Transporter Licence and other
interested parties

Direct Dial: 0207 901 7345

Email: Daniel.Kyei@ofgem.gov.uk

Date: 31 May 2022

Decision on amendments to the Data Assurance Guidance (DAG) submissions for Electricity Transmission and Distribution and Gas Transporter Licensees

Introduction

On 5 April 2022, we issued a consultation¹ seeking views on proposed amendments to the data assurance requirements for electricity distribution, electricity transmission and gas transporter licensees. The proposed changes were set out in a mark up of the Data Assurance Guidance (DAG) and associated reporting templates.

This letter sets out our decision to modify the DAG, following our consideration of the responses received to that consultation.

Consultation Responses

We received responses to the consultation from licensees to which these data assurance requirements will apply. We have summarised respondents' substantive comments and issues raised, along with our responses to them, in the Appendix to this letter. In addition, where respondents identified typographical or other minor errors, we have made the appropriate corrections in the relevant documents, but these are not included in the Appendix.

¹<https://www.ofgem.gov.uk/publications/consultation-proposed-amendments-data-assurance-guidance-dag-submissions-electricity-and-gas-transmission-electricity-and-gas-distribution-and-electricity-system-operator-licensees>

Direction to amend the DAG

We have carefully considered the consultation responses in reaching our final decision. The background to our proposals and our decision are set out in the consultation documents published on 5 April 2022 and in the Appendix respectively.

This letter serves as a direction to modify the DAG under paragraph 7 of Standard Condition B23 of the Electricity Transmission Licence, paragraph 7 of Standard Special Condition A55 of the Gas Transporter Licence and paragraph 10 of Standard Condition 45 of the Electricity Distribution Licence. This decision will take effect on and from today, 31 May 2022.

As a result of this direction, the DAG consists of the documents listed below, each of which has legal effect as if it were a condition of the licence:

1. DAG Guidance Document (version 2.1)
2. Risk Assessment Template (version 2.1)
3. Network Data Assurance Report (NetDAR) Template (version 2.1)
4. Exceptional Submission Assurance Template (version 2.1)

In accordance with paragraph 8 of Standard Condition B23 of the Electricity Transmission Licence, paragraph 8 of Standard Special Condition A55 of the Gas Transporter Licence and paragraph 11 of Standard Condition 45 of the Electricity Distribution Licence, we are publishing the above documents alongside this decision and direct for them to be amended as shown.

Yours faithfully,

Jourdan Edwards
Interim Deputy Director, Onshore Networks

Appendix – Summary of consultation responses

Document	Feedback Received	Ofgem Response
Risk Assessment Template	<p>A licensee proposed a change to the self-assessment risk scoring process of data submissions to Ofgem.</p> <p>The licensee proposed data submitted, which is sourced from different reporting systems, could have risk scores split up to reflect the different reporting systems risks and not combined as currently done.</p>	We disagreed with this proposal as we believe this will complicate the DAG process.
Risk Assessment Template	<p>The DAG self-assessment risk scoring process requires licensees to risk score data submissions for the past year and the coming year.</p> <p>A licensee asked what approach should be taken for the first year of RIIO2 where data submissions are similar to RIIO1 submissions. Should licensees include the past score for the related submissions in RIIO1 or just leave blank?</p>	The past year data submission score for the related table in RIIO1 should be included.
Risk Assessment Template	An Electricity Distribution (ED) licensee requested clarification to their March 2023 DAG submissions and whether they should still use the list of submissions contained in the previous Risk Assessment Template (v1.3) as ED is not yet covered by the RIIO2 price control.	For 2023 DAG submission, ED licensees should use the latest Risk Assessment Template (v2.1). These templates contain updated data submission lists and guidance compared to v1.3 which is significantly out of date given the number of changes during the ED RIIO1 price control.
DAG Guidance Document	<p>In the DAG development engagement sessions it was agreed that the comparative efficiency measure should be excluded from the Impact Metric table.</p> <p>Licensees highlighted in the consultation that the Impact Metric table in the DAG Guidance Document still included comparative efficiency.</p>	The DAG guidance document has been updated and comparative efficiency excluded from the Impact Metric table.

Document	Feedback Received	Ofgem Response
DAG Guidance Document	A number of licensees highlighted that the DAG guidance document incorrectly stated that the RII02 price control was for a period of eight years.	This has been corrected to five years.
Network Data Assurance Report (NetDAR)	A number of licensees felt the requirement to include an explanation for material errors identified in previous submissions was excessive i.e. "not only in the previous 12 months period but any time in the past"	This has been revised to restrict it to "not only in the previous 12 months period but any time in the current price control period"
General Comment	A number of ED licensees stated that there is currently no plan issued to them by Ofgem to consult on the DAG data submission lists for RII0 ED2.	We will issue a timeframe for consultation when a completion date for RII0 ED2 Regulatory Reporting Pack (RRP) is determined.