

Company Logo

RIIO-T2/GD2/ED2/GT2/ESO2 Exceptional Submission

Assurance Template

Company	
Sector	
Networks	
Name of Exceptional Submission	
Required by¹	
Required information	
Submission date	

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¹ State licence condition or provide other explanation (e.g. in response to request from Ofgem...)

Version History

Version No.	Changes	Purpose	Author	Release Date
2.0	For consultation		Ofgem	31/05/22

General guidance on completing this report template:

- *Boxes shaded in yellow should be completed.*
- *Each section and subsection should be completed*
- *Licensees may add additional subheadings within sections/subsections*
- *Additional sections should be added as appendices.*

1 Risk Assessment and Data Assurance Done for Exceptional Submission

Guidance on completing this section:

This is the main body of the document, containing a review of what was done to assure the data. This section must include the risk assessment results (impact score, probability score and total risk rating). It may also include optional further commentary with specific focus on the findings from the assurance activities e.g:

- *For high and critical risk submissions, a brief explanation of the main cause of high, critical risks (driver of high impact and/or probability scores).*
For high and critical risks, explanation of Data Assurance Activities, detailing the purpose, desired outcome, deadlines and completion date (completed or ongoing) of the activities. Licensee may make reference to any relevant NetDAR submission narratives on Data Assurance Activities, Organisational control and governance as set out in paragraph 1.1-1.3 of the NetDAR template).
- *why the data assurance activities were appropriate and why they have been selected in preference to other available options.*

Supporting documentation

i.	Please include details of written documentation of assurance (audit reports, sign off documents) if any.
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2 Errors Identified in Previous Related Submissions

Guidance on completing this section:

For material errors identified up until the submission date (not only in the previous 12 months period but any time in the past). If the material errors have been identified relating to the submission and:

- *reported in other DAG reports to Ofgem e.g. NetDAR, please include references to the reports.*
- *not reported in any other DAG reports to Ofgem, include an explanation of the material errors identified. This will include the following:*
 - *Statement on incomplete, inaccurate or late submissions.*
 - *Error description.*
 - *Error identification date.*
 - *Error impact score and description of realised impact of the error.*
 - *Was the error corrected? When?*
 - *What assurance activity will be undertaken to prevent future occurrence?*