

Ofgem Competent Authority NIS Quarterly Check-in Report | [OES]



[DATE]

Use this slide to confirm the relevant contacts and any changes that have occurred since the last report.

Role	Named contact	Changed since previous report (Yes/NO)?	Enter date of change
CEO			
CIO			
CISO			
NIS Accountable Officer (" NAO ")			
NIS Responsible Officer (" NRO ")			
Deputy NIS Responsible Officer (" NRO ")			
Cyber Operational Lead			

Table 1: NIS Communication Counterparts and Responsible Officers

1. Has the scope changed since the last report period (e.g. consider functions, systems and sites view points)¹? [YES/NO]
(Changes to scope should be highlighted on the list and figure 1 below, and explained on the next slide).

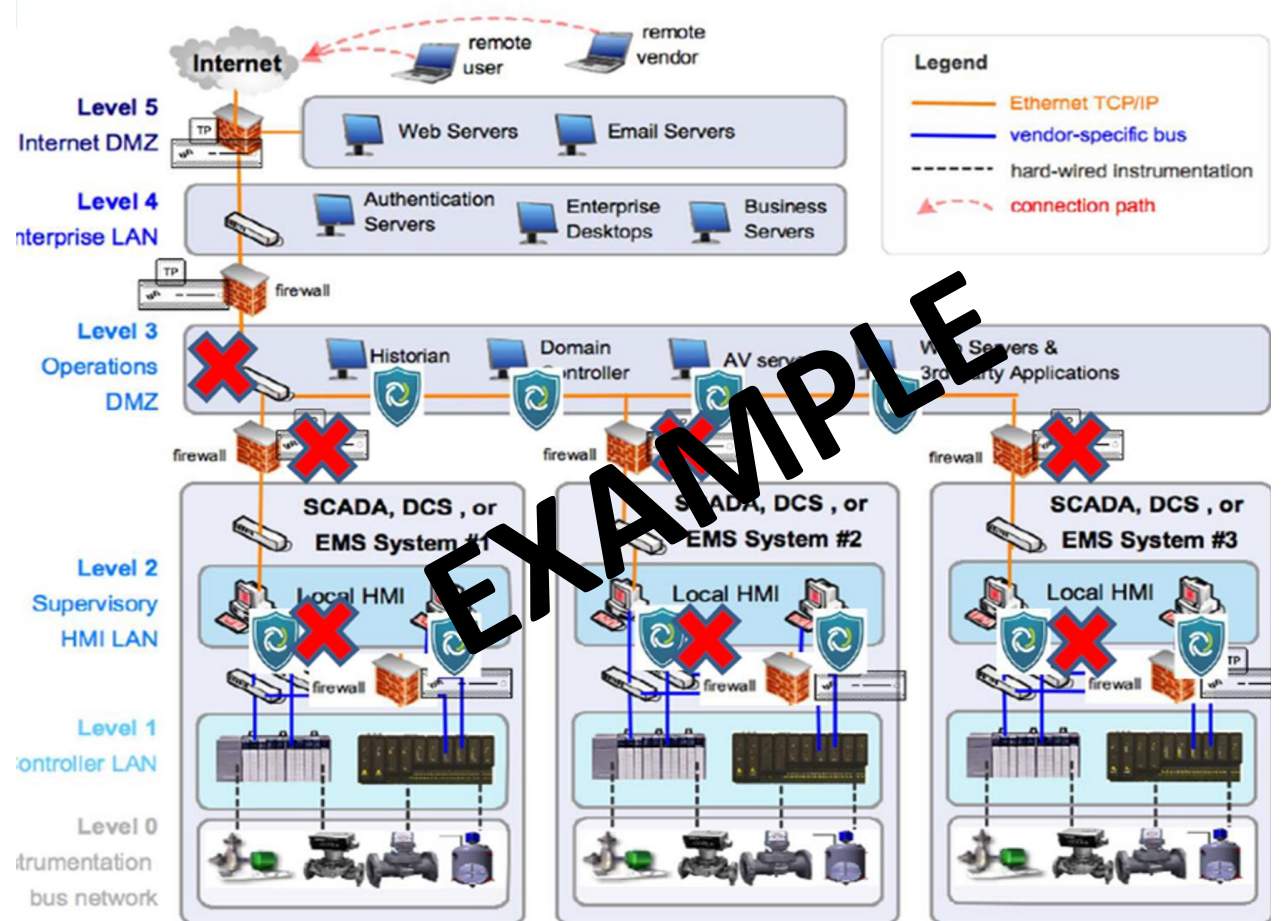
High-level list of systems within scope:

- Component 1
- Component 2
- Component 3
- Component 4
- Component 5
- Component 6
- Component 7
- Component 8

Note: This should remain the same after completing once, unless there are changes.

Further Information: See the following reference for further information on the different scope viewpoints - Part A: Overview and Scope. NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain, Section 3, Page 13 - 22.

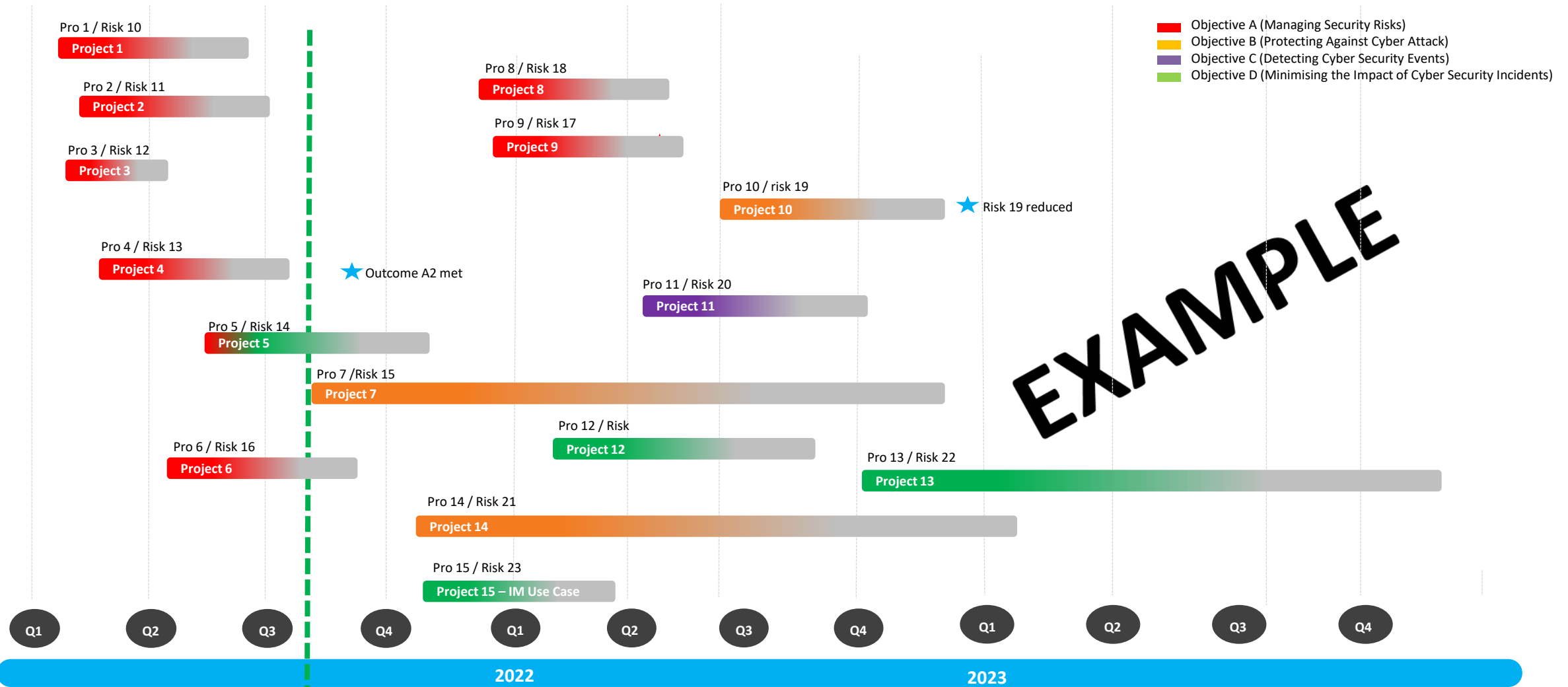
Figure 1: [OES] Purdue Model of System Scope



Scope change	Change type (e.g. Addition/descope/decommission)	Addition details (e.g. justification of a descope, decommissioning details)	Impact (e.g. in terms of impact to risk exposure, CAF target profile attainment and improvement plan status)	Date of change

Table 2: Change in NIS Scope

Further Information: OES should declare and confirm all changes to the NIS scope. If there is a reduction or de-scope, justifications are to be detailed. Decommissioning of an asset which is in-scope must still be reported upon with details of the decommissioning and risk exposure changes to the essential service documented. Also consider the impact to the CAF target profile attainment and improvement plan progress (NIS Quarterly Check-in Report. NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain, Section 3, Page 13 - 22.)



EXAMPLE

Further Information: This is a suggested format for a 'plan on a page'. See the following reference for the development of an improvement plan and Gantt chart information - Part D: Improvement Plan. *NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain*, Section 6, Page 31 – 32.

Improvement Plan ID <i>The reference ID</i>	Initiative / Project Name <i>Name of initiative</i>	Initiative / Project Description <i>Describe the initiative</i>	Applicable Scope / Improvement Area <i>What scope does this apply to?</i>	Tactical / Strategic <i>Is the Improvement Plan tactical or strategic?</i>	CAF Mapping <i>Related CAF outcomes</i>	Risk Ref No <i>Any relevant risk reference ID (Should align with the ID from the RA)</i>	Start Date	Finish Date	Status <i>e.g. Complete, Ongoing, Issues. Explain any issues in terms of impact on delivery, risk and CAF status</i>
<i>[Example]</i> PRO 15	OT incident management use cases.	Create fully test and implement incident management use cases for top 10 cyber OT incident scenarios.	All NIS scope	Tactical	D1a, D1b, D1c	Risk 23	31 Oct 21	20 March 22	Ongoing

Further Information: This is a suggested format for an improvement plan. See the following reference for the development of an improvement plan - Part D: Improvement Plan. NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain, Section 6, Page 31 – 32. For the Quarterly Check-in Report, an OES must identify and report on NIS Improvement Plan progress against identified milestones and security and resilience requirements. Plan changes (e.g. delays, delivery changes) must articulate the potential impact on the delivery of improvements and how this may affect the risk status and/or CAF status. OES should include relevant rationale for improvement plan timelines such as scheduled outages related to the scope.

Use this slide to report any changes to the CAF target profile you wish to share at the NIS quarterly check-in.

CAF Objective & Principle	Indicative High-Level CAF Changes
Objective A: Managing security risk	
Principle A1 - Governance	
Principle A2 - Risk management	
Principle A3 - Asset management	
Principle A4 - Supply chain	
Objective B: Protecting against cyber-attack	
Principle B1 - Service protection policies and processes	
Principle B2 - Identity and access control	
Principle B3 - Data security	
Principle B4 - System security	
Principle B5 - Resilient Networks and Systems	
Principle B6 - Staff Awareness and Training	
Objective C: Detecting cyber security events	
Principle C1 - Security monitoring	
Principle C2 - Proactive security event discovery	
Objective D: Minimising the impact of cyber security incidents	
Principle D1 - Response and recovery planning	
Principle D2 - Lessons learned	

Further Information: For the Quarterly Check-in Report, an Indicative CAF changes should be reported – As an OES delivers their improvement plans, it may be learned through cyber risk management, programme delivery or assurance processes that indicative risk reduction or CAF change has occurred. In these instances, OES should report on the indicative risk reduction or security and resilience changes that have occurred.

Use this slide to report any indicative risk reductions you wish to share at the NIS quarterly check-in.

Further Information: For the Quarterly Check-in Report, an Indicative risk reduction should be reported – As an OES delivers their improvement plans, it may be learned through cyber risk management, programme delivery or assurance processes that indicative risk reduction or CAF change has occurred. In these instances, OES should report on the indicative risk reduction or security and resilience changes that have occurred. See the following reference for Risk Management activities- Part B: Risk Management. *NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain*, Section 4, Page 23 – 27. This information should be presented using the Risk Assessment Results template found in the Self-assessment and Annual report.

Use this slide to provide an update of any indicative NIS incidents or sub-threshold NIS incidents that have occurred

Further Information: For the Quarterly Check-in Report an OES should provide an update of any indicative NIS incidents and sub-threshold NIS incidents that have occurred as described in NIS Self-Assessment & Improvement Template. *NIS Guidance for Downstream Gas and Electricity Operators of Essential Services in Great Britain, Section 7.7, Page 35.*

Use additional slides to present additional content such as subjects or topics as agreed as part of the agenda
